

# Two-Impurity Kondo Model with Spin-Orbit Interactions

in collaboration with  
David Mross



UNIVERSITY OF GOTHENBURG



supported by the  
Swedish Research Council

# Outline

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Basics on two-impurity Kondo physics

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Adding spin-orbit (SO) interactions

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**SO effects in the “RKKY limit”**

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**SO effects at quantum criticality**

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**Summary**

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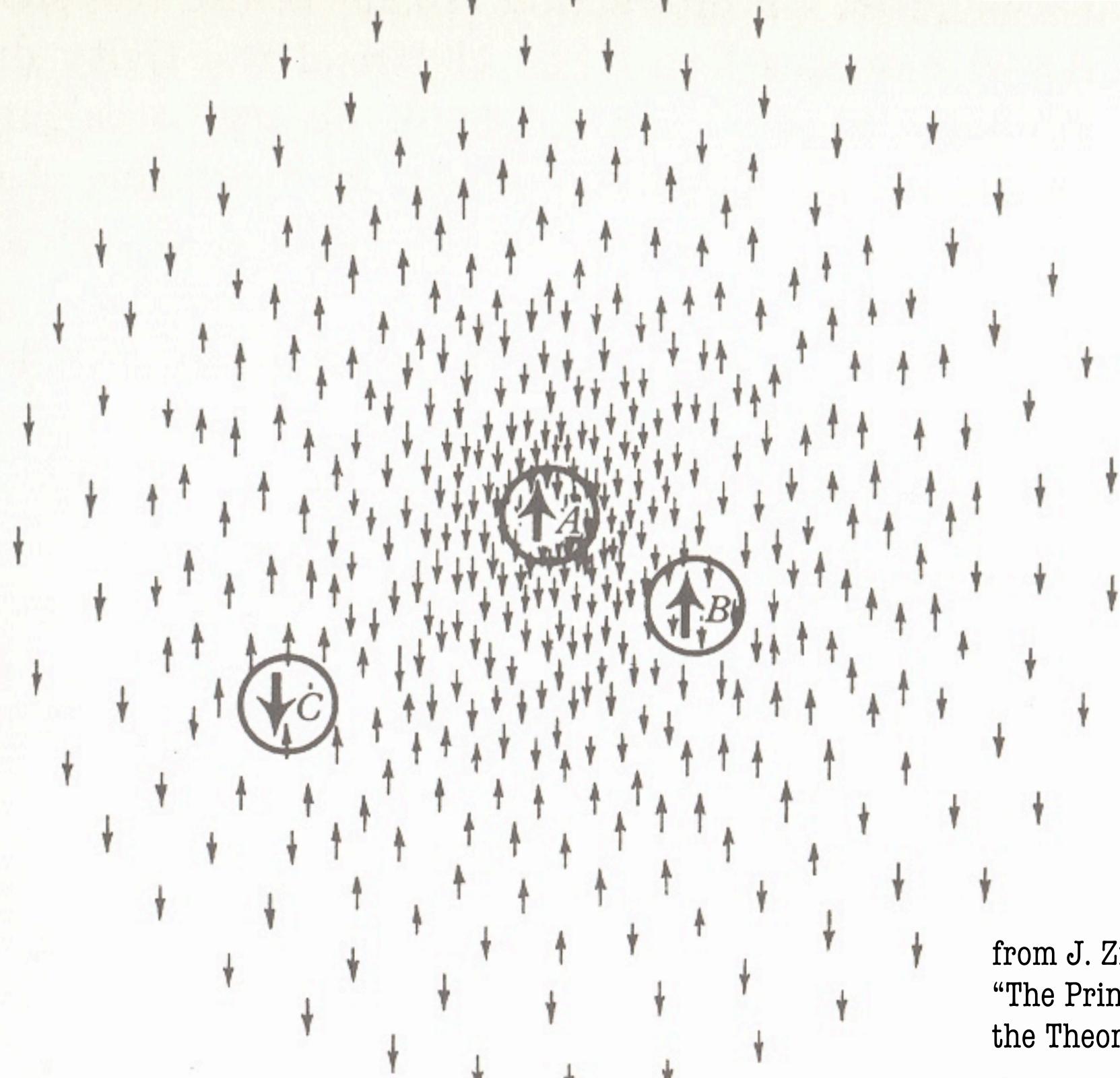
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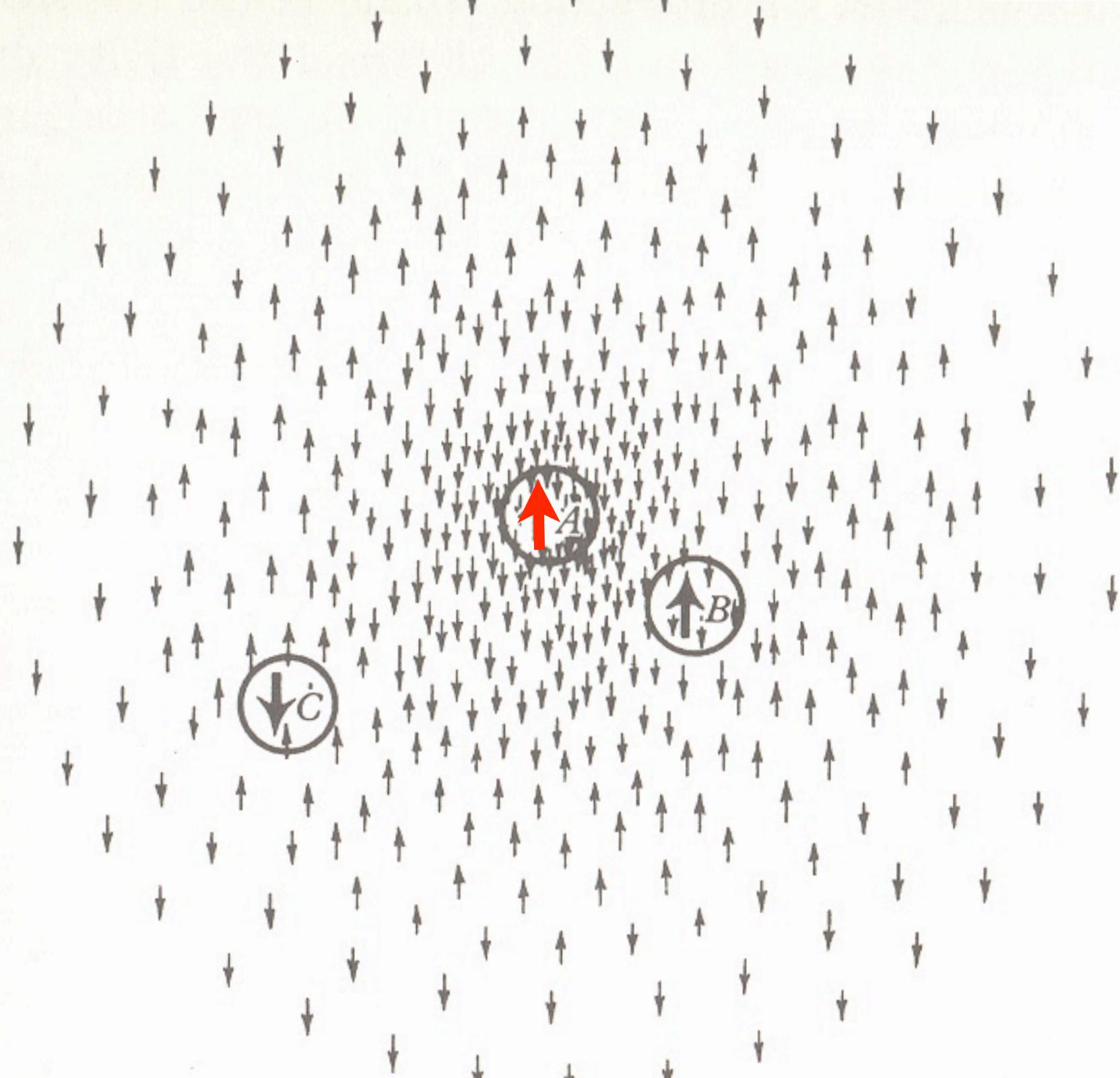
SO effects in the “RKKY limit”

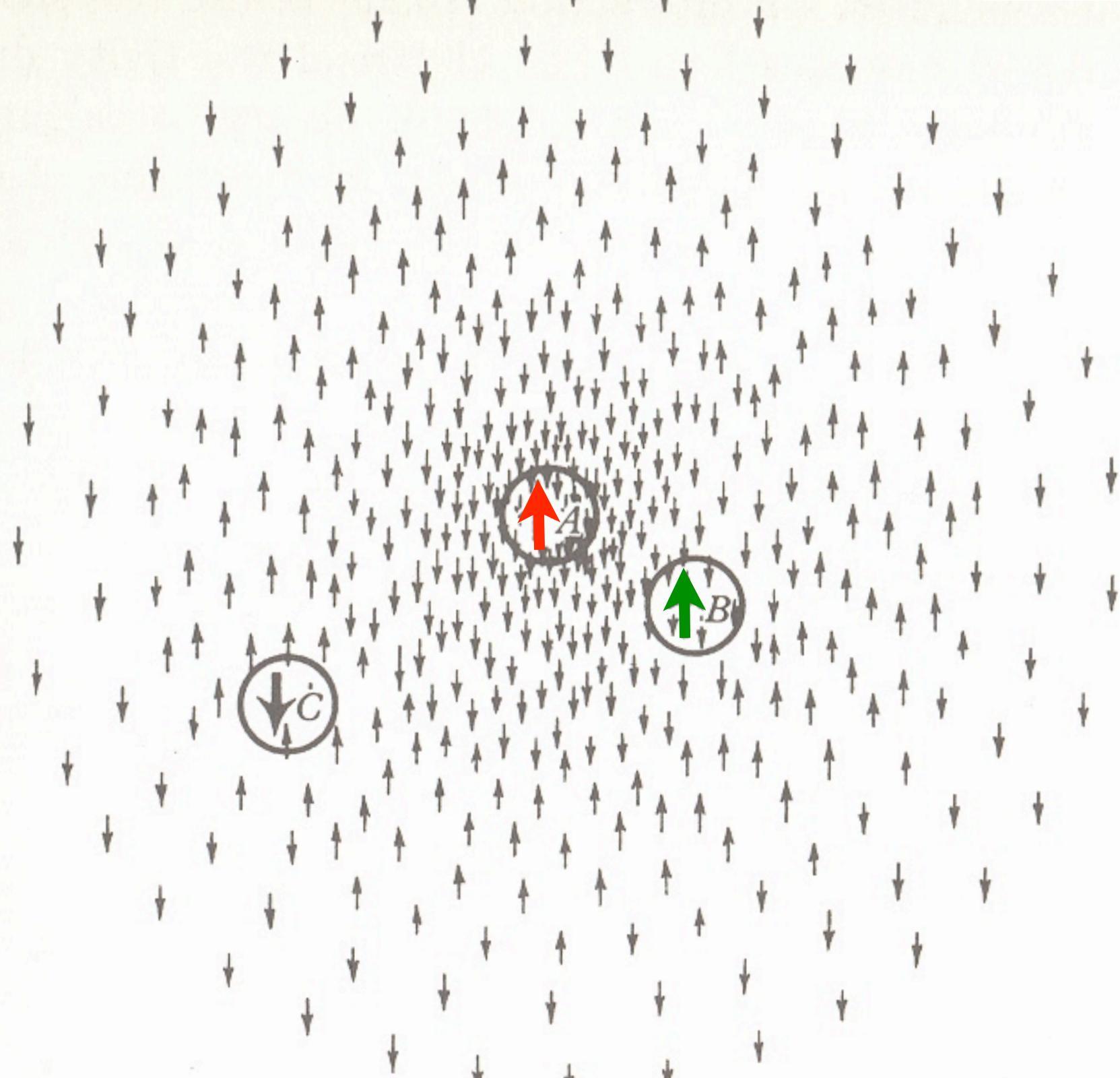
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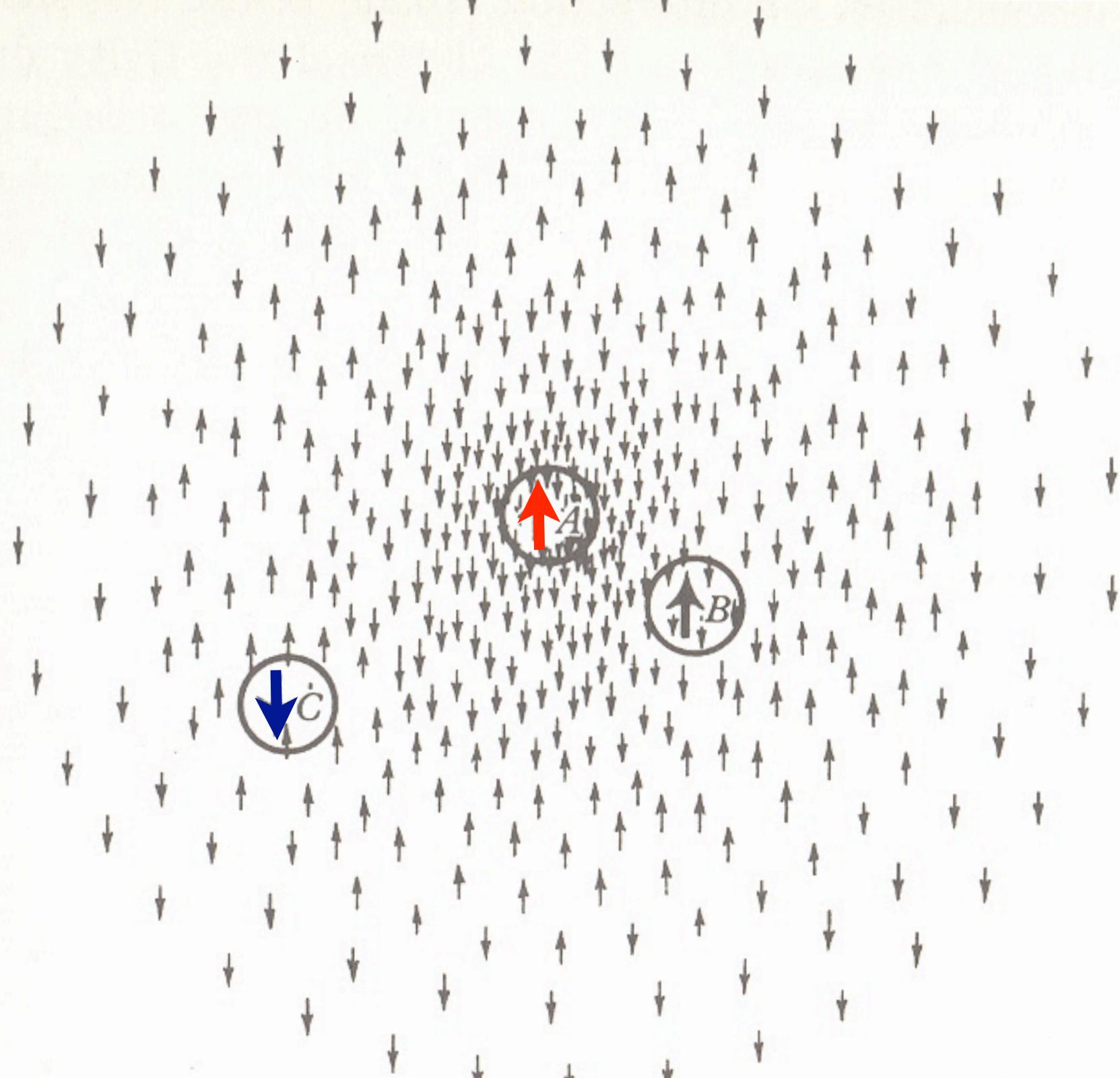
Summary

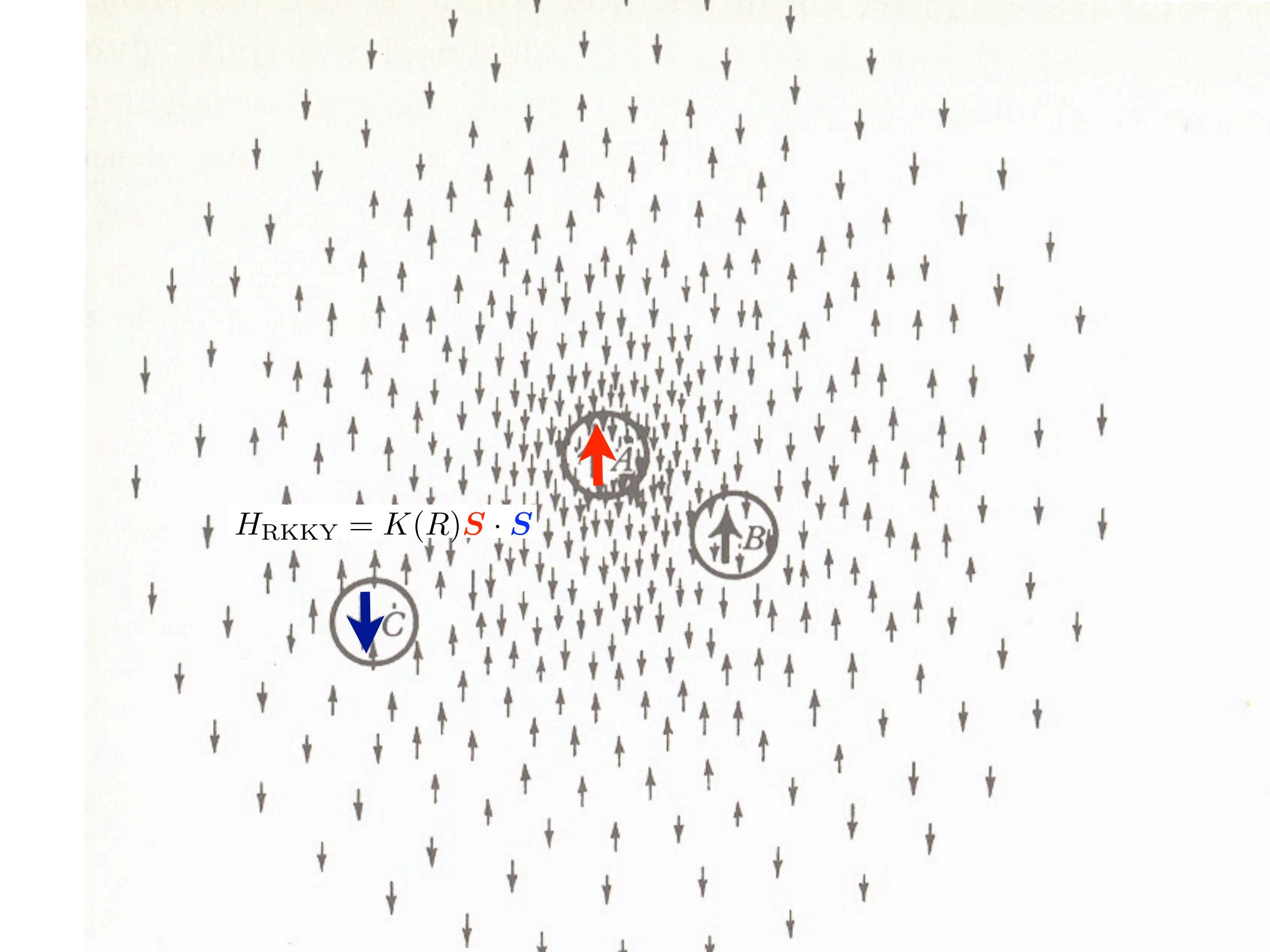


from J. Ziman,  
“The Principles of  
the Theory of Solids”








$$H_{\text{RKKY}} = K(R) \mathbf{S} \cdot \mathbf{S}$$



A diagram illustrating a magnetic lattice structure. The background consists of a grid of small arrows pointing in various directions, representing spins. Superimposed on this grid are three circular regions labeled A, B, and C. Region A is highlighted with a red arrow pointing upwards. Region B contains two upward-pointing arrows. Region C contains one downward-pointing arrow. The equations below describe interactions involving these regions.

$$H_{\text{el-imp}} = J \mathbf{S} \cdot \boldsymbol{\sigma}$$

$$H_{\text{RKKY}} = K(R) \mathbf{S} \cdot \mathbf{S}$$

$$H_{\text{el-imp}} = J \mathbf{S} \cdot \boldsymbol{\sigma}$$

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$$H_{\text{el-imp}} = J \mathbf{S} \cdot \boldsymbol{\sigma}$$

$$H = H_{\text{kin}} + JS_1 \cdot \sigma + JS_2 \cdot \sigma + K(R)S_1 \cdot S_2$$

VOLUME 47, NUMBER 10

PHYSICAL REVIEW LETTERS

7 SEPTEMBER 1981

### Two-Impurity Kondo Problem

C. Jayaprakash

Nordisk Institut for Teoretisk Atomfsykik, DK-2100 Copenhagen Ø, Denmark, and Department of Physics,  
Cornell University, Ithaca, New York 14853

and

H. R. Krishna-murthy

Nordisk Institut for Teoretisk Atomfsykik, DK-2100 Copenhagen Ø, Denmark, and Department of Physics,  
Indian Institute of Science, Bangalore, India

and

J. W. Wilkins

Nordisk Institut for Teoretisk Atomfsykik, DK-2100 Copenhagen Ø, Denmark, and Department of Physics,  
Cornell University, Ithaca, New York 14853

(Received 28 May 1981)

The two-impurity Kondo problem is studied by use of perturbative scaling techniques. The physics is determined by the interplay between the Ruderman-Kittel-Kasuya-Yosida (RKKY) interaction between the two impurity spins and the Kondo effect. In particular, for a strong ferromagnetic RKKY interaction the susceptibility exhibits three structures as the temperature is lowered, corresponding to the ferromagnetic locking together of the two impurity spins followed by a two-stage freezing out of their local moments by the conduction electrons due to the Kondo effect.

competition between RKKY-interaction and Kondo screening

$$H = H_{\text{kin}} + JS_1 \cdot \sigma + JS_2 \cdot \sigma + K(R)S_1 \cdot S_2$$

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competition between RKKY-interaction and Kondo screening!

$K(R) \rightarrow -\infty$

$K(R) \rightarrow \infty$

RKKY-coupled spin-singlet,  
no Kondo screening

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competition between RKKY-interaction and Kondo screening!

RKKY-coupled spin-triplet,  
Kondo screened by conduction electrons

$K(R) \rightarrow -\infty$

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no Kondo screening

$K(R) \rightarrow \infty$

$$\delta = \pi/2$$

P. Nozières and A. Blandin,  
J. Phys. (Paris) **41**, 193 (1980)

RKKY-coupled spin-triplet,  
Kondo screened by conduction electrons

$$K(R) \rightarrow -\infty$$

$$\delta = 0$$

RKKY-coupled spin-singlet,  
no Kondo screening

$$K(R) \rightarrow \infty$$

particle-hole symmetry  $\rightarrow \delta = 0$  or  $\delta = \pi/2$

A. Millis et al.

*Field Theories in Condensed Matter Physics*  
ed. Z. Tesanovic, 1990

$$\delta = \pi/2$$

$$\delta = 0$$

RKKY-coupled spin-triplet,  
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$$K(R) \rightarrow -\infty$$

RKKY-coupled spin-singlet,  
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$T$

$\delta = \pi/2$   
Kondo screening

quantum critical region

$\delta = 0$   
RKKY singlet

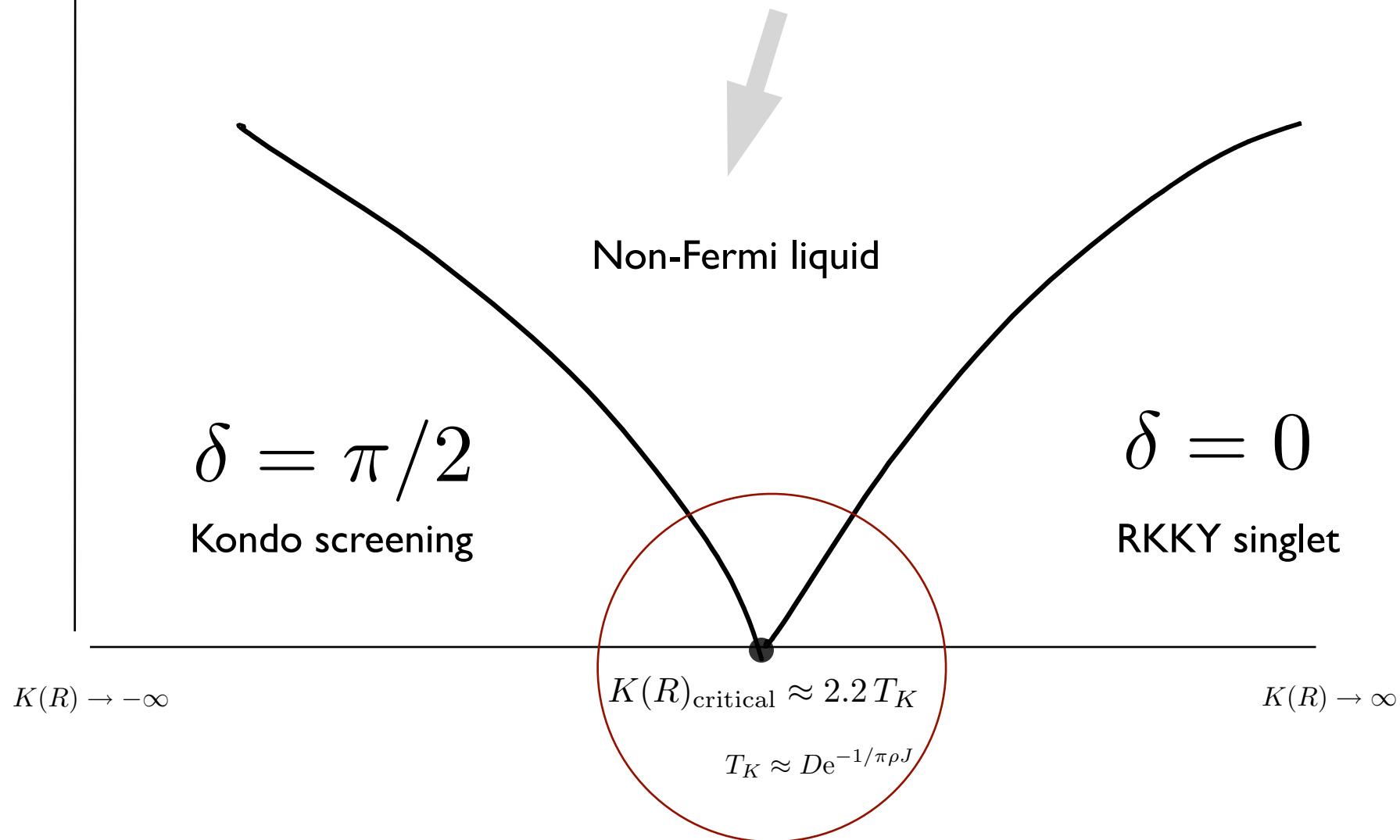
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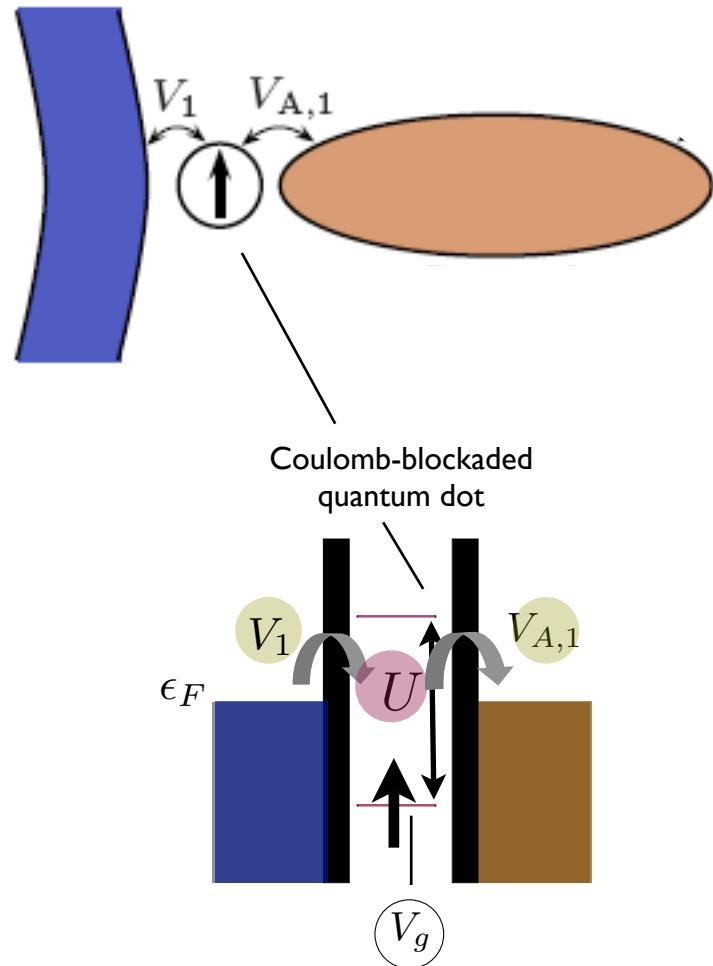
$T$

observed via NRG by B.A. Jones et al., PRL **61**, 125 (1988)

proof by I. Affleck et al., PRB **52**, 9528 (1995)  
assuming a special type of particle-hole symmetry

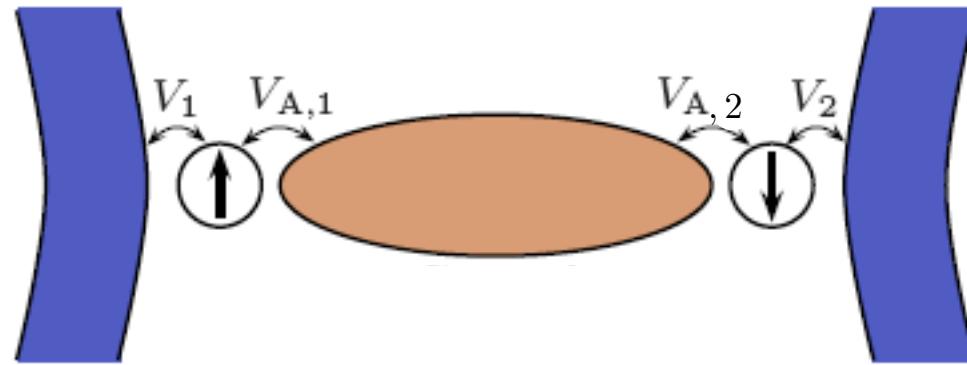


# Realization in double quantum-dot systems

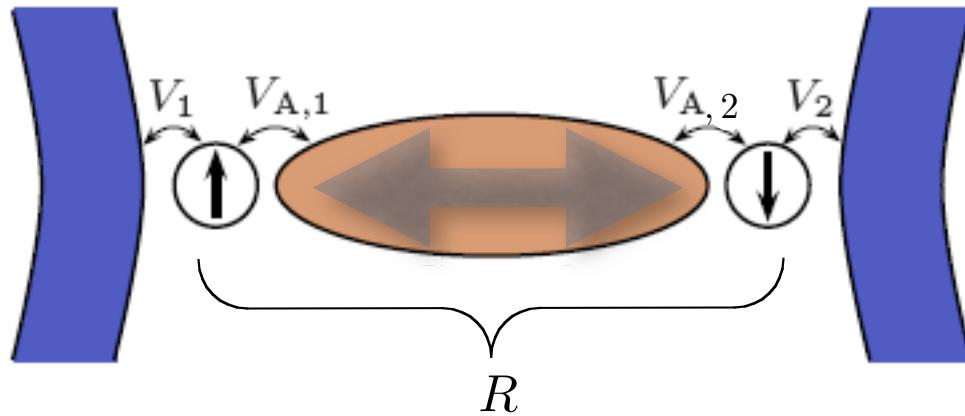


$$\text{spin exchange } J \propto V^2/U$$

# Realization in double quantum-dot systems

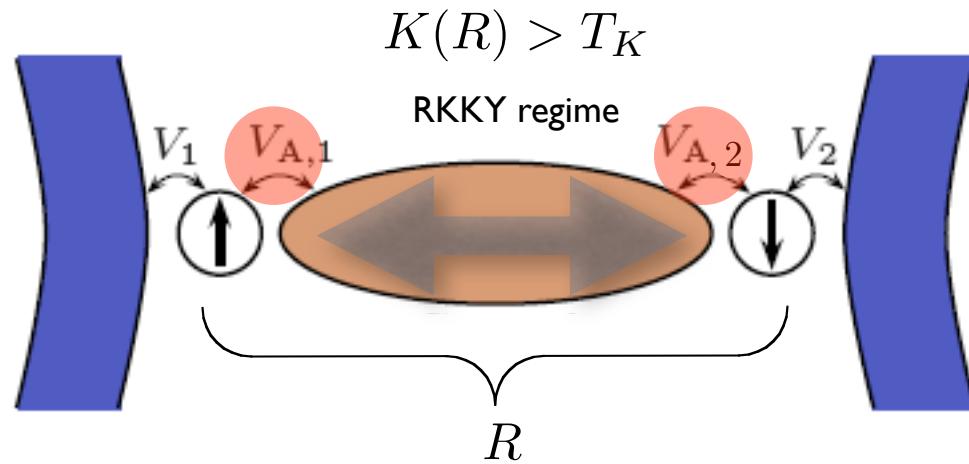


# Realization in double quantum-dot systems



RKKY coupling  $K(R) \propto (J^2/R^2) \cos(k_F R)$

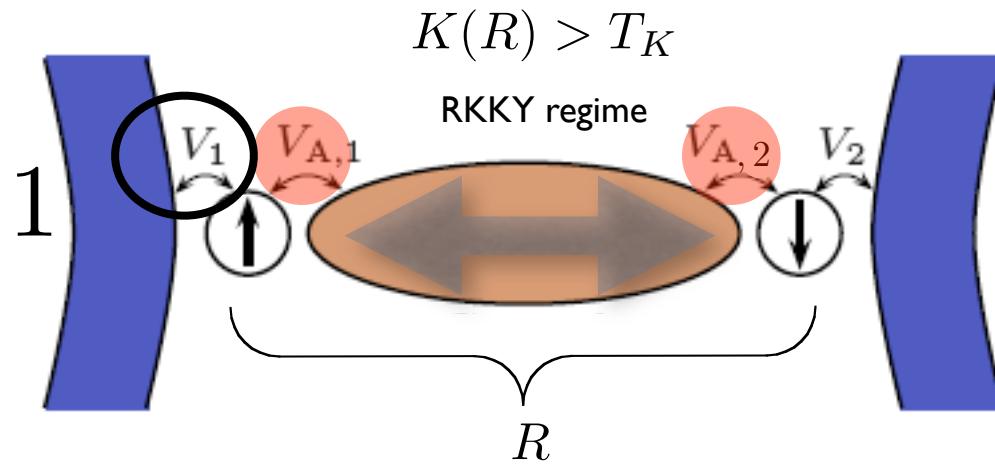
# Realization in double quantum-dot systems



RKKY coupling  $K(R) \propto (\textcolor{red}{J^2}/R^2) \cos(k_F R)$

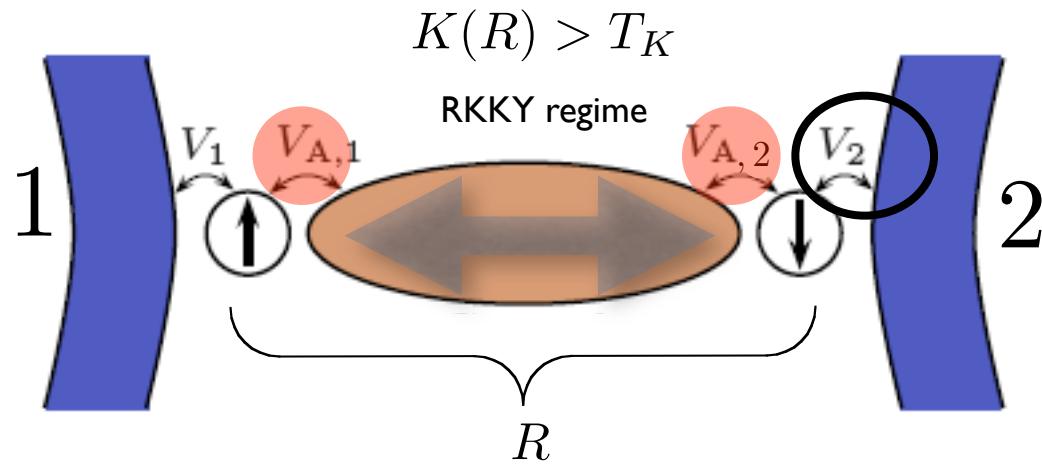
Kondo temperature  $T_K \propto D \exp(-1/\pi \rho \textcolor{red}{J})$

# Realization in double quantum-dot systems



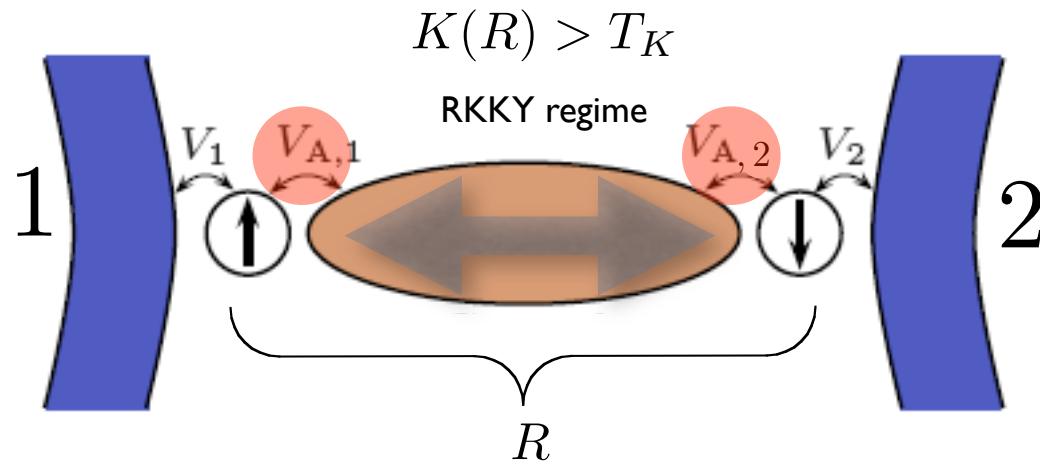
$$H_{\text{int}} = \cancel{\mathcal{J}_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1} + \mathcal{J}_2 \mathbf{S}_2 \cdot \boldsymbol{\sigma}_2 + K(R) \mathbf{S}_1 \cdot \mathbf{S}_2$$

# Realization in double quantum-dot systems



$$H_{\text{int}} = \mathcal{J}_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + (\mathcal{J}_2 \mathbf{S}_2 \cdot \boldsymbol{\sigma}_2) + K(R) \mathbf{S}_1 \cdot \mathbf{S}_2$$

## Realization in double quantum-dot systems

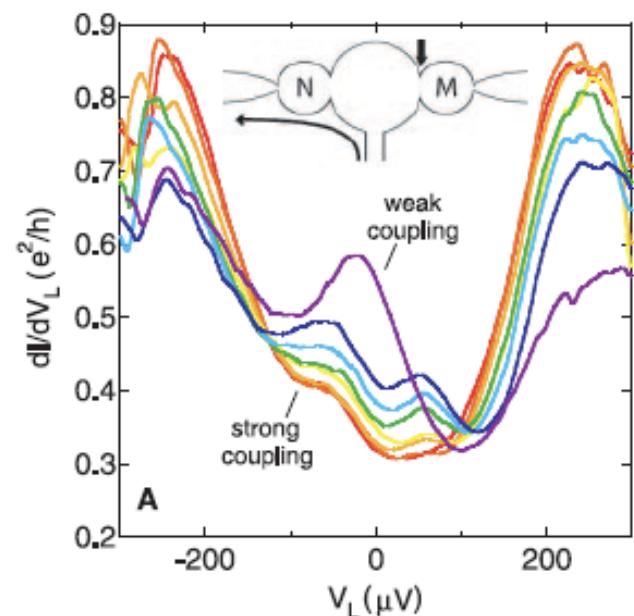
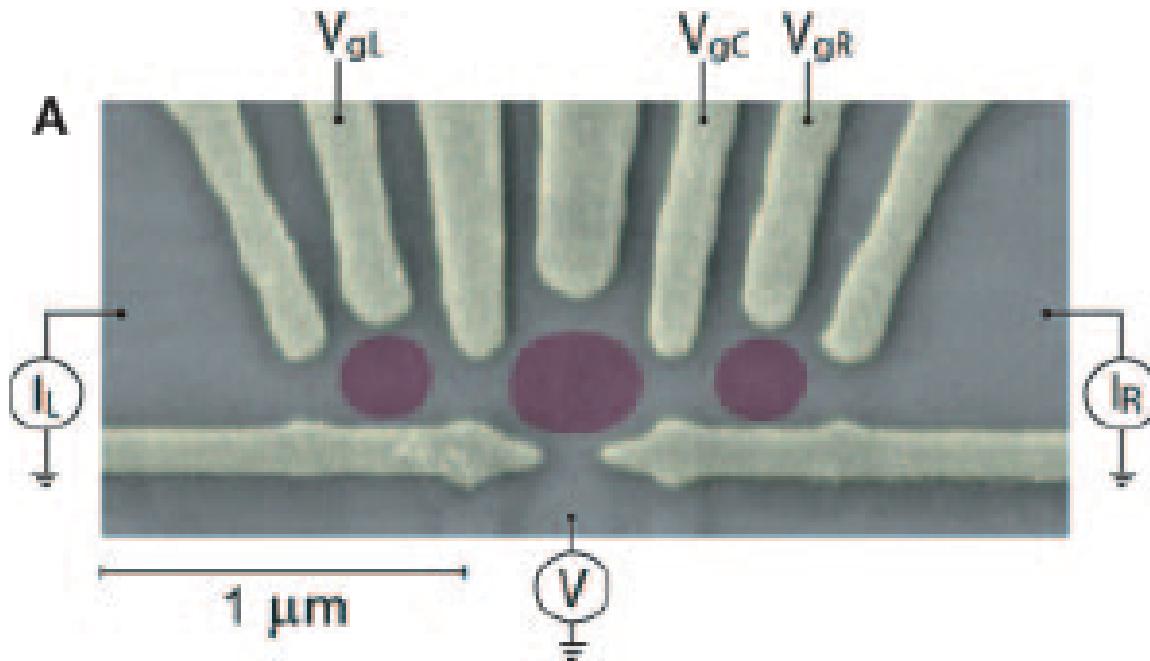


$$H_{\text{int}} = \mathcal{J}_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + \mathcal{J}_2 \mathbf{S}_2 \cdot \boldsymbol{\sigma}_2 + K(R) \mathbf{S}_1 \cdot \mathbf{S}_2$$

No transfer of electrons between 1 and 2:  
quantum critical point  $K_c \approx 2.2T_K$  is stable  
against electron-hole symmetry breaking  
*and breaking of parity*

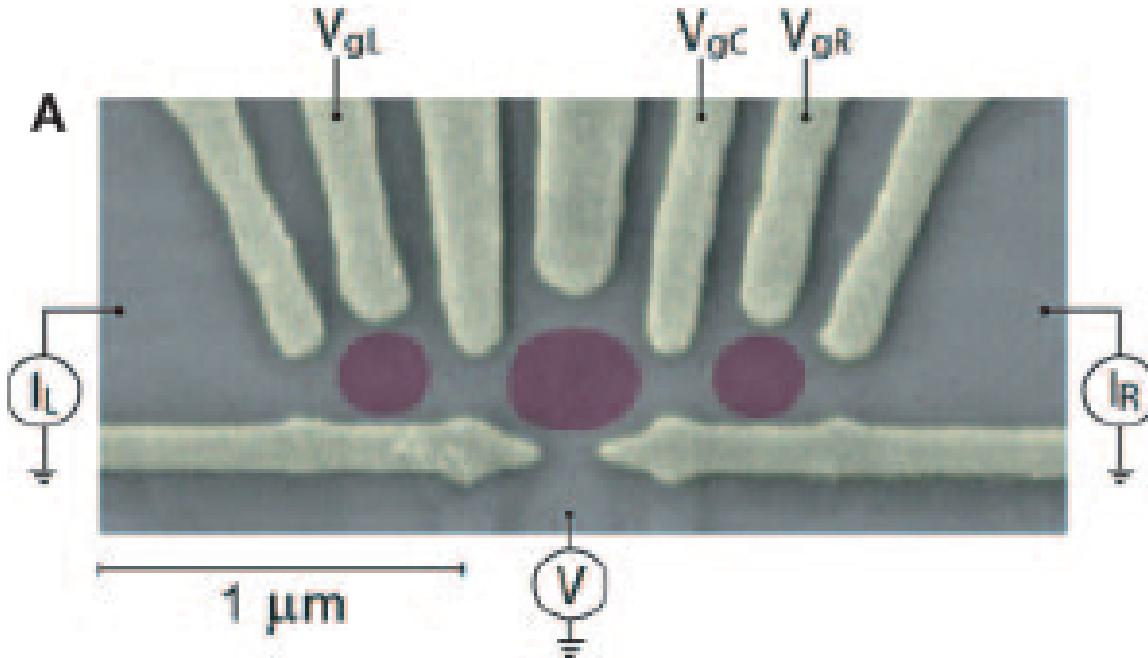
# Realization in double quantum-dot systems

N. J. Craig *et al.*, Science **304**, 565 (2004)



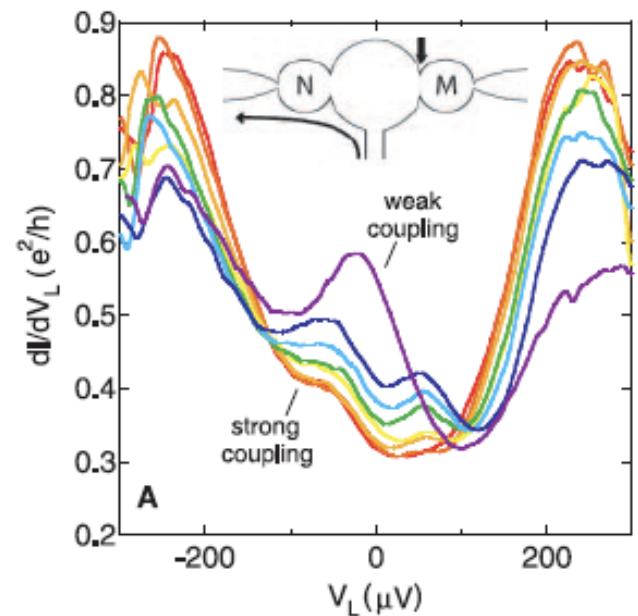
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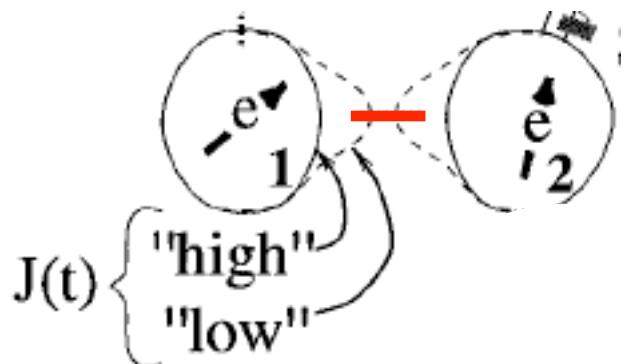
*Nota Bene:*

The central dot supports both RKKY and Kondo screening.  
The experiment does *not* probe quantum criticality.



## An aside: quantum dots, two-qubit gates, and all that...

Loss–DiVincenzo proposal for  
spin-based quantum computing



$$H_s(t) = J(t) \vec{S}_1 \cdot \vec{S}_2$$

$$U_s(t) = T \exp\{-i \int_0^t H_s(t') dt'\}$$

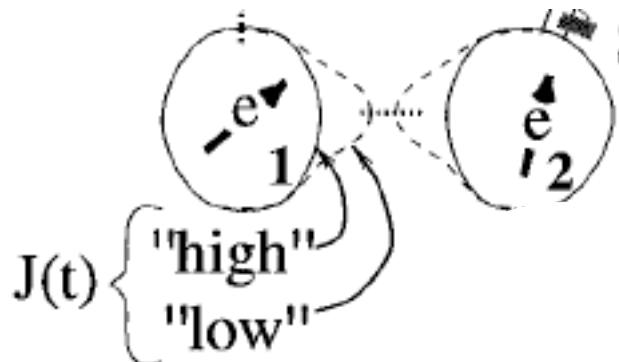
$$U_s(\tau_s = \pi \hbar / J_0) = U_{sw}$$

$$U_{sw} | ij \rangle = | ji \rangle, \quad i, j = \uparrow, \downarrow$$

from D. Loss and D.P. DiVincenzo,  
PRA **57**, 120 (1998)

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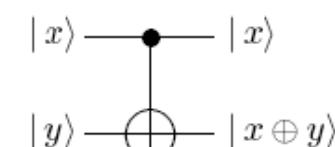
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$$U_{sw} |ij\rangle = |ji\rangle, \quad i, j = \uparrow, \downarrow$$

$$U_{\text{CNOT}} = e^{i\frac{\pi}{2}S_1^z} e^{-i\frac{\pi}{2}S_2^z} U_{sw}^{\frac{1}{2}} e^{i\pi S_1^z} U_{sw}^{\frac{1}{2}}$$

$$= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$



$U_{\text{CNOT}} + \text{"single-qubit gates"}$  (single-spin rotations)

→ “universal” quantum computer

*An aside:* quantum dots, two-qubit gates, and all that...



# Using RKKY for nonlocal control of two-qubit gates...?

N.J. Craig et al., Science **304**, 565 (2004)

M.G.Vavilov and L.I. Glazman, PRL **94**, 086805 (2005)



# Using RKKY for nonlocal control of two-qubit gates...?

N.J. Craig *et al.*, Science **304**, 565 (2004)

M.G. Vavilov and L.I. Glazman, PRL **94**, 086805 (2005)



What about *spin decoherence* caused by the conduction electrons via RKKY?

GaAs/AlGaAs

$$T \approx 10 \text{ mK}$$

$$R \approx 10 \text{ nm}$$

$$K_0(R) \approx 5 \mu\text{eV}$$

$$\tau_{\text{dec}} \approx 60 \text{ ns}$$

$$\tau_{\text{swap}} \approx 0.3 \text{ ns}$$



$\sim 200$  coherent  $\sqrt{\text{SWAP}}$  operations

Y. Rikitake and H. Imamura, PRB **72**, 033308 (2005)

Adding spin-orbit interactions...

# Adding spin-orbit interactions...

relativistic correction in vacuum

$$H_{SO} = \lambda_{vac} (\nabla V \times \mathbf{k}) \cdot \boldsymbol{\sigma}$$

$$\lambda_{vac} = \hbar^2 / 4m_0^2 c^2 \approx 3.7 \times 10^{-6} \text{ \AA}^2$$

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relativistic correction in a semiconductor

$$H_{SO} = \lambda_{crystal} (\nabla V \times \mathbf{k}) \cdot \boldsymbol{\sigma}$$

$$\lambda_{crystal} \approx \hbar^2 / 4m^* E_g \approx 10^6 \lambda_{vac}$$

bandgap

effective mass from periodic crystal potential

# Adding spin-orbit interactions...

relativistic correction in vacuum

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*aperiodic part of the total potential:*  
confinement, impurities, boundaries,  
external electric fields,...

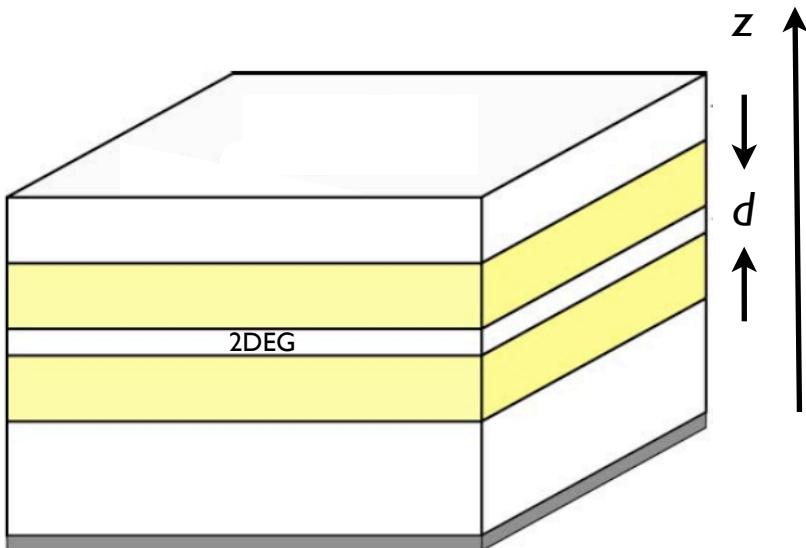
# Adding spin-orbit interactions...

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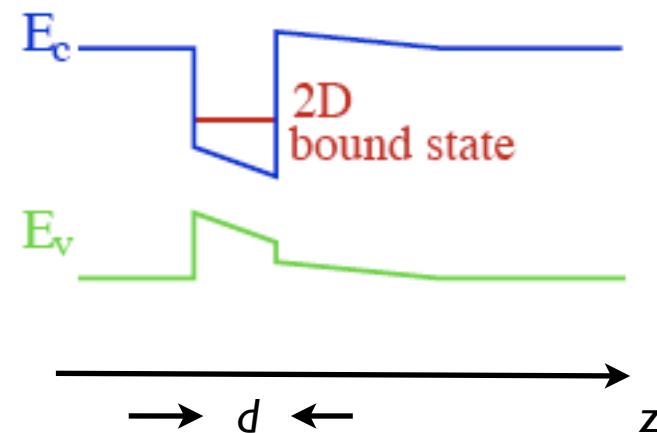
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semiconductor heterostructure



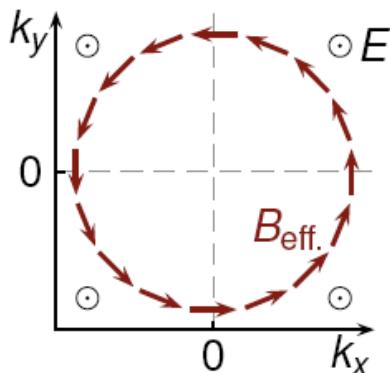
# Adding spin-orbit interactions...

relativistic correction in a semiconductor

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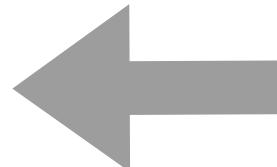
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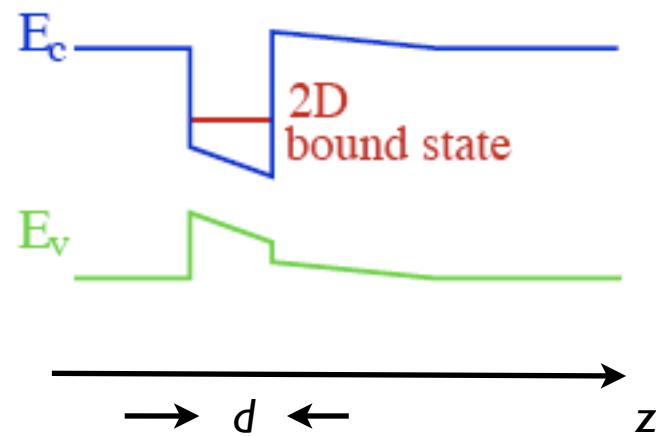
## Rashba interaction

E. I. Rashba, Sov. Phys. Solid State **2**, 1109 (1960)

$$H_R = \alpha(k_x \sigma^y - k_y \sigma^x)$$

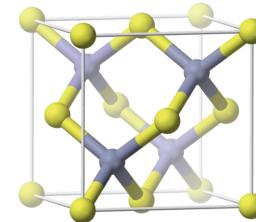


Spatial asymmetry of  
band edges mimics an  
 $\mathbf{E}$ -field in the  $z$ -direction



# Another type of spin-orbit interaction in 2D semiconductor heterostructures...

zincblende structures:  
GaAs, InAs, HgTe,...

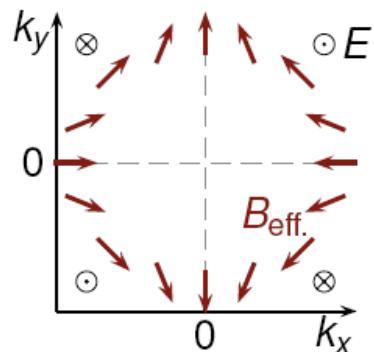


## Dresselhaus interaction

G. Dresselhaus, Phys. Rev. **100**, 580 (1955)

broken lattice  
inversion symmetry

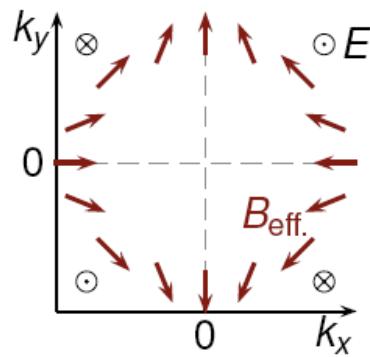
$$H_D = \beta(k_x \sigma^x - k_y \sigma^y)$$



	$\beta k_F/\text{meV}$	$\alpha k_F/\text{meV}$ <i>gate controllable</i>
GaAs/AlGaAs	0.01 – 0.1	
HgTe/CdTe	0.1	30

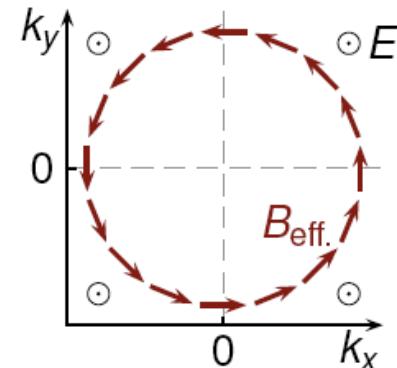
### Dresselhaus interaction

$$H_D = \beta(k_x \sigma^x - k_y \sigma^y)$$



### Rashba interaction

$$H_R = \alpha(k_x \sigma^y - k_y \sigma^x)$$



How do Rashba and Dresselhaus  
spin-orbit interactions influence  
two-impurity Kondo physics?

D. F. Mross and H. J., PRB **80**, 155302 (2009)

# Spin-orbit effects on the RKKY interaction

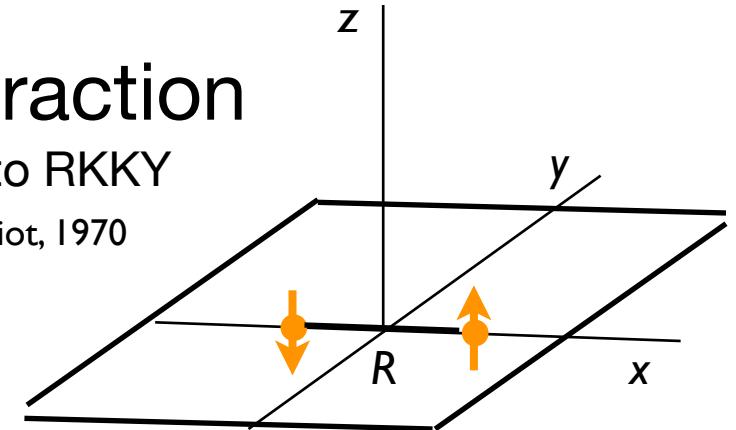
from simple extension of standard perturbative approach to RKKY

Blackman and Elliot, 1970

$$H = \frac{\mathbf{k}^2}{2m} + \left[ \begin{pmatrix} \beta & -\alpha \\ \alpha & -\beta \end{pmatrix} \begin{pmatrix} k_x \\ k_y \end{pmatrix} \right] \cdot \boldsymbol{\sigma}$$

$$G(\mathbf{k}, \omega) \equiv (\omega - H(\mathbf{k}))^{-1}$$

$$H_{\text{RKKY}} = -\frac{J_1 J_2}{\pi} \text{Im} \int_{-\infty}^{\omega_F} d\omega \text{ Tr} [(\mathbf{S}_1 \cdot \boldsymbol{\sigma}) G(R, \omega + i0_+) \times (\mathbf{S}_2 \cdot \boldsymbol{\sigma}) G(-R, \omega + i0_+)]$$



$$H_{\text{RKKY}}^{\text{SO}} = H_{\text{Heis.}} + H_{\text{Rashba}} + H_{\text{Dress.}} + H_{\text{interf.}}$$

$$H_{\text{Heis.}} = F_0 \mathbf{S}_1 \cdot \mathbf{S}_2$$

$$H_{\text{Rashba}} = \alpha F_1 (\mathbf{S}_1 \times \mathbf{S}_2)^y + \alpha^2 F_2 S_1^y S_2^y$$

$$H_{\text{Dress.}} = \beta F_1 (\mathbf{S}_1 \times \mathbf{S}_2)^x + \beta^2 F_2 S_1^x S_2^x$$

$$H_{\text{interf.}} = \alpha \beta F_2 (S_1^x S_2^y + S_1^y S_2^x).$$

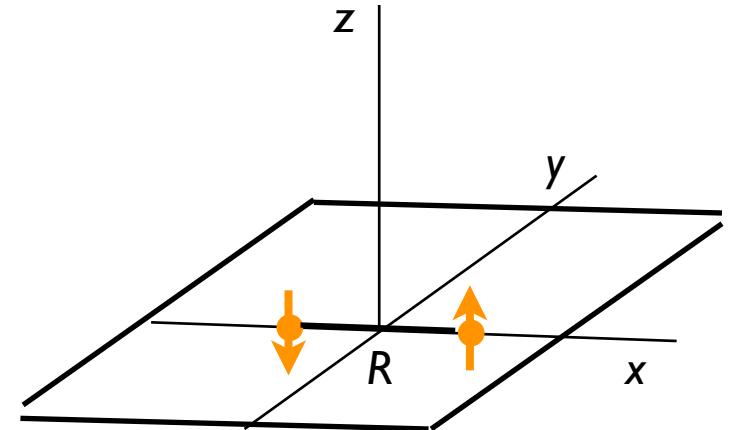
$$H_{\text{RKKY}}^{\text{SO}} = H_{\text{Heis.}} + H_{\text{Rashba}} + H_{\text{Dress.}} + H_{\text{interf.}}$$

$$H_{\text{Heis.}} = F_0 \mathbf{S}_1 \cdot \mathbf{S}_2$$

$$H_{\text{Rashba}} = \alpha F_1 (\mathbf{S}_1 \times \mathbf{S}_2)^y + \alpha^2 F_2 S_1^y S_2^y$$

$$H_{\text{Dress.}} = \beta F_1 (\mathbf{S}_1 \times \mathbf{S}_2)^x + \beta^2 F_2 S_1^x S_2^x$$

$$H_{\text{interf.}} = \alpha \beta F_2 (S_1^x S_2^y + S_1^y S_2^x).$$



$F_i = F_i(\alpha, \beta, R)$  are given by rather complicated integrals (*work in progress*). Analytical expressions in the limit of large distances and a weak *pure* Rashba coupling have been obtained by *H. Imamura et al., PRB 69, 121303(R) (2004)*.

$$\begin{aligned} k_F R &\gg 1 \\ \alpha &\ll \frac{\hbar^2}{m} k_F \end{aligned}$$

$$F_0(\alpha, 0, R) = -\frac{J^2}{2\pi^2 R^2} \frac{m}{\hbar^2} \sin 2R \sqrt{k_F^2 + \frac{m^2 \alpha^2}{\hbar^4}}$$

$$F_1(\alpha, 0, R) = \frac{F_0(\alpha, 0, R)}{\alpha} \sin \frac{2mR\alpha}{\hbar^2}$$

$$F_2(\alpha, 0, R) = \frac{F_0(\alpha, 0, R)}{\alpha^2} \left(1 - \cos \frac{2mR\alpha}{\hbar^2}\right)$$

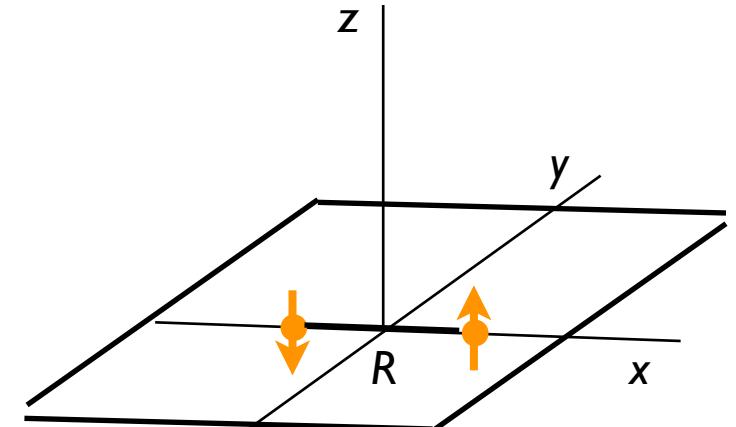
$$H_{\text{RKKY}}^{\text{SO}} = H_{\text{Heis.}} + H_{\text{Rashba}} + H_{\text{Dress.}} + H_{\text{interf.}}$$

$$H_{\text{Heis.}} = F_0 \mathbf{S}_1 \cdot \mathbf{S}_2$$

$$H_{\text{Rashba}} = \alpha F_1 (\mathbf{S}_1 \times \mathbf{S}_2)^y + \alpha^2 F_2 S_1^y S_2^y$$

$$H_{\text{Dress.}} = \beta F_1 (\mathbf{S}_1 \times \mathbf{S}_2)^x + \beta^2 F_2 S_1^x S_2^x$$

$$H_{\text{interf.}} = \alpha \beta F_2 (S_1^x S_2^y + S_1^y S_2^x).$$



$F_i = F_i(\alpha, \beta, R)$  are given by rather complicated integrals (*work in progress*). Analytical expressions in the limit of large distances and a weak *pure* Rashba coupling have been obtained by *H. Imamura et al., PRB 69, 121303(R) (2004)*.

$$F_0(\alpha, 0, R) = -\frac{J^2}{2\pi^2 R^2} \frac{m}{\hbar^2} \sin 2R \sqrt{k_F^2 + \frac{m^2 \alpha^2}{\hbar^4}}$$

$$R \approx 10 \text{ nm}$$

$$k_F \approx 0.02 \text{ \AA}^{-1}$$

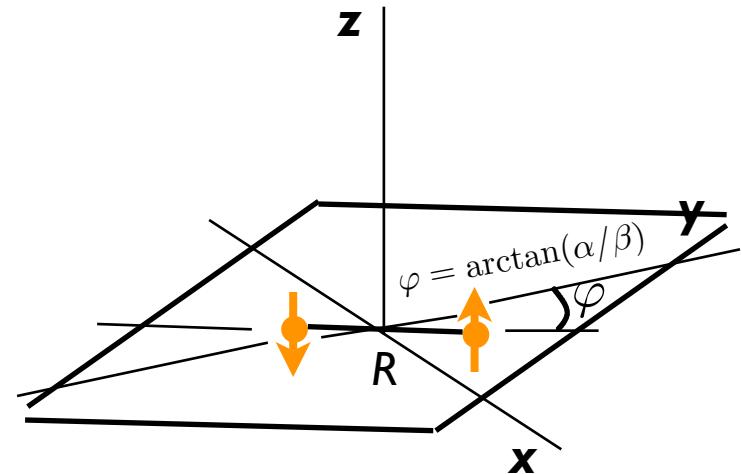
$$0.01 < \frac{m\alpha}{\hbar^2} < 0.1 \text{ \AA}^{-1} \text{ via gate control}$$

asymmetrically doped InAlAs quantum well

More useful choice of coordinate system: rotate  $x, y$  by  $\pi/2 - \arctan(\alpha/\beta)$  around the  $z$ -axis



$$H_{\text{RKKY}}^{\text{SO}} = K_{\text{H}} \mathbf{S}_1 \cdot \mathbf{S}_2 + K_{\text{Ising}} S_1^y S_2^y + K_{\text{DM}} (\mathbf{S}_1 \times \mathbf{S}_2)^y$$

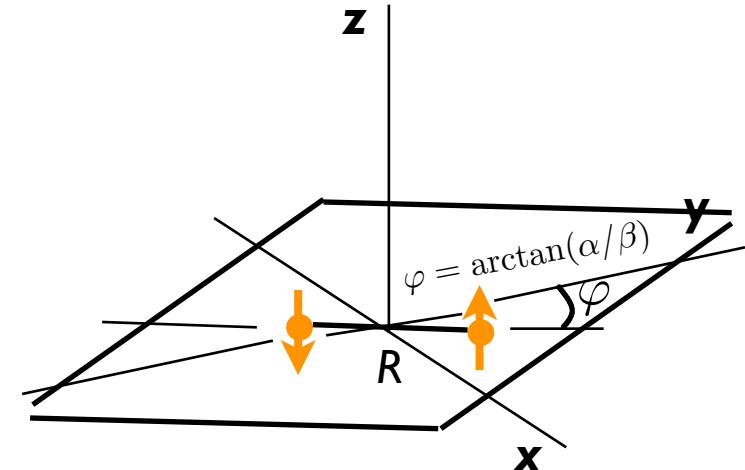


More useful choice of coordinate system: rotate  $x$ ,  $y$  by  $\pi/2 - \arctan(\alpha/\beta)$  around the  $z$ -axis



$$H_{\text{RKKY}}^{\text{SO}} = K_H \mathbf{S}_1 \cdot \mathbf{S}_2 + K_{\text{Ising}} S_1^y S_2^y + K_{\text{DM}} (\mathbf{S}_1 \times \mathbf{S}_2)^y$$

Anisotropies **unwanted**  
for the CNOT gate



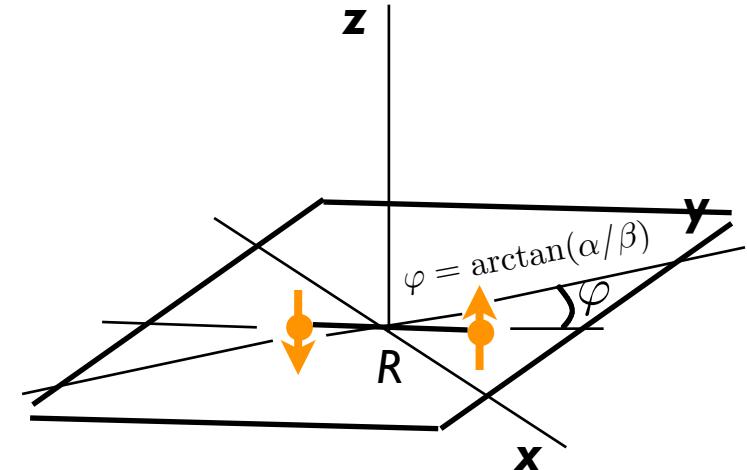
Bad for RKKY-control of two-qubit gating

More useful choice of coordinate system: rotate  $x, y$  by  $\pi/2 - \arctan(\alpha/\beta)$  around the  $z$ -axis



$$H_{\text{RKKY}}^{\text{SO}} = K_H \mathbf{S}_1 \cdot \mathbf{S}_2 + K_{\text{Ising}} S_1^y S_2^y + K_{\text{DM}} (\mathbf{S}_1 \times \mathbf{S}_2)^y$$

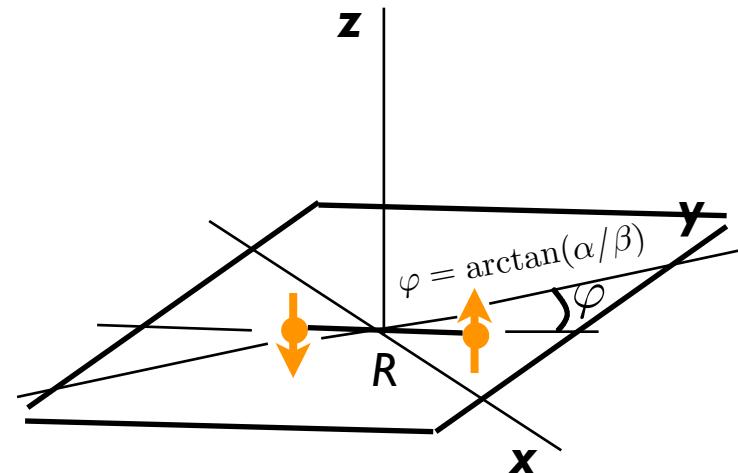
SU(2) symmetry recovered when  $|\alpha|=|\beta|$  !



More useful choice of coordinate system: rotate  $x, y$  by  $\pi/2 - \arctan(\alpha/\beta)$  around the  $z$ -axis



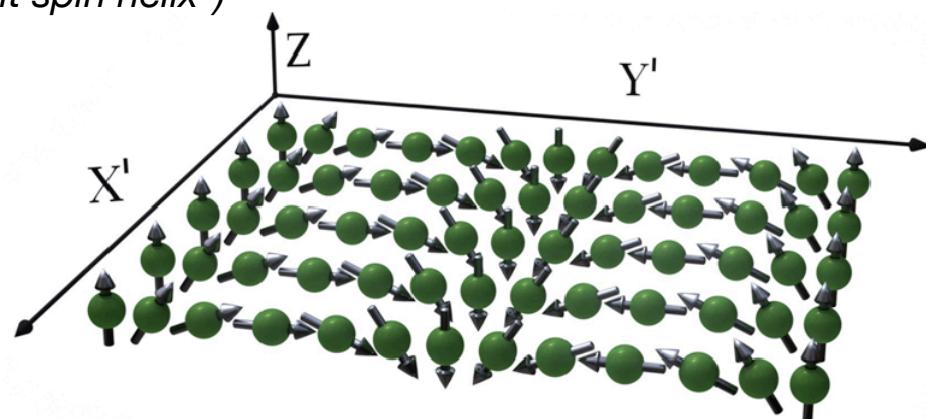
$$H_{\text{RKKY}}^{\text{SO}} = K_H \mathbf{S}_1 \cdot \mathbf{S}_2 + K_{\text{Ising}} S_1^y S_2^y + K_{\text{DM}} (\mathbf{S}_1 \times \mathbf{S}_2)^y$$



SU(2) symmetry recovered when  $|\alpha| = |\beta|$  !

Also predicted and observed in a 2DEG:  
conservation of phase and amplitude of  
a helical spin structure ("persistent spin helix")

B.A. Bernevig et al., PRL **97**, 236601 (2006)  
J. D. Koralek et al., Nature **458**, 610 (2009)

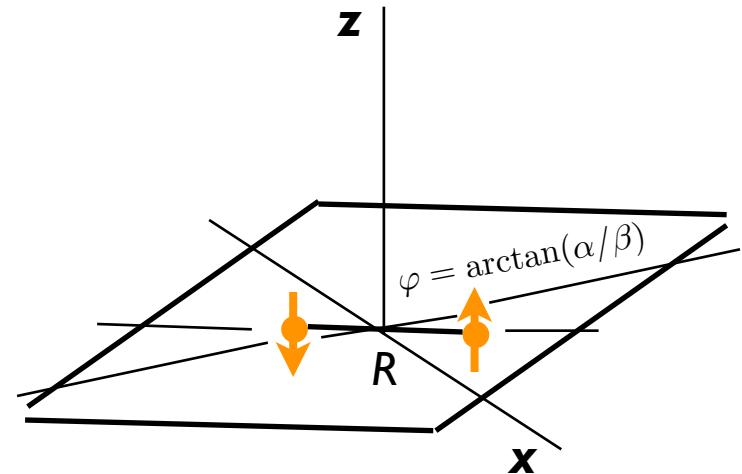


from J. D. Koralek et al., Nature **XX**, YYY (200Z)

More useful choice of coordinate system: rotate  $x, y$  by  $\pi/2 - \arctan(\alpha/\beta)$  around the  $z$ -axis



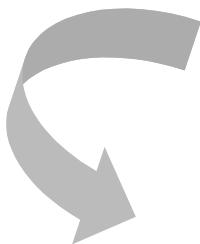
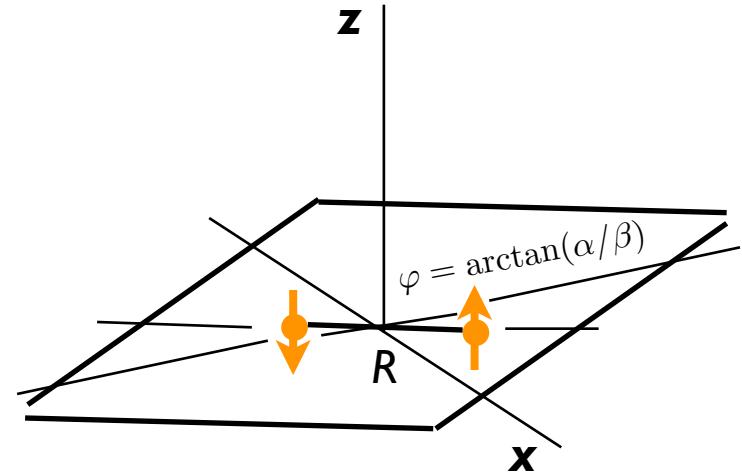
$$H_{\text{RKKY}}^{\text{SO}} = K_{\text{H}} \mathbf{S}_1 \cdot \mathbf{S}_2 + K_{\text{Ising}} S_1^y S_2^y + K_{\text{DM}} (\mathbf{S}_1 \times \mathbf{S}_2)^y$$



More useful choice of coordinate system: rotate  $x, y$  by  $\pi/2 - \arctan(\alpha/\beta)$  around the  $z$ -axis



$$H_{\text{RKKY}}^{\text{SO}} = K_{\text{H}} \mathbf{S}_1 \cdot \mathbf{S}_2 + K_{\text{Ising}} S_1^y S_2^y + K_{\text{DM}} (\mathbf{S}_1 \times \mathbf{S}_2)^y$$



$$K^y = K_{\text{H}} + K_{\text{Ising}}$$

$$e^{i\theta} K^\perp = K_{\text{H}} + iK_{\text{DM}}$$

$$\mathbf{S}'_2 = e^{i\theta S_2^y} \mathbf{S}_2 e^{-i\theta S_2^y}$$

$$H_{\text{RKKY}}^{\text{SO}} = K^\perp \mathbf{S}_1 \cdot \mathbf{S}'_2 + (K^y - K^\perp) S_1^y S_2'^y.$$

effect of spin-orbit interactions: **twist** and **anisotropy**

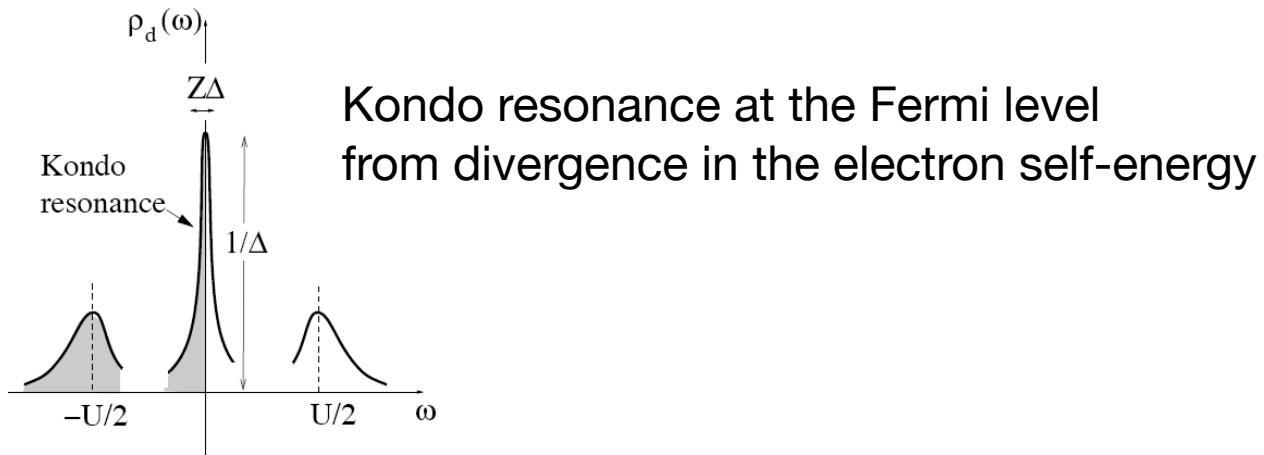
$K^y \neq K^\perp$  when Rashba and Dresselhaus are *both* present

By increasing the Kondo scale  $T_K$ , the twisted and anisotropic RKKY interaction gets competition from the Kondo effect...

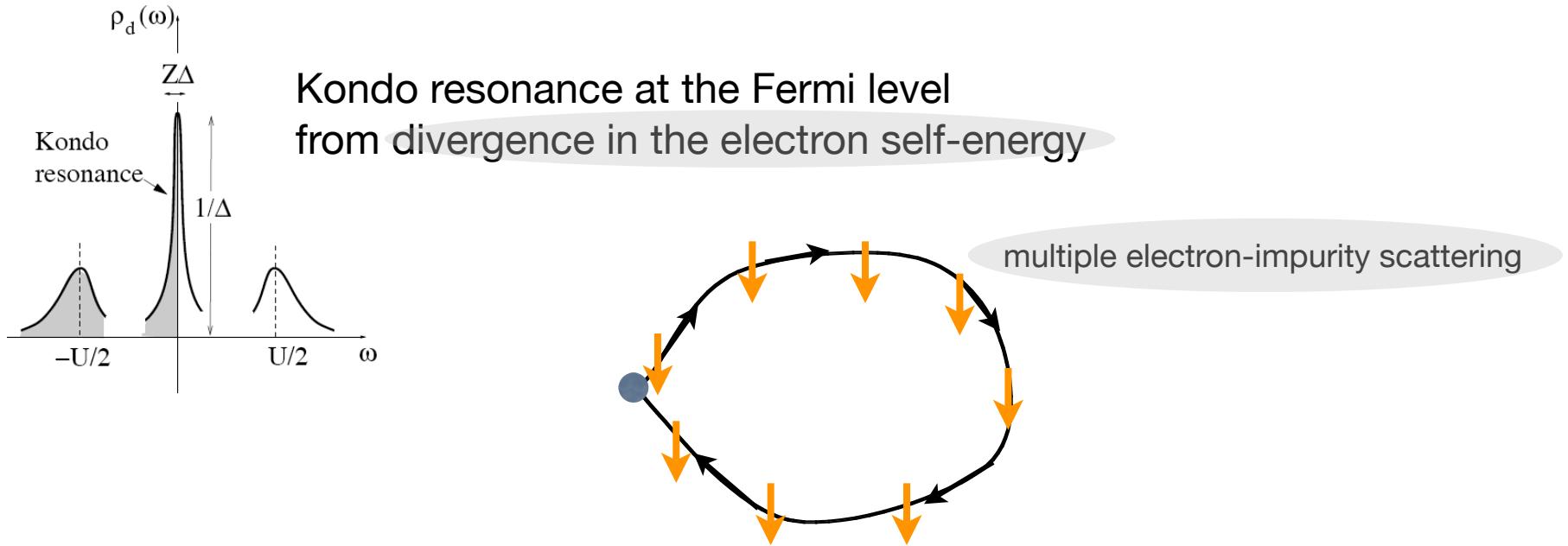
...what happens at the TIKM critical point?

Effect from spin-orbit interactions on single-impurity Kondo effect?

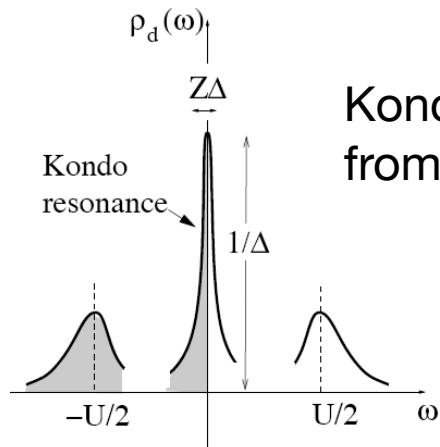
# Effect from spin-orbit interactions on single-impurity Kondo effect?



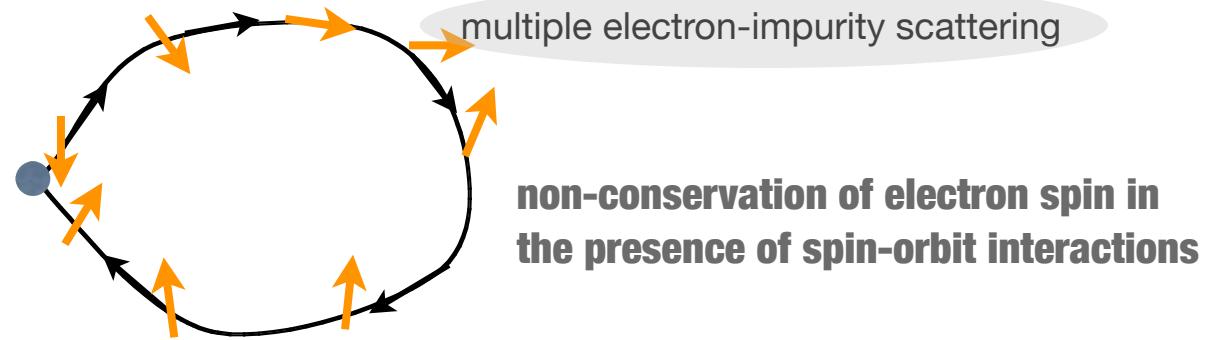
# Effect from spin-orbit interactions on single-impurity Kondo effect?



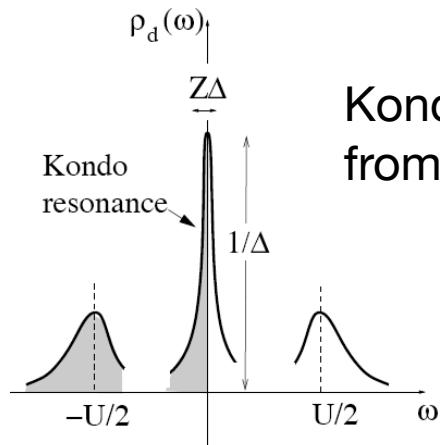
# Effect from spin-orbit interactions on single-impurity Kondo effect?



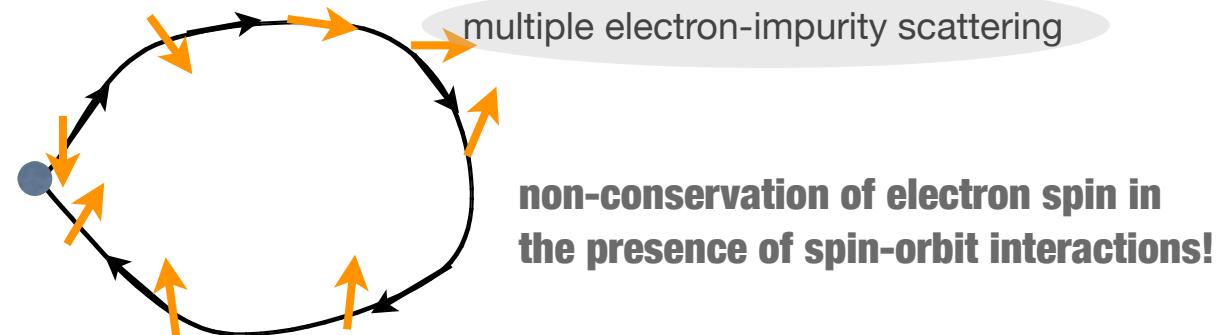
Kondo resonance at the Fermi level  
from divergence in the electron self-energy



# Effect from spin-orbit interactions on single-impurity Kondo effect?



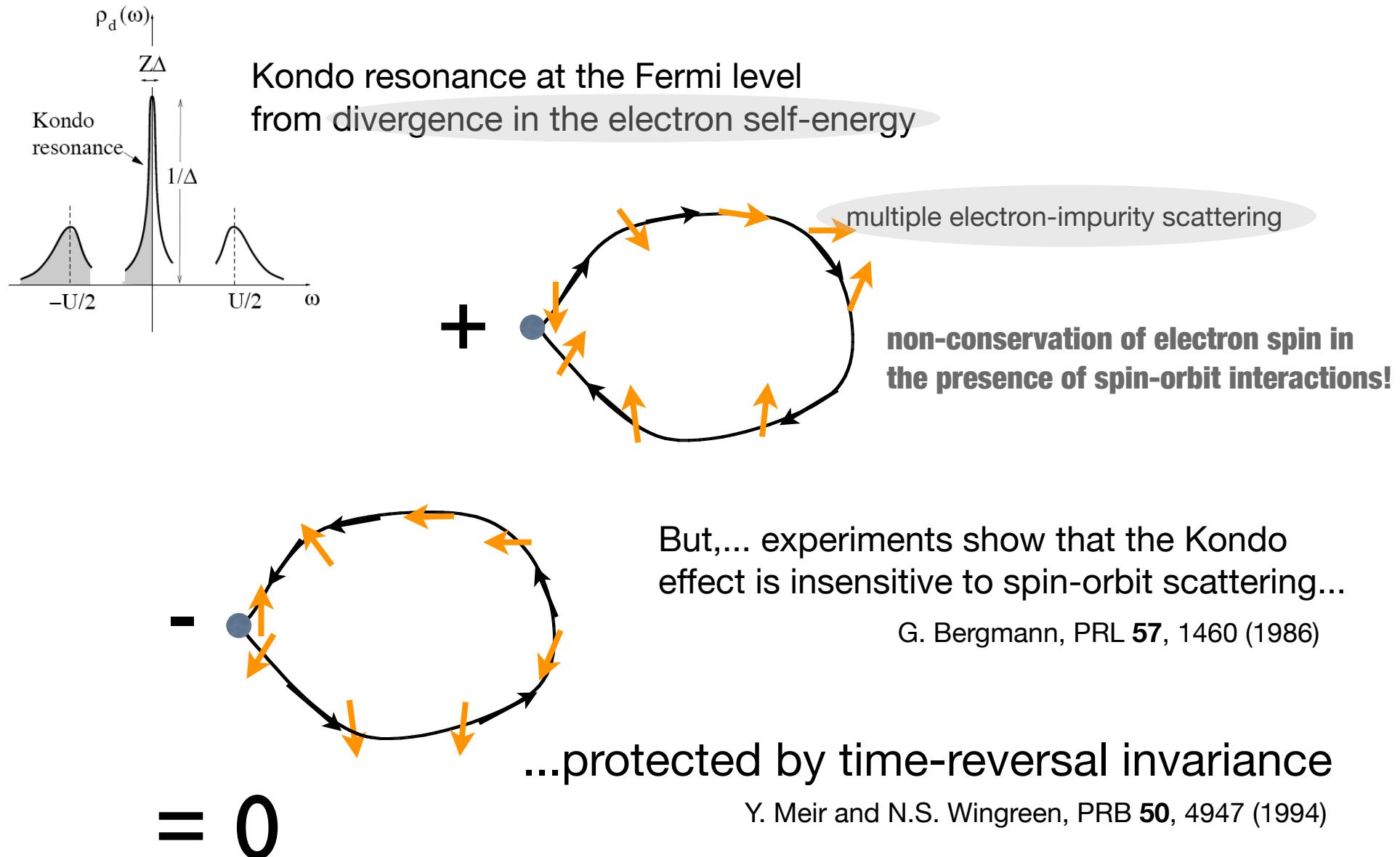
Kondo resonance at the Fermi level  
from divergence in the electron self-energy



But,... experiments show that the Kondo effect is insensitive to spin-orbit scattering...

G. Bergmann, PRL 57, 1460 (1986)

# Effect from spin-orbit interactions on single-impurity Kondo effect?



# Analysis of 2D single-impurity Kondo + Rashba model

J. Malecki, J. Stat. Phys. **129**, 741 (2007)

Pseudospin basis (mixing spin and angular momentum)

$$a_{k\pm\uparrow} = \frac{1}{\sqrt{2}}(\psi_{k0\uparrow} \mp i\psi_{k+1\downarrow})$$

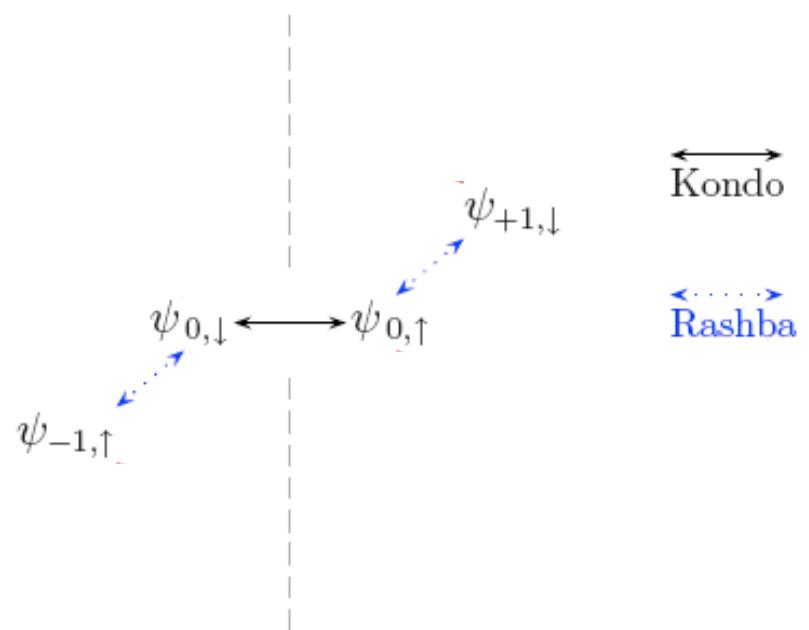
$$a_{k\pm\downarrow} = \frac{1}{\sqrt{2}}(\psi_{k0\downarrow} \mp i\psi_{k-1\uparrow})$$



two-channel anisotropic Kondo model;  
the weakly coupled channel drops out  
at low temperatures

$$\begin{aligned} H = & v_F \int dk k (a_{k\mu}^\dagger a_{k\mu} + \tilde{a}_{k\mu}^\dagger \tilde{a}_{k\mu}) \\ & + J^{\text{eff}} \frac{V k_F^0}{2\pi} \int dk dk' \mathbf{S} \cdot a_{k\mu}^\dagger \boldsymbol{\sigma}_{\mu\nu} a_{k'\nu} \end{aligned}$$

usual 1D low-energy Kondo model with  
rescaled coupling  $J^{\text{eff}} = J\sqrt{1 + m\alpha^2/2\epsilon_F}$



Extension to Kondo + Dresselhaus (only) is straightforward...

Pseudospin basis (mixing spin and angular momentum)

$$a_{k\pm\uparrow} = \frac{1}{\sqrt{2}}(\psi_{k0\uparrow} \mp i\psi_{k-\textcolor{red}{1}\downarrow})$$

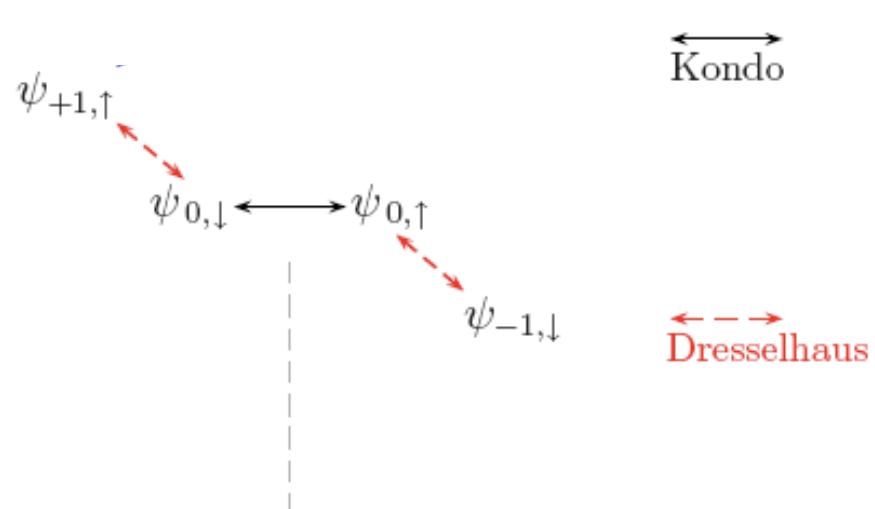
$$a_{k\pm\downarrow} = \frac{1}{\sqrt{2}}(\psi_{k0\downarrow} \mp i\psi_{k+\textcolor{red}{1}\uparrow})$$



two-channel anisotropic Kondo model;  
the weakly coupled channel drops out  
at low temperatures

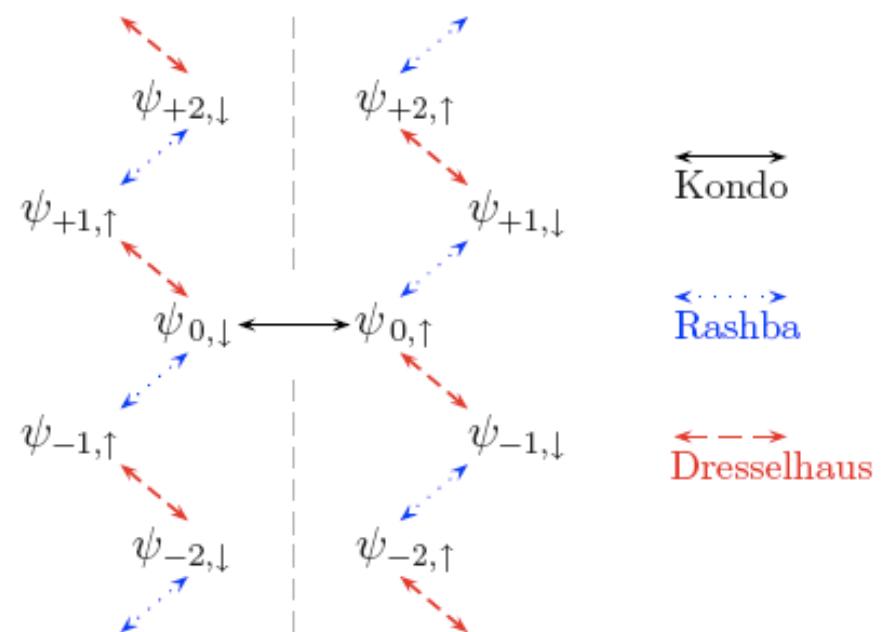
$$\begin{aligned} H &= v_F \int dk k (a_{k\mu}^\dagger a_{k\mu} + \tilde{a}_{k\mu}^\dagger \tilde{a}_{k\mu}) \\ &+ J^{\text{eff}} \frac{V k_F^0}{2\pi} \int dk dk' \mathbf{S} \cdot a_{k\mu}^\dagger \boldsymbol{\sigma}_{\mu\nu} a_{k'\nu} \end{aligned}$$

usual 1D low-energy Kondo model with  
rescaled coupling  $J^{\text{eff}} = J \sqrt{1 + m\beta^2/2\epsilon_F}$



Rashba + Dresselhaus couple an infinite number of orbital angular modes to the impurity

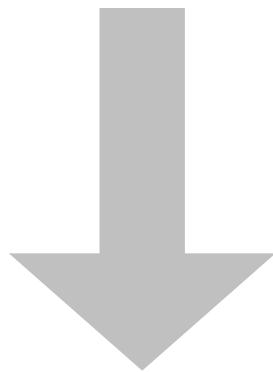
*work in progress...*



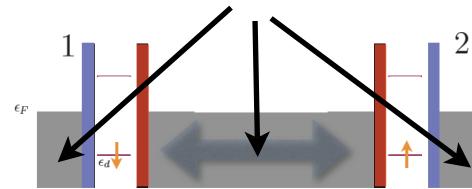
## Summarizing spin-orbit effects:

Kondo exchange:  $H_{\text{el-imp}}^{\text{SO}} = \underset{\text{rescaled coupling}}{JS} \cdot \boldsymbol{\sigma}$

RKKY:  $H_{\text{RKKY}}^{\text{SO}} = K^{\perp} \underset{\text{twist}}{S_1 \cdot S'_2} + (K^y - K^{\perp}) \underset{\text{anisotropy}}{S_1^y S_2'^y}$ .



TIKM with spin-orbit interactions

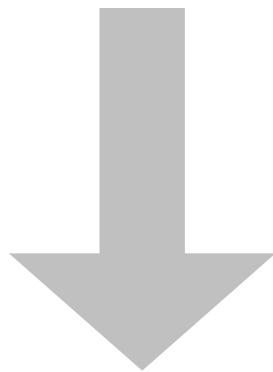


$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}'_2 \cdot \boldsymbol{\sigma}_2 + K^{\perp} \mathbf{S}_1 \cdot \mathbf{S}'_2 + (K^y - K^{\perp}) S_1^y S_2'^y$$

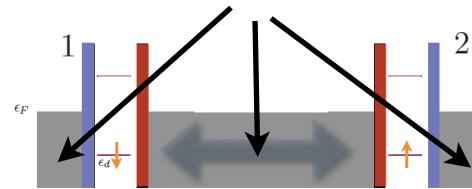
## Spin-orbit effects:

Kondo exchange:  $H_{\text{el-imp}}^{\text{SO}} = \underbrace{JS \cdot \sigma}_{\text{rescaled coupling}}$

RKKY:  $H_{\text{RKKY}}^{\text{SO}} = K^{\perp} \underbrace{S_1 \cdot S'_2}_{\text{twist}} + (K^y - K^{\perp}) S_1^y S_2'^y.$  anisotropy



TIKM with spin-orbit interactions



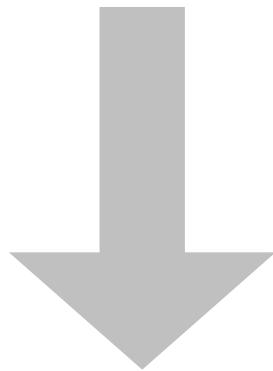
$$H_{\text{TIKM}}^{\text{SO}} = \underbrace{H_{\text{kin}} + J_1 S_1 \cdot \sigma_1 + J_2 S'_2 \cdot \sigma_2}_{\text{with Rashba or Dresselhaus}} + K^{\perp} S_1 \cdot S'_2 + (K^y - K^{\perp}) S_1^y S_2'^y$$

with Rashba **or** Dresselhaus

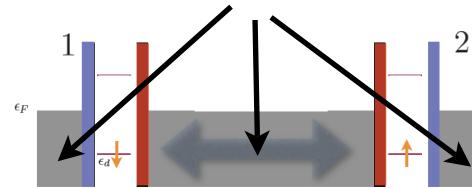
## Spin-orbit effects:

Kondo exchange:  $H_{\text{el-imp}}^{\text{SO}} = \underbrace{JS \cdot \sigma}_{\text{rescaled coupling}}$

RKKY:  $H_{\text{RKKY}}^{\text{SO}} = K^{\perp} \underbrace{\mathbf{S}_1 \cdot \mathbf{S}'_2}_{\text{twist}} + (K^y - K^{\perp}) S_1^y S_2'^y.$  anisotropy



TIKM with spin-orbit interactions



$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}'_2 \cdot \boldsymbol{\sigma}_2 + K^{\perp} \mathbf{S}_1 \cdot \mathbf{S}'_2 + (K^y - K^{\perp}) S_1^y S_2'^y$$

with Rashba **and** Dresselhaus in the central reservoir /  
Rashba **or** Dresselhaus in the external leads

$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \boldsymbol{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \boldsymbol{S}'_2 \cdot \boldsymbol{\sigma}_2 + K^\perp \boldsymbol{S}_1 \cdot \boldsymbol{S}'_2 + (K^y - K^\perp) S_1^y S_2'^y$$

## Critical behavior?

$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}'_2 \cdot \boldsymbol{\sigma}_2 + K^\perp \mathbf{S}_1 \cdot \mathbf{S}'_2$$

## Critical behavior?

$$K^\perp = K^y, \theta \text{ arbitrary}$$

rotate also the spins of the electrons which couple to  $\mathbf{S}'_2$

$$\psi_2 \rightarrow \psi'_2 = e^{-i\theta\tau^y/2} \psi_2$$



$$H_{\text{TIKM}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}'_2 \cdot \boldsymbol{\sigma}'_2 + K^\perp \mathbf{S}_1 \cdot \mathbf{S}'_2$$

The model represented in a twisted spin basis = the ordinary TIKM

→ same critical behavior for all  $\theta$

$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}_2 \cdot \boldsymbol{\sigma}_2 + K^\perp \mathbf{S}_1 \cdot \mathbf{S}_2 + (K^y - K^\perp) S_1^y S_2^y$$

## Critical behavior?

$$K^\perp \neq K^y, \theta = 0$$

$$SU(2) \rightarrow U(1)$$

Kondo exchange anisotropies do not produce any RG-relevant or new correction-to-scaling operator



I. Affleck *et al.*, PRB **52**, 9528 (1995)

**same critical behavior for all  $K^\perp \neq K^y$**

$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}_2 \cdot \boldsymbol{\sigma}_2 + K^\perp \mathbf{S}_1 \cdot \mathbf{S}_2 + (K^y - K^\perp) S_1^y S_2^y$$

## Critical behavior?

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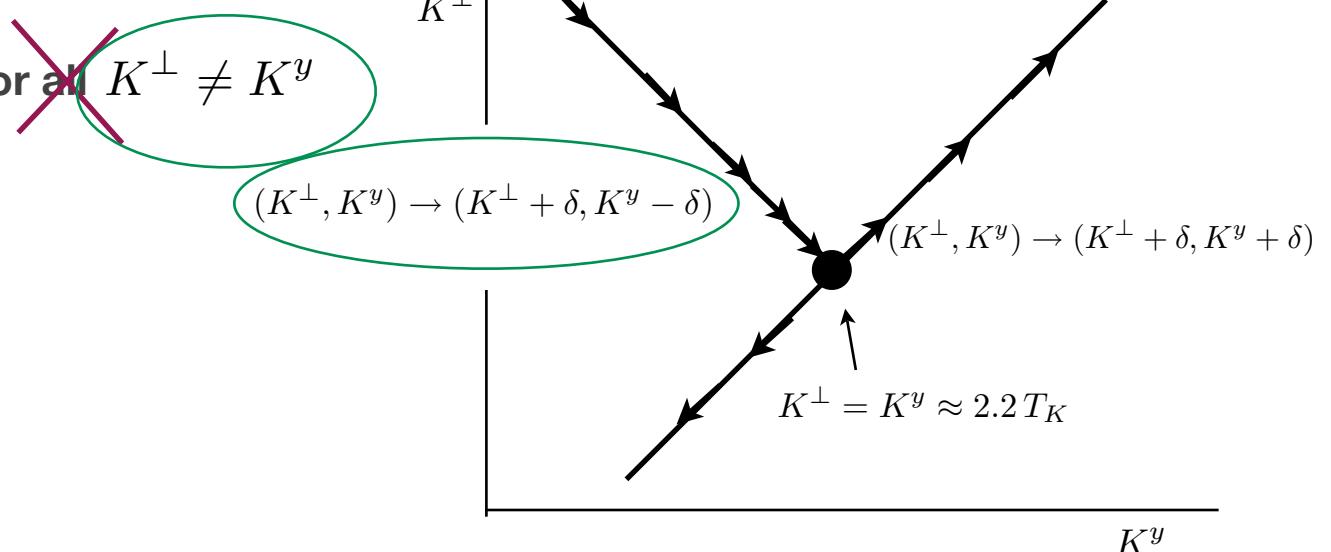
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I. Affleck et al., PRB 52, 9528 (1995)

same critical behavior for all  $K^\perp \neq K^y$

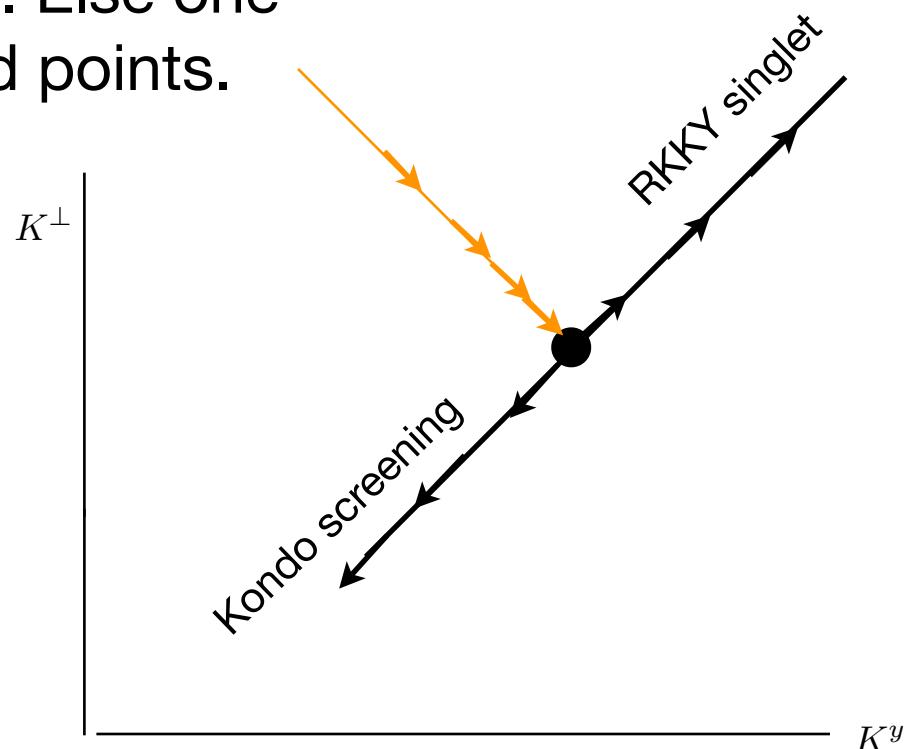


$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}'_2 \cdot \boldsymbol{\sigma}_2 + K^\perp \mathbf{S}_1 \cdot \mathbf{S}'_2 + (K^y - K^\perp) S_1^y S'_2$$

## Critical behavior?

$K^\perp \neq K^y$ ,  $\theta$  arbitrary

With fine-tuned  $K^y, K^\perp$  the critical behavior is the same as for the ordinary TIKM. Else one flows towards one of the stable fixed points.

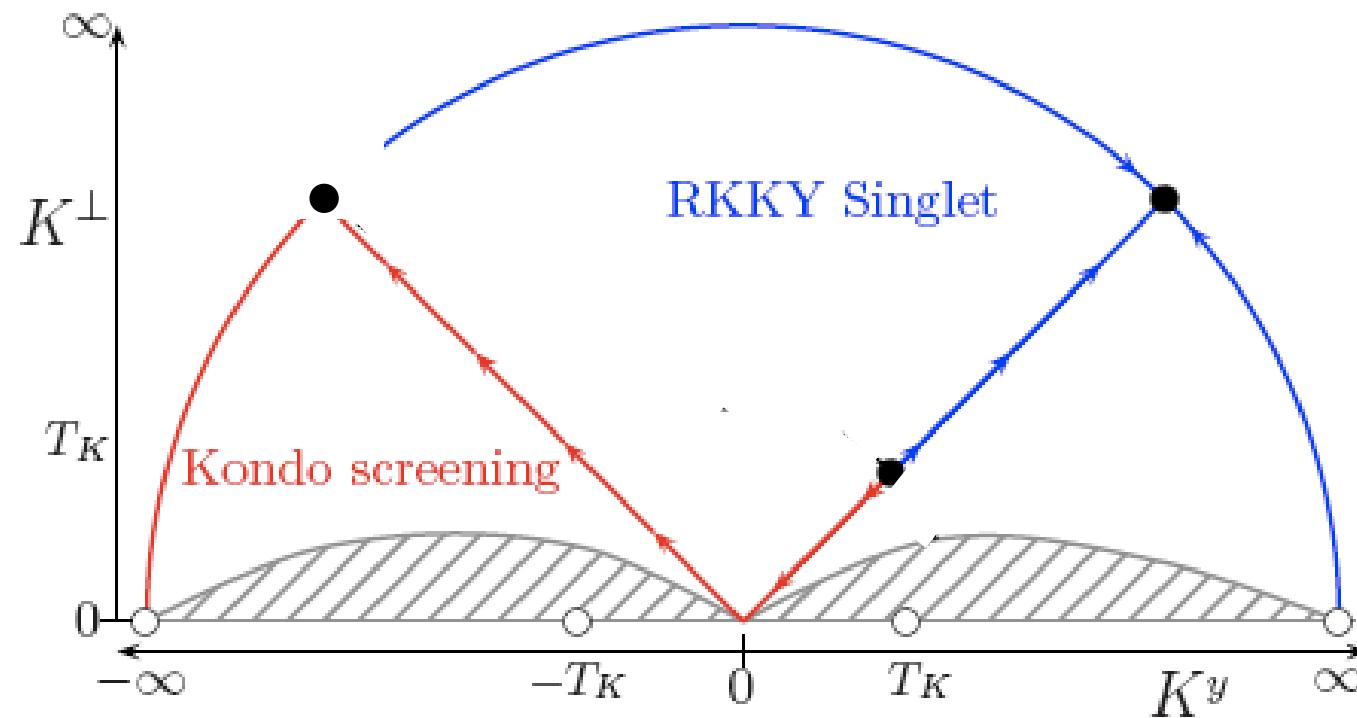


$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \boldsymbol{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \boldsymbol{S}'_2 \cdot \boldsymbol{\sigma}_2 + K^\perp \boldsymbol{S}_1 \cdot \boldsymbol{S}'_2 + (K^y - K^\perp) S_1^y S_2'^y$$

## Global RG flow?

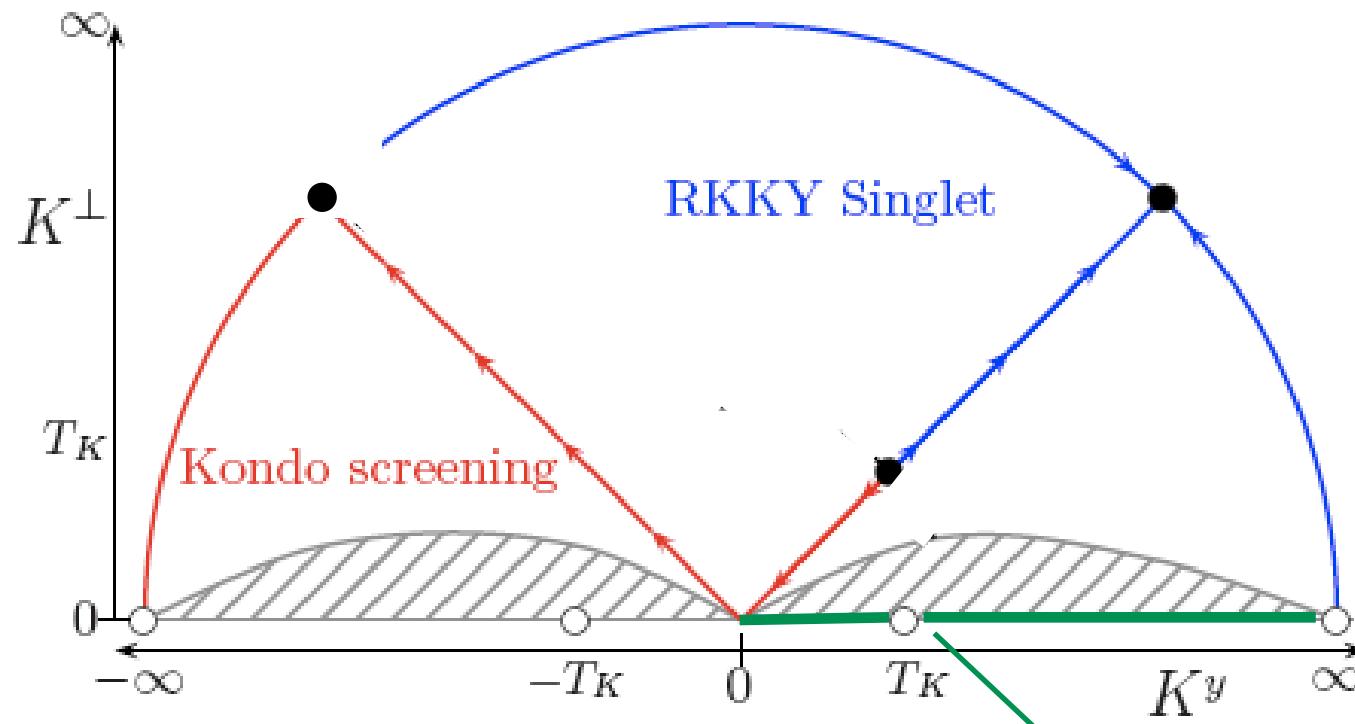
$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}'_2 \cdot \boldsymbol{\sigma}_2 + K^\perp \mathbf{S}_1 \cdot \mathbf{S}'_2 + (K^y - K^\perp) S_1^y S'_2{}^y$$

## Global RG flow?



$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}'_2 \cdot \boldsymbol{\sigma}_2 + K^\perp \mathbf{S}_1 \cdot \mathbf{S}'_2 + (K^y - K^\perp) S_1^y S'_2$$

## Global RG flow?

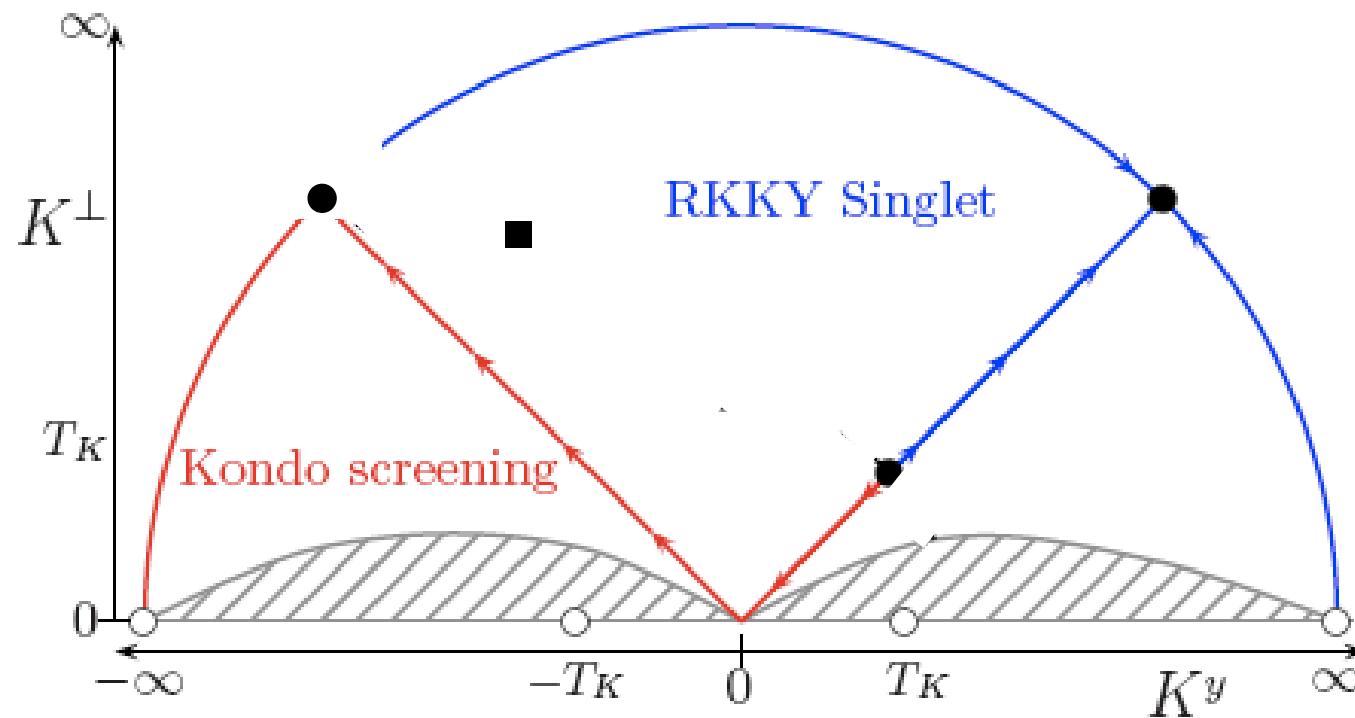


Ising-coupled impurities, quantum phase transition at  $K^y \approx T_K$  with different behavior.

N. Andrei et al., PRB **60**, 5125(R) (1999)

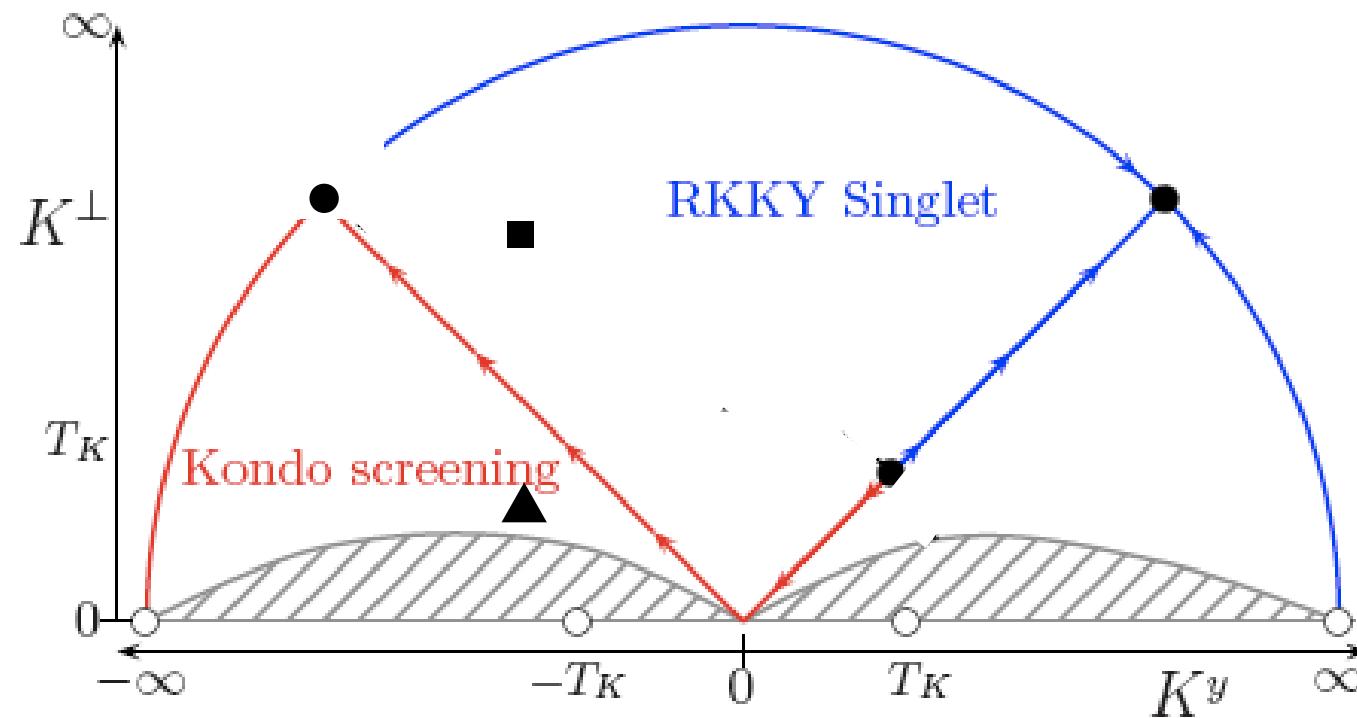
$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}'_2 \cdot \boldsymbol{\sigma}_2 + K^\perp \mathbf{S}_1 \cdot \mathbf{S}'_2 + (K^y - K^\perp) S_1^y S'_2$$

## Global RG flow?



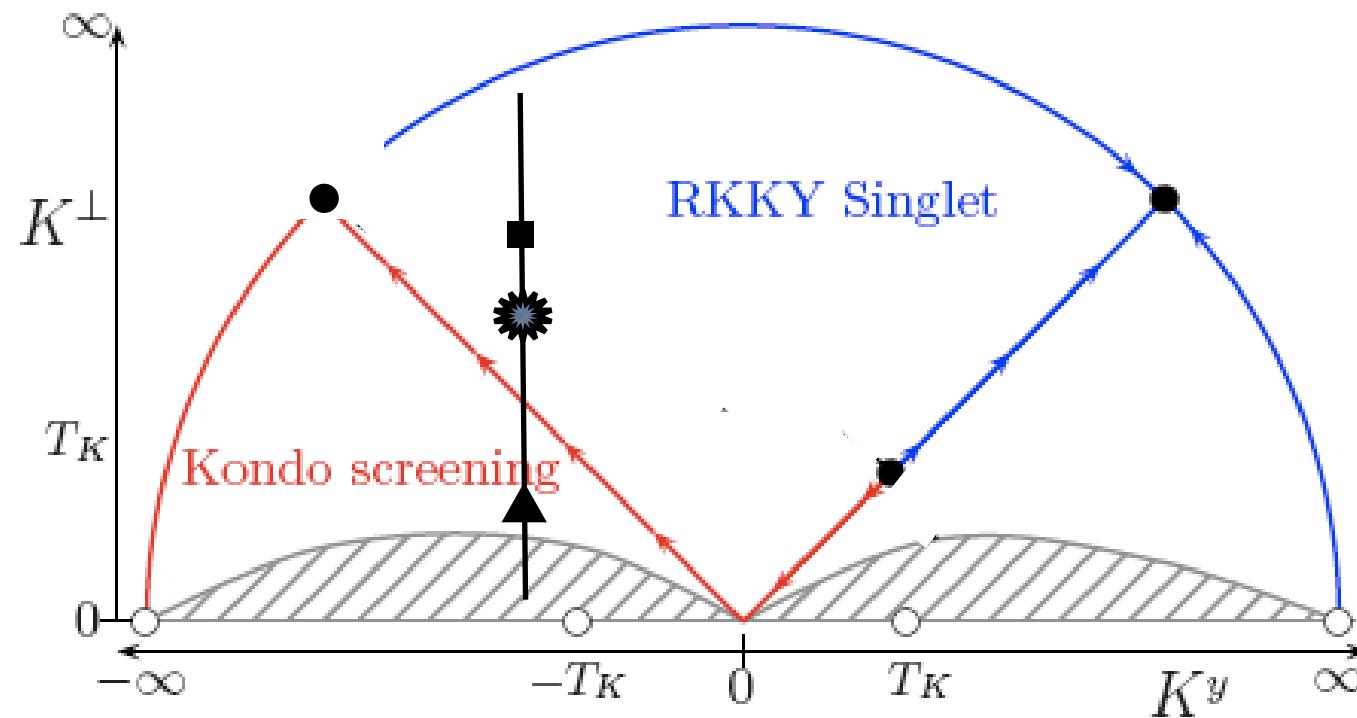
$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}'_2 \cdot \boldsymbol{\sigma}_2 + K^\perp \mathbf{S}_1 \cdot \mathbf{S}'_2 + (K^y - K^\perp) S_1^y S'_2{}^y$$

## Global RG flow?



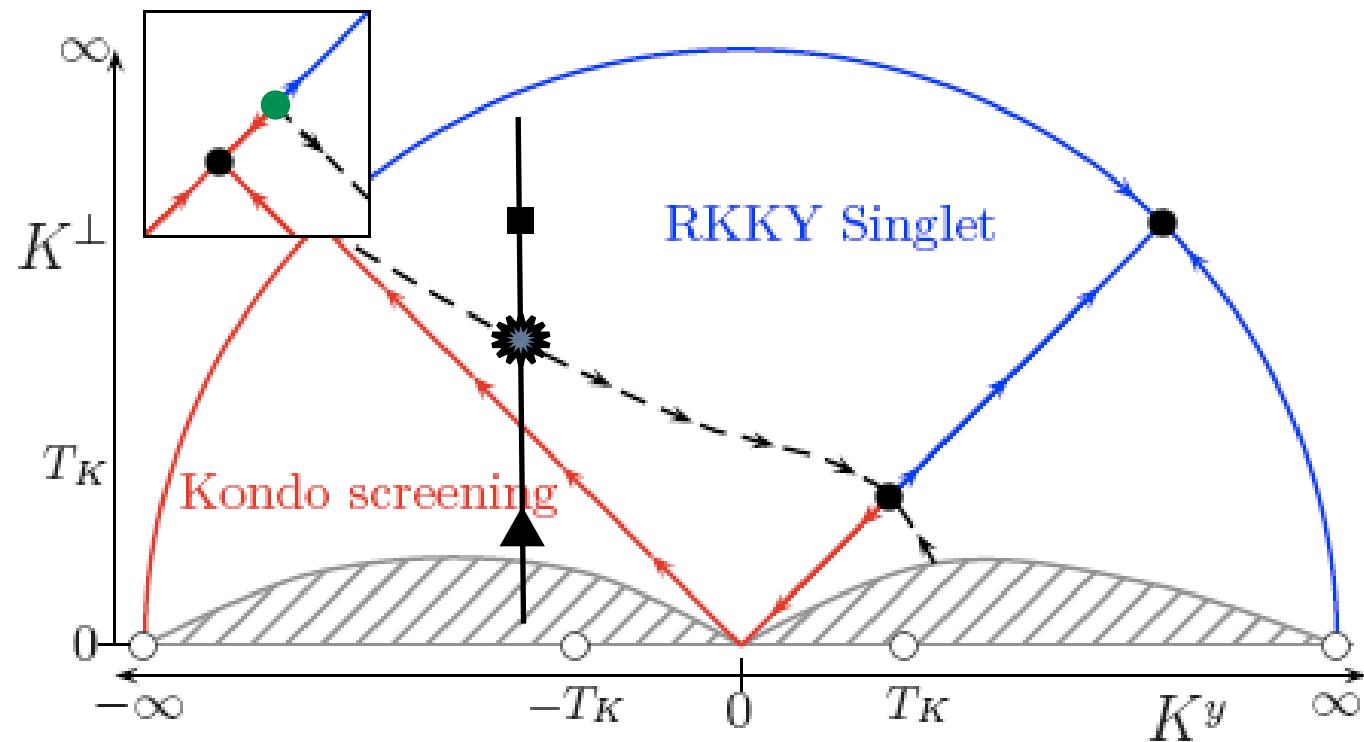
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## Global RG flow?



$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}'_2 \cdot \boldsymbol{\sigma}_2 + K^\perp \mathbf{S}_1 \cdot \mathbf{S}'_2 + (K^y - K^\perp) S_1^y S'_2{}^y$$

## Global RG flow?



# Summary

2D two-impurity Kondo model with *spin-orbit interactions*

$$H_{\text{TIKM}}^{\text{SO}} = H_{\text{kin}} + J_1 \mathbf{S}_1 \cdot \boldsymbol{\sigma}_1 + J_2 \mathbf{S}'_2 \cdot \boldsymbol{\sigma}_2 + K^\perp \mathbf{S}_1 \cdot \mathbf{S}'_2 + (K^y - K^\perp) S_1^y S_2'^y$$

- the RKKY interaction gets “twisted”, with an Ising anisotropy
- SU(2) invariance recovered when  $|\text{Rashba}| = |\text{Dresselhaus}|$   
good for RKKY-controlled two-qubit gates
- “fine-tuning” of  $K^y, K^\perp \rightarrow$  same quantum critical behavior as with no spin-orbit interactions
- possible new unstable fixed point for  $(K^y, K^\perp) \rightarrow (K_0^y, \infty)$

Numerics on amplitudes, crossover effects from higher-order tunneling,  
Rashba and Dresselhaus in the leads, global RG flow,... *work in progress*

...spin-orbit interactions *in* the Kondo dots (multi-channel two-impurity Kondo model...?)