

# Density functional perturbation theory for lattice dynamics with ultrasoft pseudopotentials and PAW

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# Outline

- 1 DFPT with US-PPs
- 2 DFPT with PAW
- 3 Grid and images

## US-PPs Hamiltonian

$$\left[ -\frac{1}{2} \nabla^2 + V_{NL} + \int d^3r \ V_{eff}^\sigma(\mathbf{r}) \mathcal{K}(\mathbf{r}) \right] |\psi_{i\sigma}\rangle = \varepsilon_{i\sigma} \mathcal{S} |\psi_{i\sigma}\rangle,$$

$$V_{eff}^\sigma(\mathbf{r}) = V_{loc}(\mathbf{r}) + \int d^3r_1 \ \frac{\rho(\mathbf{r}_1)}{|\mathbf{r} - \mathbf{r}_1|} + V_{xc}^\sigma(\mathbf{r}),$$

$$V_{NL}(\mathbf{r}_1, \mathbf{r}_2) = \sum_{l nm} D_{nm}^{(0)\gamma(l)} \beta_n^{\gamma(l)}(\mathbf{r}_1 - \mathbf{R}_l) \beta_m^{*\gamma(l)}(\mathbf{r}_2 - \mathbf{R}_l),$$

$$\rho_\sigma(\mathbf{r}) = \sum_i \tilde{\theta}_{F,i\sigma} \langle \psi_{i\sigma} | \mathcal{K}(\mathbf{r}) | \psi_{i\sigma} \rangle,$$

$$\begin{aligned} K(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2) &= \delta(\mathbf{r} - \mathbf{r}_1) \delta(\mathbf{r} - \mathbf{r}_2) \\ &+ \sum_{lmn} Q_{nm}^{\gamma(l)}(\mathbf{r} - \mathbf{R}_l) \beta_n^{\gamma(l)}(\mathbf{r}_1 - \mathbf{R}_l) \beta_m^{*\gamma(l)}(\mathbf{r}_2 - \mathbf{R}_l). \end{aligned}$$

## US-PPs - Perturbation

Atoms move:

$$\mathbf{R}_I = \mathbf{R}_\ell + \mathbf{d}_s \rightarrow \mathbf{R}_\ell + \mathbf{d}_s + \mathbf{u}(\ell, s)$$

$V_{loc}$ ,  $V_{NL}$ ,  $\mathcal{K}$ , the overlap matrix

$$S(\mathbf{r}_1, \mathbf{r}_2) = \delta(\mathbf{r}_1 - \mathbf{r}_2) + \sum_{lm} q_{nm}^{\gamma(I)} \beta_n^{\gamma(I)}(\mathbf{r}_1 - \mathbf{R}_I) \beta_m^{*\gamma(I)}(\mathbf{r}_2 - \mathbf{R}_I),$$

and the orthogonality constraint:

$$\langle \psi_{i\sigma} | \mathcal{S} | \psi_{j\sigma} \rangle = \delta_{ij},$$

depend on the perturbation. Calling  $\lambda$  the perturbation, we have

$$\left\langle \frac{d\psi_{i\sigma}}{d\lambda} | \mathcal{S} | \psi_{j\sigma} \right\rangle + \left\langle \psi_{i\sigma} | \mathcal{S} | \frac{d\psi_{j\sigma}}{d\lambda} \right\rangle = - \left\langle \psi_{i\sigma} | \frac{\partial \mathcal{S}}{\partial \lambda} | \psi_{j\sigma} \right\rangle,$$

## US-PPs - Induced charge

$$\begin{aligned}\frac{d\rho_\sigma(\mathbf{r})}{d\mu} &= 2 \operatorname{Re} \sum_i \langle \psi_{i\sigma} | \mathcal{K}(\mathbf{r}) | \Delta^\mu \psi_{i\sigma} \rangle - \sum_i \langle \psi_{i\sigma} | \mathcal{K}(\mathbf{r}) | \delta^\mu \psi_{i\sigma} \rangle \\ &+ \sum_i \tilde{\theta}_{F,i\sigma} \langle \psi_{i\sigma} | \frac{\partial \mathcal{K}(\mathbf{r})}{\partial \mu} | \psi_{i\sigma} \rangle.\end{aligned}$$

We call  $\Delta^\mu \rho_\sigma(\mathbf{r})$  the last two terms.

$$|\delta^\mu \psi_{i\sigma}\rangle = \sum_j \left[ \tilde{\theta}_{F,i\sigma} \theta_{i\sigma,j\sigma} + \tilde{\theta}_{F,j\sigma} \theta_{j\sigma,i\sigma} \right] |\psi_{j\sigma}\rangle \langle \psi_{j\sigma}| \frac{\partial \mathcal{S}}{\partial \mu} |\psi_{i\sigma}\rangle.$$

$|\tilde{\Delta}^\mu \psi_{i\sigma}\rangle = |\Delta^\mu \psi_{i\sigma}\rangle - \frac{1}{2\eta} \tilde{\delta}_{F,i\sigma} \frac{d\varepsilon_F}{d\mu} |\psi_{i\sigma}\rangle$  is the solution of:

## US-PPs - Linear system

$$\left[ -\frac{1}{2} \nabla^2 + V_{KS}^\sigma + Q^\sigma - \varepsilon_{i\sigma} S \right] |\tilde{\Delta}^\mu \psi_{i\sigma}\rangle = -P_{c,i\sigma}^\dagger \left[ \frac{dV_{KS}^\sigma}{d\mu} - \varepsilon_{i\sigma} \frac{\partial S}{\partial \mu} \right] |\psi_{i\sigma}\rangle,$$

with

$$P_{c,i\sigma}^\dagger = \left[ \tilde{\theta}_{F,i\sigma} - \sum_j \beta_{i\sigma,j\sigma} S |\psi_{j\sigma}\rangle \langle \psi_{j\sigma}| \right].$$

and

$$V_{KS}^\sigma(\mathbf{r}_1, \mathbf{r}_2) = V_{NL}(\mathbf{r}_1, \mathbf{r}_2) + \int d^3r' V_{eff}^\sigma(\mathbf{r}') K(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2).$$

## US-PPs - First derivatives of the KS potential

$$\frac{dV_{KS}^\sigma(\mathbf{r}_1, \mathbf{r}_2)}{d\mu} = \frac{\partial V_{KS}^\sigma(\mathbf{r}_1, \mathbf{r}_2)}{\partial \mu} + \int d^3r \frac{dV_{Hxc}^\sigma(\mathbf{r})}{d\mu} K(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2).$$

$$\begin{aligned} \frac{\partial V_{KS}^\sigma(\mathbf{r}_1, \mathbf{r}_2)}{\partial \lambda} &= \frac{\partial V_{NL}(\mathbf{r}_1, \mathbf{r}_2)}{\partial \lambda} + \int d^3r \frac{\partial V_{loc}(\mathbf{r})}{\partial \lambda} K(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2) \\ &\quad + \int d^3r V_{eff}^\sigma(\mathbf{r}) \frac{\partial K(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2)}{\partial \lambda}. \end{aligned}$$

## US-PPs - Second derivatives of the energy

$$\frac{d^2 F_{tot}^{(1)}}{d\mu d\lambda} = \sum_{i\sigma} \tilde{\theta}_{F,i\sigma} \langle \psi_{i\sigma} | \left[ \frac{\partial^2 V_{KS}^\sigma}{\partial \mu \partial \lambda} - \varepsilon_{i\sigma} \frac{\partial^2 S}{\partial \mu \partial \lambda} \right] | \psi_{i\sigma} \rangle,$$

$$\frac{d^2 F_{tot}^{(2)}}{d\mu d\lambda} = 2 \operatorname{Re} \sum_{i\sigma} \langle \Delta^\mu \psi_{i\sigma} | \left[ \frac{\partial V_{KS}^\sigma}{\partial \lambda} - \varepsilon_{i\sigma} \frac{\partial S}{\partial \lambda} \right] | \psi_{i\sigma} \rangle,$$

$$\frac{d^2 F_{tot}^{(3)}}{d\mu d\lambda} = \sum_{\sigma} \int d^3 r \frac{dV_{Hxc}^\sigma(\mathbf{r})}{d\mu} \Delta^\lambda \rho_\sigma(\mathbf{r}),$$

$$\frac{d^2 F_{tot}^{(4)}}{d\mu d\lambda} = - \sum_{i\sigma} \left\{ \langle \delta^\mu \psi_{i\sigma} | \left[ \frac{\partial V_{KS}^\sigma}{\partial \lambda} - \varepsilon_{i\sigma} \frac{\partial S}{\partial \lambda} \right] | \psi_{i\sigma} \rangle + (\mu \leftrightarrow \lambda) \right\}.$$

## US-PPs - Second partial derivatives of the KS potential

$$\begin{aligned}
 \frac{\partial^2 V_{KS}^\sigma(\mathbf{r}_1, \mathbf{r}_2)}{\partial \mu \partial \lambda} &= \frac{\partial^2 V_{NL}(\mathbf{r}_1, \mathbf{r}_2)}{\partial \mu \partial \lambda} \\
 &+ \int d^3 r \frac{\partial^2 V_{loc}(\mathbf{r})}{\partial \mu \partial \lambda} K(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2) \\
 &+ \int d^3 r V_{eff}^\sigma(\mathbf{r}) \frac{\partial^2 K(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2)}{\partial \mu \partial \lambda} \\
 &+ \left[ \int d^3 r \frac{\partial V_{loc}(\mathbf{r})}{\partial \lambda} \frac{\partial K(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2)}{\partial \mu} + (\lambda \leftrightarrow \mu) \right].
 \end{aligned}$$

A. Dal Corso, Phys. Rev. B **64**, 235118 (2001).

# The dynamical matrix

The dynamical matrix is:

$$\Phi_{\alpha\beta}(\mathbf{q}, s, s') = \frac{1}{N} \sum_{\ell\ell'} e^{-i\mathbf{q}\cdot\mathbf{R}_\ell} \frac{d^2 F_{tot}}{d\mathbf{u}_\alpha(\ell, s) d\mathbf{u}_\beta(\ell', s')} e^{i\mathbf{q}\cdot\mathbf{R}_{\ell'}},$$

So we take  $\mu \rightarrow \mathbf{u}_\alpha(\ell, s)$  and  $\lambda \rightarrow \mathbf{u}_\beta(\ell', s')$ .

In a periodic solid the index  $i$  on the wavefunctions becomes a Bloch vector and a band index  $\mathbf{k}, v$ .

## US - PPs Changes summary

- Compute  $\Delta^\mu \rho_\sigma(\mathbf{r})$ . drho.f90, incdrhous.f90, compute\_drhous.f90.
- Compute  $\frac{d^2 F_{tot}^{(4)}}{d\mu d\lambda}$ . drho.f90, compute\_nldyn.f90.
- Add the contributions to  $\frac{\partial^2 V_{KS}^\sigma(\mathbf{r}_1, \mathbf{r}_2)}{\partial \mu \partial \lambda}$ . dvqqq.f90, dynmat\_us.f90, addusdynmat.f90.
- Add the contributions to  $\frac{\partial V_{KS}^\sigma(\mathbf{r}_1, \mathbf{r}_2)}{\partial \mu}$ . dvqpsi\_us\_only.f90.
- Add the contributions to  $\frac{dV_{KS}^\sigma(\mathbf{r}_1, \mathbf{r}_2)}{d\mu}$ . newdq.f90, adddvscf.f90.
- Add the augmentation charge to the induced charge. addusddens.f90.
- Compute  $\frac{d^2 F_{tot}^{(3)}}{d\mu d\lambda}$ . drhodvus.f90.
- Add the contributions to  $\frac{d^2 F_{tot}^{(2)}}{d\mu d\lambda}$ . drhodvnl.f90.

## PAW - Hamiltonian

$$\left[ -\frac{1}{2} \nabla^2 + V_{NL} + \int d^3r \ V_{eff}^\sigma(\mathbf{r}) \mathcal{K}(\mathbf{r}) \right] |\psi_{i\sigma}\rangle = \varepsilon_{i\sigma} \mathcal{S} |\psi_{i\sigma}\rangle,$$

$$V_{NL}(\mathbf{r}_1, \mathbf{r}_2) = \sum_{I nm} \left( D_{I,nm}^{1,\sigma} - \tilde{D}_{I,nm}^{1,\sigma} \right) \beta_n^{\gamma(I)}(\mathbf{r}_1 - \mathbf{R}_I) \beta_m^{*\gamma(I)}(\mathbf{r}_2 - \mathbf{R}_I),$$

$$\rho_\sigma(\mathbf{r}) = \sum_i \tilde{\theta}_{F,i\sigma} \langle \psi_{i\sigma} | \mathcal{K}(\mathbf{r}) | \psi_{i\sigma} \rangle,$$

$$\begin{aligned} K(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2) &= \delta(\mathbf{r} - \mathbf{r}_1) \delta(\mathbf{r} - \mathbf{r}_2) \\ &+ \sum_{lnm} Q_{nm}^{\gamma(I)}(\mathbf{r} - \mathbf{R}_I) \beta_n^{\gamma(I)}(\mathbf{r}_1 - \mathbf{R}_I) \beta_m^{*\gamma(I)}(\mathbf{r}_2 - \mathbf{R}_I). \end{aligned}$$

L. Paulatto, G. Fratesi, and S. de Gironcoli, unpublished.

# PAW - Hamiltonian

$$\begin{aligned} D_{I,mn}^{1,\sigma} = & \int_{\Omega_I} d^3r \Phi_m^{I,AE}(\mathbf{r}) \left(-\frac{1}{2}\nabla^2\right) \Phi_n^{I,AE}(\mathbf{r}) \\ & + \int_{\Omega_I} d^3r \Phi_m^{I,AE}(\mathbf{r}) \Phi_n^{I,AE}(\mathbf{r}) V_{\text{eff}}^{I,\sigma}(\mathbf{r}), \end{aligned}$$

$$\begin{aligned} \tilde{D}_{I,mn}^{1,\sigma} = & \int_{\Omega_I} d^3r \Phi_m^{I,PS}(\mathbf{r}) \left(-\frac{1}{2}\nabla^2\right) \Phi_n^{I,PS}(\mathbf{r}) \\ & + \int_{\Omega_I} d^3r \Phi_m^{I,PS}(\mathbf{r}) \Phi_n^{I,PS}(\mathbf{r}) \tilde{V}_{\text{eff}}^{I,\sigma}(\mathbf{r}) + \int_{\Omega_I} d^3r Q_{I,mn}(\mathbf{r}) \tilde{V}_{\text{eff}}^{I,\sigma}(\mathbf{r}) \end{aligned}$$

$D_{I,mn}^{1,\sigma}$  and  $\tilde{D}_{I,mn}^{1,\sigma}$  depend on the atomic positions through  $V_{\text{eff}}^{I,\sigma}(\mathbf{r})$  and  $\tilde{V}_{\text{eff}}^{I,\sigma}(\mathbf{r})$ , respectively.

## PAW - Induced charge

In real space as US-PPs, while within the spheres:

$$\frac{d\rho_{\sigma}^{I,I}(\mathbf{r})}{d\mu} = \sum_{mn} \langle \Phi_m^{I,AE} | \mathbf{r} \rangle \langle \mathbf{r} | \Phi_n^{I,AE} \rangle \frac{d\rho_{mn}^{I,\sigma}}{d\mu},$$

$$\rho_{mn}^{I,\sigma} = \sum_i \tilde{\theta}_{F,i\sigma} \langle \psi_{i,\sigma} | \beta_m^I \rangle \langle \beta_n^I | \psi_{i,\sigma} \rangle$$

$$\frac{d\rho_{mn}^{I,\sigma}}{d\mu} = 2Re \sum_i \langle \psi_{i,\sigma} | \beta_m^I \rangle \langle \beta_n^I | \Delta^\mu \psi_{i,\sigma} \rangle + b_{I,mn}^{\sigma,\mu}.$$

$$b_{I,mn}^{\sigma,\mu} = \sum_i \tilde{\theta}_{F,i\sigma} \langle \psi_{i,\sigma} | \frac{\partial (|\beta_m^I\rangle \langle \beta_n^I|)}{\partial \mu} | \psi_{i,\sigma} \rangle$$

$$- \sum_i \langle \psi_{i,\sigma} | \beta_m^I \rangle \langle \beta_n^I | \delta^\mu \psi_{i,\sigma} \rangle,$$

## PAW - Linear system

$$[H^\sigma + Q^\sigma - \varepsilon_{i\sigma} S] |\tilde{\Delta}^\mu \psi_{i\sigma}\rangle = -P_{c,i\sigma}^\dagger \left[ \frac{dH^\sigma}{d\mu} - \varepsilon_{i\sigma} \frac{\partial S}{\partial \mu} \right] |\psi_{i\sigma}\rangle,$$

$$\frac{dH^\sigma}{d\mu} = \frac{dV_{KS}^\sigma}{d\mu} + \sum_{I,mn} \Delta D_{I,mn}^{1,\sigma,\mu} |\beta_m^I\rangle \langle \beta_n^I|,$$

where  $\Delta D_{I,mn}^{1,\sigma,\mu} = \left( \frac{dD_{I,mn}^{1,\sigma}}{d\mu} - \frac{d\tilde{D}_{I,mn}^{1,\sigma}}{d\mu} \right)$ .

$$\frac{dD_{I,mn}^{1,\sigma}}{d\mu} = \sum_{\sigma_1} \int_{\Omega_I} d^3r \Phi_m^{I,AE}(\mathbf{r}) \Phi_n^{I,AE}(\mathbf{r}) \frac{dV_{eff}^{I,\sigma}}{d\rho_{\sigma_1}^{1,I}} \frac{d\rho_{\sigma_1}^{1,I}}{d\mu}.$$

## PAW - Second derivatives of the energy

Terms (1), (2), (4) as with US-PPs, only the term (3) has a PAW contribution:

$$\frac{d^2 E_{tot}^{(3)}}{d\mu d\lambda} = \frac{d^2 E_{tot}^{(3)US}}{d\mu d\lambda} + \sum_{\sigma} \sum_{I,mn} \Delta D_{I,mn}^{1,\sigma,\mu} b_{I,mn}^{\sigma,\lambda}.$$

A. Dal Corso, Phys. Rev. B **81**, 075123 (2010).

## PAW - Changes summary

- Save  $b_{I,mn}^{\sigma,\lambda}$  when computed. `drho.f90`, `addusddens.f90`.
- Compute  $\Delta D_{I,mn}^{1,\sigma,\mu}$  inside the spheres. `PAW_dpotential`,  
`PAW_dusymmetrize`.
- Add the contributions to  $\frac{dH^\sigma}{d\mu}$  `newdq.f90`.
- Add the PAW contribution to  $\frac{d^2 F_{tot}^{(3)}}{d\mu d\lambda}$ . `drhodvus.f90`.

## Phonon parallelization: grid, images

Parallelization modes of QE:

- $\mathbf{G}$ -vectors.
- bands.
- $\mathbf{k}$ -points.

Additional parallelization of phonon:

- $\mathbf{q}$ -vectors.
- Irreducible representations.

Actually this is implemented using `grid` techniques: one  $\mathbf{q}$  point per run or one `irrep` per run.

Another possibility is to use `images`. The total number of processors is split into several groups (`images`) each image running an independent copy of `ph.x`.

## Phonon parallelization: grid, images

Problems with the grid:

- It requires complex scripts to coordinate and collect the results of different runs.

Problems with images:

- Images do not communicate among themselves, because different runs are independent.
- Load balancing is difficult.
- Final results need to be collected running `ph.x` another time.

## The future: thermo\_pw

thermo\_pw solves two of these problems:

- Images can communicate through a master-slaves approach via MPI calls.
- The code can run in a synchronous and asynchronous mode. It can collect the final results automatically.
- The code can mix calls to `pw.x` and `ph.x` so that it is possible for instance to optimize the structure before calling `ph.x`, or call `ph.x` for several geometries and compute anharmonic properties.

## Asynchronous parallelization via MPI routines

Both master and slaves compute all the tasks to do (for instance all the `irreps` and `q` points) and assign a number to each task.

Master:

- 1 During initialization calls a nonblocking receive of the `ready` variable from all the slaves (`mpi_irecv`).
- 2 Tests if some slave has sent the `ready` variable (`mpi_test`).
- 3 If not, it continues its work. If a slave has sent its `ready` variable it sends (with a blocking send) to the slave the number of the next task to do (`mpi_send`) or the `no_work` number if there is no more work to do.
- 4 Finally makes another nonblocking receive of the `ready` variable from the slave that has received the work to do and continues its work.

# Asynchronous parallelization via MPI routines

Slave:

- 1 Sends (with a blocking send) the `ready` variable to the master (`mpi_send`).
- 2 Receives (with a blocking receive) the number of the task to do. When it receives it, it starts to do its work or exit if the task number corresponds to `no_work` (`mpi_receive`).
- 3 When it finishes its task it restarts from [1]

To coordinate the work it is sufficient to initialize the master doing [1] at the beginning of the asynchronous work and that the master calls as often as possible a routine that executes [2], [3], [4] (for instance after each scf step). The most often the master calls this routine the shorter is the inactivity interval of the slaves.

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## phq\_init.f90, compute\_becalp.f90

phq\_init.f90 computes the products `becp`

$$\langle \beta_m^I | \psi_{\mathbf{k}\nu\sigma} \rangle = \frac{1}{\sqrt{N}} e^{i\mathbf{k}\cdot\mathbf{R}_\ell} \beta_{\mathbf{k}\nu\sigma}^{sm},$$

and `alphap`

$$\frac{\partial \langle \beta_m^I |}{\partial \mathbf{u}_\alpha(\ell, s)} |\psi_{\mathbf{k}\nu\sigma} \rangle = \frac{1}{\sqrt{N}} e^{i\mathbf{k}\cdot\mathbf{R}_\ell} \alpha_{\mathbf{k}\nu\sigma}^{s\alpha m}.$$

compute\_becalp.f90 computes the products `becq`

$$\langle \beta_m^I | \psi_{\mathbf{k}+\mathbf{q}\nu\sigma} \rangle = \frac{1}{\sqrt{N}} e^{i(\mathbf{k}+\mathbf{q})\cdot\mathbf{R}_\ell} \beta_{\mathbf{k}+\mathbf{q}\nu\sigma}^{sm},$$

and `alpq`

$$\frac{\partial \langle \beta_m^I |}{\partial \mathbf{u}_\alpha(\ell, s)} |\psi_{\mathbf{k}+\mathbf{q}\nu\sigma} \rangle = \frac{1}{\sqrt{N}} e^{i(\mathbf{k}+\mathbf{q})\cdot\mathbf{R}_\ell} \alpha_{\mathbf{k}+\mathbf{q}\nu\sigma}^{s\alpha m}.$$

## compute\_weights.f90, compute\_alphasum.f90, compute\_becsum\_ph.f90

`compute_weights.f90` computes the weights (saved in `wgg`)  
 in the definition of ( $i \rightarrow \mathbf{k}\nu, j \rightarrow \mathbf{k} + \mathbf{q}\nu'$ )

$$|\delta^\mu \psi_{i\sigma}\rangle = \sum_j \left[ \tilde{\theta}_{F,i\sigma} \theta_{i\sigma,j\sigma} + \tilde{\theta}_{F,j\sigma} \theta_{j\sigma,i\sigma} \right] |\psi_{j\sigma}\rangle \langle \psi_{j\sigma}| \frac{\partial S}{\partial \mu} |\psi_{i\sigma}\rangle.$$

`compute_alphasum.f90` computes:

$$c_{nm}^{s\alpha\sigma} = \frac{1}{N} \sum_{\mathbf{k}\nu} \tilde{\theta}_{F,\mathbf{k}\nu\sigma} \left[ \alpha_{\mathbf{k}\nu\sigma}^{*sn} \beta_{\mathbf{k}\nu\sigma}^{sm} + \beta_{\mathbf{k}\nu\sigma}^{*sn} \alpha_{\mathbf{k}\nu\sigma}^{sm} \right].$$

`compute_becsum_ph.f90` computes:

$$b_{snm}^\sigma = \frac{1}{N} \sum_{\mathbf{k}\nu} \tilde{\theta}_{F,\mathbf{k}\nu\sigma} \beta_{\mathbf{k}\nu\sigma}^{*sn} \beta_{\mathbf{k}\nu\sigma}^{sm},$$

## drho.f90

This routine computes the fourth part of the dynamical matrix:

$$\frac{d^2 F_{tot}^{(4)}}{d\mu d\lambda} = - \sum_{i\sigma} \left\{ \langle \delta^\mu \psi_{i\sigma} | \left[ \frac{\partial V_{KS}^\sigma}{\partial \lambda} - \varepsilon_{i\sigma} \frac{\partial S}{\partial \lambda} \right] | \psi_{i\sigma} \rangle + (\mu \leftrightarrow \lambda) \right\}.$$

and the change of the charge due to the displacement of the augmentation charge:

$$\Delta^\mu \rho_\sigma(\mathbf{r}) = - \sum_i \langle \psi_{i\sigma} | K(\mathbf{r}) | \delta^\mu \psi_{i\sigma} \rangle + \sum_i \tilde{\theta}_{F,i\sigma} \langle \psi_{i\sigma} | \frac{\partial K(\mathbf{r})}{\partial \mu} | \psi_{i\sigma} \rangle$$

for all the modes and saves it on disk. This is done with the help of several routines.

## drho.f90

Actually the quantity that is needed is the charge induced by a phonon perturbation of wavevector  $\mathbf{q}$ :

$$\Delta \mathbf{u}_{s\alpha}(\mathbf{q}) \rho_\sigma(\mathbf{r}) = \sum_\ell e^{i\mathbf{q}\cdot\mathbf{R}_\ell} \Delta \mathbf{u}_\alpha(\ell, s) \rho_\sigma(\mathbf{r})$$

so we define:

$$\delta \mathbf{u}_{s\alpha}(\mathbf{q}) \psi_{\mathbf{k}\nu\sigma}(\mathbf{r}) = \sum_\ell e^{i\mathbf{q}\cdot\mathbf{R}_\ell} \delta \mathbf{u}_\alpha(\ell, s) \psi_{\mathbf{k}\nu\sigma}(\mathbf{r})$$

## compute\_drhous.f90

This is a driver that for each  $\mathbf{k}$  point calls `incdrhous.f90` to accumulate two quantities needed to compute  $\Delta^{\mathbf{u}_{s\alpha}(\mathbf{q})}\rho_\sigma(\mathbf{r})$ .

$$\begin{aligned}\Delta^{\mathbf{u}_{s\alpha}(\mathbf{q})}\rho_\sigma(\mathbf{r}) &= \sum_{\mathbf{k}\nu} \psi_{\mathbf{k}\nu\sigma}^*(\mathbf{r}) \delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \psi_{\mathbf{k}\nu\sigma}(\mathbf{r}) \\ &+ \sum_{\mathbf{k}\nu} \sum_{lm} Q_{nm}^{\gamma(l)}(\mathbf{r} - \mathbf{R}_l) \langle \psi_{\mathbf{k}\nu\sigma} | \beta_n^l \rangle \langle \beta_m^l | \delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \psi_{\mathbf{k}\nu\sigma} \rangle \\ &+ \dots\end{aligned}$$

The first term is accumulated by `incdnrhous.f90` directly, while the second is accumulated by `addusdbec.f90`

## incdnrhous.f90

This routine accumulates a part of  $\Delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \rho_\sigma(\mathbf{r})$

$$\Delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \rho_\sigma(\mathbf{r}) = \sum_{\mathbf{k}\nu} \psi_{\mathbf{k}\nu\sigma}^*(\mathbf{r}) \delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \psi_{\mathbf{k}\nu\sigma}(\mathbf{r}) + \dots$$

The rest is calculated by drho.f90 calling addusddens.f90 with iflag=1.

$$\begin{aligned} \Delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \rho_\sigma(\mathbf{r}) &= \dots + \sum_{\mathbf{k}\nu} \sum_{lnm} Q_{nm}^{\gamma(l)}(\mathbf{r} - \mathbf{R}_l) \langle \psi_{\mathbf{k}\nu\sigma} | \beta_n^l \rangle \langle \beta_m^l | \delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \psi_{\mathbf{k}\nu\sigma} \rangle \\ &+ \sum_{\ell} e^{i\mathbf{q}\cdot\mathbf{R}_\ell} \sum_{snm} \left[ Q_{nm}^{\gamma(l)}(\mathbf{r} - \mathbf{R}_l) c_{nm}^{s\alpha\sigma} \right. \\ &\quad \left. + \frac{\partial Q_{nm}^{\gamma(l)}(\mathbf{r} - \mathbf{R}_l)}{\partial \mathbf{u}_\alpha(\ell, s)} b_{snm}^\sigma \right], \end{aligned}$$

## incdrhous.f90

$|\delta^{\mathbf{u}_{s\alpha}}(\mathbf{q})\psi_{\mathbf{k}\nu\sigma}\rangle$  is calculated by this routine:

$$\begin{aligned}
 |\delta^{\mathbf{u}_{s\alpha}}(\mathbf{q})\psi_{\mathbf{k}\nu\sigma}\rangle &= \sum_{\ell} e^{i\mathbf{q}\mathbf{R}_{\ell}} |\delta^{\mathbf{u}_{\alpha}(\ell,s)}\psi_{\mathbf{k}\nu\sigma}\rangle \\
 &= \sum_{\nu'} w_{\mathbf{k}\nu\sigma, \mathbf{k}+\mathbf{q}\nu'\sigma} \sum_{nm} q_{nm}^s \left( \alpha_{\mathbf{k}+\mathbf{q}\nu'\sigma}^{*s\alpha n} \beta_{\mathbf{k}\nu\sigma}^{sm} \right. \\
 &\quad \left. + \beta_{\mathbf{k}+\mathbf{q}\nu'\sigma}^{*sn} \alpha_{\mathbf{k}\nu\sigma}^{s\alpha m} \right) |\psi_{\mathbf{k}+\mathbf{q}\nu'\sigma}\rangle \\
 &= \sum_{\nu'} A_{\mathbf{k}\nu'\nu\sigma}^{\mathbf{u}_{s\alpha}(\mathbf{q})} |\psi_{\mathbf{k}+\mathbf{q}\nu'\sigma}\rangle
 \end{aligned}$$

## addusddens.f90

When (iflag=1) this routine receives in dbecsum

$$d_{s_1 nm}^{\mathbf{u}_{s\alpha}(\mathbf{q})\sigma} = \frac{2}{N} \sum_{\mathbf{k}\nu} \beta_{\mathbf{k}\nu\sigma}^{*s_1 n} \delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \beta_{\mathbf{k}\nu\sigma}^{s_1 m},$$

where

$$\langle \beta_m^I | \delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \psi_{\mathbf{k}\nu\sigma} \rangle = \frac{1}{\sqrt{N}} e^{i(\mathbf{k}+\mathbf{q}) \cdot \mathbf{R}_\ell} \delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \beta_{\mathbf{k}\nu\sigma}^{s_1 m}$$

and implements the expression written above.

## dvanqq.f90

This routine computes four integrals.

$$^1 I_{nm}^{\mathbf{u}_\alpha(\ell,s)\sigma} = \int d^3r V_{\text{eff}}^\sigma(\mathbf{r}) \frac{\partial Q_{nm}^{\gamma(s)}(\mathbf{r} - \mathbf{R}_I)}{\partial \mathbf{u}_\alpha(\ell, s)},$$

$$^2 I_{lnm}^{\mathbf{u}_\alpha(\ell,s)} = \int d^3r \frac{\partial V_{\text{loc}}(\mathbf{r})}{\partial \mathbf{u}_\alpha(\ell, s)} Q_{nm}^{\gamma(l)}(\mathbf{r} - \mathbf{R}_I),$$

$$^4 I_{nm}^{\mathbf{u}_\alpha(\ell,s)\beta\sigma} = \int d^3r V_{\text{eff}}^\sigma(\mathbf{r}) \frac{\partial^2 Q_{nm}^{\gamma(s)}(\mathbf{r} - \mathbf{R}_I)}{\partial \mathbf{u}_\alpha(\ell, s) \partial \mathbf{u}_\beta(\ell, s)},$$

$$^5 I_{nm}^{\mathbf{u}_\alpha(\ell,s)\mathbf{u}_\beta(\ell',s')} = \int d^3r \frac{\partial V_{\text{loc}}(\mathbf{r})}{\partial \mathbf{u}_\alpha(\ell, s)} \frac{\partial Q_{nm}^{\gamma(s')}(\mathbf{r} - \mathbf{R}_{l'})}{\partial \mathbf{u}_\beta(\ell', s')},$$

## dvanqq.f90

In previous equation:

$$^1 I_{nm}^{u_\alpha(\ell,s)\sigma} = ^1 I_{nm}^{*s\alpha\sigma}$$

$$^2 I_{lnm}^{u_\alpha(\ell,s)} = \frac{1}{N} \sum_{\mathbf{q}} e^{-i\mathbf{q}\cdot(\mathbf{R}_\ell - \mathbf{R}_{\ell_1})} ^2 I_{s_1 nm}^{s\alpha\mathbf{q}}$$

$$^4 I_{nm}^{u_\alpha(\ell,s)\beta\sigma} = ^4 I_{nm}^{s\alpha\beta\sigma}$$

$$^5 I_{nm}^{u_\alpha(\ell,s)u_\beta(\ell_1,s_1)} = \frac{1}{N} \sum_{\mathbf{q}} e^{i\mathbf{q}\cdot(\mathbf{R}_\ell - \mathbf{R}_{\ell_1})} ^5 I_{nm}^{ss_1\alpha\beta\mathbf{q}}$$

## compute\_nldyn.f90

This routine computes

$$\frac{d^2 F_{tot}^{(4)}}{d\mu d\lambda} = - \sum_{i\sigma} \left\{ \langle \delta^\mu \psi_{i\sigma} | \left[ \frac{\partial \bar{V}_{KS}^\sigma}{\partial \lambda} - \varepsilon_{i\sigma} \frac{\partial S}{\partial \lambda} \right] | \psi_{i\sigma} \rangle + (\mu \leftrightarrow \lambda) \right\}.$$

Note that the term computed by drho.f90 should have  $\frac{\partial V_{KS}^\sigma}{\partial \lambda}$ .  
Here the bar on  $V_{KS}^\sigma$  indicates that a part is not calculated by this routine but in drho.f90. This part is:

$$- \sum_{i\sigma} \int d^3 r \frac{dV_{loc}(\mathbf{r})}{d\lambda} \psi_{i\sigma}(\mathbf{r}) \delta^\mu \psi_{i\sigma}^*(\mathbf{r}),$$

## compute\_nldyn.f90

We have

$$\begin{aligned} \frac{d^2 F_{tot}^{(4)}}{d\mu d\lambda} = & - \sum_{i\sigma} \sum_{lm} \left\{ D_{nm}^{eff, l\sigma} \langle \delta^\mu \psi_{i\sigma} | \frac{\partial \beta_n^l}{\partial \lambda} \rangle \langle \beta_m^l | \psi_{i\sigma} \rangle \right. \\ & + D_{nm}^{eff, l\sigma} \langle \delta^\mu \psi_{i\sigma} | \beta_n^l \rangle \langle \frac{\partial \beta_m^l}{\partial \lambda} | \psi_{i\sigma} \rangle \\ & + {}^2 I_{lm}^\lambda \langle \delta^\mu \psi_{i\sigma} | \beta_n^l \rangle \langle \beta_m^l | \psi_{i\sigma} \rangle \\ & \left. + {}^1 I_{lm}^{\lambda\sigma} \langle \delta^\mu \psi_{i\sigma} | \beta_n^l \rangle \langle \beta_m^l | \psi_{i\sigma} \rangle + (\mu \leftrightarrow \lambda) \right\}, \end{aligned}$$

where we defined  $D_{mn}^{eff, l\sigma} = D_{mn}^{l\sigma} - \varepsilon_{i\sigma} q_{mn}^l$ .

## compute\_nldyn.f90

In terms of the quantities defined above we can write:

$$\begin{aligned}\langle \delta^{\mathbf{u}_{s\alpha}}(\mathbf{q}) \psi_{\mathbf{k}\nu\sigma} | &= \sum_l e^{-i\mathbf{q}\mathbf{R}_l} \langle \delta^{\mathbf{u}_\alpha(l,s)} \psi_{\mathbf{k}\nu\sigma} | \\ &= \sum_{\nu'} w_{\mathbf{k}\nu\sigma, \mathbf{k}+\mathbf{q}\nu'\sigma} \sum_{mn} q_{mn}^s \left( \beta_{\mathbf{k}\nu\sigma}^{*sn} \alpha_{\mathbf{k}+\mathbf{q}\nu'\sigma}^{s\alpha m} \right. \\ &\quad \left. + \alpha_{\mathbf{k}\nu\sigma}^{*s\alpha n} \beta_{\mathbf{k}+\mathbf{q}\nu'\sigma}^{sm} \right) \langle \psi_{\mathbf{k}+\mathbf{q}\nu'\sigma} | \\ &= \sum_{\nu'} A_{\mathbf{k}\nu'\nu\sigma}^{*\mathbf{u}_{s\alpha}(\mathbf{q})} \langle \psi_{\mathbf{k}+\mathbf{q}\nu'\sigma} |\end{aligned}$$

## compute\_nldyn.f90

So that the expression calculated by this routine is:

$$\begin{aligned}\Phi_{\alpha\beta}(\mathbf{q}, s, s') = & - \frac{1}{N} \sum_{\mathbf{k}\sigma} \sum_{\mathbf{v}\nu} \sum_{nm} \left\{ A_{\mathbf{k}\mathbf{v}'\nu\sigma}^{*\mathbf{u}_{s\alpha}(\mathbf{q})} D_{nm}^{\text{eff}, s'\sigma} \alpha_{\mathbf{k}+\mathbf{q}\mathbf{v}'\sigma}^{*s'\beta n} \beta_{\mathbf{k}\mathbf{v}\sigma}^{s'm} \right. \\ & + A_{\mathbf{k}\mathbf{v}'\nu\sigma}^{*\mathbf{u}_{s\alpha}(\mathbf{q})} D_{nm}^{\text{eff}, s'\sigma} \beta_{\mathbf{k}+\mathbf{q}\mathbf{v}'\sigma}^{*s'n} \alpha_{\mathbf{k}\mathbf{v}\sigma}^{s'\beta m} \\ & + A_{\mathbf{k}\mathbf{v}'\nu\sigma}^{*\mathbf{u}_{s\alpha}(\mathbf{q})} \sum_{s_1} 2 I_{s_1 nm}^{s'\beta\mathbf{q}} \beta_{\mathbf{k}+\mathbf{q}\mathbf{v}'\sigma}^{*s_1 n} \beta_{\mathbf{k}\mathbf{v}\sigma}^{s_1 m} \\ & \left. + A_{\mathbf{k}\mathbf{v}'\nu\sigma}^{*\mathbf{u}_{s\alpha}(\mathbf{q})} I_{nm}^{s'\beta\sigma} \beta_{\mathbf{k}+\mathbf{q}\mathbf{v}'\sigma}^{*s'n} \beta_{\mathbf{k}\mathbf{v}\sigma}^{s'm} \right\} + \text{h.c.},\end{aligned}$$

The hermitean conjugate (h.c.) is added in drho.f90.

## dynmat\_us.f90

This routine computes directly a part of the term:

$$\frac{d^2 F_{tot}^{(1)}}{d\mu d\lambda} = \sum_{i\sigma} \tilde{\theta}_{F,i\sigma} \langle \psi_{i\sigma} | \left[ \frac{\partial^2 V_{KS}^\sigma}{\partial \mu \partial \lambda} - \varepsilon_{i\sigma} \frac{\partial^2 S}{\partial \mu \partial \lambda} \right] | \psi_{i\sigma} \rangle,$$

in particular the part similar to the norm conserving potential that corresponds to:

$$\frac{\partial^2 V_{KS}^\sigma(\mathbf{r}_1, \mathbf{r}_2)}{\partial \mu \partial \lambda} = \frac{\partial^2 V_{NL}(\mathbf{r}_1, \mathbf{r}_2)}{\partial \mu \partial \lambda} + \int d^3 r \frac{\partial^2 V_{loc}(\mathbf{r})}{\partial \mu \partial \lambda} \mathcal{K}(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2) + \dots$$

and calls `addusdynmat.f90` to compute the rest.

## addusdynmat.f90

This routine computes the rest of the term  $\frac{d^2 F_{tot}^{(1)}}{d\mu d\lambda}$ . In particular the part that corresponds to the terms:

$$\begin{aligned}\frac{\partial^2 V_{KS}^\sigma(\mathbf{r}_1, \mathbf{r}_2)}{\partial \mu \partial \lambda} &= \dots + \int d^3 r \, V_{eff}^\sigma(\mathbf{r}) \frac{\partial^2 K(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2)}{\partial \mu \partial \lambda} \\ &+ \left[ \int d^3 r \, \frac{\partial V_{loc}(\mathbf{r})}{\partial \lambda} \frac{\partial K(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2)}{\partial \mu} + (\lambda \leftrightarrow \mu) \right].\end{aligned}$$

that can be written in terms of the four integrals calculated by  
`dvannqq.f90`

## addusdynmat.f90

The two terms calculated by this routine can be written in terms of the previous four integrals and of the quantities computed by `compute_alpha sum.f90` and `compute_becsum.f90`.

$$\begin{aligned}\Phi_{\alpha\beta}^{(1b)}(\mathbf{q}, s, s') &= \delta_{ss'} \left\{ \sum_{\sigma} \sum_{nm} {}^4I_{nm}^{s\alpha\beta\sigma} b_{nm}^{s\sigma} \right. \\ &\quad \left. + \left[ \sum_{\sigma} \sum_{nm} {}^1I_{nm}^{*s\alpha\sigma} c_{nm}^{s\beta\sigma} + (\alpha \leftrightarrow \beta) \right] \right\},\end{aligned}$$

$$\Phi_{\alpha\beta}^{(1c)}(\mathbf{q}, s, s') = \left\{ \left[ \sum_{\sigma} \sum_{nm} {}^5I_{nm}^{ss'\alpha\beta\mathbf{q}} b_{nm}^{s'\sigma} + \sum_{\sigma} \sum_{nm} {}^2I_{s'nm}^{*s\alpha\mathbf{q}} c_{nm}^{s'\beta\sigma} \right] + h.c. \right\}.$$

## dvqpsi\_us\_only.f90

Computes a part of the right-hand side of the linear system:

$$\left[ \frac{dV_{NL}^{\sigma}}{d\mu} - \varepsilon_{i\sigma} \frac{\partial S}{\partial \mu} \right] |\psi_{i\sigma}\rangle$$

The contribution of the local potential is calculated in dvqpsi\_us.f90, the contribution of  $\frac{dV_{Hxc}^{\sigma}(\mathbf{r})}{d\mu}$  is calculated in solve\_linter.f90 while an additional US part is calculated in adddvscf.f90.

## dvqpsi\_us\_only.f90

This routine computes the following term:

$$\begin{aligned}
 \left[ \frac{\partial V_{NL}^\sigma}{\partial \mu} - \varepsilon_{i\sigma} \frac{\partial S}{\partial \mu} \right] |\psi_{i\sigma}\rangle &= \sum_{lmn} \left\{ D_{nm}^{\text{eff}, l\sigma} \left| \frac{\partial \beta_n^l}{\partial \lambda} \right\rangle \langle \beta_m^l | \psi_{i\sigma} \right\rangle \\
 &+ D_{nm}^{\text{eff}, l\sigma} \left| \beta_n^l \right\rangle \langle \frac{\partial \beta_m^l}{\partial \lambda} | \psi_{i\sigma} \rangle \\
 &+ {}^2 I_{lnm}^{\lambda} \left| \beta_n^l \right\rangle \langle \beta_m^l | \psi_{i\sigma} \rangle \\
 &+ {}^1 I_{lnm}^{\lambda\sigma} \left| \beta_n^l \right\rangle \langle \beta_m^l | \psi_{i\sigma} \rangle \right\}
 \end{aligned}$$

## newdq.f90

This routine computes the following integral:

$${}^3I_{lm}^{\mu\sigma} = \int d^3r \frac{dV_{Hxc}^\sigma(\mathbf{r})}{d\mu} Q_{nm}^{\gamma(l)}(\mathbf{r} - \mathbf{R}_l),$$

and is called by `solve_linter.f90` after computing a new estimate of  $\frac{dV_{Hxc}^\sigma(\mathbf{r})}{d\mu}$ . Defining:

$${}^3I_{l_1 nm}^{\mathbf{u}_{s\alpha}(\mathbf{q})\sigma} = \sum_{\ell} e^{i\mathbf{q}\cdot\mathbf{R}_{\ell}} {}^3I_{l_1 nm}^{\mathbf{u}_{\alpha}(\ell, s)\sigma}$$

we have

$${}^3I_{l_1 nm}^{\mathbf{u}_{s\alpha}(\mathbf{q})\sigma} = e^{i\mathbf{q}\cdot\mathbf{R}_{\ell_1}} {}^3I_{s_1 nm}^{\mathbf{u}_{s\alpha}(\mathbf{q})\sigma}$$

## adddvscf.f90

This routine computes the part of the right-hand side of the linear system

$$\int d^3r \frac{dV_{Hxc}^\sigma(\mathbf{r})}{d\mu} [K(\mathbf{r}; \mathbf{r}_1, \mathbf{r}_2) - \delta(\mathbf{r} - \mathbf{r}_1)\delta(\mathbf{r} - \mathbf{r}_2)] |\psi_{i\sigma}\rangle =$$

Using the integral  ${}^3I_{lmn}^{\mu\sigma}$  computed by newdq.f90. Expanding we have:

$$= \sum_{lmn} {}^3I_{lmn}^{\mu\sigma} |\beta_m^l\rangle \langle \beta_n^l| \psi_{i\sigma}\rangle$$

and the implemented term is:

$$\sum_{s_1} \sum_{nm} {}^3I_{s_1 nm}^{\mathbf{u}_{s\alpha}(\mathbf{q})\sigma} \beta_n^\gamma(s_1)(\mathbf{k} + \mathbf{q} + \mathbf{G}) e^{-i(\mathbf{k} + \mathbf{q} + \mathbf{G}) \cdot \tau_{s_1}} \beta_{\mathbf{k}\nu\sigma}^{s_1 m}$$

## incdrhoscf.f90, addusdbec.f90

incdrhoscf.f90 accumulates for each  $\mathbf{k}$

$$2 \operatorname{Re} \sum_i \psi_{i\sigma}(\mathbf{r})^* \tilde{\Delta}^\mu \psi_{i\sigma}(\mathbf{r})$$

as in the norm conserving case, while addusdbec.f90 accumulates the term

$$a_{s_1 nm}^{u_{s\alpha}(\mathbf{q})\sigma} = \frac{2}{N} \sum_{\mathbf{k}\nu} \beta_{\mathbf{k}\nu\sigma}^{*s_1 n} \tilde{\Delta} u_{s\alpha}(\mathbf{q}) \beta_{\mathbf{k}\nu\sigma}^{s_1 m},$$

that is used by addusddens.f90 to calculate the augmentation part.

## addusddens.f90

This routine (called with `iflag=0`) computes:

$$\begin{aligned}\frac{d\rho_\sigma(\mathbf{r})}{d\mu} &= 2 \operatorname{Re} \sum_i \langle \psi_{i\sigma} | [K(\mathbf{r}) - 1] | \tilde{\Delta}^\mu \psi_{i\sigma} \rangle + \dots \\ &= 2 \sum_{\mathbf{k}\nu} \sum_{Inm} Q_{nm}^{\gamma(I)}(\mathbf{r} - \mathbf{R}_I) \langle \psi_{\mathbf{k}\nu\sigma} | \beta_n^I \rangle \langle \beta_m^I | \tilde{\Delta}^{\mathbf{u}_{s\alpha}(\mathbf{q})} \psi_{\mathbf{k}\nu\sigma} \rangle + \dots\end{aligned}$$

using the quantity accumulated by `addusdbec.f90`. Reads from disk  $\Delta^\mu \rho_\sigma(\mathbf{r})$  and adds it to  $\frac{d\rho_\sigma(\mathbf{r})}{d\mu}$ .

## drhodvus.f90

After computing the induced potential this routine computes the term of the dynamical matrix that is obtained from:

$$\frac{d^2 F_{tot}^{(3)}}{d\mu d\lambda} = \sum_{\sigma} \int d^3 r \frac{dV_{Hxc}^{\sigma}(\mathbf{r})}{d\mu} \Delta^{\lambda} \rho_{\sigma}(\mathbf{r}),$$

where  $\Delta^{\lambda} \rho_{\sigma}(\mathbf{r})$  is read from disk. In the dynamical matrix this term is actually:

$$\Phi_{\alpha\beta}^{(3)}(\mathbf{q}, s, s') = \sum_{\sigma} \int_{\Omega} d^3 r \frac{dV_{Hxc}^{*\sigma}(\mathbf{r})}{d\mathbf{u}_{s\alpha}(\mathbf{q})} \Delta^{\mathbf{u}_{s'\beta}(\mathbf{q})} \rho_{\sigma}(\mathbf{r}),$$

## drhodv.f90

This routine needs a few generalizations. It must calculate

$$\frac{d^2 F_{tot}^{(2)}}{d\mu d\lambda} = 2 \operatorname{Re} \sum_{i\sigma} \langle \Delta^\mu \psi_{i\sigma} | \left[ \frac{\partial V_{KS}^\sigma}{\partial \lambda} - \varepsilon_{i\sigma} \frac{\partial S}{\partial \lambda} \right] | \psi_{i\sigma} \rangle,$$

which is a term similar to that computed by  
`compute_nldyn.f90` with  $\langle \Delta^\mu \psi_{i\sigma} |$  instead of  $\langle \delta^\mu \psi_{i\sigma} |$ . The contribution of the local potential is similar to the norm conserving case and calculated in `drhodvloc.f90`. We need the two products `becpq`:

$$\langle \beta_m^{I_1} | \Delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \psi_{\mathbf{k}\nu\sigma} \rangle = \frac{1}{\sqrt{N}} e^{i(\mathbf{k}+\mathbf{q}) \cdot \mathbf{R}_{\ell_1}} \Delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \beta_{\mathbf{k}\nu\sigma}^{s_1 m}$$

and `dalpq`

$$\frac{\partial \langle \beta_m^{I'} |}{\partial \mathbf{u}_{s'\beta}(\mathbf{q})} \Delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \psi_{\mathbf{k}\nu\sigma} \rangle = \frac{1}{\sqrt{N}} e^{i\mathbf{k} \cdot \mathbf{R}_{\ell'}} \Delta^{\mathbf{u}_{s\alpha}(\mathbf{q})} \alpha_{\mathbf{k}\nu\sigma}^{s'\beta m}.$$

## drhodvnl.f90

In analogy with compute\_nldyn.f90

$$\begin{aligned}
 \frac{d^2 F_{tot}^{(4)}}{d\mu d\lambda} = & 2 \sum_{i\sigma} \sum_{lmn} \left\{ D_{nm}^{eff, l\sigma} \langle \Delta^\mu \psi_{i\sigma} | \frac{\partial \beta_n^l}{\partial \lambda} \rangle \langle \beta_m^l | \psi_{i\sigma} \rangle \right. \\
 & + D_{nm}^{eff, l\sigma} \langle \Delta^\mu \psi_{i\sigma} | \beta_n^l \rangle \langle \frac{\partial \beta_m^l}{\partial \lambda} | \psi_{i\sigma} \rangle \\
 & + {}^2 I_{lnm}^\lambda \langle \Delta^\mu \psi_{i\sigma} | \beta_n^l \rangle \langle \beta_m^l | \psi_{i\sigma} \rangle \\
 & \left. + {}^1 I_{lnm}^{\lambda\sigma} \langle \Delta^\mu \psi_{i\sigma} | \beta_n^l \rangle \langle \beta_m^l | \psi_{i\sigma} \rangle \right\}
 \end{aligned}$$

## drhodvnl.f90

In analogy with compute\_nldyn.f90

$$\begin{aligned}\Phi_{\alpha\beta}^{(2)}(\mathbf{q}, s, s') &= \frac{2}{N} \sum_{\mathbf{k}\nu\sigma} \sum_{mn} \left\{ D_{nm}^{\text{eff}, s'\sigma} (\Delta \mathbf{u}_{s\alpha}(\mathbf{q}) \alpha_{\mathbf{k}\nu\sigma}^{*s'\beta n}) \beta_{\mathbf{k}\nu\sigma}^{s'm} \right. \\ &\quad + D_{nm}^{\text{eff}, s'\sigma} (\Delta \mathbf{u}_{s\alpha}(\mathbf{q}) \beta_{\mathbf{k}\nu\sigma}^{*s'n}) \alpha_{\mathbf{k}\nu\sigma}^{s'\beta m} \\ &\quad + \sum_{s_1} 2 I_{s_1 nm}^{s'\beta\mathbf{q}} (\Delta \mathbf{u}_{s\alpha}(\mathbf{q}) \beta_{\mathbf{k}\nu\sigma}^{*s_1 n}) \beta_{\mathbf{k}\nu\sigma}^{s_1 m} \\ &\quad \left. + I_{nm}^{s'\beta\sigma} (\Delta \mathbf{u}_{s\alpha}(\mathbf{q}) \beta_{\mathbf{k}\nu\sigma}^{*s'n}) \beta_{\mathbf{k}\nu\sigma}^{s'm} \right\},\end{aligned}$$

where we used the time reversal symmetry.

## PAW - drho.f90, addusddens.f90

In drho.f90, the call to addusddens.f90 with iflag=1 and the variable dbecsum that contains:

$$\sum_i \langle \psi_{i,\sigma} | \beta_m^I \rangle \langle \beta_n^I | \delta^\mu \psi_{i,\sigma} \rangle,$$

saves in becsumort the quantity

$$\begin{aligned} b_{I,mn}^{\sigma,\mu} &= \sum_i \tilde{\theta}_{F,i\sigma} \langle \psi_{i,\sigma} | \frac{\partial (|\beta_m^I\rangle \langle \beta_n^I|)}{\partial \mu} | \psi_{i,\sigma} \rangle \\ &- \sum_i \langle \psi_{i,\sigma} | \beta_m^I \rangle \langle \beta_n^I | \delta^\mu \psi_{i,\sigma} \rangle. \end{aligned}$$

All the modes are calculated in drho.f90.

## PAW\_dusymmetrize, PAW\_dpotential

In solve\_linter.f90:

$$\frac{d\rho_{mn}^{I,\sigma}}{d\mu} = 2Re \sum_i \langle \psi_{i,\sigma} | \beta_m^I \rangle \langle \beta_n^I | \Delta^\mu \psi_{i,\sigma} \rangle + b_{I,mn}^{\sigma,\mu}.$$

becsumort is added to the first term contained in dbecsum.  
 $\frac{d\rho_{mn}^{I,\sigma}}{d\mu}$  is symmetrized in PAW\_dusymmetrize.

$$\frac{dD_{I,mn}^{1,\sigma}}{d\mu} = \sum_{\sigma_1} \int_{\Omega_I} d^3r \Phi_m^{I,AE}(\mathbf{r}) \Phi_n^{I,AE}(\mathbf{r}) \frac{dV_{eff}^{I,\sigma}}{d\rho_{\sigma_1}^{1,I}} \frac{d\rho_{\sigma_1}^{1,I}}{d\mu}.$$

and  $\frac{d\tilde{D}_{I,mn}^{1,\sigma}}{d\mu}$  are calculated by PAW\_dpotential. These routines are in PAW\_onecenter.f90 and PAW\_symmetry.f90.

## PAW - drhodvus.f90

This routine is called after the calculation of  $\Delta D_{I,mn}^{1,\sigma,\mu}$ . It has it in the variable `int3_paw` and computes

$$\frac{d^2 E_{tot}^{(3)}}{d\mu d\lambda} = \frac{d^2 E_{tot}^{(3)US}}{d\mu d\lambda} + \sum_{\sigma} \sum_{I,mn} \Delta D_{I,mn}^{1,\sigma,\mu} b_{I,mn}^{\sigma,\lambda}.$$