

# Superconducting molecular quantum dots

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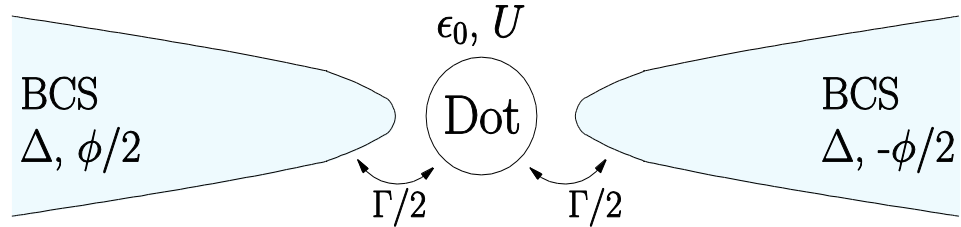
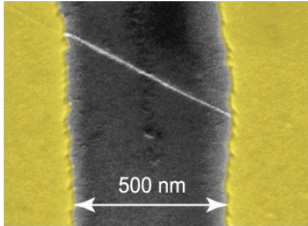
# Outline

- Introduction: Josephson current through a single nanoscale quantum dot (JDOT)
- **Correlated JDOT:** Competition **Kondo vs superconductivity**
- **Quantum engineering:** Dissipationless manipulation of internal JDOT modes via superconducting phase difference
- **Strong spin orbit coupling effects:** anomalous Josephson current

# A few words on experiment...

- Josephson effect for single-level JDOT has been successfully realized, critical current  $\approx$  nA and gate-tunable, current phase relation has been obtained in SQUID geometries
- Material classes
  - Multi-wall carbon nanotube dots  
*Buitelaar, Schönenberger et al., PRL 2002*
  - Single-wall nanotube dots  
*Kasumov et al., Science 1999; Morpurgo et al., Science 1999; Jarillo-Herrero et al., Nature 2006; Jorgensen et al., PRL 2006; Cleuziou et al., Nature Nanotechn. 2006*
  - InAs nanowires  
*Doh et al., Science 2005; van Dam et al., Nature 2006*
  - Metallofullerene molecule  
*Kasumov et al., PRB 2005*
  - Break junctions  
*Chauvin et al., PRL 2007*

# Anderson JDOT



Anderson dot between BCS superconductors  
(here: symmetric case)

- Single spin-degenerate electronic level on the dot:  
Charging energy  $U$ , gate voltage tunes  $\epsilon_0$ , and hybridization  $\Gamma$  between dot and BCS electrodes
- BCS gap  $\Delta$ , phase difference  $\varphi$  across dot

$$H = H_{dot} + H_{BCS} + H_{tunnel}$$
$$H_{dot} = \epsilon_0 (n_{\uparrow} + n_{\downarrow}) + U n_{\uparrow} n_{\downarrow}$$

# Andreev states: $U=0$

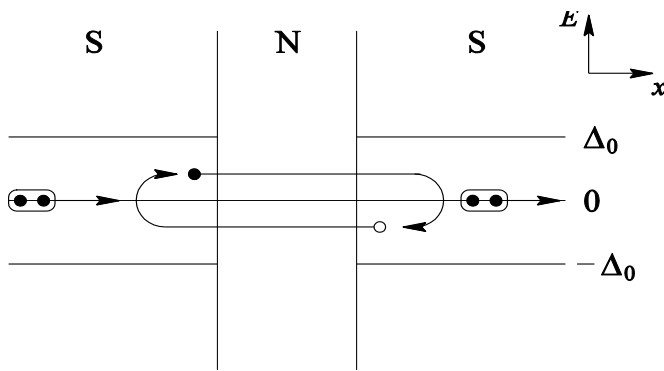
*Golubov et al., Rev. Mod. Phys. 2004*

– Andreev states at  $\pm E_A(\varphi)$

– NN contact transmission probability: Breit Wigner formula

$$\tau = \frac{\Gamma^2}{\Gamma^2 + \varepsilon_0^2}$$

– Current-carrying **fermionic bound states** inside gap



$$E_A(\varphi) = \tilde{\Delta} \sqrt{1 - \tau \sin^2 \frac{\varphi}{2}}$$

$$\tilde{\Delta} = \begin{cases} \Delta, & \Gamma \gg \Delta \\ \frac{\Gamma}{\sqrt{\tau}}, & \Delta \gg \Gamma \end{cases}$$

# Josephson current: $U=0$

carried by Andreev state:

$$I(\varphi) = \frac{2e}{\hbar} \partial_{\varphi} E_g = -\frac{2e}{\hbar} \partial_{\varphi} E_A = \frac{e\tilde{\Delta}}{2\hbar} \frac{\tau \sin \varphi}{\sqrt{1 - \tau \sin^2 \frac{\varphi}{2}}}$$

– For **tunnel junction** ( $\tau \ll 1$ ), standard Josephson relation  $I = I_c \sin \varphi$  with **critical current**  $I_c = \frac{e\tilde{\Delta}\tau}{2\hbar}$  (Ambegaokar-Baratoff formula)

– **Perfect contact** (resonant tunneling,  $\tau=1$ ) has **non-sinusoidal relation**: „unitary limit“

$$I(\varphi) = I_c \sin \frac{\varphi}{2} \operatorname{sgn} \left( \cos \frac{\varphi}{2} \right), \quad I_c = \frac{e\Delta}{\hbar}$$

# Kondo versus proximity effect

How do correlations affect Josephson current?

- Magnetic dot: consider correlated  $U/\Gamma \gg 1$ ,  
single-occupancy regime  $-U < \varepsilon_0 < 0$
- Perturbation theory in  $\Gamma$  yields  $\pi$ -junction  
with negative critical current  $I = I_c \sin \varphi$

Cooper pair tunneling forbidden but fourth-order cotunneling possible: reversed spin ordering of Cooper pair

*Kulik, JETP 1965*

- Interplay Kondo effect with superconductivity characterized by universal ratio  $\Delta/T_K$  with normal-state Kondo temperature

$$T_K^{\left(\varepsilon_0 = -\frac{U}{2}\right)} = \sqrt{\frac{\Gamma U}{2}} \exp\left[-\frac{\pi U}{8\Gamma}\right]$$

# Universality and phase diagram

- Limiting cases are analytically solvable:

*Glazman & Matveev, JETP Lett. 1989*

- $T_K \ll \Delta$  : Coulomb blockade regime, cotunneling
  - „ $\pi$  phase“ ( $\varphi=\pi$ : minimum of free energy), Cooper pair acquires factor  $e^{i\pi}$
- $T_K \gg \Delta$  : Many-body **Kondo resonance** pinned to Fermi level can **coexist with superconductivity**
  - Josephson current increases (despite of repulsive interactions)
  - Effectively: resonant tunneling (noninteracting result with  $\tau=1$ )
  - „0 phase“ ( $\varphi=0$ : minimum of free energy)
- $T_K \approx \Delta$  : superconducting gap removes low-energy degrees of freedom, largely quenching the Kondo spin entanglement
- From 0- to  $\pi$ -phase via quantum phase transitions



## Consistent picture has by now emerged & universal scaling has been confirmed:

- Mean field theory: intermediate  $0'$ - and  $\pi'$ -phases (both  $\varphi=0$  and  $\pi$  remain local minima)

*Rozhkov & Arovas, PRL 1999; Vecino et al., PRB 2003*

- Noncrossing approximation & slave boson approaches

*Clerk et al., PRB 2000, Sellier et al., PRB 2005*

- Numerical & functional RG approaches

*Choi et al., PRB 2004, Karrasch et al., PRB 2008*

- Hirsch-Fye quantum Monte Carlo simulations (numerically exact finite temperature technique) *Siano & Egger, PRL 2004*

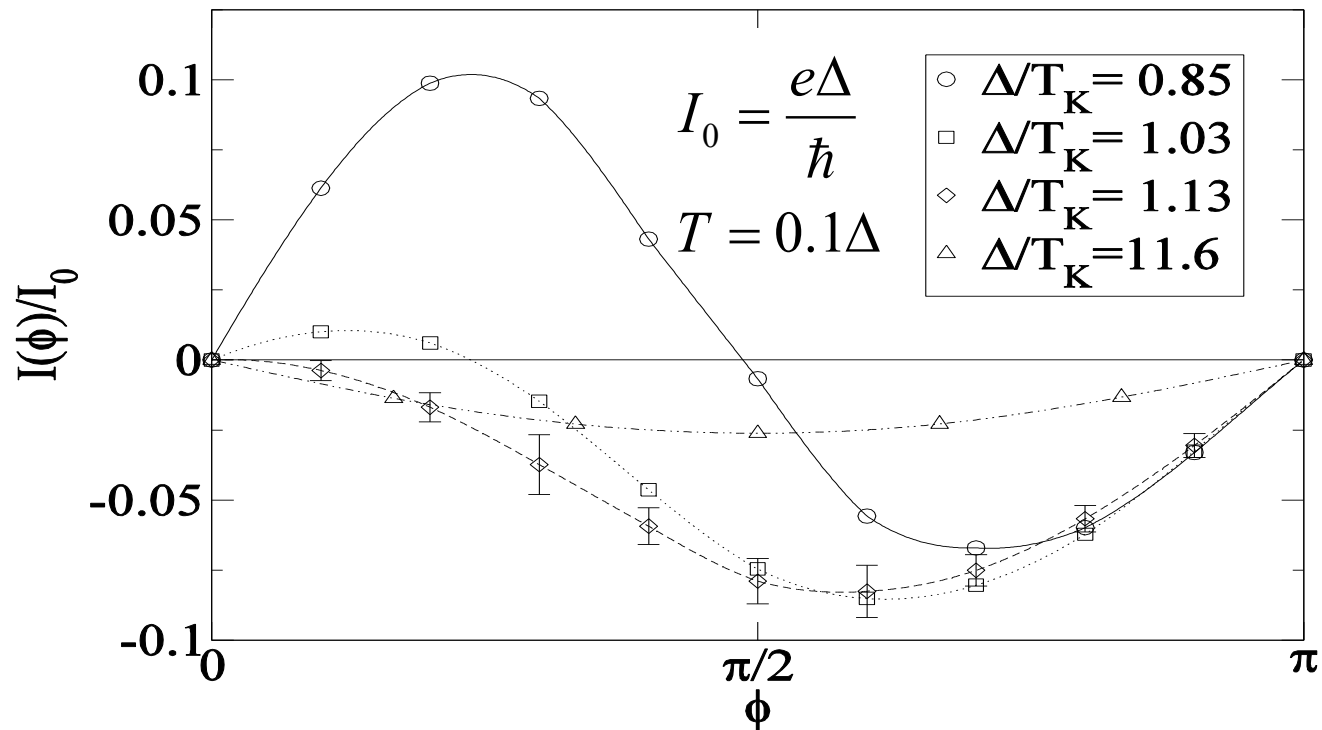
- Qualitative agreement with experiments: supercurrent through JDOT, both Kondo regime and  $\pi$ -phase

*Buitelaar et al., PRL 2003; van Dam et al., Nature 2006; Jarillo-Herrero et al., Nature 2006; Cleuziou et al., Nat. Nanotech. 2006; Jorgensen et al., Nano Lett. 2007; Eichler et al., PRL 2009*

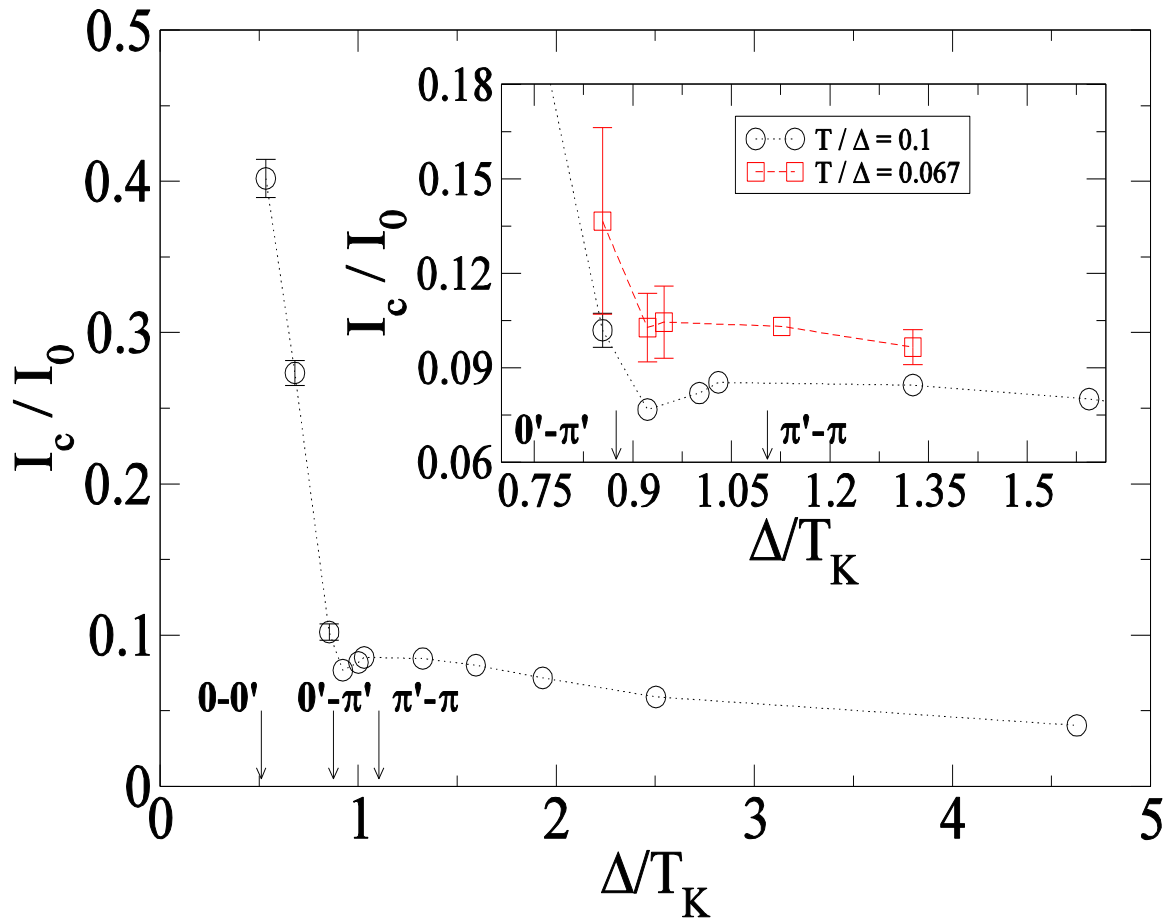
# Josephson current: $\pi$ and $\pi'$ -phase

*Siano & Egger, PRL 2004*

QMC results at finite  $T$  (when  $T=0$ : jumps do occur):



# Critical current: universal scaling

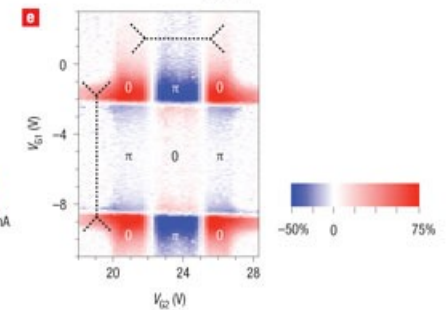
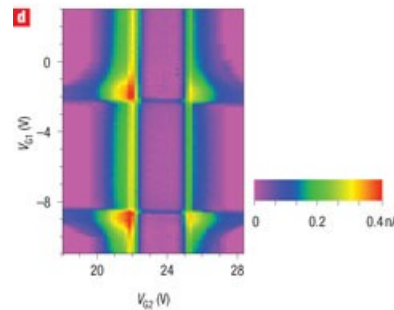
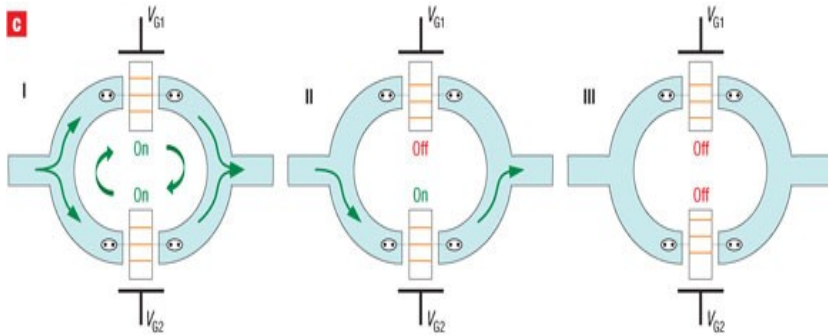
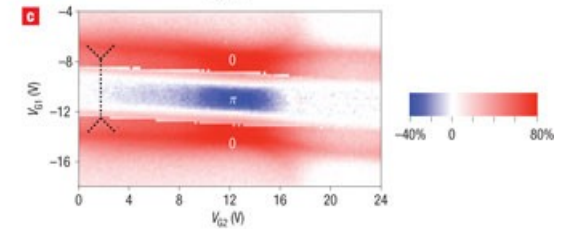
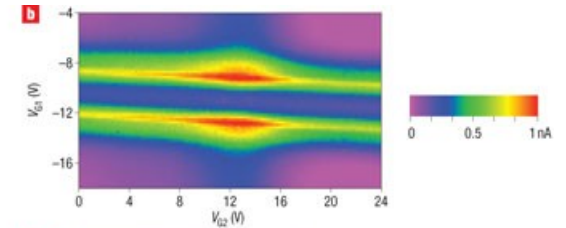
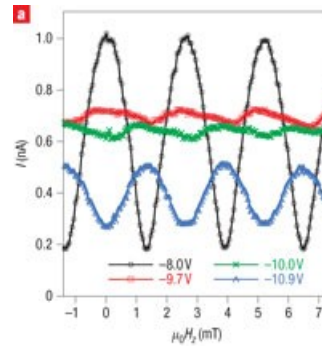
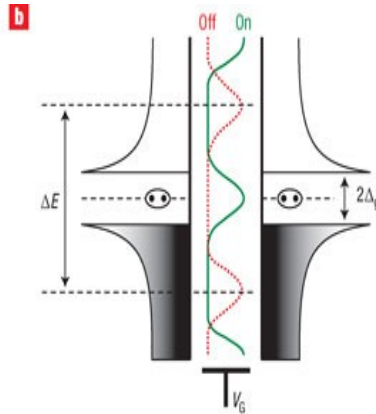
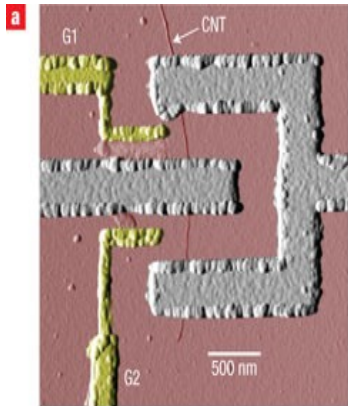


$$\left( \frac{\Delta}{T_K} \right)_{00'} \approx 0.51$$

$$\left( \frac{\Delta}{T_K} \right)_{0'\pi'} \approx 0.87$$

$$\left( \frac{\Delta}{T_K} \right)_{\pi'\pi} \approx 1.11$$

# (One) experiment



Cleuziou, Wernsdorfer, et al.,  
*Nature Nanotechn.* 2006

# „Quantum engineering“ with JDOTs

- JDOT may have **internal degrees of freedom**
  - Vibration modes
  - Two-level system (TLS)
  - Electronic degrees of freedom
  - Magnetic modes
- These are affected by superconducting phase variations, both in equilibrium (**dissipationless!**) and out of equilibrium
- Largely unexplored territory in experiments
- Theoretical predictions: here for TLS

# JDOT coupled to TLS

*Zazunov, Schulz & Egger, PRL 2009*

Single electronic level coupled to TLS (Pauli matrices  $\sigma_i$ )

- Model for bistable conformational mode (reaction coordinate) or two stable configurations of a break junction *Thijssen et al., PRL 2006; Lucignano et al., PRB 2008*

Coupling to dot **charge** (occupation), not spin!

- Here: symmetric coupling  $\Gamma$  to both leads

$$H_{dot} = -\frac{E_0}{2} \sigma_z - \frac{W_0}{2} \sigma_x + \left( \varepsilon_0 + \frac{\lambda}{2} \sigma_z \right) [n_\uparrow + n_\downarrow] + U n_\uparrow n_\downarrow$$

# Supercurrent and conformation

- Partition function after integrating out the leads, using Nambu spinor  $d(\tau) = (d_{\uparrow}, d_{\downarrow}^{\dagger})$  for dot:

$$Z = \text{Tr}_{\text{dot}} \left[ e^{-\beta H_{\text{dot}}} T e^{-\int d\tau d\tau' d^{\dagger}(\tau) \Sigma(\tau - \tau') d(\tau')} \right]$$

$$\Sigma(\omega) = \frac{\Gamma}{\sqrt{\omega^2 + \Delta^2}} \begin{pmatrix} -i\omega & \Delta \cos \frac{\varphi}{2} \\ \Delta \cos \frac{\varphi}{2} & -i\omega \end{pmatrix}$$

- This yields ground state energy  $E_g(\varphi, E_0)$
- Josephson current  $I(\varphi) = \frac{2e}{\hbar} \frac{\partial E_g}{\partial \varphi}$
- Conformational state  $S(\varphi) \equiv \langle \sigma_z \rangle = -2 \frac{\partial E_g}{\partial E_0}$

# Exactly solvable limit

- Analytically solvable case: no TLS tunneling ( $W_0=0$ ) and no interaction ( $U=0$ )
    - TLS dynamics frozen, eff. dot level  $\varepsilon_{\sigma=\pm} = \varepsilon_0 \pm \lambda/2$
    - Ground state energy  $E_g = \min(E_{\sigma_z=+1}, E_{\sigma_z=-1})$
- $$E_{\sigma=\pm} = \pm \frac{1}{2}(\lambda - E_0) - E_A(\varphi; \varepsilon_{\pm})$$
- follows from Andreev bound state energy  $E_A(\varphi; \varepsilon)$
- Simple expressions for  $\Delta \gg \Gamma$  or  $\Gamma \gg \Delta$



# Conformational switching

Energy bands  $E_+(\varphi)$  and  $E_-(\varphi)$  may cross at certain phase difference  $\varphi^*$

- Then: perfect switching between  $S=1$  and  $S=-1$  conformational states
- Josephson current-phase relation then exhibits discontinuities (jumps)

Favorable for switching:  
one effective level close to resonance

# Effective Hamiltonian

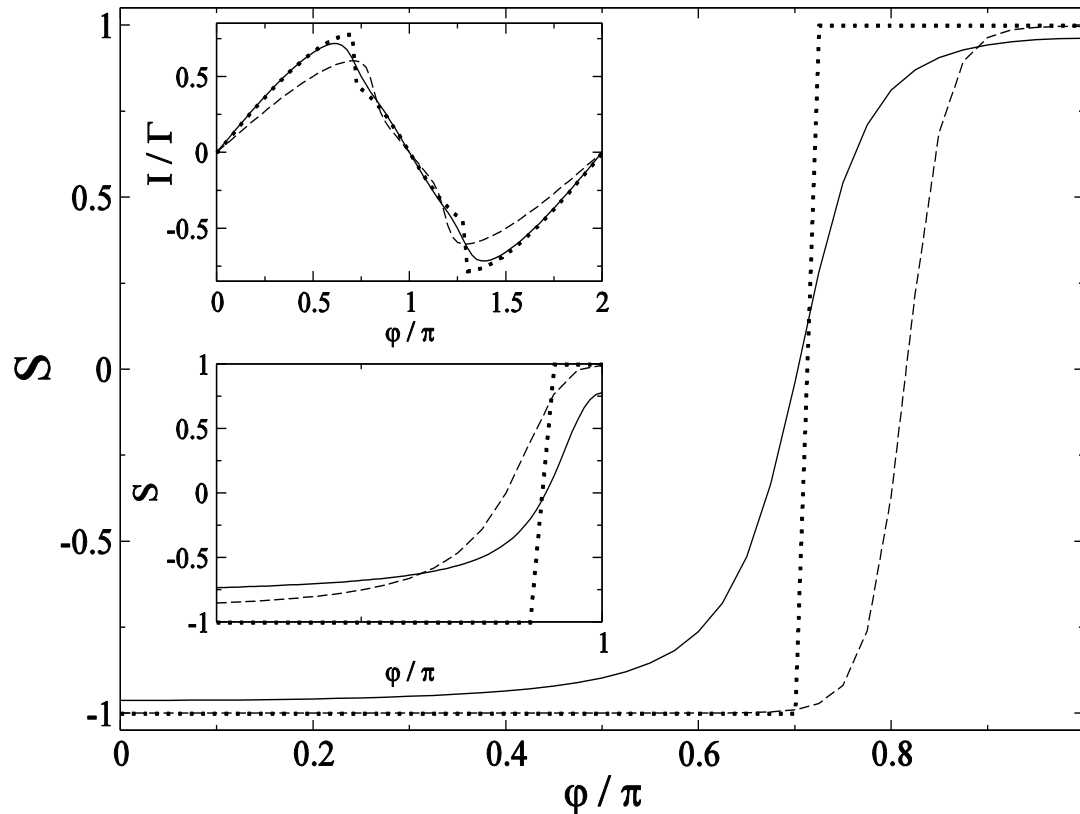
- **Another solvable limit (arbitrary U):**  $\Delta \rightarrow \infty$ 
  - Self energy becomes time local, integration over leads captured in effective Hamiltonian

$$H_{eff} = H_{dot} + \Gamma \cos \frac{\varphi}{2} (d_{\downarrow} d_{\uparrow} + h.c.)$$

- Hilbert space separates into Andreev sector (spanned by 0- and 2-particle states) plus single-particle sector
- Ground state in Andreev sector for 
$$U < U_c = \max \left[ 2\sqrt{\varepsilon_0^2 + \Gamma^2 \cos^2 \frac{\varphi}{2}}, \lambda \right]$$
- Diagonalize 4x4 Hamiltonian
- For stronger interactions: perturbative approach yields  $\pi$ -phase

# Conformational switching

Zazunov, Schulz & Egger, PRL 2009



Results from effective Hamiltonian approach for  $\Delta \gg \Gamma$

Dotted:  $W_0=0$

Solid:  $W_0=0.04\Gamma$

Dashed:  $W_0=0$ , finite T

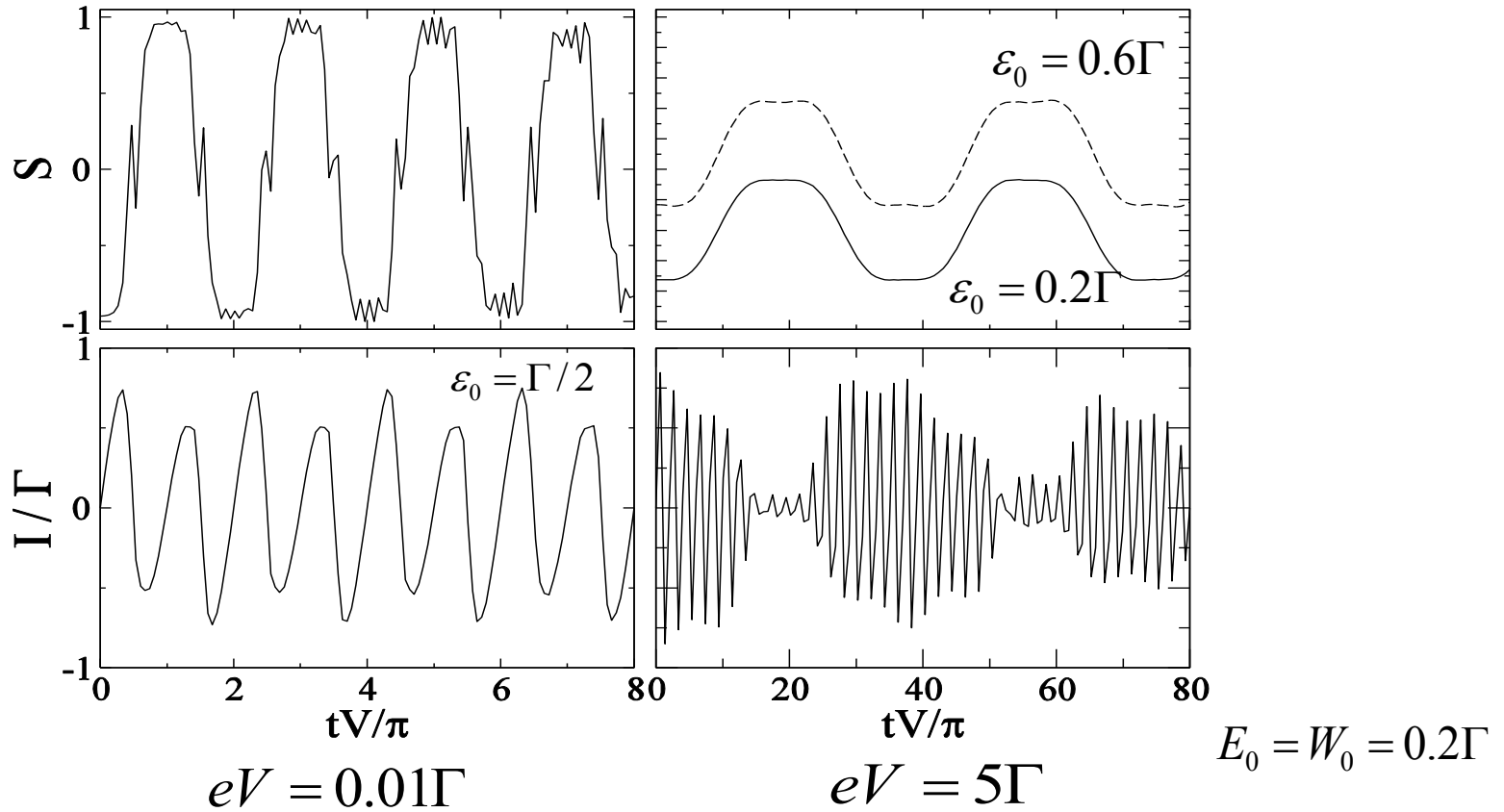
Lower inset: different effective Hamiltonian for  $\Gamma \gg \Delta$

$$E_0 = 0.14\Gamma$$
$$\lambda = \varepsilon_0 = \Gamma/2$$

# Voltage-biased junction

- Consider small voltage  $V \ll \Delta$  (here for  $\Delta \gg \Gamma$ )
- Phase difference time-dependent  $\varphi(t) = \frac{2eV}{\hbar} t$
- Andreev and single-particle sector remain decoupled during time evolution
  - numerical solution of Schrödinger equation in Andreev subspace sufficient
  - Escape rate for Andreev state quasiparticles into continuum states stays exponentially small

# Conformational dynamics



**Adiabatic** TLS dynamics:  
Time-periodic level crossings &  
reproducible „noisy“ features

**Landau-Zener transitions** between Andreev  
levels: Slow frequency scale due to LZ  
transitions, also appears in time-dependent  
Josephson current

# Spin-orbit coupled JDOT

Josephson current through spin-orbit coupled JDOT shows interesting & unexpected effects:

**Anomalous Josephson current** at zero phase difference: spontaneously broken time reversal symmetry, supercurrent flow without phase gradient!

*Krive et al., PRB 2005; Reynoso et al., PRL 2008;*

*Buzdin, PRL 2008;*

*Zazunov, Egger, Jonckheere & Martin, PRL 2009*

# Model

- 2D JDOT with Rashba spin-orbit coupling  $\alpha$  and in-plane Zeeman field  $B$ , neglect e-e interaction
- $N$  relevant dot energy levels  $\varepsilon_n$  (for  $\alpha=B=\Gamma=0$ ), real-valued wavefunctions  $\chi_n(x, y)$
- Contact to leads:  $N \times N$  hybridization matrices  $\Gamma_L, \Gamma_R$

$$H_{dot} = \sum_{n=1}^N d_n^\dagger (\varepsilon_n + B \sigma_x) d_n - i \sum_{nn'} d_n^\dagger \vec{a}_{nn'} \cdot \vec{\sigma} d_{n'}$$

$$\vec{a}_{nn'} = \frac{\alpha}{m} \int dx dy \chi_n(\vec{r}) \begin{bmatrix} \partial_y \\ -\partial_x \end{bmatrix} \chi_{n'}(\vec{r})$$

# Exact solution for Josephson current

*Dell'Anna, Zazunov, Egger & Martin, PRB 2007*

Noninteracting problem, exactly solvable

– Doubled Nambu space, Pauli matrices  $\sigma_i$  and  $\tau_i$

–  $4N \times 4N$  matrix  $S(\omega)$ : 
$$I(\varphi) = -\frac{2e}{\hbar} \partial_\varphi \int_0^\infty d\omega \operatorname{Tr} \ln S(\omega)$$

$$S(\omega) = -i\omega \left( 1 + \frac{\Gamma_L + \Gamma_R}{\sqrt{\omega^2 + \Delta^2}} \right) + E\tau_z\sigma_z + Z + \frac{\Delta}{\sqrt{\omega^2 + \Delta^2}} Y$$

$$Y = (\Gamma_L + \Gamma_R) \cos \frac{\varphi}{2} \sigma_x \tau_z + (\Gamma_L - \Gamma_R) \sin \frac{\varphi}{2} \sigma_y, \quad E = \operatorname{diag} \left( \varepsilon_n - \frac{\alpha^2}{2m} \right)$$

$$Z = (iA_x \sigma_x + b_y \sigma_y) \tau_x + (iA_y \sigma_x - b_x \sigma_y) \tau_y + iA_z \sigma_z + b_z \tau_z$$



# Anomalous supercurrent

- Can we have anomalous supercurrent?

equivalent to phase shift:  $\varphi_0$  junction  $I_a \equiv I(\varphi = 0) \neq 0$

For  $\alpha B=0$ , exact solution yields  $I_a=0$

- Analytical approach for weak asymmetry and small  $\alpha B$ :

$$S = S_0 + S_1$$

– expand in  $S_1$  for  $\varphi \approx 0$

$$S_1 = Z + \frac{\varphi}{2} \frac{\Delta}{\sqrt{\omega^2 + \Delta^2}} (\Gamma_L - \Gamma_R) \sigma_y$$

– Leading non-vanishing term: third order of the perturbation series !

# Analytical result

- Anomalous supercurrent then follows in analytical (but lengthy) form
- Simple limit: for  $\max \Gamma_{L/R,nn} \gg \Delta$

$$I_a = \frac{2e\Delta}{\hbar} \text{Tr}_{dot} \left[ \frac{1}{\Gamma_0^2 + E^2} [\Gamma_R, \Gamma_L] \frac{1}{\Gamma_0^2 + E^2} BA_x \right]$$

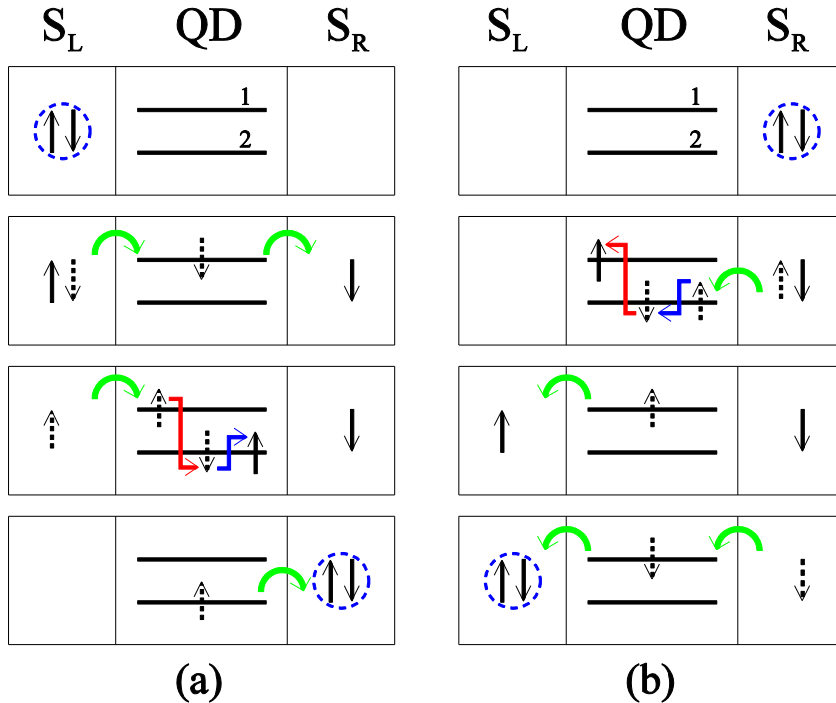
– in this order:  $I_a \propto \alpha B$        $\Gamma_0 = \text{diag}(\Gamma_L + \Gamma_R)$

- Apart from SO coupling and appropriately oriented Zeeman field, another necessary condition can be read off...

# Conditions for existence of an anomalous supercurrent

- Finite spin-orbit coupling **and** Zeeman field
- „Chirality“ condition:  $[\Gamma_L, \Gamma_R] \neq 0$ 
  - Anomalous current requires at least two dot levels
  - Numerical study of full expression shows that this condition applies in general
- Existence of anomalous supercurrent implies **spontaneously broken time reversal symmetry**
- How can one understand this?

# Basic explanation



Transfer of Cooper pair through N=2 dot for  $\varphi=0$ :  
SOI and Zeeman field combine to

$$H' = \sigma_x \begin{pmatrix} B & iA \\ -iA & B \end{pmatrix}, \quad A \propto \alpha$$

Process (a) yields correction  $\delta t_{L \rightarrow R} = (t_{L1} t_{R1}) (t_{L1} i A B t_{R2})$

Process (b) yields correction  $\delta t_{R \rightarrow L} = (t_{R2} B (-iA) t_{L1}) (t_{R1} t_{L1})$

- SO coupling and Zeeman field conspire to produce **effective orbital field**
- Anomalous supercurrent contributions **add:**

$$\delta I_a^{(a)} \propto vAB\Gamma_{L,11}\Gamma_{R,12}$$

$$\delta I_a^{(b)} \propto (-v) \cdot (-A)B\Gamma_{L,11}\Gamma_{R,12}$$

- Summing up all relevant processes:

$$I_a \propto B(t_{L1}t_{R1} + t_{L2}t_{R2})(t_{L1}At_{R2} + t_{L2}(-A)t_{R1})$$

$$\propto AB((\Gamma_{L,11} - \Gamma_{L,22})\Gamma_{R,12} - (\Gamma_{R,11} - \Gamma_{R,22})\Gamma_{L,12})$$

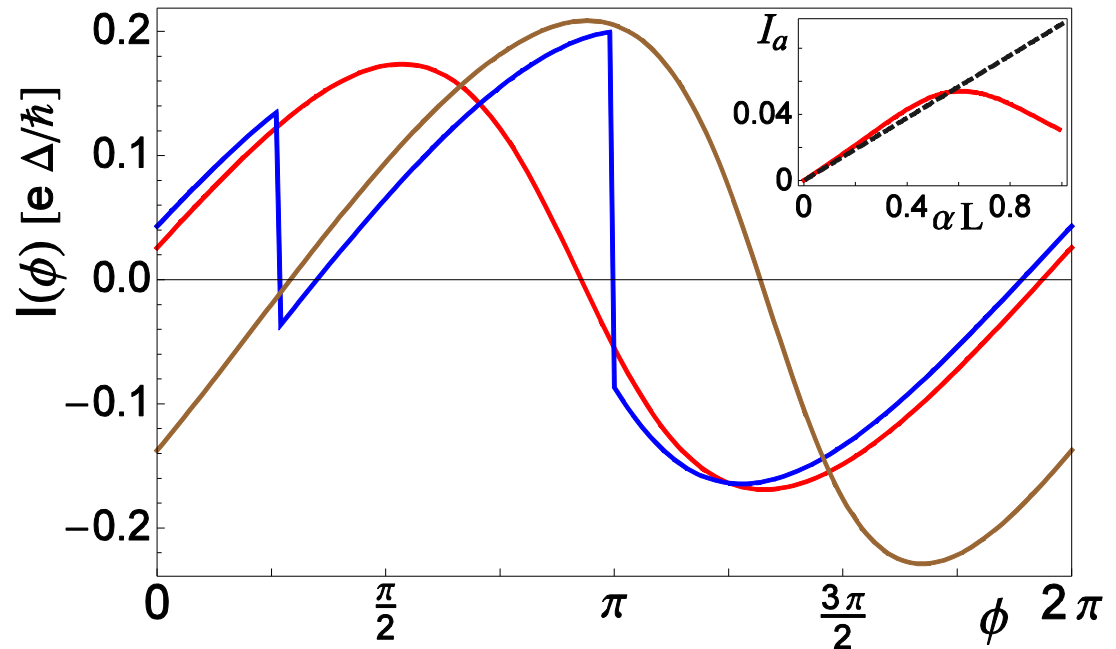
– **Nonzero iff**  $\alpha B[\Gamma_L, \Gamma_R] \neq 0$

- Symmetry argument: condition also holds when weak interactions are included

# Numerical results from **full expression** for Josephson current-phase relation

*Zazunov, Egger, Jonckheere & Martin, PRL 2009*

Harmonic transverse  
& hard-wall longitudinal  
confinement,  $N=2$ ,  
several choices for  
hybridization matrices



- Jumps in the current-phase relation
- Different positive/negative critical current: **rectification**
- Analytical prediction accurate even for large  $\alpha B$

# Conclusions

„JDOT“ contains rich physics & potential for applications:

- Correlation effects: interplay Kondo effect vs proximity induced superconductivity
- Dissipationless „quantum engineering“ of internal modes
- Spin-orbit coupling: anomalous Josephson current