

# CONVERGENCE RATE OF THE GLIMM SCHEME

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ABSTRACT. In this paper we prove that there exists a random sequence  $\theta_i$  for the Glimm scheme such that the approximate solution  $u^\varepsilon(t)$  converges to the exact semigroup solution  $S_t \bar{u}$  of the strictly hyperbolic system of conservation laws

$$u_t + f(u)_x = 0, \quad u(t=0) = \bar{u}$$

as follows: for all  $T \geq 0$  it holds

$$\lim_{\varepsilon \rightarrow 0} \frac{\|u^\varepsilon(T) - S_T \bar{u}\|}{\sqrt{|\varepsilon|} |\log \varepsilon|} = 0.$$

This result is the extension of the analysis of [9] to the general case, when no assumptions on the flux  $f$  are made besides strict hyperbolicity. As a corollary, we obtain a deterministic version of the Glimm scheme for the general system case, extending the analysis of [15].

The analysis requires an extension of the quadratic interaction estimates obtained in [3] in order to analyze interaction occurring during an interval of time.

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## 1. INTRODUCTION

A system of conservation laws in one space dimension (see [5]) is a system of PDEs of the form

$$(1.1) \quad \boxed{\mathbf{E\_cons}} \quad u_t + f(u)_x = 0,$$

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where  $u : [0, \infty) \times \mathbb{R} \rightarrow \mathbb{R}^n$  is the unknown and  $f : \Omega \subseteq \mathbb{R}^n \rightarrow \mathbb{R}^n$  is a given smooth ( $C^3$ ) map, called *flux*, defined on a neighborhood  $\Omega$  of a compact set  $K \subseteq \mathbb{R}^n$  and satisfying the strict hyperbolicity condition, i.e. the Jacobian  $Df(u)$  of  $f$  has  $n$  distinct eigenvalues

$$\lambda_1(u) < \dots < \lambda_n(u)$$

in each point  $u \in \Omega$  of its domain. Throughout this paper, we will assume w.l.o.g. that  $0 \in K \subseteq \Omega$  and

$$(1.2) \quad \boxed{\text{E\_bounds\_on\_lambda}} \quad \lambda_k(u) \in [0, 1] \quad \text{for any } k \text{ and for any } u.$$

This can always be achieved by a change of variable in the  $(t, x)$ -plane. As it is customary, denote by  $r_1(u), \dots, r_n(u)$  the right eigenvalues (normalized to 1) associated to  $\lambda_1(u), \dots, \lambda_n(u)$  respectively:

$$Df(u)r_k(u) = \lambda_k(u)r_k(u), \quad \text{for any } k = 1, \dots, n \text{ and for any } u \in \Omega.$$

Equation (1.1) is usually coupled with an initial datum

$$(1.3) \quad \boxed{\text{E\_init\_datum}} \quad u(t=0) = \bar{u},$$

where  $\bar{u} : \mathbb{R} \rightarrow \mathbb{R}^n$  is a given map, with sufficiently small total variation. W.l.o.g. we assume also that  $\bar{u}$  has compact support.

It is well known that classical (smooth) solutions to the Cauchy problem (1.1), (1.3) are in general not defined on the whole time interval  $[0, \infty)$ , even if the initial datum is smooth, because they develop discontinuities in finite time. On the other side, the notion of distributional solution is too weak to guarantee the uniqueness. For this reasons the notion of solution which is typically used is the following one.

**Definition 1.1.** A map  $u : [0, \infty) \times \mathbb{R} \rightarrow \mathbb{R}^n$  belonging to  $L^1_{\text{loc}}$  is said to be a *weak solution* of the Cauchy problem (1.1), (1.3) if:

- (1)  $u$  satisfies equation (1.1) in the sense of distributions;
- (2)  $u$  is continuous as a map  $[0, \infty) \rightarrow L^1_{\text{loc}}(\mathbb{R}; \mathbb{R}^n)$ ;
- (3) at time  $t = 0$ ,  $u(0, x) = \bar{u}(x)$ ;
- (4)  $u$  satisfies some additional admissibility criteria, which come from physical or stability considerations and guarantee the uniqueness of the solution.

Many admissibility criteria have been proposed in the literature: just to name a few, the Lax-Liu condition on shocks (see [11, 13, 14]), the entropy condition (see [12]), the vanishing viscosity criterion (see [2]). We do not want to enter into details: the interested reader can refer to the cited literature.

(Ss:intro\_rp)

**1.1. The Riemann problem.** The basic ingredient to solve the Cauchy problem (1.1), (1.3) is the solution of the Riemann problem, i.e. the Cauchy problem when the initial datum has the simple form

$$(1.4) \quad \boxed{\text{E\_rp}} \quad u(0, x) = \bar{u}(x) = \begin{cases} u^L & \text{if } x < 0, \\ u^R & \text{if } x \geq 0. \end{cases}$$

The solution of the Riemann problem (1.1)-(1.4) was obtained first by P. Lax in 1957 [11], under the assumption that each characteristic field is either *genuinely non linear* (GNL), i.e.  $\nabla \lambda_k(u) \cdot r_k(u) \neq 0$  for any  $u$  or *linearly degenerate* (LD), i.e.  $\nabla \lambda_k(u) \cdot r_k(u) = 0$  for any  $u$ . In this case, if  $|u^R - u^L| \ll 1$ , using Implicit Function Theorem, one can find intermediate states  $u^L = \omega_0, \omega_1, \dots, \omega_n = u^R$  such that each pair of adjacent states  $(\omega_{k-1}, \omega_k)$  can be connected by either a shock or a rarefaction wave or a contact discontinuity of the  $k$ -th family. The complete solution is now obtained by piecing together the solutions of the  $n$  Riemann problems  $(\omega_{k-1}, \omega_k)$  on different sectors of the  $(t, x)$ -plane.

In the general case (here and in the rest of the paper, by *general case* we mean that no assumption on  $f$  is made besides strict hyperbolicity) the solution to the Riemann problem  $(u^L, u^R)$  was obtained by S. Bianchini and A. Bressan in [2]. They first construct, for any left state  $u^L$  and for any family  $k = 1, \dots, n$ , a curve  $s \rightarrow T_s^k u^L$  of *admissible right states*, defined for  $s \in \mathbb{R}$  small enough, such that the Riemann problem  $(u^L, T_s^k u^L)$  can be solved by (countable many) admissible shocks (in the sense of limit of viscosity approximations), contact discontinuities and rarefactions waves. Then, as in the GNL/LD case, the global solution of  $(u^L, u^R)$  is obtained by piecing together the solutions of  $n$  Riemann problems, one for each family:  $u^R = T_{s_n}^n \circ \dots \circ T_{s_1}^1 u^L$ . In Section 2.1 we briefly recall the construction of the admissible curves  $s \mapsto T_s^k u^L$ .

(S\_glimm\_gnl) **1.2. Glimm approximate solutions in the GNL/LD case.** The first result about existence of solutions to the Cauchy problem (1.1), (1.3) can be found in the celebrated paper by J. Glimm [10] in 1965, in which the existence of solutions is proved under the assumption that each characteristic field is either GNL or LD. In [10], for any  $\varepsilon > 0$  an approximate solution  $u^\varepsilon(t, x)$  is constructed by recursion as follows. First of all, take any sampling sequence  $\{\vartheta_i\}_{i \in \mathbb{N}} \subseteq [0, 1]$ . The algorithm starts choosing, at time  $t = 0$ , an approximation  $\bar{u}^\varepsilon$  of the initial datum  $\bar{u}$ , such that  $\bar{u}^\varepsilon$  is compactly supported, right continuous, piecewise constant with jumps located at point  $t = m\varepsilon$ ,  $m \in \mathbb{Z}$ . We can thus separately solve the Riemann problems located at  $(t, x) = (0, m\varepsilon)$ ,  $m \in \mathbb{Z}$ . Thanks to (1.2), the solution  $u^\varepsilon(t, x)$  can now be prolonged up to time  $t = \varepsilon$ . At  $t = \varepsilon$  a restarting procedure is used. The value of  $u^\varepsilon$  at time  $\varepsilon$  is redefined as

$$(1.5) \quad \boxed{\text{E\_rest\_proc}} \quad u^\varepsilon(\varepsilon+, x) := u^\varepsilon(\varepsilon-, m\varepsilon + \vartheta_1\varepsilon), \quad \text{if } x \in [m\varepsilon, (m+1)\varepsilon).$$

The solution  $u(\varepsilon, \cdot)$  is now again piecewise constant, with discontinuities on points of the form  $x = m\varepsilon$ ,  $m \in \mathbb{Z}$ . If the sizes of the jumps are sufficiently small, we can again solve the Riemann problem at each point  $(t, x) = (\varepsilon, m\varepsilon)$ ,  $m \in \mathbb{Z}$  and thus prolong the solution up to time  $2\varepsilon$ , where again the restarting procedure (1.5) is used, with and  $\vartheta_2$  instead of  $\vartheta_1$ . The above procedure can be repeated on any time interval  $[i\varepsilon, (i+1)\varepsilon]$ ,  $i \in \mathbb{N}$ , as far as the size of the jump at each point  $(i\varepsilon, m\varepsilon)$ ,  $i \in \mathbb{N}, m \in \mathbb{Z}$ , remains small enough, or, in other words, as far as

$$(1.6) \quad \boxed{\text{E\_tv\_small}} \quad \text{Tot.Var.}(u^\varepsilon(t); \mathbb{R}) \ll 1.$$

In order to prove (1.6), Glimm introduced a uniformly bounded decreasing functional  $t \mapsto Q^{\text{Glimm}}(t) \leq \mathcal{O}(1)\text{Tot.Var.}(\bar{u})^2$ , such that at any time  $i\varepsilon$ ,  $i \in \mathbb{N}$ ,

$$(1.7) \quad \boxed{\text{E\_incrTot}} \quad \text{Tot.Var.}(u^\varepsilon(i\varepsilon+); \mathbb{R}) - \text{Tot.Var.}(u^\varepsilon(i\varepsilon-); \mathbb{R}) \leq \mathcal{O}(1)(Q^{\text{Glimm}}(i\varepsilon-) - Q^{\text{Glimm}}(i\varepsilon+)).$$

Here and in the following  $\mathcal{O}(1)$  denotes a constant which depends only on the flux  $f$  and on the sampling sequence  $\{\vartheta_i\}_i$ . As an immediate consequence, we get  $\text{Tot.Var.}(u^\varepsilon(t); \mathbb{R}) \leq \mathcal{O}(1)\text{Tot.Var.}(u^\varepsilon(0); \mathbb{R}) \ll 1$  and thus the solution  $u^\varepsilon(t, x)$  can be defined on the whole  $(t, x)$ -plane  $[0, \infty) \times \mathbb{R}$ . The uniform bound on the  $\text{Tot.Var.}(u^\varepsilon(t); \mathbb{R})$  yields a compactness on the family  $\{u^\varepsilon\}_\varepsilon$ : we can thus extract a converging subsequence, which turns out to be, for almost every sampling sequence  $\{\vartheta_i\}_i$ , a weak admissible solution of the Cauchy problem (1.1), (1.3).

In 1977 T.-P. Liu [?] improved Glimm's result, showing that if the sampling sequence is *equidistributed*, that means that for any  $\lambda \in [0, 1]$ ,

$$\lim_{j \rightarrow \infty} \frac{\#\{i \in \mathbb{N} \mid 1 \leq i \leq j \text{ and } \vartheta_i \in [0, \lambda]\}}{j} = \lambda,$$

then the subsequence extracted from  $\{u^\varepsilon\}_\varepsilon$  converges to a weak admissible solution of (1.1), (1.3).

A different approach which relies on results about the stability of the solution of (1.1), (1.3) w.r.t the initial datum  $\bar{u}$  led to the introduction of the notion of *standard Riemann semigroup*.

?(D\_srs)? **Definition 1.2.** A *standard Riemann semigroup* for the system of conservation laws (1.1) is a map  $S : \mathcal{D} \times [0, \infty) \rightarrow \mathcal{D}$ , defined on a domain  $\mathcal{D} \subseteq L^1(\mathbb{R}; \mathbb{R}^n)$  containing all functions with sufficiently small total variation, with the following properties:

- (1) for some Lipschitz constants  $L, L'$ ,

$$(1.8) \quad \boxed{\text{E\_semigr\_lip}} \quad \|S_t \bar{u} - S_s \bar{v}\|_1 \leq L \|\bar{u} - \bar{v}\|_1 + L'|t - s|, \quad \text{for any } \bar{u}, \bar{v} \in \mathcal{D}, \quad t, s \geq 0;$$

- (2) if  $\bar{u} \in \mathcal{D}$  is piecewise constant, then for  $t > 0$  sufficiently small  $S_t \bar{u}$  coincides with the solution of (1.1), (1.3), which is obtained by piecing together the standard self-similar solutions of the corresponding Riemann problems.

In the GNL/LD case it is proved (see, among others, [6], [17], [8]) that any system of conservation laws admits a standard Riemann semigroup and that at any time  $t \geq 0$  the solution  $u(t)$  obtained as limit of Glimm approximations  $u^\varepsilon(t)$ , for the initial datum  $\bar{u}$ , coincides with the semigroup  $S_t \bar{u}$ . We will discuss in the next section the general case.

Relying on the existence of the standard Riemann semigroup for GNL/LD systems, in 1998 A. Bressan and A. Marson [9] further improved the Glimm sampling method, constructing an equidistributed sequence  $\{\vartheta_i\}$ , satisfying the additional assumption:

$$(1.9) \quad \boxed{\text{E\_discrep}} \quad \sup_{\lambda \in [0,1]} \left| \lambda - \frac{\#\{i \in \mathbb{N} \mid j_1 \leq i < j_2 \text{ and } \vartheta_i \in [0, \lambda]\}}{j_2 - j_1} \right| \leq C \cdot \frac{1 + \log(j_2 - j_1)}{j_2 - j_1}.$$

Using this sequence, they were able to prove that the rate of convergence of the Glimm approximate solutions  $u^\varepsilon(t)$  to the exact weak admissible solution  $u(t) = S_t \bar{u}$  at any time  $t$  is given by

$$(1.10) \quad \boxed{\text{E\_rate\_conv}} \quad \lim_{\varepsilon \rightarrow 0} \frac{\|u^\varepsilon(t, \cdot) - S_t \bar{u}\|_{L^1}}{|\log \varepsilon| \sqrt{\varepsilon}} = 0.$$

(S\_glimm\_general) **1.3. Glimm approximate solutions in the general case.** All the results in the previous section were obtained under the assumption that each characteristic field is either GNL or LD. In this section we consider now the general case, when this assumption is removed and the only property of  $f$  is its strict hyperbolicity.

The problem of finding a suitable decreasing potential to bound the increase of  $t \mapsto \text{Tot.Var.}(u^\varepsilon(t); \mathbb{R})$  for a Glimm approximate solution  $u^\varepsilon$  (see (1.7)) was solved first by T.-P. Liu in [16] for fluxes with a finite number of inflection points. Later, in [1], Bianchini solved the problem for general hyperbolic fluxes, introducing the cubic functional

$$t \mapsto Q^{\text{cubic}}(t) := \iint |\sigma(t, s) - \sigma(t, s')| ds ds' \leq \mathcal{O}(1) \text{Tot.Var.}(u^\varepsilon(t))^3,$$

where  $s, s'$  are two waves in the approximate solution at time  $t$  and  $\sigma(t, s), \sigma(t, s')$  denote their speed (see Section 2.4 for a precise definition). In [2] Bianchini and Bressan also proved that any strictly hyperbolic  $f$  admits a standard Riemann semigroup  $\{S_t \mid t \geq 0\}$  of *vanishing viscosity solutions* with small total variation obtained as the (unique) limits of solutions to the viscous parabolic approximations

$$u_t + f(u)_x = \mu u_{xx},$$

when the viscosity  $\mu \rightarrow 0$ . The semigroup  $S$  is defined on

$$\mathcal{D} := \left\{ u \in L^1(\mathbb{R}; \mathbb{R}^n) \mid \text{Tot.Var.}(u) \ll 1, \lim_{x \rightarrow -\infty} u(x) \in K \right\}$$

and satisfies the Lipschitz condition

$$(1.11) \quad \boxed{\text{E:semigr:lip}} \quad \|S_s \bar{v}\|_1 \leq L \|\bar{u} - \bar{v}\|_1 + L'|t - s|, \quad \text{for any } \bar{u}, \bar{v} \in \mathcal{D}, \quad t, s \geq 0;$$

Aim of this paper is to prove that the same rate of convergence (1.12) proved by Bressan and Marson in the GNL/LD case holds also in the general case, when no assumption on  $f$  is made except its strictly hyperbolicity. In particular we prove the following theorem.

(T\_rate\_conv) **Theorem 1.3.** *Consider the Cauchy problem (1.1)-(1.3) and assume that the map  $f$  is strictly hyperbolic. Let  $u^\varepsilon$  be a Glimm approximate solution with mesh size  $\varepsilon > 0$  and denote by  $t \mapsto S_t \bar{u}$  the semigroup of vanishing viscosity solution. Then for any time  $T \in [0, +\infty)$  the following limit holds:*

$$(1.12) \quad \boxed{\text{E\_rate\_conv}} \quad \lim_{\varepsilon \rightarrow 0} \frac{\|u^\varepsilon(T, \cdot) - S_T \bar{u}\|_1}{\sqrt{\varepsilon} |\log \varepsilon|} = 0.$$

(Ss:BM:technique) **1.4. Bressan's and Marson's technique.** We recall now the technique used by A. Bressan and A. Marson in [9] to prove Theorem 1.3 in the GNL/LD case. In particular we wish to highlight which is the point in Bressan's and Marson's proof which can not be easily extended to the general case, where no assumption of  $f$  is made except its strict hyperbolicity, and whose detailed proof is given in this paper, using the tools introduced by the authors in [3].

Bressan's and Marson's technique is as follows. Thanks to the Lipschitz property of the semigroup (1.8), in order to estimate the distance

$$\|u^\varepsilon(T, \cdot) - S_T \bar{u}\|_{L^1},$$

we can partition the time interval  $[0, T]$  in subintervals  $J_a := [t_a, t_{a+1}]$  and estimate the error

$$(1.13) \quad \boxed{\text{E\_error\_interval}} \quad \|u^\varepsilon(t_{a+1}) - S_{t_{a+1}-t_a} u^\varepsilon(t_a)\|_{L^1}$$

on each interval  $J_a$ . The error (1.13) on  $J_r$  comes from two different sources:

- (1) first of all there is an error due to the algorithm itself: indeed, in a Glimm approximate solution, roughly speaking, we give each wave either speed 0 or speed 1 (according to the sampling sequence  $\{\vartheta_i\}_i$ ), while in the exact solution it would have a speed in  $[0, 1]$ , but not necessarily equal to 0 or 1;
- (2) secondly, there is an error due to the fact that some waves can be created at times  $t > t_a$ , some waves can be canceled at times  $t < t_{a+1}$  and, above all, some waves, which are present both at time  $t_a$  and at time  $t_{a+1}$ , can change their speeds, when they interact with other waves.

The first error source is estimated by choosing the intervals  $J_a$  sufficiently large in order to use estimate (1.9) with  $j_2 - j_1 \gg 1$ .

The second error source can be estimated (choosing the intervals  $J_a$  not too large) if we are able to (uniformly) bound the change in speed of the waves present in the approximate solution. In the GNL/LD case, this was achieved by Liu in [15], where he provided a wave tracing algorithm which splits each wavefront in the approximate solution into a finite number of discrete waves, whose trajectories can be traced and whose changes in speed at any interaction time are bounded by the corresponding decrease of the functional  $Q^{\text{Glimm}}$ . In particular, using Liu's wave tracing, Bressan and Marson prove that for any  $i_1, i_2 \in \mathbb{N}$ , on the time interval  $[t_1, t_2]$ ,  $t_1 = i_1\varepsilon$ ,  $t_2 = i_2\varepsilon$ , it holds

$$(1.14) \quad \|\mathbb{E}[\text{error}_{\text{gnl}}^\varepsilon(t_2) - \text{error}_{\text{gnl}}^\varepsilon(t_1)]\|_1 \leq \mathcal{O}(1) \left[ \left( Q^{\text{Glimm}}(t_2) - Q^{\text{Glimm}}(t_1) \right) + \frac{1 + \log(i_2 - i_1)}{i_2 - i_1} + \varepsilon \right] (t_2 - t_1).$$

As  $\varepsilon \rightarrow 0$ , it is convenient to choose the asymptotic size of the intervals  $J_a$  in such a way that the errors in (1) and (2) have approximately the same order of magnitude. In particular, the estimate (1.12) is obtained by choosing  $|J_a| \approx \sqrt{\varepsilon} \log |\log \varepsilon|$ .

Estimate (1.14) is precisely the point in Bressan's and Marson's proof which can not be easily extended to the general case, because the functional  $Q^{\text{Glimm}}$  is not of help in this case. Improving the results recently obtained by the authors in [3], in this paper a suitable functional

$$\Upsilon : [0, +\infty) \rightarrow [0, +\infty), \quad \Upsilon(0) \leq \mathcal{O}(1) \text{Tot.Var.}(u_0);$$

is constructed, such that for any  $i_1, i_2 \in \mathbb{N}$ ,  $i_1 < i_2$ ,

$$(1.15) \quad \|\mathbb{E}[\text{error}_{\text{psi}}^\varepsilon(t_2) - S_{t_2-t_1} u^\varepsilon(t_1)]\|_1 \leq \mathcal{O}(1) \left[ \left( \Upsilon(t_2) - \Upsilon(t_1) \right) + \frac{1 + \log(i_2 - i_1)}{i_2 - i_1} \right] (t_2 - t_1).$$

In order to prove (1.15), one could be tempted to use the well know semigroup inequality

$$\|u^\varepsilon(t_2) - S_{t_2-t_1} u^\varepsilon(t_1)\|_1 \leq L \int_{t_1}^{t_2} \limsup_{h \rightarrow 0} \frac{\|u^\varepsilon(t+h) - S_h u^\varepsilon(t)\|_1}{h} dt.$$

However, for a Glimm solution  $u^\varepsilon$  this estimate can not be directly applied, because it does not take into account the error due to the restarting procedure. To go beyond this difficulty, in the same spirit as in [9], we will introduce in Section 3 a "wavefront" map

$$\psi : [t_1, t_2] \times \mathbb{R} \rightarrow \mathbb{R}^n$$

with the following properties:

(E:psi\_prop)

$$(1.16a) \quad \mathbb{E}[\text{psi_uguale_i2}] \quad \psi(t_2, x) = u^\varepsilon(t_2, x),$$

$$(1.16b) \quad \mathbb{E}[\text{semigroup_Sm_psi}] \quad \|\psi(t_1) - \psi(t_2)\|_1 \leq \mathcal{O}(1) \left[ \left( \Upsilon(t_1) - \Upsilon(t_2) \right) + \frac{1 + \log(i_2 - i_1)}{i_2 - i_1} \right] (t_2 - t_1)$$

$$(1.16c) \quad \mathbb{E}[\text{distance_psi_i1}] \quad \|\psi(t_1) - u^\varepsilon(t_1)\|_1 \leq \mathcal{O}(1) \left( \Upsilon(t_1) - \Upsilon(t_2) \right) (t_2 - t_1).$$

Clearly (1.15) is an immediate consequence of (1.16) and the Lipschitz continuity of the semigroup  $S$ .

**Remark 1.4.** Notice that all the functionals  $Q^{\text{Glimm}}$ ,  $Q^{\text{cubic}}$ ,  $\Upsilon$  are defined on the approximate solution  $u^\varepsilon$ , or, in other words, they depend on  $\varepsilon$ , even if we do not write this dependence explicitly. What is important, is that they are decreasing and uniformly (i.e. without any reference to  $\varepsilon$ ) bounded at  $t = 0$ .

**1.5. Proof of Theorem 1.3.** We conclude this Introduction proving Theorem 1.3 in the general case, assuming that estimate (1.15) holds and using Bressan's and Marson's techniques. Fix  $T, \varepsilon > 0$ , say  $T = \bar{i}\varepsilon + \varepsilon'$  for some integer  $\bar{i}$  and some  $\varepsilon' \in [0, \varepsilon)$ . In connection with a constant  $\delta \geq \varepsilon$  (whose precise value will be specified later), we construct a partition of the interval  $[0, \bar{i}\varepsilon]$  into finitely many subintervals  $J_a = [t_a, t_{a+1}]$ , inserting the points  $t_a = i_a\varepsilon$  inductively as follows. Set  $i_0 := 0$ . If the integers  $i_0 < i_1 < \dots < i_a < \bar{i}$  have already been defined, then

- (i) if  $\Upsilon^\varepsilon(i_a\varepsilon) - \Upsilon^\varepsilon((i_a + 1)\varepsilon) \leq \delta$ , let  $i_{a+1}$  be the largest integer  $\leq \bar{i}$  such that  $(i_{a+1} - i_a)\varepsilon \leq \delta$  and  $\Upsilon^\varepsilon(i_a\varepsilon) - \Upsilon^\varepsilon(i_{a+1}\varepsilon) \leq \delta$ ;
- (ii) if  $\Upsilon^\varepsilon(i_a\varepsilon) - \Upsilon^\varepsilon((i_a + 1)\varepsilon) > \delta$ , define  $i_{a+1} := i_a + 1$ .

Clearly  $i_A = \bar{i}$  for some integer  $A \leq \bar{i}$ . Call  $\mathcal{A}', \mathcal{A}''$  respectively the set of indices  $a$  for which the alternative (i), (ii) holds. Observe that the cardinalities of these sets can be bounded by

$$(1.17) \quad \boxed{\text{E\_bd\_card\_a}} \quad \#\mathcal{A}', \#\mathcal{A}'' \leq \mathcal{O}(1) \frac{T}{\delta} \text{Tot.Var.}(u_0)^2 \leq \mathcal{O}(1) \frac{T}{\delta}$$

On each subinterval  $J_a$ ,  $a \in \mathcal{A}'$  we can apply (1.15), thus obtaining

$$(1.18) \quad \boxed{\text{E\_a'}} \quad \begin{aligned} & \|u^\varepsilon(i_{a+1}\varepsilon) - S_{(i_{a+1}-i_a)\varepsilon}u^\varepsilon(i_a\varepsilon)\|_1 \\ & \leq \mathcal{O}(1) \left[ \left( \Upsilon^\varepsilon(i_{a+1}\varepsilon) - \Upsilon^\varepsilon(i_a\varepsilon) \right) + \frac{1 + \log(i_{a+1} - i_a)}{i_{a+1} - i_a} + \varepsilon \right] (i_{a+1} - i_a)\varepsilon. \end{aligned}$$

On the other hand, on each interval  $J_a$  with  $a \in \mathcal{A}''$ , the 1-Lipschitz continuity of  $u^\varepsilon : [0, \infty) \rightarrow L^1(\mathbb{R}; \mathbb{R}^n)$  implies that

$$(1.19) \quad \boxed{\text{E\_a''}} \quad \|u^\varepsilon(i_{a+1}\varepsilon) - S_{(i_{a+1}-i_a)\varepsilon}u^\varepsilon(i_a\varepsilon)\|_1 \leq (i_{a+1} - i_a)\varepsilon = \varepsilon.$$

Using the Lipschitz property (1.11) of the semigroup we get

$$\begin{aligned} \|u^\varepsilon(\bar{i}\varepsilon) - S_{\bar{i}\varepsilon}u^\varepsilon(0)\| & \leq \sum_{a=0}^{A-1} \left\| S_{(\bar{i}-i_{a+1})\varepsilon}u(i_{a+1}\varepsilon) - S_{(\bar{i}-i_a)\varepsilon}u(i_a\varepsilon) \right\|_1 \\ & \leq L \sum_{a=0}^{A-1} \left\| u(i_{a+1}\varepsilon) - S_{(i_{a+1}-i_a)\varepsilon}u(i_a\varepsilon) \right\|_1 \\ & \stackrel{\text{(by (1.18)-(1.19))}}{\leq} \mathcal{O}(1) \left\{ \sum_{a \in \mathcal{A}'} \left[ \left( \Upsilon^\varepsilon(i_{a+1}\varepsilon) - \Upsilon^\varepsilon(i_a\varepsilon) \right) + \frac{1 + \log(i_{a+1} - i_a)}{i_{a+1} - i_a} + \varepsilon \right] (i_{a+1} - i_a)\varepsilon \right. \\ & \quad \left. + \sum_{a \in \mathcal{A}''} \varepsilon \right\} \\ & \stackrel{\text{(by Points (i), (ii) above)}}{\leq} \mathcal{O}(1) \left\{ \sum_{a \in \mathcal{A}'} \left( \delta^2 + \varepsilon + \varepsilon \log \frac{\delta}{\varepsilon} + \varepsilon\delta \right) + \sum_{a \in \mathcal{A}''} \varepsilon \right\} \\ & \stackrel{\text{(by (1.17))}}{\leq} \mathcal{O}(1) T \left( \delta + \frac{\varepsilon}{\delta} + \frac{\varepsilon}{\delta} \log \frac{\delta}{\varepsilon} + \varepsilon \right) \end{aligned}$$

Hence

$$(1.20) \quad \boxed{\text{E\_final\_est}} \quad \begin{aligned} \|u^\varepsilon(T) - S_T u_0\| & \leq \|u^\varepsilon(T) - u^\varepsilon(\bar{i}\varepsilon)\| + \|u^\varepsilon(\bar{i}\varepsilon) - S_{\bar{i}\varepsilon}u^\varepsilon(0)\| \\ & \quad + \|S_{\bar{i}\varepsilon}u^\varepsilon(0) - S_{\bar{i}\varepsilon}u_0\| + \|S_{\bar{i}\varepsilon}u_0 - S_T u_0\| \\ & \leq \mathcal{O}(1) \max\{1, T\} \left( \delta + \frac{\varepsilon}{\delta} + \frac{\varepsilon}{\delta} \log \frac{\delta}{\varepsilon} + \varepsilon \right). \end{aligned}$$

Since (1.20) holds for any  $\delta \geq \varepsilon$ , choosing  $\delta(\varepsilon) := \sqrt{\varepsilon} \log |\log \varepsilon|$ , we finally obtain (1.12).

## ?(Ss\_notation)? 1.6. Notations.

- For any  $s \in \mathbb{R}$ , define

$$\mathbf{I}(s) := \begin{cases} (0, s] & \text{if } s \geq 0, \\ [s, 0) & \text{if } s < 0. \end{cases}$$

- Let  $X$  be any set and let  $f : \mathbf{I}(s') \rightarrow X$ ,  $g : s' + \mathbf{I}(s'') \rightarrow X$ ;  
 – if  $s's'' \geq 0$  and  $f(s') = g(s')$ , define

$$(1.21) \quad \boxed{\text{E\_f\_cup\_g}} \quad f \cup g : \mathbf{I}(s' + s'') \rightarrow X, \quad (f \cup g)(x) := \begin{cases} f(x) & \text{if } x \in \mathbf{I}(s'), \\ g(x) & \text{if } x \in s' + \mathbf{I}(s''); \end{cases}$$

- if  $s's'' < 0$ , define

$$(1.22) \quad \boxed{\text{E\_f\_varyf\Delta g}} : \mathbf{I}(s' + s'') \rightarrow X, \quad (f \Delta g)(x) := \begin{cases} f(x) & \text{if } |s'| \geq |s''|, x \in \mathbf{I}(s' + s''), \\ g(x - s') & \text{if } |s'| < |s''|, x \in \mathbf{I}(s' + s''). \end{cases}$$

- For a continuous real valued function  $f$ , we denote its convex envelope in the interval  $[a, b]$  as  $\text{conv}_{[a,b]} f$ .
- Given a totally ordered set  $(A, \preceq)$ , we define a partial pre-ordering on  $2^A$  setting, for any  $I, J \subseteq A$ ,

$$I \prec J \text{ if and only if for any } a \in I, b \in J \text{ it holds } a \prec b.$$

We will also write  $I \preceq J$  if either  $I \prec J$  or  $I = J$ , i.e. we add the diagonal to the relation, making it a partial ordering.

- The  $L^\infty$  norm of a map  $g : [a, b] \rightarrow \mathbb{R}^n$  will be denoted either by  $\|g\|_\infty$  or by  $\|g\|_{L^\infty([a,b])}$ , if we want to stress the domain of  $g$ ; similar notation for the  $L^1$ -norm.
- Given a  $C^1$  map  $g : \mathbb{R} \rightarrow \mathbb{R}$  and an interval  $I \subseteq \mathbb{R}$ , possibly made by a single point, let us define the Rankine-Hugoniot speed

$$\sigma^{\text{rh}}(g, I) := \begin{cases} \frac{g(\sup I) - g(\inf I)}{\sup I - \inf I}, & \text{if } I \text{ is not a singleton,} \\ \frac{dg}{du}(I), & \text{if } I \text{ is a singleton.} \end{cases}$$

## 2. SUMMARY OF THE PAPER [3] WITH A MODIFIED VERSION OF THE QUADRATIC POTENTIAL

In [3] an estimate on the change of the speeds of the infinitesimal waves present in a Glimm approximate solution  $u^\varepsilon$  is provided. This estimate is achieved in two steps. First of all it is proved that at each grid point  $(i\varepsilon, m\varepsilon)$ ,  $i \in \mathbb{N}$ ,  $m \in \mathbb{Z}$ , the change in speed of the waves interacting at  $(i\varepsilon, m\varepsilon)$  is bounded by a quantity  $A(i\varepsilon, m\varepsilon)$ , called *amount of interaction*. Then it is shown that there exists a uniformly bounded, decreasing functional  $t \mapsto \Upsilon(t)$  such that at each time  $i\varepsilon$

$$\sum_{m \in \mathbb{Z}} A(i\varepsilon, m\varepsilon) \leq \mathcal{O}(1) \left( \Upsilon(i\varepsilon-) - \Upsilon(i\varepsilon+) \right).$$

The functional  $\Upsilon(t)$  is defined as the sum of some already known decreasing functionals (see Section 2.4 below) and of a new quadratic functional  $t \mapsto \mathfrak{Q}(t)$ , whose definition requires a careful analysis of waves collisions. Aim of this section is to summarize the main results present in the cited paper [3], providing however in the meanwhile a stronger definition of the functional  $\mathfrak{Q}(t)$ . This stronger definition is needed to prove in Section 5 estimate (1.15) and thus Theorem 1.3.

**(Ss:rp) 2.1. Entropic self similar solution to the Riemann problem.** As we pointed out in Section 1.1, the crucial point to solve the Riemann problem (1.1)-(1.4) is to find, for any left state  $u^L$ , a curve  $s \mapsto T_s^k u^L$  of admissible right state, defined for  $|s| \ll 1$ , such that the Riemann problem  $(u^L, T_s^k u^L)$  can be solved by (countable many) admissible shocks (in the sense of limit of viscosity approximations), contact discontinuities and rarefaction waves. In the GNL/LD case the admissible curve  $s \mapsto T_s^k u^L$  coincides with the rarefaction curve for  $s \geq 0$  and with the shock curve for  $s \leq 0$  (see [5]). In the general case, however, the situation is much more difficult and the problem was completely solved by Bianchini and Bressan in [2]. Here we describe just the main points of their construction, in order to recall the notations we will need.

First of all, for any index  $k \in \{1, \dots, n\}$ , through a Center Manifold technique, one can find a neighborhood of the point  $(0, 0, \lambda_k(0))$  of the form

$$\mathcal{D}_k := \{(u, v_k, \sigma_k) \in \mathbb{R}^n \times \mathbb{R} \times \mathbb{R} \mid |u| \leq \rho, |v_k| \leq \rho, |\sigma_k - \lambda_k(0)| \leq \rho\}$$

for some  $\rho > 0$  (depending only on  $f$ ) and a smooth vector field

$$\tilde{r}_k : \mathcal{D}_k \rightarrow \mathbb{R}^n, \quad \tilde{r}_k = \tilde{r}_k(u, v_k, \sigma_k),$$

satisfying

$$(2.1) \quad \boxed{\text{E\_generalized\_eigenvector}} \quad (0, \sigma_k) = r_k(u), \quad \left| \frac{\partial \tilde{r}_k}{\partial \sigma_k}(u, v_k, \sigma_k) \right| \leq \mathcal{O}(1)|v_k|.$$

We will call  $\tilde{r}_k$  the  $k$ -generalized eigenvector. The characterization of  $\tilde{r}_k$  is that

$$\mathcal{D}_k \ni (u, v_k, \sigma_k) \mapsto (u, v_k \tilde{r}_k, \sigma_k) \in \mathbb{R}^n \times \mathbb{R}^n \times \mathbb{R}$$

is a parameterization of a center manifold near the equilibrium  $(0, 0, \lambda_k(0)) \in \mathcal{D}_k$  for the ODE of traveling waves

$$(A(u) - \sigma \mathbb{I})u_x = u_{xx} \quad \iff \quad \begin{cases} u_x = v \\ v_x = (A(u) - \sigma \mathbb{I})v \\ \sigma_x = 0 \end{cases}$$

where  $A(u) = Df(u)$ , the Jacobian matrix of the flux  $f$ , and  $\mathbb{I}$  is the identity  $n \times n$  matrix. Associated to the generalized eigenvectors, we can define smooth functions  $\tilde{\lambda}_k : \mathcal{D}_k \rightarrow \mathbb{R}$  by

$$\tilde{\lambda}_k(u, v_k, \sigma_k) := \langle l_k(u), A(u) \tilde{r}_k(u, v_k, \sigma_k) \rangle.$$

We will call  $\tilde{\lambda}_k$  the  $k$ -generalized eigenvalue. By (2.1) and the definition of  $\tilde{\lambda}_k$ , we can get

$$(2.2) \quad \boxed{\text{E\_delambdasudev}} \quad \tilde{\lambda}_k(u, 0, \sigma_k) = \lambda_k(u), \quad \left| \frac{\partial \tilde{\lambda}_k}{\partial \sigma_k}(u, v_k, \sigma_k) \right| \leq \mathcal{O}(1)|v_k|.$$

For the construction of the generalized eigenvectors and eigenvalues and the proof of (2.1), (2.2), see Section 4 of [2].

Then, by a fixed point technique one can now prove that there exist  $\eta > 0$  (depending only on  $f$ ), such that for any

$$k \in \{1, \dots, n\}, \quad u^L \in B(0, \rho/2), \quad 0 \leq s < \eta,$$

there is a curve

$$\begin{aligned} \gamma &: [0, s] \rightarrow \mathcal{D}_k \\ \tau &\mapsto \gamma(\tau) = (u(\tau), v_k(\tau), \sigma_k(\tau)) \end{aligned}$$

such that  $u, v_k \in C^{1,1}([0, s])$ ,  $\sigma_k \in C^{0,1}([0, s])$  and this curve is the unique solution to the system

$$(2.3) \quad \boxed{\text{E\_fixed\_pt}} \quad \begin{cases} u(\tau) = u^L + \int_0^\tau \tilde{r}_k(\gamma(\varsigma)) d\varsigma \\ v_k(\tau) = f_k(\gamma; \tau) - \text{conv}_{[0, s]} f_k(\gamma; \tau) \\ \sigma_k(\tau) = \frac{d}{d\tau} \text{conv}_{[0, s]} f_k(\gamma; \tau) \end{cases}$$

where

$$(2.4) \quad \boxed{\text{E\_reduced\_flux}} \quad f_k(\gamma; \tau) := \int_0^\tau \tilde{\lambda}_k(\gamma(\varsigma)) d\varsigma.$$

and  $\text{conv}_{[0, s]} f_k$  is the convex envelope of  $f_k$  in the interval  $[0, s]$ :

$$\text{conv}_{[a, b]} g(u) := \sup \left\{ h(u) \mid h : [a, b] \rightarrow \mathbb{R} \text{ is convex and } h \leq g \right\}.$$

In the case  $s < 0$  a completely similar result holds, replacing the convex envelope with the concave one. If we want to stress the dependence of the curve  $\gamma$  on  $u^L$  and  $s$  we will use the notation

$$\gamma(u^L, s)(\tau) = \left( u(u^L, s)(\tau), v_k(u^L, s)(\tau), \sigma_k(u^L, s)(\tau) \right).$$

Finally the curve of admissible right states  $(-\eta, \eta) \ni s \mapsto T_s^k u^L$  is defined as  $T_s^k u^L := u(u^L, s)(s)$ .

(Ss:elem\_estim) **2.2. Elementary estimates on two merging Riemann problems.** Consider two contiguous Riemann problem

$$(2.5) \quad \boxed{\text{E\_two\_riemann\_1}} \quad u^M = T_{s'_n}^n \circ \dots \circ T_{s'_1}^1 u^L, \quad u^R = T_{s''_n}^n \circ \dots \circ T_{s''_1}^1 u^M,$$

and the Riemann problem obtained joining them,

$$u^R = T_{s_n}^n \circ \dots \circ T_{s_1}^1 u^L.$$

In particular the incoming curves are

$$\gamma'_1 = (u'_1, v'_1, \sigma'_1) := \gamma_1(u^L, s'_1), \quad \gamma'_k = (u'_k, v'_k, \sigma'_k) := \gamma_k(u'_{k-1}(s'_{k-1}), s'_k) \quad \text{for } k = 2, \dots, n,$$

$$\gamma''_1 = (u''_1, v''_1, \sigma''_1) := \gamma_1(u^M, s''_1), \quad \gamma''_k = (u''_k, v''_k, \sigma''_k) := \gamma_k(u''_{k-1}(s''_{k-1}), s''_k) \quad \text{for } k = 2, \dots, n,$$

while the outgoing ones are

$$\gamma_1 = (u_1, v_1, \sigma_1) := \gamma_1(u^L, s_1), \quad \gamma_k = (u_k, v_k, \sigma_k) := \gamma_k(u_{k-1}(s_{k-1}), s_k) \quad \text{for } k = 2, \dots, n.$$

We will denote by  $f'_k, f''_k, f_k$  the reduced fluxes associated by (2.4) to  $\gamma'_k, \gamma''_k, \gamma_k$  respectively; for simplicity, we will assume that  $\gamma''_k$  and  $f''_k$  are defined on  $s'_k + \mathbf{I}(s''_k)$ , instead of  $\mathbf{I}(s''_k)$  and  $f''_k(s'_k) = f'_k(s'_k)$ : indeed, it is clear that adding a constant to  $\tilde{f}_k$  does not vary system (2.3).

Fix an index  $k \in \{1, \dots, n\}$  and consider the points (Figure 1)

$$\begin{aligned} u_1^L &:= u^L, & u_k^L &:= T_{s'_{k-1}}^{k-1} \circ T_{s'_{k-1}}^{k-1} \circ \dots \circ T_{s'_1}^1 \circ T_{s'_1}^1 u^L, & k &\geq 2 \\ u_k^M &:= T_{s'_k}^k u_k^L, & u_k^R &:= T_{s'_k}^k u_k^M, & k &= 1, \dots, n. \end{aligned}$$

By definition, the Riemann problem between  $u_k^L$  and  $u_k^M$  is solved by a wavefront of the  $k$ -th family with strength  $s'_k$  and the Riemann problem between  $u_k^M$  and  $u_k^R$  is solved by a wavefront of the  $k$ -th family with strength  $s''_k$ . Denote by  $\tilde{\gamma}'_k = (\tilde{u}'_k, \tilde{v}'_k, \tilde{\sigma}'_k)$  the curve which solves the Riemann problem  $[u_k^L, u_k^M]$  and by  $\tilde{f}'_k$  the associated reduced flux (see (2.4)).

Similarly, let  $\tilde{\gamma}''_k = (\tilde{u}''_k, \tilde{v}''_k, \tilde{\sigma}''_k)$  be the curve solving the Riemann problem  $[u_k^M, u_k^R]$  and let  $\tilde{f}''_k$  be the associated reduced flux. Clearly,  $\tilde{\gamma}'_k, \tilde{f}'_k$  are defined on  $\mathbf{I}(s_k)$ , while, since we are going to perform the patching (1.21), (1.22)), we will assume as above that  $\tilde{\gamma}''_k$  and  $\tilde{f}''_k$  are defined on  $s'_k + \mathbf{I}(s''_k)$  (instead of  $\mathbf{I}(s''_k)$ ) and that  $\tilde{f}''_k(s'_k) = \tilde{f}'_k(s'_k)$ .

As in [3], define the following quantities, called *amounts of interaction*.

(D\_atrans)? **Definition 2.1.** The quantity

$$\mathbf{A}^{\text{trans}}(u^L, u^M, u^R) := \sum_{1 \leq h < k \leq n} |s'_k| |s''_h|$$

is called the *transversal amount of interaction* associated to the two Riemann problems (2.5).

For  $s'_k > 0$ , we define *cubic amount of interaction of the  $k$ -th family* for the two Riemann problems  $(u^L, u^M), (u^M, u^R)$  as follows:

(1) if  $s''_k \geq 0$ ,

$$\begin{aligned} \mathbf{A}_k^{\text{cubic}}(u^L, u^M, u^R) &:= \int_0^{s'_k} \left[ \text{conv}_{[0, s'_k]} f'_k(\tau) - \text{conv}_{[0, s'_k + s''_k]} (f'_k \cup f''_k)(\tau) \right] d\tau \\ &\quad + \int_{s'_k}^{s'_k + s''_k} \left[ \text{conv}_{[s'_k, s'_k]} f''_k(\tau) - \text{conv}_{[0, s'_k + s''_k]} (f'_k \cup f''_k)(\tau) \right] d\tau; \end{aligned}$$

(2) if  $-s'_k \leq s''_k < 0$

$$\begin{aligned} \mathbf{A}_k^{\text{cubic}}(u^L, u^M, u^R) &:= \int_0^{s'_k + s''_k} \left[ \text{conv}_{[0, s'_k + s''_k]} f'_k(\tau) - \text{conv}_{[0, s'_k]} f'_k(\tau) \right] d\tau \\ &\quad + \int_{s'_k + s''_k}^{s'_k} \left[ \text{conc}_{[s'_k + s''_k, s'_k]} f'_k(\tau) - \text{conv}_{[0, s'_k]} f'_k(\tau) \right] d\tau; \end{aligned}$$

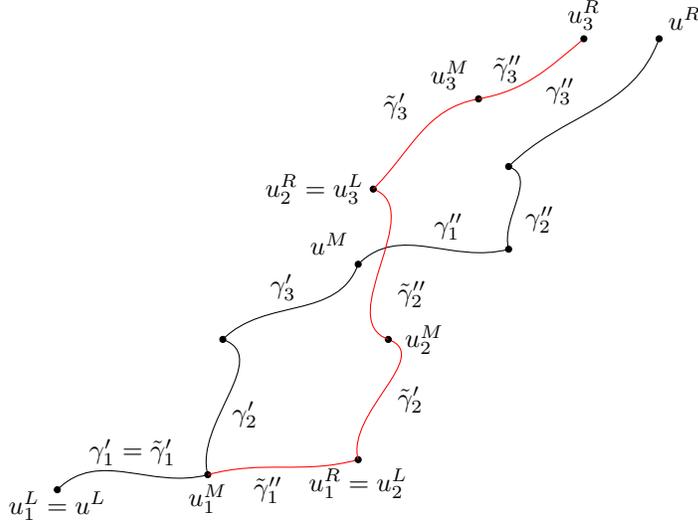


FIGURE 1. Elementary curves of two interacting Riemann problems before and after transversal interactions.

(3) if  $s''_k < -s'_k$ ,

$$\begin{aligned} \mathbf{A}_k^{\text{cubic}}(u^L, u^M, u^R) &:= \int_{s'_k + s''_k}^0 \left[ \text{conc}_{[s'_k + s''_k, s'_k]} f''_k(\tau) - \text{conc}_{[s'_k + s''_k, 0]} f''_k(\tau) \right] d\tau \\ &\quad + \int_0^{s'_k} \left[ \text{conc}_{[s'_k + s''_k, s'_k]} f''_k(\tau) - \text{conv}_{[0, s'_k]} f''_k(\tau) \right] d\tau. \end{aligned}$$

Similar definitions can be given if  $s'_k < 0$ , interchanging convex envelopes with concave.

The *amount of cancellation of the  $k$ -th family* is defined by

$$\mathbf{A}_k^{\text{canc}}(u^L, u^M, u^R) := \begin{cases} 0 & \text{if } s'_k s''_k \geq 0, \\ \min\{|s'_k|, |s''_k|\} & \text{if } s'_k s''_k < 0. \end{cases}$$

The *amount of creation of the  $k$ -th family* is defined by

$$\mathbf{A}_k^{\text{cr}}(u^L, u^M, u^R) := \left[ |s_k| - |s'_k + s''_k| \right]^+.$$

If  $s'_k s''_k \geq 0$ , we define the *quadratic amount of interaction of the  $k$ -family* associated to the two Riemann problems (2.5) by

$$\mathbf{A}_k^{\text{quadr}}(u^L, u^M, u^R) := \begin{cases} \tilde{f}'_k(s'_k) - \text{conv}_{[0, s'_k + s''_k]}(\tilde{f}'_k \cup \tilde{f}''_k)(s'_k) & \text{if } s'_k > 0, s''_k > 0, \\ \text{conc}_{[s'_k + s''_k, 0]}(\tilde{f}'_k \cup \tilde{f}''_k)(s'_k) - \tilde{f}'_k(s'_k) & \text{if } s'_k < 0, s''_k < 0, \\ 0 & \text{if } s'_k s''_k \leq 0. \end{cases}$$

Finally we define the *total amount of interaction* associated to the two Riemann problems (2.5) as

$$\mathbf{A}(u^L, u^M, u^R) := \mathbf{A}^{\text{trans}}(u^L, u^M, u^R) + \sum_{h=1}^n \left( \mathbf{A}_h^{\text{quadr}}(u^L, u^M, u^R) + \mathbf{A}_h^{\text{canc}}(u^L, u^M, u^R) + \mathbf{A}_h^{\text{cubic}}(u^L, u^M, u^R) \right).$$

It is well known (see [1]) that

$$\sum_{k=1}^n |s_k - (s'_k + s''_k)| \leq \mathcal{O}(1) \left[ \mathbf{A}^{\text{trans}}(u^L, u^M, u^R) + \sum_{k=1}^n \mathbf{A}_k^{\text{cubic}}(u^L, u^M, u^R) \right].$$

and thus

$$\mathbf{A}_k^{\text{cr}}(u^L, u^M, u^R) \leq \mathbf{A}^{\text{trans}}(u^L, u^M, u^R) + \sum_{h=1}^n \mathbf{A}_h^{\text{cubic}}(u^L, u^M, u^R).$$

The distance between incoming and outgoing Riemann problems can be estimated as follows (see [3], Theorem 3.3).

**Theorem 2.2.** For any  $k = 1, \dots, n$ ,

- if  $s'_k s''_k \geq 0$ , then

$$\left. \begin{aligned} & \left\| (u'_k \cup u''_k) - u_k \right\|_{L^\infty(I(s'_k + s''_k) \cap I(s_k))} \\ & \left\| (v'_k \cup v''_k) - v_k \right\|_{L^\infty(I(s'_k + s''_k) \cap I(s_k))} \\ & \left\| (\sigma'_k \cup \sigma''_k) - \sigma_k \right\|_{L^1(I(s'_k + s''_k) \cap I(s_k))} \\ & \left\| \left( \frac{d^2 f'_k}{d\tau^2} \cup \frac{d^2 f''_k}{d\tau^2} \right) - \frac{d^2 f_k}{d\tau^2} \right\|_{L^1(I(s'_k + s''_k) \cap I(s_k))} \end{aligned} \right\} \leq \mathcal{O}(1) \mathbf{A}(u^L, u^M, u^R);$$

- if  $s'_k s''_k < 0$ , then

$$\left. \begin{aligned} & \left\| (u'_k \Delta u''_k) - u_k \right\|_{L^\infty(I(s'_k + s''_k) \cap I(s_k))} \\ & \left\| (v'_k \Delta v''_k) - v_k \right\|_{L^\infty(I(s'_k + s''_k) \cap I(s_k))} \\ & \left\| (\sigma'_k \Delta \sigma''_k) - \sigma_k \right\|_{L^1(I(s'_k + s''_k) \cap I(s_k))} \\ & \left\| \left( \frac{d^2 f'_k}{d\tau^2} \Delta \frac{d^2 f''_k}{d\tau^2} \right) - \frac{d^2 f_k}{d\tau^2} \right\|_{L^1(I(s'_k + s''_k) \cap I(s_k))} \end{aligned} \right\} \leq \mathcal{O}(1) \mathbf{A}(u^L, u^M, u^R);$$

**Remark 2.3.** In the statement of Theorem 3.3 in [3] only the inequalities about  $u$ ,  $\sigma$ ,  $\frac{d^2 f_k}{d\tau^2}$  are explicitly proved, while the ones about  $v$  are not. However it is not difficult to see that the proof used for  $u$ ,  $\sigma$  and  $\frac{d^2 f_k}{d\tau^2}$  can be adapted also to  $v$ .

**2.3. Lagrangian representation for the Glimm approximate solution  $u^\varepsilon$ .** In this section we recall the notion, introduced in [3], of *Lagrangian representation* of an approximate solution  $u_\varepsilon$  obtained by the Glimm scheme to the Cauchy problem (1.1)-(1.3), and we state the theorem about the existence of a Lagrangian representation satisfying some useful additional properties. At the end of the section we introduce some notions related to the Lagrangian representation; in particular, the notion of *effective flux*  $\mathbf{f}_k^{\text{eff}}(t)$  of the  $k$ -th family at time  $t$ .

Let us first introduce some notation related to the Glimm approximate solution  $u^\varepsilon$ . For any grid point  $(i\varepsilon, m\varepsilon)$ ,  $i \geq 0$ ,  $m \in \mathbb{Z}$ , set

$$u^{i,m} := u_\varepsilon(i\varepsilon, m\varepsilon),$$

and assume that the Riemann problem  $(u^{i,m-1}, u^{i,m})$  is solved by

$$u^{i,m} = T_{s_{i,m}^n}^n \circ \dots \circ T_{s_{i,m}^1}^1 u^{i,m-1}.$$

moreover denote by

$$\sigma_k^{i,m} : \mathbf{I}(s_k^{i,m}) \rightarrow \mathbb{R}, \quad k = 1, \dots, n,$$

the speed function of the  $k$ -th wavefront solving the Riemann problem  $(u^{i,m-1}, u^{i,m})$ .

Let us introduce also the following notation for the transversal, cubic and quadratic amounts of interaction and for the amounts of creation and cancellation related to the two Riemann problems  $(u_{i,m-1}, u_{i-1,m-1})$ ,  $(u_{i-1,m-1}, u_{i,m})$  which interact at grid point  $(i\varepsilon, (m-1)\varepsilon)$ :

$$\mathbf{A}^{\text{trans}}(i\varepsilon, m\varepsilon) := \mathbf{A}^{\text{trans}}(u_{i,m-1}, u_{i-1,m-1}, u_{i,m}),$$

and for  $k = 1, \dots, n$ ,

$$\mathbf{A}_k^{\text{cubic}}(i\varepsilon, m\varepsilon) := \mathbf{A}_k^{\text{cubic}}(u_{i,m-1}, u_{i-1,m-1}, u_{i,m}),$$

$$\mathbf{A}_k^{\text{canc}}(i\varepsilon, m\varepsilon) := \mathbf{A}_k^{\text{canc}}(u_{i,m-1}, u_{i-1,m-1}, u_{i,m}),$$

$$\mathbf{A}_k^{\text{cr}}(i\varepsilon, m\varepsilon) := \mathbf{A}_k^{\text{cr}}(u_{i,m-1}, u_{i-1,m-1}, u_{i,m})$$

$$\mathbf{A}_k^{\text{quadr}}(i\varepsilon, m\varepsilon) := \mathbf{A}_k^{\text{quadr}}(u_{i,m-1}, u_{i-1,m-1}, u_{i,m}).$$

Let us begin now introduce the notion of Lagrangian representation. Given a piecewise constant approximate solution  $u_\varepsilon$  constructed by the Glimm scheme (see Section 1.2), for any time  $t \geq 0$  define the quantities

$$L_k^+(t) := \sum_{m \in \mathbb{Z}} [s_k^{i,m}]^+, \quad L_k^-(t) := - \sum_{m \in \mathbb{Z}} [s_k^{i,m}]^-, \quad \text{if } t \in [i\varepsilon, (i+1)\varepsilon).$$

It is easy to see that  $|L_k^+(t)| + |L_k^-(t)| \leq \mathcal{O}(1) \text{Tot.Var.}(u_\varepsilon(t))$ .

**Definition 2.4.** A *Lagrangian representation* for  $u_\varepsilon$  is a set  $\mathcal{W}$  called *the set of waves*, together with

- the maps

family : $\mathcal{W} \rightarrow \{1, \dots, n\}$	the family of the wave $w \in \mathcal{W}$ ,
$\mathcal{S} : \mathcal{W} \rightarrow \{\pm 1\}$	the sign of the wave $w \in \mathcal{W}$ ,
$\mathfrak{t}^{\text{cr}} : \mathcal{W} \rightarrow [0, +\infty)$	the creation time of the wave $w \in \mathcal{W}$ ,
$\mathfrak{t}^{\text{canc}} : \mathcal{W} \rightarrow (0, +\infty]$	the cancellation time of the wave $w \in \mathcal{W}$ ,

- a relation, which we will denote by  $\leq$ ,
- the map, called *position function*,

$$\mathbf{x} : \{(t, w) \in [0, \infty) \times \mathcal{W} \mid \mathfrak{t}^{\text{cr}}(w) \leq t < \mathfrak{t}^{\text{canc}}(w)\} \rightarrow \mathbb{R},$$

which satisfy the conditions (1)-(4) below.

For the sake of convenience, set

$$\begin{aligned} \mathcal{W}_k &:= \{w \in \mathcal{W} \mid \text{family}(w) = k\}, \\ \mathcal{W}_k(t) &:= \{w \in \mathcal{W}_k \mid \mathfrak{t}^{\text{cr}}(w) \leq t < \mathfrak{t}^{\text{canc}}(w)\}, \\ \mathcal{W}_k^\pm(t) &:= \{w \in \mathcal{W}_k(t) \mid \mathcal{S}(w) = \pm 1\}. \end{aligned}$$

The additional conditions to be satisfied by a Lagrangian representation are the following:

- (1) for any family  $k$ , time  $t$ , sign  $\pm 1$ , the relation  $\leq$  is a total order both on  $\mathcal{W}_k^+(t)$  and on  $\mathcal{W}_k^-(t)$ ; if  $\mathcal{I} \subseteq \mathcal{W}_k^\pm(t)$  is an interval in the order set  $(\mathcal{W}_k^\pm(t), \leq)$ , we will say that  $\mathcal{I}$  is *an interval of waves (i.o.w.) at time  $t$* ;
- (2) the map  $\mathbf{x}$  satisfies:
  - (a) for fixed time  $t$ ,  $\mathbf{x}(t, \cdot) : \mathcal{W}_k(t) \rightarrow \mathbb{R}$  is increasing;
  - (b) for fixed  $w \in \mathcal{W}$ , the map  $\mathbf{x}(\cdot, w) : [\mathfrak{t}^{\text{cr}}(w), \mathfrak{t}^{\text{canc}}(w)) \rightarrow \mathbb{R}$  is Lipschitz;
  - (c) for any point  $(\bar{t}, \bar{x}) \in [0, +\infty) \times \mathbb{R}$ , all the waves in

$$\mathcal{W}_k(\bar{t}, \bar{x}) := \mathbf{x}(\bar{t})^{-1}(\bar{x}) \cap \mathcal{W}_k$$

have the same sign;

- (3) there exist maps  $\Phi_k(t) : \mathcal{W}_k(t) \rightarrow \mathbf{I}(L_k^-(t)) \cup \mathbf{I}(L_k^+(t))$  such that  $\Phi_k(t)|_{\mathcal{W}_k^+(t)} : \mathcal{W}_k^+(t) \rightarrow \mathbf{I}(L_k^+(t))$  is an isomorphism of ordered sets, while  $\Phi_k(t)|_{\mathcal{W}_k^-(t)} : \mathcal{W}_k^-(t) \rightarrow \mathbf{I}(L_k^-(t))$  is an antisomorphism of ordered sets;
- (4) there exist maps  $\hat{\gamma}_k(t) : \mathcal{W}_k(t) \rightarrow \mathcal{D}_k \subseteq \mathbb{R}^m \times \mathbb{R} \times \mathbb{R}$ ,  $\hat{\gamma}_k(t) = (\hat{u}_k(t), \hat{v}_k(t), \hat{\sigma}_k(t))$ , such that
  - (a) for any  $\bar{x} \in \mathbb{R}$ , setting

$$u^L := \lim_{x \rightarrow \bar{x}^-} u_\varepsilon(t, x), \quad u^R := \lim_{x \rightarrow \bar{x}^+} u_\varepsilon(t, x),$$

the collection of curves

$$\left\{ \Phi_k(t) \left( \mathcal{W}_k(t, \bar{x}) \right) \ni \tau \mapsto \hat{\gamma}_k \left( t, \Phi_k(t)^{-1}(\tau) \right) \right\}_{k=1, \dots, n},$$

solves the Riemann problem  $(u^L, u^R)$ ;

- (b) for any  $w \in \mathcal{W}_k^\pm(i\varepsilon)$ , if  $\mathfrak{t}^{\text{canc}}(w) \geq (i+1)\varepsilon$ , then for any time  $t \in [i\varepsilon, (i+1)\varepsilon)$  it holds

$$(2.6) \quad \mathbf{x}(t, w) = \begin{cases} \mathbf{x}(i\varepsilon, w) & \text{if } \vartheta_{i+1} \geq \hat{\sigma}_k(i\varepsilon, w), \\ \mathbf{x}(i\varepsilon, w) + (t - i\varepsilon) & \text{if } \vartheta_{i+1} < \hat{\sigma}_k(i\varepsilon, w). \end{cases}$$

The following theorem is exactly [3, Theorem 4.1].

**Theorem 2.5.** *There exists at least one Lagrangian representation for the approximate solution  $u_\varepsilon$  constructed by the Glimm scheme, which moreover satisfies the following conditions: for any grid point  $(i\varepsilon, m\varepsilon) \in \mathbb{N}\varepsilon \times \mathbb{Z}\varepsilon$ ,*

- (a) *the set  $\mathcal{W}_k(i\varepsilon, m\varepsilon) \cap \mathcal{W}_k((i-1)\varepsilon)$  is an i.o.w. both at time  $(i-1)\varepsilon$  and at time  $i\varepsilon$ , while the set  $\mathcal{W}_k(i\varepsilon, m\varepsilon) \setminus \mathcal{W}_k((i-1)\varepsilon)$  is an i.o.w. at time  $i\varepsilon$ ;*  
 (b) *the map*

$$\Phi_k((i-1)\varepsilon)(\mathcal{W}_k(i\varepsilon, m\varepsilon) \cap \mathcal{W}_k((i-1)\varepsilon)) \xrightarrow{\Phi_k(i\varepsilon) \circ \Phi_k((i-1)\varepsilon)^{-1}} \Phi_k(i\varepsilon)(\mathcal{W}_k(i\varepsilon, m\varepsilon) \cap \mathcal{W}_k((i-1)\varepsilon))$$

*is an affine map with Lipschitz constant equal to 1.*

**Definition 2.6.** Fix  $\bar{t} \geq 0$ . Let  $\mathcal{I} \subseteq \mathcal{W}_k(\bar{t})$  be an interval of waves at time  $\bar{t}$ . Set  $I := \Phi_k(\bar{t})(\mathcal{I})$ . By Property (3) of the Definition of Lagrangian representation,  $I$  is an interval in  $\mathbb{R}$  (possibly made by a single point). Let us define:

- the Rankine-Hugoniot speed given to the interval of waves  $\mathcal{I}$  by a function  $g : \mathbb{R} \rightarrow \mathbb{R}$  as

$$\sigma^{\text{rh}}(g, \mathcal{I}) := \begin{cases} \frac{g(\sup I) - g(\inf I)}{\sup I - \inf I} & \text{if } I \text{ is not a singleton,} \\ g'(I) & \text{if } I \text{ is a singleton;} \end{cases}$$

- for any  $w \in \mathcal{I}$ , the entropic speed given to the wave  $w$  by the Riemann problem  $\mathcal{I}$  and the flux function  $g$  as

$$\sigma^{\text{ent}}(g, \mathcal{I}, w) := \begin{cases} \frac{d}{d\tau} \text{conv}_I g(\Phi_k(\bar{t})(w)) & \text{if } \mathcal{S}_k(w) = +1, \\ \frac{d}{d\tau} \text{conc}_I g(\Phi_k(\bar{t})(w)) & \text{if } \mathcal{S}_k(w) = -1. \end{cases}$$

If  $\sigma^{\text{rh}}(g, \mathcal{I}) = \sigma^{\text{ent}}(g, \mathcal{I}, w)$  for any  $w \in \mathcal{I}$ , we will say that  $\mathcal{I}$  is *entropic* w.r.t. the function  $g$ .

We will also say that the Riemann problem  $\mathcal{I}$  with flux function  $g$  divides  $w, w'$  if  $\sigma^{\text{ent}}(g, \mathcal{I}, w) \neq \sigma^{\text{ent}}(g, \mathcal{I}, w')$ .

**Definition 2.7.** For each family  $k = 1, \dots, n$  and for each time  $t \geq 0$  define the *effective flux of the  $k$ -th family at time  $t$*  as any  $C^{1,1}$  function

$$\mathbf{f}_k^{\text{eff}}(t, \cdot) : [L_k^-, L_k^+] \rightarrow \mathbb{R}$$

whose second derivative satisfies the following relation:

$$\frac{\partial^2 \mathbf{f}_k^{\text{eff}}(t, \cdot)}{\partial \tau^2}(\tau) := \frac{d\tilde{\lambda}(\hat{\gamma}(t, w))}{d\tau},$$

for  $\mathcal{L}^1$ -a.e.  $\tau \in [L_k^-, L_k^+]$ , where  $w = \Phi_k(t)^{-1}(\tau)$ .

**2.4. Glimm-type functionals.** We have already observed (see Sections 1.2, 1.3) that the main tool to get a priori estimates on the Glimm approximate solutions is to find suitable decreasing functional. Here we recall the definitions of some Glimm-type functional, which we will use throughout the paper.

**Definition 2.8.** Define the *total variation along curves* as

$$V(t) := \sum_{k=1}^n \sum_{m \in \mathbb{Z}} |s_k^{i,m}|, \quad \text{for any } t \in [i\varepsilon, (i+1)\varepsilon).$$

Define the *transversal interaction functional* as

$$Q^{\text{trans}}(t) := \sum_{k=1}^n \sum_{h=1}^{k-1} \sum_{m > m'} |s_k^{i,m'}| |s_h^{i,m}|, \quad \text{for any } t \in [i\varepsilon, (i+1)\varepsilon).$$

Define the *cubic interaction functional* as

$$Q^{\text{cubic}}(t) := \sum_{k=1}^n \sum_{m, m' \in \mathbb{Z}} \int_{\mathbf{I}(s_k^{i,m})} \int_{\mathbf{I}(s_k^{i,m'})} |\sigma_k^{i,m}(\tau) - \sigma_k^{i,m'}(\tau')| d\tau' d\tau.$$

The following statements hold: for the proofs, see [5], [1].

**Proposition 2.9.** *There exists a constant  $C > 0$ , depending only of the flux  $f$ , such that for any time  $t \geq 0$*

$$\frac{1}{C} \text{Tot.Var.}(u(t)) \leq V(t) \leq C \text{Tot.Var.}(u(t)).$$

**Theorem 2.10.** *The following hold:*

- (1) *the functionals  $t \mapsto V(t), Q^{\text{trans}}(t), Q^{\text{cubic}}(t)$  are constant on each interval  $[i\varepsilon, (i+1)\varepsilon)$ ;*
- (2) *they are bounded by powers of the  $\text{Tot.Var.}(u(t))$  as follows:*

$$\begin{aligned} V(t) &\leq \mathcal{O}(1) \text{Tot.Var.}(u(t)), \\ Q^{\text{trans}}(t) &\leq \mathcal{O}(1) \text{Tot.Var.}(u(t))^2, \\ Q^{\text{cubic}}(t) &\leq \mathcal{O}(1) \text{Tot.Var.}(u(t))^3; \end{aligned}$$

- (3) *there exist constants  $c_1, c_2, c_3 > 0$ , depending only on the flux  $f$ , such that for any  $i \in \mathbb{N}$ , defining*

$$Q^{\text{known}}(t) := c_1 V(t) + c_2 Q^{\text{trans}}(t) + c_3 Q^{\text{cubic}}(t),$$

*it holds*

$$(2.7) \quad \boxed{\text{E:qknown}} \sum_{m \in \mathbb{Z}} \left[ \mathbf{A}^{\text{trans}}(i\varepsilon, m\varepsilon) + \sum_{k=1}^n \left( \mathbf{A}_k^{\text{canc}}(i\varepsilon, m\varepsilon) + \mathbf{A}_k^{\text{cubic}}(i\varepsilon, m\varepsilon) \right) \right] \leq Q^{\text{known}}((i-1)\varepsilon) - Q^{\text{known}}(i\varepsilon).$$

**2.5. Analysis of waves collisions.** This section corresponds to [3, Section 5]. Here however we introduce a new definition of *characteristic interval* associated to a pair of waves  $(w, w')$  and a new definition of the partition of this interval. These new definitions provide the correct setting to define the new quadratic interaction potential which we are going to introduce in Section 2.6 and which will be used in Section 5 to prove estimate (1.15) and thus Theorem 1.3.

We first introduce the following equivalence relation  $\bowtie$ : for any fixed time  $\bar{t} \in [i\varepsilon, (i+1)\varepsilon)$  and for any couple of waves  $w, w' \in \mathcal{W}_k(t)$ , we set  $w \bowtie w'$  if and only if

$$(2.8) \quad \boxed{\text{E:equiv:rel}} \mathbf{t}^{\text{cr}}(w) = \mathbf{t}^{\text{cr}}(w') \text{ and } \mathbf{x}(t, w) = \mathbf{x}(t, w') \quad \text{for any } t \in [\mathbf{t}^{\text{cr}}(w), (i+1)\varepsilon).$$

and we denote the equivalence classes as

$$\mathcal{E}(\bar{t}, w) := \left\{ z \in \mathcal{W}_k(\bar{t}) \mid \mathbf{t}^{\text{cr}}(z) = \mathbf{t}^{\text{cr}}(w) \text{ and } \mathbf{x}(t, w) = \mathbf{x}(t, z) \text{ for any } t \in [\mathbf{t}^{\text{cr}}(w), (i+1)\varepsilon) \right\}.$$

**Definition 2.11.** Let  $\bar{t}$  be a fixed time and let  $w, w' \in \mathcal{W}_k(\bar{t})$ . We say that

- $w, w'$  *interact at time  $\bar{t}$*  if  $\mathbf{x}(\bar{t}, w) = \mathbf{x}(\bar{t}, w')$ ;
- $w, w'$  *have already interacted at time  $\bar{t}$*  if there is  $t \leq \bar{t}$  such that  $w, w'$  interact at time  $t$ ;
- $w, w'$  *have never interacted at time  $\bar{t}$*  if for any  $t \leq \bar{t}$ , they do not interact at time  $t$ ;
- $w, w'$  *will interact after time  $\bar{t}$*  if there is  $t > \bar{t}$  such that  $w, w'$  interact at time  $t$ ;
- $w, w'$  *are joined in the real solution at time  $\bar{t}$*  if there is a right neighborhood of  $\bar{t}$ , say  $[\bar{t}, \bar{t} + \zeta)$ , such that they interact at any time  $t \in [\bar{t}, \bar{t} + \zeta)$ ;
- $w, w'$  *are divided in the real solution at time  $\bar{t}$*  if they are not joined at time  $\bar{t}$ .

**Remark 2.12.** It  $\bar{t} \neq i\varepsilon$  for each  $i \in \mathbb{N}$ , then two waves are divided in the real solution if and only if they have different position. If  $\bar{t} = i\varepsilon$ , they are divided if there exists a time  $t > \bar{t}$ , arbitrarily close to  $\bar{t}$ , such that  $w, w'$  have different positions at time  $t$ .

**Definition 2.13.** Fix a time  $\bar{t}$  and two  $k$ -waves  $w, w' \in \mathcal{W}_k(\bar{t})$ ,  $w < w'$ . Assume that  $w, w'$  are divided in the real solution at time  $\bar{t}$ . Define the *time of last splitting*  $\mathbf{t}^{\text{split}}(\bar{t}, w, w')$  (if  $w, w'$  have already interacted at time  $\bar{t}$ ) and the *time of next interaction*  $\mathbf{t}^{\text{int}}(\bar{t}, w, w')$  (if  $w, w'$  will interact after time  $\bar{t}$ ) by the formulas

$$\begin{aligned} \mathbf{t}^{\text{split}}(\bar{t}, w, w') &:= \max \{ t < \bar{t} \mid \mathbf{x}(t, w) = \mathbf{x}(t, w') \} \\ \mathbf{t}^{\text{int}}(\bar{t}, w, w') &:= \min \{ t > \bar{t} \mid \mathbf{x}(t, w) = \mathbf{x}(t, w') \} \end{aligned}$$

Given two  $k$ -waves  $w, w' \in \mathcal{W}_k$  and given a time  $t \in [0, \infty)$ , we define the property

$$\mathfrak{p}(t, w, w') : \quad \begin{array}{l} \text{“either } w, w' \in \mathcal{W}_k(t) \text{ and they are divided at time } t \text{ in the real solution} \\ \text{or at least one between } w, w' \text{ does not belong to } \mathcal{W}_k(t)\text{”}. \end{array}$$

**Definition 2.14.** Let  $t_1 \leq t_2$ , be two times. Let  $w, w' \in \mathcal{W}_k(t_2)$  be two  $k$ -waves. Assume that they have the same sign and that they satisfy  $\mathfrak{p}(t_1, w, w')$ . We define the *characteristic interval*  $\mathcal{I}(t_1, t_2, w, w')$  of  $w, w'$  at time  $t_2$  starting from time  $t_1$  as follows. Assume first that  $t_2 = i\varepsilon$  for some  $i \in \mathbb{N}$ .

- (1) If at least one between  $w, w'$  does not belong to  $\mathcal{W}_k(t_1)$  or  $w, w' \in \mathcal{W}_k(t_1)$ , but they have never interacted at time  $t_1$ , then

(2.10)  $\mathfrak{E}_I$  mai\_int?

$$\mathcal{I}(t_1, t_2, w, w') = \begin{cases} \{z \in \mathcal{W}_k(t_2) \mid \mathcal{S}(z) = \mathcal{S}(w) \text{ and } z < \mathcal{E}(t_2, w')\} \cup \mathcal{E}(t_2, w') & \text{if } \mathfrak{t}^{\text{cr}}(w) \leq \mathfrak{t}^{\text{cr}}(w'), \\ \mathcal{E}(t_2, w) \cup \{z \in \mathcal{W}_k(t_2) \mid \mathcal{S}(z) = \mathcal{S}(w) \text{ and } z > \mathcal{E}(t_2, w)\} & \text{if } \mathfrak{t}^{\text{cr}}(w) > \mathfrak{t}^{\text{cr}}(w'); \end{cases}$$

- (2) If  $w, w' \in \mathcal{W}_k(t_1)$  and they have already interacted at time  $t_1$ , we have to distinguish two cases:

- (a) if  $t_1 = \mathfrak{t}^{\text{split}}(t_1, w, w')$ , then argue by recursion:

- if  $t_2 = t_1 = \mathfrak{t}^{\text{split}}(t_1, w, w')$ , set

$$\mathcal{I}(t_1, t_2, w, w') := \mathcal{W}(t_1, \mathbf{x}(t_1, w)) = \mathcal{W}(t_1, \mathbf{x}(t_1, w'));$$

- if  $t_2 = i\varepsilon > (i-1)\varepsilon \geq t_1 = \mathfrak{t}^{\text{split}}(t_1, w, w')$ , define  $\mathcal{I}(t_1, t_2, w, w')$  as the smallest interval in  $(\mathcal{W}_k^\pm(t_2), \leq)$  which contains  $\mathcal{I}(t_1, (i-1)\varepsilon, w, w') \cap \mathcal{W}_k(t_2)$ , i.e.

$$\mathcal{I}(t_1, t_2, w, w') := \left\{ z \in \mathcal{W}_k(t_2) \mid \mathcal{S}(z) = \mathcal{S}(w) = \mathcal{S}(w') \right.$$

$$\left. \text{and } \exists y, y' \in \mathcal{I}(t_1, (i-1)\varepsilon, w, w') \cap \mathcal{W}_k(t_2) \text{ such that } y \leq z \leq y' \right\}.$$

- (b) if  $t_1 > \mathfrak{t}^{\text{split}}(t_1, w, w')$ , set

$$\mathcal{I}(t_1, t_2, w, w') = \mathcal{I}(\mathfrak{t}^{\text{split}}(t_1, w, w'), t_2, w, w')$$

Finally set

$$\mathcal{I}(t_1, t_2, w, w') := \mathcal{I}(t_1, i\varepsilon, w, w') \quad \text{for } t_2 \in [i\varepsilon, (i+1)\varepsilon).$$

As in [3], we define now a partition  $\mathcal{P}(t_1, t_2, w, w')$  of the characteristic interval  $\mathcal{I}(t_1, t_2, w, w')$ , with the properties that each element of  $\mathcal{P}(t_1, t_2, w, w')$  is an interval of waves at time  $t_2$ , entropic w.r.t. the flux  $\mathfrak{f}_k^{\text{eff}}(t_2)$  of Definition 2.7.

**Definition 2.15.** As before, let  $t_1 \leq t_2$ , be two times. Let  $w, w' \in \mathcal{W}_k(t_2)$  be two  $k$ -waves. Assume that they have the same sign and that they satisfy  $\mathfrak{p}(t_1, w, w')$ . Assume first that  $t_2 = i\varepsilon, i \in \mathbb{N}$ .

- (1) If at least one between  $w, w'$  does not belong to  $\mathcal{W}_k(t_1)$  or  $w, w' \in \mathcal{W}_k(t_1)$ , but they have never interacted at time  $t_1$ , then the equivalence classes of the partition  $\mathcal{P}(t_1, t_2, w, w')$  are singletons.
- (2) Assume now that  $w, w'$  have already interacted at time  $t_1$ ; we distinguish two cases:
- (a) if  $t_1 = \mathfrak{t}^{\text{split}}(t_1, w, w')$ , argue by recursion:
- if  $t_2 = t_1 = \mathfrak{t}^{\text{split}}(t_1, w, w')$ , then  $\mathcal{P}(t_1, t_2, w, w')$  is given by the equivalence relation

$$z \sim z' \iff \begin{cases} z, z' \text{ are not divided by the Riemann problem } \mathcal{W}_k(t_1, \mathbf{x}(t_1, w)) \\ \text{with flux function } \mathfrak{f}_k^{\text{eff}}(t_1, \cdot); \end{cases}$$

- if  $t_2 = i\varepsilon > (i-1)\varepsilon \geq t_1 = \mathfrak{t}^{\text{split}}(t_1, w, w')$ , then  $\mathcal{P}(t_1, t_2, w, w')$  is given by the equivalence relation

$$z \sim z' \iff \left\{ \begin{array}{l} \left[ \begin{array}{l} z, z' \text{ belong to the same} \\ \text{equivalence class } \mathcal{J} \in \mathcal{P}(t_1, (i-1)\varepsilon, w, w') \\ \text{and the Riemann problem } \mathcal{J} \cap \mathcal{W}_k(t_2) \\ \text{with flux } \mathfrak{f}_k^{\text{eff}}(t_2, \cdot) \text{ does not divide them} \end{array} \right] \\ \text{or} \\ \left[ \mathfrak{t}^{\text{cr}}(z) = \mathfrak{t}^{\text{cr}}(z') = t_2 \text{ and } z = z' \right]. \end{array} \right.$$

It is not difficult to see that the previous definition is well posed, since  $\mathcal{J} \cap \mathcal{W}(i\varepsilon)$  is an interval of waves at time  $i\varepsilon$ .

- (b) if  $t_1 > \mathfrak{t}^{\text{split}}(t_1, w, w')$ , set

$$\mathcal{P}(t_1, t_2, w, w') = \mathcal{P}(\mathfrak{t}^{\text{split}}(t_1, w, w'), t_2, w, w')$$

Finally extend the definition of  $\mathcal{P}(t_1, t_2, w, w')$  for any time  $t_2 \in [i\varepsilon, (i+1)\varepsilon)$ , setting

$$\mathcal{P}(t_1, t_2, w, w') = \mathcal{P}(t_1, i\varepsilon, w, w') \quad \text{for any } \bar{t} \in [i\varepsilon, (i+1)\varepsilon).$$

We collect now the main results about the characteristic interval and its partition. In this paper the definitions of the characteristic interval  $\mathcal{I}(t_1, t_2, w, w')$  and of the associated partition  $\mathcal{P}(t_1, t_2, w, w')$  are different from the analog definitions given in [3]. However the results we present now can be proved with the same techniques as in [3, Section 5]. For this reason we just state the results, omitting the proofs.

The following proposition corresponds to [3, Proposition 5.12] and can be proved in an similar way.

ca\_divise\_realta) **Proposition 2.16.** *Let  $t_1 \leq t_2$ , be two times. Let  $w, w' \in \mathcal{W}_k(t_2)$  be two  $k$ -waves. Assume that they have the same sign and that they satisfy  $\mathfrak{p}(t_1, w, w')$ . Let  $\mathcal{J} \in \mathcal{P}(t_1, t_2, w, w')$ . Then  $\mathfrak{x}(t_2, \cdot)$  is constant on  $\mathcal{J}$  and  $\mathcal{J}$  is an entropic interval of waves at time  $t_2$  w.r.t. the flux function  $\mathfrak{f}_k^{\text{eff}}(t_2, \cdot)$ .*

**Definition 2.17.** Let  $A, B$  two sets,  $A \subseteq B$ . Let  $\mathcal{P}$  be a partition of  $B$ . We say that  $\mathcal{P}$  can be restricted to  $A$  if for any  $C \in \mathcal{P}$ , either  $C \subseteq A$  or  $C \subseteq B \setminus A$ . We also write

$$\mathcal{P}|_A := \{C \in \mathcal{P} \mid C \subseteq A\}.$$

Clearly  $\mathcal{P}$  can be restricted to  $A$  if and only if it can be restricted to  $B \setminus A$ .

The following proposition is the equivalent to [3, Proposition 5.14] and can be proved in an analogous way.

partition\_restr) **Proposition 2.18.** *Let  $t_1 \leq t_2$ , be two times. Let  $w, w', z, z' \in \mathcal{W}_k(t_2)$  be two  $k$ -waves,  $z \leq w < w' \leq z'$ . Assume that they have the same sign and that they satisfy both  $\mathfrak{p}(t_1, w, w')$  and  $\mathfrak{p}(t_1, z, z')$ . Then  $\mathcal{P}(t_1, t_2, z, z')$  can be restricted both to  $\mathcal{I}(t_1, t_2, z, z') \cap \mathcal{I}(t_1, t_2, w, w')$  and to  $\mathcal{I}(t_1, t_2, z, z') \setminus \mathcal{I}(t_1, t_2, w, w')$ .*

The following proposition is the equivalent to [3, Proposition 5.15] and can be proved in an analogous way.

(P\_stessa\_part) **Proposition 2.19.** *Let  $t_1 \leq t_2$ , be two times. Let  $w, w', z, z' \in \mathcal{W}_k(t_2)$  be two  $k$ -waves,  $z \leq w < w' \leq z'$ . Assume that they have the same sign and that they satisfy both  $\mathfrak{p}(t_1, w, w')$  and  $\mathfrak{p}(t_1, z, z')$ .*

- t\_1\_stessa\_part)? (1) *If  $w, w' \in \mathcal{W}_k(t_1)$  and they have already interacted at time  $t_1$ , if  $z, z' \in \mathcal{I}(t_1, t_2, w, w')$  and if  $\mathfrak{t}^{\text{cr}}(z), \mathfrak{t}^{\text{cr}}(z') \leq \mathfrak{t}^{\text{split}}(t_1, w, w')$ , then  $\mathcal{I}(t_1, t_2, z, z') = \mathcal{I}(t_1, t_2, w, w')$  and  $\mathcal{P}(t_1, t_2, z, z') = \mathcal{P}(t_1, t_2, w, w')$ .*
- t\_2\_stessa\_part)? (2) *If  $w, w' \in \mathcal{W}_k(t_1)$  and they have already interacted at time  $t_1$ , but at least one wave between  $z, z'$  is created after  $\mathfrak{t}^{\text{split}}(t_1, w, w')$ , then  $z, z'$  have never interacted at time  $t_1$ .*
- t\_3\_stessa\_part)? (3) *If either  $w, w' \in \mathcal{W}_k(t_1)$  and they have never interacted at time  $t_1$ , or if at least one between  $w, w'$  does not belong to  $\mathcal{W}_k(t_1)$ ,*
- *if  $\mathfrak{t}^{\text{cr}}(w) \leq \mathfrak{t}^{\text{cr}}(w')$  and  $z' \in \mathcal{E}(t_2, w')$ , then  $z, z'$  have never interacted at time  $t_1$ ;*
  - *if  $\mathfrak{t}^{\text{cr}}(w) > \mathfrak{t}^{\text{cr}}(w')$  and  $z \in \mathcal{E}(t_2, w)$ , then  $z, z'$  have never interacted at time  $t_1$ .*

(Ss:new:q) **2.6. New quadratic potential.** Let  $t \in [0, +\infty)$  be a fixed time and let  $w, w' \in \mathcal{W}_k(t)$  be two  $k$ -waves having the same sign. In this section we introduce the weight  $\mathfrak{q}_k(t, w, w')$  of the pair of waves  $w, w'$  at time  $t$ ; as we have already pointed out, the definition we present here is different (and stronger) from the one we gave in [3]. We proceed as follows.

First of all, fix three times  $t_1 \leq t_2 \leq t_3$ . Assume that  $w, w' \in \mathcal{W}_k(t_2) \cap \mathcal{W}_k(t_3)$ . Assume also that  $\mathfrak{p}(t_1, w, w')$  holds and that  $t_3 \in \mathbb{N}\varepsilon$ . We set

$$(2.11) \text{ ?E:q?} \quad \mathfrak{q}_k(t_1, t_2, t_3, w, w') := \frac{\pi_k(t_1, t_2, t_3, w, w')}{d_k(t_1, t_2, t_3, w, w')},$$

where  $\pi_k(t_1, t_2, t_3, w, w')$ ,  $d_k(t_1, t_2, t_3, w, w')$  are defined as follows. Let

$$(2.12) \text{ E\_element\_of\_part} \quad \begin{aligned} \mathcal{J}, \mathcal{J}' \in \mathcal{P}(t_1, t_2, w, w'), \text{ such that } w \in \mathcal{J}, w' \in \mathcal{J}', \\ \mathcal{K}, \mathcal{K}' \in \mathcal{P}(t_1, t_3, w, w'), \text{ such that } w \in \mathcal{K}, w' \in \mathcal{K}' \end{aligned}$$

be the elements of the partition of  $\mathcal{I}(t_1, t_2, w, w')$  and  $\mathcal{I}(t_1, t_3, w, w')$  containing  $w, w'$  respectively. Set

$$(2.13) \text{ E\_gg'} \quad \mathcal{G} := \mathcal{K} \cup \{z \in \mathcal{J} \mid z > \mathcal{K}\}, \quad \mathcal{G}' := \mathcal{K}' \cup \{z \in \mathcal{J}' \mid z < \mathcal{K}'\},$$

and

$$\mathcal{B} := \mathcal{K} \cup \left\{ z \in \mathcal{W}_k(t_2) \mid \mathcal{S}(z) = \mathcal{S}(w) = \mathcal{S}(w') \text{ and } \mathcal{K} < z < \mathcal{K}' \right\} \cup \mathcal{K}'.$$

Using a version of [3, Lemma 5.11] adapted to our new definition of the characteristic intervals and partitions, one can easily prove that  $\mathcal{G}, \mathcal{G}'$  are i.o.w.s at time  $t_2$ . We can thus define

$$(2.14) \text{ ?E\_def\_pi?} \quad \pi_k(t_1, t_2, t_3, w, w') := \left[ \sigma^{\text{rh}}(\mathfrak{f}_k^{\text{eff}}(t_2), \mathcal{G}) - \sigma^{\text{rh}}(\mathfrak{f}_k^{\text{eff}}(t_2), \mathcal{G}') \right]^+$$

and

$$(2.15) \text{ ?E\_def\_d?} \quad d_k(t_1, t_2, t_3, w, w') := \mathcal{L}^1(\Phi_k(t_2)(\mathcal{B})).$$

(pesi:unif:limit) **Remark 2.20.** It is easy to see that  $\mathfrak{q}_k(t_1, t_2, t_3, w, w')$  is uniformly bounded: in fact,

$$0 \leq \mathfrak{q}_k(t_1, t_2, t_3, w, w') = \frac{\pi_k(t_1, t_2, t_3, w, w')}{d_k(t_1, t_2, t_3, w, w')} \leq \|D^2 \mathfrak{f}_k^{\text{eff}}(t_2)\|_\infty \leq \mathcal{O}(1).$$

Fix now two times  $t_1 \leq t_2$  such that  $w, w' \in \mathcal{W}_k(t_2)$  and  $\mathfrak{p}(t_1, w, w')$  holds. Define

$$(2.16) \text{ E:q\_senza\_int} \quad \mathfrak{q}_k(t_1, t_2, w, w') := \sup_{\substack{t_3 > t_2 \\ t_3 \in \mathbb{N}\varepsilon \\ w, w' \in \mathcal{W}_k(t_3)}} \mathfrak{q}_k(t_1, t_2, t_3, w, w').$$

Finally, for any fixed time  $t$  and for any  $w, w' \in \mathcal{W}_k(t)$ , define

$$(2.17) \text{ E:q\_senza\_p} \quad \mathfrak{q}_k(t, w, w') := \begin{cases} \mathfrak{q}_k(t, t, w, w'), & \text{if } w, w' \text{ are divided in the real solution at time } t_2, \\ 0, & \text{otherwise.} \end{cases}$$

**Remark 2.21.** Notice that the definition of the weight  $\mathfrak{q}(t, w, w')$  is different and stronger from the old definition of the weight we gave in [3] and which we will denote by  $\mathfrak{q}^{\text{old}}(t, w, w')$ . Indeed,

$$\mathfrak{q}_k^{\text{old}}(t, w, w') = \begin{cases} \mathfrak{q}_k(t, t, \mathfrak{t}^{\text{int}}(t, w, w') - \varepsilon, w, w') & \text{if } w, w' \text{ are divided at time } t_2 \\ & \text{and will interact after time } t_2, \\ 0 & \text{otherwise.} \end{cases}$$

Hence

$$(2.18) \text{ E:qold\_vs\_qnew} \quad \mathfrak{q}_k^{\text{old}}(t, w, w') \leq \mathfrak{q}_k(t, w, w')$$

As in [3], we can finally define the functional  $\mathfrak{Q}_k(t)$  as

$$\mathfrak{Q}_k(t) := \mathfrak{Q}_k^+(t) + \mathfrak{Q}_k^-(t),$$

where

$$\mathfrak{Q}_k^+(t) := \int_0^{L_k^+(t)} d\tau \int_\tau^{L_k^+(t)} d\tau' \mathfrak{q}_k(t, \Phi_k(t)^{-1}(\tau), \Phi_k(t)^{-1}(\tau'))$$

and

$$\mathfrak{Q}_k^-(t) := \int_{L_k^-(t)}^0 d\tau \int_{\tau}^0 d\tau' \mathfrak{q}_k(t, \Phi_k(t)^{-1}(\tau'), \Phi_k(t)^{-1}(\tau)).$$

**Remark 2.22.** Clearly  $\mathfrak{Q}_k(t)$  is constant on the time intervals  $[i\varepsilon, (i+1)\varepsilon)$  and it changes its value only at times  $i\varepsilon$ ,  $i \in \mathbb{N}$ .

This functional  $\mathfrak{Q}_k$ , whose definition is different from the one in [3], still satisfies [3, Theorem 6.3]. We state now this theorem and we give a brief sketch of how its proof in [3] can be adapted to the new setting.

**Theorem 2.23.** *For any  $i \in \mathbb{N}$ ,  $i \geq 1$ , it holds*

$$(2.19) \quad \mathfrak{Q}_k(i\varepsilon) - \mathfrak{Q}_k((i-1)\varepsilon) \leq - \sum_{m \in \mathbb{Z}} \mathbf{A}_k^{\text{quadr}}(i\varepsilon, m\varepsilon) + \mathcal{O}(1) \text{Tot.Var.}(u(0); \mathbb{R}) \sum_{m \in \mathbb{Z}} \mathbf{A}(i\varepsilon, m\varepsilon).$$

*Sketch of the proof.* The proof is analog to the proof of [3, Theorem 6.3]. We just sketch it, without entering into details. Some notations, which will be used again later, are introduced here.

First of all observe that it is sufficient to prove inequality (2.19) separately for  $\mathfrak{Q}_k^+$  and  $\mathfrak{Q}_k^-$ . Let us thus concentrate our attention of  $\mathfrak{Q}_k^+$ , since the analysis on  $\mathfrak{Q}_k^-$  is completely similar. For any  $m \in \mathbb{Z}$ , set

$$(2.20) \quad \begin{aligned} J_m^L &:= \Phi_k((i-1)\varepsilon) \left( \left\{ w \in \mathcal{W}_k^+((i-1)\varepsilon) \mid \mathbf{x}((i-1)\varepsilon, w) = (m-1)\varepsilon, \mathbf{x}(i\varepsilon, w) = m\varepsilon \right\} \right), \\ J_m^R &:= \Phi_k((i-1)\varepsilon) \left( \left\{ w \in \mathcal{W}_k^+((i-1)\varepsilon) \mid \mathbf{x}((i-1)\varepsilon, w) = m\varepsilon, \mathbf{x}(i\varepsilon, w) = m\varepsilon \right\} \right), \\ K_m &:= \Phi_k(i\varepsilon) \left( \mathcal{W}_k(i\varepsilon, m\varepsilon) \cap \mathcal{W}_k^+(i\varepsilon) \right), \\ S_m &:= \Phi_k((i-1)\varepsilon) \left( \mathcal{W}_k(i\varepsilon, m\varepsilon) \cap \mathcal{W}_k((i-1)\varepsilon) \right), \\ T_m &:= \Phi_k(i\varepsilon) \left( \mathcal{W}_k(i\varepsilon, m\varepsilon) \cap \mathcal{W}_k((i-1)\varepsilon) \right). \end{aligned}$$

Observe that if  $\tau, \tau' \in J_m^L$  (or  $\tau, \tau' \in J_m^R$ ), then  $w := \Phi_k^{-1}((i-1)\varepsilon)(\tau)$  and  $w' := \Phi_k^{-1}((i-1)\varepsilon)(\tau')$  are not divided in the real solution at time  $(i-1)\varepsilon$  and thus  $\mathfrak{q}_k((i-1)\varepsilon, w, w') = 0$ .

Similarly, if  $\tau, \tau' \in K_m$ ,  $\tau < \tau'$ , setting again  $w := \Phi_k^{-1}(i\varepsilon)(\tau)$ ,  $w' := \Phi_k^{-1}(i\varepsilon)(\tau')$  then either  $w, w'$  are not divided at time  $i\varepsilon$ , and thus  $\mathfrak{q}_k(i\varepsilon, w, w') = 0$ , or they are divided at time  $i\varepsilon$ , i.e. they have different positions at times  $t \in (i\varepsilon, (i+1)\varepsilon)$ . In this second case, by definition  $\mathfrak{t}^{\text{split}}(i\varepsilon, w, w') = i\varepsilon$ ; for any fixed  $j \in \mathbb{N}$ ,  $j \geq i$ , with  $w, w' \in \mathcal{W}_k(j\varepsilon)$ , with notations similar to (2.12)-(2.13), denote by

$$\begin{aligned} \mathcal{J}, \mathcal{J}' &\in \mathcal{P}(i\varepsilon, i\varepsilon, w, w'), \text{ such that } w \in \mathcal{J}, w' \in \mathcal{J}', \\ \mathcal{K}, \mathcal{K}' &\in \mathcal{P}(i\varepsilon, j\varepsilon, w, w'), \text{ such that } w \in \mathcal{K}, w' \in \mathcal{K}'. \end{aligned}$$

the element of the partition containing  $w, w'$  at time  $i\varepsilon$  and at time  $j\varepsilon$  respectively, and set

$$\mathcal{G} := \mathcal{K} \cup \{z \in \mathcal{J} \mid z > \mathcal{K}\}, \quad \mathcal{G}' := \mathcal{K}' \cup \{z \in \mathcal{J}' \mid z < \mathcal{K}'\}.$$

Using the monotonicity properties of the derivative of the convex envelope and the fact that the element of the partition  $\mathcal{P}(i\varepsilon, i\varepsilon, w, w')$  are entropic w.r.t. the function  $\mathbf{f}_k^{\text{eff}}(i\varepsilon)$ , we obtain

$$0 \geq \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(i\varepsilon), \mathcal{J}) - \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(i\varepsilon), \mathcal{J}') \geq \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(i\varepsilon), \mathcal{G}) - \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(i\varepsilon), \mathcal{G}').$$

Thus  $\pi_k(i\varepsilon, i\varepsilon, j\varepsilon, w, w') = 0 = \mathfrak{q}_k(i\varepsilon, i\varepsilon, j\varepsilon, w, w')$ , for any  $j \geq i$  such that  $w, w' \in \mathcal{W}_k(j\varepsilon)$ . Hence, by (2.16) and (2.17),

$$\mathfrak{q}_k(i\varepsilon, w, w') = \mathfrak{q}_k(i\varepsilon, i\varepsilon, w, w') = \sup_{\substack{j \geq i \\ w, w' \in \mathcal{W}_k(j\varepsilon)}} \mathfrak{q}_k(i\varepsilon, i\varepsilon, j\varepsilon, w, w') = 0.$$

We can thus perform the following computation:

$$\begin{aligned}
& \mathfrak{Q}_k^+(i\varepsilon) - \mathfrak{Q}_k^+((i-1)\varepsilon) \\
& \leq \sum_{m < m'} \left[ \iint_{T_m \times T_{m'}} \mathfrak{q}_k \left( i\varepsilon, \Phi_k(i\varepsilon)^{-1}(\tau), \Phi_k(i\varepsilon)^{-1}(\tau') \right) d\tau d\tau' \right. \\
& \quad + \iint_{(K_m \times K_{m'}) \setminus (T_m \times T_{m'})} \mathfrak{q}_k \left( i\varepsilon, \Phi_k(i\varepsilon)^{-1}(\tau), \Phi_k(i\varepsilon)^{-1}(\tau') \right) d\tau d\tau' \\
& \quad \left. - \iint_{S_m \times S_{m'}} \mathfrak{q}_k \left( (i-1)\varepsilon, \Phi_k((i-1)\varepsilon)^{-1}(\tau), \Phi_k((i-1)\varepsilon)^{-1}(\tau') \right) d\tau d\tau' \right] \\
& \quad - \sum_{m \in \mathbb{Z}} \iint_{J_m^L \times J_m^R} \mathfrak{q}_k \left( (i-1)\varepsilon, \Phi_k((i-1)\varepsilon)^{-1}(\tau), \Phi_k((i-1)\varepsilon)^{-1}(\tau') \right) d\tau d\tau'.
\end{aligned}$$

Now the tree terms in the r.h.s. of the last inequality are estimated separately as follows.

1. The integral over *pairs of waves such that at least one of them is created at time  $i\varepsilon$*  is estimated exactly in the same way as is [3, Section 6.3]:

$$(2.21) \quad \boxed{\text{E\_one\_created}} \iint_{(K_m \times K_{m'}) \setminus (T_m \times T_{m'})} \mathfrak{q}_k(i\varepsilon) d\tau d\tau' \leq \mathcal{O}(1) \text{Tot.Var.}(u(0)) \sum_{m \in \mathbb{Z}} \mathbf{A}(i\varepsilon, m\varepsilon).$$

2. The variation of the integral over *pairs of waves which exist both at time  $(i-1)\varepsilon$  and at time  $i\varepsilon$  and which do not interact at time  $i\varepsilon$*

$$(2.22) \quad \boxed{\text{E\_both\_conserved}} \left[ \iint_{T_m \times T_{m'}} \mathfrak{q}_k(i\varepsilon) d\tau d\tau' - \iint_{S_m \times S_{m'}} \mathfrak{q}_k((i-1)\varepsilon) d\tau d\tau' \right] \leq \mathcal{O}(1) \text{Tot.Var.}(u(0)) \sum_{r \in \mathbb{Z}} \mathbf{A}(i\varepsilon, r\varepsilon).$$

is estimated in the following way:

- a) first one adapts the proof of [3, Lemma 6.6] to show that for any  $t_1 \leq (i-1)\varepsilon < i\varepsilon \leq t_3$ , for any pair of waves  $w, w' \in \mathcal{W}_k(i\varepsilon) \cap \mathcal{W}_k(t_3)$ , if  $\mathfrak{p}(t_1, w, w')$  holds, setting  $m\varepsilon := \mathbf{x}(i\varepsilon, w) \leq \mathbf{x}(i\varepsilon, w') =: m'\varepsilon$ , we have

$$\begin{aligned}
& \left| d_k(t_1, i\varepsilon, t_3, w, w') - d_k(t_1, (i-1)\varepsilon, t_3, w, w') \right| \leq \mathcal{O}(1) \sum_{r=m}^{m'} \mathbf{A}(i\varepsilon, r\varepsilon), \\
& \pi_k(t_1, i\varepsilon, t_3, w, w') - \pi_k(t_1, (i-1)\varepsilon, t_3, w, w') \leq \mathcal{O}(1) \sum_{r=m}^{m'} \mathbf{A}(i\varepsilon, r\varepsilon),
\end{aligned}$$

and thus

$$(2.23) \quad \boxed{\text{E\_diff}(i\varepsilon, t_3, w, w')} \mathfrak{q}_k(w') - \mathfrak{q}_k(t_1, (i-1)\varepsilon, t_3, w, w') \leq \mathcal{O}(1) \frac{1}{|\Phi_k(i\varepsilon)(w') - \Phi_k(i\varepsilon)(w)|} \sum_{r=m}^{m'} \mathbf{A}(i\varepsilon, r\varepsilon).$$

- b) then one observes that  $\mathfrak{t}^{\text{split}}(i\varepsilon, w, w') = \mathfrak{t}^{\text{split}}((i-1)\varepsilon, w, w')$ , since  $\mathbf{x}(i\varepsilon, w) \neq \mathbf{x}(i\varepsilon, w')$ ;
- c) finally one uses the new definition of  $\mathfrak{q}_k$ , (2.16)-(2.17) to prove that

$$\mathfrak{q}_k(i\varepsilon, w, w') - \mathfrak{q}_k((i-1)\varepsilon, w, w') \leq \mathcal{O}(1) \frac{1}{|\Phi_k(i\varepsilon)(w') - \Phi_k(i\varepsilon)(w)|} \sum_{r=m}^{m'} \mathbf{A}(i\varepsilon, r\varepsilon).$$

3. Finally the estimate on the *pairs of waves which are divided at time  $(i-1)\varepsilon$  and are interacting at time  $i\varepsilon$* :

$$(2.24) \quad \boxed{\text{E\_interacting}} - \sum_{m \in \mathbb{Z}} \iint_{J_m^L \times J_m^R} \mathfrak{q}_k((i-1)\varepsilon) d\tau d\tau' \leq - \sum_{\substack{m \in \mathbb{Z} \\ \mathcal{S}(\mathcal{W}_k(i\varepsilon, m\varepsilon))=1}} \mathbf{A}_k^{\text{quadr}}(i\varepsilon, m\varepsilon) + \mathcal{O}(1) \text{Tot.Var.}(u(0)) \sum_{m \in \mathbb{Z}} \mathbf{A}(i\varepsilon, m\varepsilon).$$

is a immediate consequence of the analogous estimate [3, Inequality 6.9] and of the fact that the new definition of  $\mathfrak{q}_k$  is “stronger” than the old one, inequality (2.18).

It is easy to see that inequality (2.19) in the statement of Theorem 2.23 follows from (2.21), (2.22), (2.24).  $\square$

As an immediate consequence of the previous theorem and of estimate (2.7), we get the following corollary.

**Corollary 2.24.** *There exists a constant  $C = C(f) > 0$ , depending only on  $f$  such that the functional*

$$t \mapsto \Upsilon(t) := \mathfrak{Q}(t) + CQ^{\text{known}}(t)$$

is uniformly bounded at  $t = 0$ :

$$\Upsilon(0) \leq \mathcal{O}(1)\text{Tot.Var.}(\bar{u}),$$

it is decreasing and at each time step  $i\varepsilon$ ,  $i \in \mathbb{N}$ , it decreases at least of

$$(2.25) \quad \frac{1}{2} \sum_{m \in \mathbb{Z}} \mathbf{A}(i\varepsilon, m\varepsilon) \leq \Upsilon((i-1)\varepsilon) - \Upsilon(i\varepsilon).$$

### 3. THE WAVEFRONT MAP $\psi$

We have seen in Section 1.4 that a key point to prove Theorem 1.3 on the rate of convergence of the Glimm scheme is to construct, for any  $i_1, i_2 \in \mathbb{N}$ , a map

$$\psi : [i_1\varepsilon, i_2\varepsilon] \times \mathbb{R} \rightarrow \mathbb{R}^n$$

which satisfies the Properties in (1.16). In this section first of all we explicitly define the map  $\psi$ , which trivially satisfies Property (1.16a), and we construct a Lagrangian representation for the map  $\psi$ ; then we state Theorem 3.3, on the variation in time of the speed of the waves in  $\psi$ , whose proof will be the subject of Sections 4 and 5; finally, using Theorem 3.3, we prove that  $\psi$  satisfies also Properties (1.16b) and (1.16c).

**3.1. Definition of  $\psi$ .** We start with the explicit definition of  $\psi$ . This map  $\psi$  is constructed more or less as in [9], with some slight modification. Set for simplicity  $t_1 := i_1\varepsilon$  and  $t_2 := i_2\varepsilon$ . The definition of  $\psi$  is given backward in time, starting from time  $t_2$  and going backward to time  $t_1$ . First of all we set  $\psi(t_2, x) := u^\varepsilon(t_2, x)$  for any  $x \in \mathbb{R}$ , so that Property (1.16a) is trivially satisfied. Then we define two Riemann solvers, a *starting* RS and a *transversal* RS: both act backward in time and produce a self-similar wavefront solution, with a finite number of wavefronts. The *starting* RS is used at time  $t_2 = i_2\varepsilon$  to define  $\psi$  on a left neighborhood  $[\bar{t}, t_2]$  of  $t_2$ . Then, anytime two wavefronts collide at some time  $\bar{t} \in (t_1, t_2)$ , assuming that  $\psi$  is defined on the time interval  $[\bar{t}, t_2]$ , we use the *transversal* RS to prolong  $\psi$  on a left neighborhood of  $\bar{t}$ .

**The starting Riemann Solver.** This is the Riemann Solver used at time  $t = t_2$ . It is defined as follows. For any  $m, r \in \mathbb{Z}$ ,  $m = r, m-1, \dots, r - (i_2 - i_1)$ , set

$$(3.1) \quad \begin{aligned} \check{s}_k^{m \rightsquigarrow r} &:= \mathcal{S}\left(\mathcal{W}_k(i_1\varepsilon, m\varepsilon) \cap \mathcal{W}_k(i_2\varepsilon, r\varepsilon)\right) \mathcal{L}^1\left(\Phi_k(i_1\varepsilon)\left(\mathcal{W}_k(i_1\varepsilon, m\varepsilon) \cap \mathcal{W}_k(i_2\varepsilon, r\varepsilon)\right)\right) \\ &= \mathcal{S}\left(\mathcal{W}_k(i_1\varepsilon, m\varepsilon) \cap \mathcal{W}_k(i_2\varepsilon, r\varepsilon)\right) \mathcal{L}^1\left(\Phi_k(i_2\varepsilon)\left(\mathcal{W}_k(i_1\varepsilon, m\varepsilon) \cap \mathcal{W}_k(i_2\varepsilon, r\varepsilon)\right)\right). \end{aligned}$$

Notice that, by the monotonicity of the map  $w \rightarrow \mathbf{x}(t, w)$ , if  $\check{s}_k^{m \rightsquigarrow r}, \check{s}_{k'}^{m \rightsquigarrow r'} \neq 0$  and  $r < r'$ , then  $k \leq k'$ . Fix now  $r \in \mathbb{Z}$  and for any  $m = r - (i_2 - i_1), \dots, r$  set

$$\psi^{r - (i_2 - i_1) \rightsquigarrow r} := T_{s_n^{r - (i_2 - i_1) \rightsquigarrow r}}^n \circ \dots \circ T_{s_1^{r - (i_2 - i_1) \rightsquigarrow r}}^1(u^{i_2, r-1}), \quad \psi^{m \rightsquigarrow r} := T_{s_n^{m \rightsquigarrow r}}^n \circ \dots \circ T_{s_1^{m \rightsquigarrow r}}^1(\psi^{m-1 \rightsquigarrow r}).$$

The (backward) solution to the Riemann problem  $(u^{i_2, r-1}, u^{i_2, r})$  is now defined as follows: for any  $m = r - (i_2 - i_1), \dots, r$  there is a *physical* wavefront traveling with speed

$$\check{\lambda}^{m \rightsquigarrow r} := \frac{r\varepsilon - m\varepsilon}{i_2\varepsilon - i_1\varepsilon}$$

which connects the left state  $\psi^{m-1 \rightsquigarrow r}$  with the right state  $\psi^{m \rightsquigarrow r}$ ; moreover, there is one more *non-physical* wavefront, traveling with speed equal to  $\check{\lambda} := -1$  connecting  $\psi^{r \rightsquigarrow r}$  to  $u^{i_2, r}$ .

**The transversal Riemann solver.** This RS is used every time two (or more) wavefronts collide at a time in  $(t_1, t_2)$ . We assume w.l.o.g. that every collision involves exactly two wavefronts. This is a

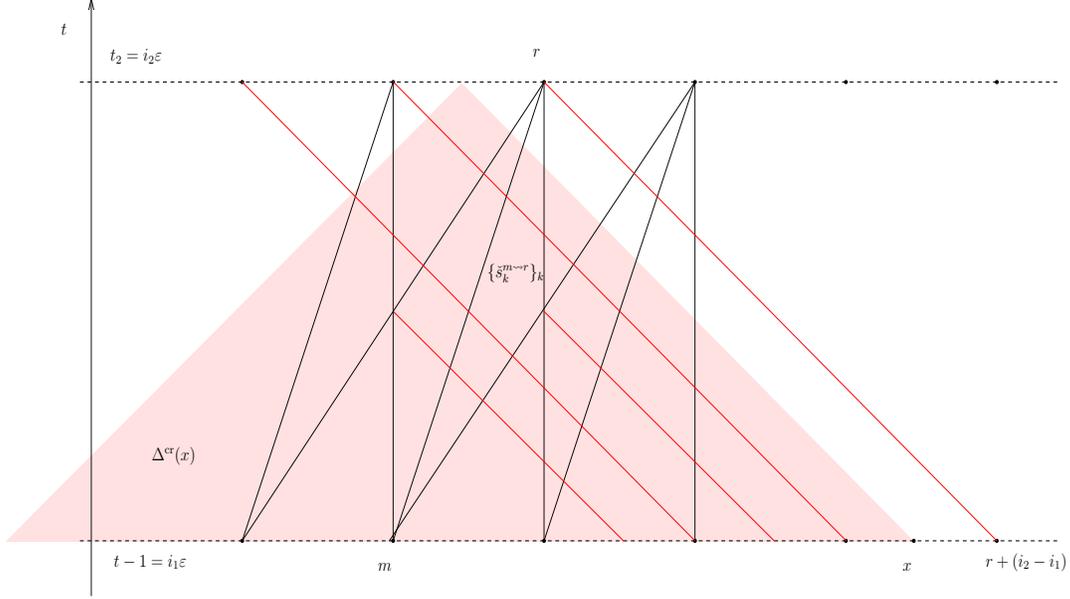


FIGURE 2. The wavefronts of the function  $\psi$ : the pink region  $\Delta^{cr}(x)$  is used in the proof of Proposition (3.6).

standard assumption, which can be achieved slightly modifying the wavefront speeds. Assume thus that at point  $(\bar{t}, \bar{x})$ ,  $\bar{t} \in (t_1, t_2)$  two wavefronts collide. We have to distinguish two cases.

*Case 1: both the colliding wavefronts are physical.* Assume that before the collision the first wavefront is traveling with speed  $\lambda'$  and it is connecting the states

$$\psi^M = T_{s_n'}^n \circ \dots \circ T_{s_1'}^1 \psi^L,$$

while the second wavefront is traveling with speed  $\lambda' < \lambda''$  and it is connecting the states

$$\psi^R = T_{s_n''}^n \circ \dots \circ T_{s_1''}^1 \psi^M.$$

Notice that, by the monotonicity of the map  $w \mapsto \mathbf{x}(t, w)$ , there exists  $\bar{k} \in \{1, \dots, n\}$  such that  $s_1'', \dots, s_{\bar{k}}'' = 0$  and  $s_{\bar{k}+1}'', \dots, s_n'' = 0$ . Hence the interaction at  $(\bar{t}, \bar{x})$  is purely transversal. The (backward) Riemann problem  $(\psi^L, \psi^R)$  at point  $(\bar{t}, \bar{x})$  is now solved as follows. Define the intermediate states

$$\tilde{\psi}^M := T_{s_n''}^n \circ \dots \circ T_{s_{\bar{k}+1}''}^1 \psi^L, \quad \tilde{\psi}^R := T_{s_{\bar{k}}''}^n \circ \dots \circ T_{s_1''}^1 \psi^L,$$

The solution for times  $t \leq \bar{t}$  around the point  $(\bar{t}, \bar{x})$  is made by a *physical* wavefront traveling with speed  $\lambda''$  connecting  $\psi^L$  and  $\tilde{\psi}^M$ ; a *physical* wavefront traveling with speed  $\lambda'$  connecting  $\tilde{\psi}^M$  and  $\tilde{\psi}^R$ ; a *non-physical* wavefront traveling with speed  $\check{\lambda} = -1$  connecting  $\tilde{\psi}^R$  and  $\psi^R$ .

*Case 2: one of the two colliding wavefronts is non-physical.* Assume that the non-physical wavefront is connecting  $\psi^L$  with  $\psi^M$ , while the physical wavefront is traveling with speed  $\lambda$  and it is connecting

$$\psi^R = T_{s_n}^n \circ \dots \circ T_{s_1}^1 \psi^M.$$

Define the intermediate state

$$\tilde{\psi}^M := T_{s_n}^n \circ \dots \circ T_{s_1}^1 \psi^L.$$

The solution around  $(\bar{t}, \bar{x})$  for times  $t \leq \bar{t}$  is now made by a physical wavefront traveling with speed  $\lambda$  connecting  $\psi^L$  with  $\tilde{\psi}^M$  and by a non-physical wavefront traveling with speed  $\check{\lambda} = -1$  and connecting  $\tilde{\psi}^M$  with  $\psi^R$ .

It is not difficult to see that the definition of  $\psi$  is well posed.

**3.2. Lagrangian representation for  $\psi$ .** In the same spirit as in Section 2.3 we introduce now a sort of Lagrangian representation for the wavefront solution  $\psi$ . We are not interested here in defining a general notion of Lagrangian representation, since the map  $\psi$  is a map *ad hoc* constructed to get estimate (1.14).

First of all, let us analyze the physical waves. For any  $k = 1, \dots, n$  the set of the physical waves of the  $k$ -th family in  $\psi$  is the set  $\mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2)$ .

First of all set, for any  $k = 1, \dots, n$ ,

$$\check{L}_k^\pm := \mathcal{L}^1 \left( \Phi_k(i_2\varepsilon) \left( \mathcal{W}_k^\pm(i_1\varepsilon) \cap \mathcal{W}_k^\pm(i_2\varepsilon) \right) \right) = \mathcal{L}^1 \left( \Phi_k(i_1\varepsilon) \left( \mathcal{W}_k^\pm(i_1\varepsilon) \cap \mathcal{W}_k^\pm(i_2\varepsilon) \right) \right).$$

Define also the position map for the physical waves in  $\psi$  and follows:

$$\mathbf{y} : [t_1, t_2] \times \bigcup_{k=1}^n (\mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2)) \rightarrow \mathbb{R}, \quad \mathbf{y}(t, w) := \mathbf{x}(t_2, w) - \frac{\mathbf{x}(t_2, w) - \mathbf{x}(t_1, w)}{t_2 - t_1} (t_2 - t)$$

Notice that  $\mathbf{y}$  takes values in the discontinuity points of  $\psi$ , it is increasing in  $w$  and affine in  $t$ .

The analogous of the collection of the maps  $\{\Phi_k(t)\}_{t \in [0, \infty)}$  for  $\psi$  is the map

$$\Psi_k : \mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2) \rightarrow [-\check{L}_k^-, 0) \cap (0, +\check{L}_k^+]$$

defined by

$$\begin{aligned} \Psi_k(w) &:= \mathcal{S}(w) \mathcal{L}^1 \left( \Phi_k(t_1) \left( \{w' \in \mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2) \mid \mathcal{S}(w') = \mathcal{S}(w) \text{ and } w' \leq w\} \right) \right) \\ &= \mathcal{S}(w) \mathcal{L}^1 \left( \Phi_k(t_2) \left( \{w' \in \mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2) \mid \mathcal{S}(w') = \mathcal{S}(w) \text{ and } w' \leq w\} \right) \right). \end{aligned}$$

The restriction  $\Psi : \mathcal{W}_k^+(t_1) \cap \mathcal{W}_k^+(t_2) \rightarrow \mathbf{I}(\check{L}_k^+)$  is an isomorphism of ordered sets, while the restriction  $\Psi : \mathcal{W}_k^-(t_1) \cap \mathcal{W}_k^-(t_2) \rightarrow \mathbf{I}(\check{L}_k^-)$  is an anti-isomorphism of ordered sets.

Notice that while the maps  $\Phi_k(t)$  for  $u^\varepsilon$  depends on the time, the map  $\Psi_k$  for  $\psi$  does not, since the total amount of physical waves in  $\psi$  is constant in time.

We define also the maps  $\tilde{\gamma}_k(t, \cdot) := (\tilde{u}_k(t, \cdot), \tilde{v}_k(t, \cdot), \tilde{\sigma}_k(t, \cdot))$  and the effective flux  $\check{\mathbf{f}}_k^{\text{eff}}(t, \cdot)$  at any time  $t \in [t_1, t_2)$  as follows. Fix a time  $t$ ; assume first that no wavefront collision takes place at time  $t$ . Fix any point  $x \in \mathbb{R}$ . Assume that

$$u(t, x) = T_{s_n}^n \circ \dots \circ T_{s_1}^1 u(t, x-);$$

denote by  $\{\gamma_k\}_k$ ,  $\gamma_k = (u_k, v_k, \sigma_k) : \mathbf{I}(s_k) \rightarrow \mathbb{R}^{n+2}$  the collection of curves which solve the Riemann problem  $(u(t, x-), u(t, x+))$  and by  $f_k : \mathbf{I}(s_k) \rightarrow \mathbb{R}$  the associated reduced flux. Since

$$\Psi_k|_{\mathbf{y}(t)^{-1}(x) \cap \mathcal{W}_k} : \mathbf{y}(t)^{-1}(x) \cap \mathcal{W}_k \rightarrow a + \mathbf{I}(s_k)$$

is an (anti)isomorphism of ordered sets for some  $a \in \mathbb{R}$ , we can define

$$\tilde{\gamma}_k(t, \cdot) : \mathbf{y}(t)^{-1}(\bar{x}) \cap \mathcal{W}_k \rightarrow \mathcal{D}_k \subseteq \mathbb{R}^{n+2}, \quad \tilde{\gamma}_k(t, w) := \gamma_k(\Psi_k(w) - a).$$

Since, for fixed time  $t$ , the position map  $\mathbf{y}$  takes values in the discontinuity points of  $\psi$ ,  $\tilde{\gamma}_k(t, w)$  is defined for any  $k$ -wave  $w$ .

We also define

$$\check{\mathbf{f}}_k^{\text{eff}} : [-\check{L}_k^-, \check{L}_k^+] \rightarrow \mathbb{R}$$

as any  $C^{1,1}$  map such that

$$\frac{d^2 \check{\mathbf{f}}_k^{\text{eff}}(t)}{d\tau^2}(\tau) = \frac{d\tilde{\lambda}(\tilde{\gamma}(t, w))}{d\tau}, \quad \text{with } \tau = \Psi_k(w).$$

Now, if  $t = t_2$  or if  $t$  is a time when a collision between two wavefronts takes place, we extend the definitions of  $\tilde{\gamma}_k(t)$  and  $\check{\mathbf{f}}_k^{\text{eff}}(t)$  in order to have left-continuous in time maps.

**Remark 3.1.** We usually want our maps to be right-continuous in time. In this case, however, we are using backward-in-time Riemann solvers, and thus it is quite natural to require that  $t \mapsto \gamma_k(t)$  is left-continuous in time.

Finally, we define the *wavefront speed* of a wave  $w \in \mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2)$  as

$$\check{\lambda}(w) := \frac{\mathbf{x}(i_2\varepsilon, w) - \mathbf{x}(i_1\varepsilon, w)}{i_2\varepsilon - i_1\varepsilon} = \frac{\mathbf{y}(i_2\varepsilon, w) - \mathbf{y}(i_1\varepsilon, w)}{i_2\varepsilon - i_1\varepsilon}.$$

As for the Glimm approximate solution  $u^\varepsilon$ , we say that a set  $\mathcal{I} \subseteq \mathcal{W}_k^\pm(t_1) \cap \mathcal{W}_k^\pm(t_2)$  is an *interval of waves for  $\psi$*  if  $\mathcal{I}$  is an interval in the ordered set  $(\mathcal{W}_k^\pm(t_1) \cap \mathcal{W}_k^\pm(t_2), \leq)$ . The following definition is the analog of Definition 2.6.

**Definition 3.2.** Fix  $\bar{t} \in [t_1, t_2]$ . Let  $\mathcal{I} \subseteq \mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2)$  be an interval of waves for  $\psi$ . Set  $I := \Psi_k(\mathcal{I})$ . Since the restriction of  $\Psi_k$  to positive (resp. negative) waves is a isomorphism (resp. anti-isomorphism) of ordered sets,  $I$  is an interval in  $\mathbb{R}$  (possibly made by a single point). Let us define:

- the *Rankine-Hugoniot speed given to the interval of waves  $\mathcal{I}$  by a function  $g : \mathbb{R} \rightarrow \mathbb{R}$*  as

$$\sigma^{\text{rh}}(g, \mathcal{I}) := \begin{cases} \frac{g(\sup I) - g(\inf I)}{\sup I - \inf I} & \text{if } I \text{ is not a singleton,} \\ g'(I) & \text{if } I \text{ is a singleton;} \end{cases}$$

- for any  $w \in \mathcal{I}$ , the *entropic speed given to the wave  $w$  by the Riemann problem  $\mathcal{I}$  and the flux function  $g$*  as

$$\sigma^{\text{ent}}(g, \mathcal{I}, w) := \begin{cases} \frac{d}{d\tau} \text{conv}_I g(\Psi_k(w)) & \text{if } \mathcal{S}_k(w) = +1, \\ \frac{d}{d\tau} \text{conc}_I g(\Psi_k(w)) & \text{if } \mathcal{S}_k(w) = -1. \end{cases}$$

If  $\sigma^{\text{rh}}(g, \mathcal{I}) = \sigma^{\text{ent}}(g, \mathcal{I}, w)$  for any  $w \in \mathcal{I}$ , we will say that  $\mathcal{I}$  is *entropic* w.r.t. the function  $g$ . We will also say that *the Riemann problem  $\mathcal{I}$  with flux function  $g$  divides  $w, w'$*  if  $\sigma^{\text{ent}}(g, \mathcal{I}, w) \neq \sigma^{\text{ent}}(g, \mathcal{I}, w')$ .

Let us now analyze the non-physical waves. The set of non-physical wavefront is defined as

$$\mathcal{W}_0 := \{(t, x) \mid \text{in } (t, x) \text{ a non-physical wavefront is generated}\}.$$

We are labeling each non-physical wavefront with the point in the  $(t, x)$  plane in which it is generated. Since the speed of the non-physical wavefronts is strictly less than the speed of any physical wave, we will refer to the set of non-physical wavefronts also as the set of waves of the 0-th family.

Clearly  $\mathcal{W}_0$  is a finite set. For any non-physical wavefronts  $\alpha = (\bar{t}, \bar{x}) \in \mathcal{W}_0$ , we define its creation time  $\mathfrak{t}^{\text{cr}}(\alpha) := \bar{t}$  and its position  $\mathbf{y}(t, \alpha) = \bar{x} - (t - \bar{t})$ . Moreover, if  $t$  is any time when no collision between wavefronts takes place, we define the *strength* of the non-physical wavefront  $\alpha$  as

$$s(t, \alpha) := \left| \psi(t, \mathbf{y}(t, \alpha) +) - \psi(t, \mathbf{y}(t, \alpha) -) \right|;$$

then, as usual, we extend the definition to all times in  $(t_1, t_2]$  in order to have a left-continuous in time map. Finally define

$$\mathcal{W}_0(t) := \{\alpha \in \mathcal{W}_0 \mid \mathfrak{t}^{\text{cr}}(\alpha) \geq t\}$$

We will call  $\mathcal{W}_0(t_2)$  the set of *primary* non-physical wavefronts and  $\mathcal{W}_0 \setminus \mathcal{W}_0(t_2)$  the set of *secondary* non-physical wavefronts.

ss:main\_thm\_psi)? **3.3. The main theorem on  $\psi$ .** In this section we state the main theorem about physical and non-physical waves in  $\psi$ , which will be proved in Sections 4 and 5, and, using this theorem, we prove estimates (1.16b) and (1.16c).

in\_thm\_waves\_psi) **Theorem 3.3.** *With the same notations as before,*

(1) the following bounds on physical waves hold:

$$\left. \begin{aligned} & \int_{-\tilde{L}_k^-}^{\tilde{L}_k^+} \left\{ \text{Tot.Var.} \left( \check{u}_k(\cdot, \Psi^{-1}(\tau)); (t_1, t_2) \right) + \left| (\check{u}_k(t_2, \cdot) - \hat{u}_k(t_2, \cdot)) \circ \Psi_k^{-1}(\tau) \right| \right\} d\tau \\ & \int_{-\tilde{L}_k^-}^{\tilde{L}_k^+} \left\{ \text{Tot.Var.} \left( \check{v}_k(\cdot, \Psi^{-1}(\tau)); (t_1, t_2) \right) + \left| (\check{v}_k(t_2, \cdot) - \hat{v}_k(t_2, \cdot)) \circ \Psi_k^{-1}(\tau) \right| \right\} d\tau \\ & \int_{-\tilde{L}_k^-}^{\tilde{L}_k^+} \left\{ \text{Tot.Var.} \left( \check{\sigma}_k(\cdot, \Psi^{-1}(\tau)); (t_1, t_2) \right) + \left| (\check{\sigma}_k(t_2, \cdot) - \hat{\sigma}_k(t_2, \cdot)) \circ \Psi_k^{-1}(\tau) \right| \right\} d\tau \end{aligned} \right\} \leq \mathcal{O}(1) [\Upsilon(t_1) - \Upsilon(t_2)];$$

(2) the following bound on non-physical waves holds:

$$\sum_{\alpha \in \mathcal{W}_0} \left[ \text{Tot.Var.} \left( s(\cdot, \alpha); (t_1, \mathbf{t}^{\text{cr}}(\alpha)) \right) + s(\mathbf{t}^{\text{cr}}(\alpha), \alpha) \right] \leq \mathcal{O}(1) [\Upsilon(t_1) - \Upsilon(t_2)].$$

As an immediate consequence, we get the following corollary. For any  $k = 1, \dots, n$ , for any physical wave  $w \in \mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2)$  and for any  $t \in (t_1, t_2]$ , set

$$\check{r}_k(t, w) := \tilde{r}_k \left( \check{u}_k(t, w), \check{v}_k(t, w), \check{\sigma}_k(t, w) \right), \quad \hat{r}_k(t, w) := \tilde{r}_k \left( \hat{u}_k(t, w), \hat{v}_k(t, w), \hat{\sigma}_k(t, w) \right)$$

`in_cor_waves_psi` **Corollary 3.4.** *It holds*

$$\int_{-\tilde{L}_k^-}^{\tilde{L}_k^+} \left\{ \text{Tot.Var.} \left( \check{r}_k(\cdot, \Psi^{-1}(\tau)); (t_1, t_2) \right) + \left| (\check{r}_k(t_2, \cdot) - \hat{r}_k(t_2, \cdot)) \circ \Psi_k^{-1}(\tau) \right| \right\} d\tau \leq \mathcal{O}(1) [\Upsilon(t_1) - \Upsilon(t_2)].$$

As we have already said, the proof of Theorem 3.3 is the subject of Sections 4 and 5. We now use Theorem 3.3 and Corollary 3.4 to prove estimates (1.16b)-(1.16c) and thus complete the proof of Theorem 1.3.

**Proposition 3.5** (Estimate (1.16b)). *It holds*

$$\|S_{t_2-t_1}\psi(t_1) - \psi(t_2)\|_1 \leq \mathcal{O}(1) \left[ (\Upsilon(t_1) - \Upsilon(t_2)) + \frac{1 + \log(i_2 - i_1)}{i_2 - i_1} (t_2 - t_1) \right].$$

*Proof.* We want to use the semigroup estimate

$$(3.2) \quad \left\| \boxed{\text{E:semigroup.in.proof}} - S_{t_2-t_1}\psi(t_1) \right\|_1 \leq L \int_{t_1}^{t_2} \limsup_{h \rightarrow 0} \frac{\|\psi(t+h) - S_h\psi(t)\|_1}{h} dt.$$

Since the map  $\psi$  is piecewise constant at any fixed time  $t$ , it is not hard to see that the integrand on the r.h.s. can be estimated as

$$\limsup_{h \rightarrow 0} \frac{\|\psi(t+h) - S_h\psi(t)\|_1}{h} \leq \sum_{k=1}^n \int_{\Psi_k(\mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2))} \left| \check{\lambda}(\Psi^{-1}(\tau)) - \check{\sigma}(t, \Psi^{-1}(\tau)) \right| d\tau + \sum_{\alpha \in \mathcal{W}_0(t)} s(t, \alpha).$$

For the term concerning the non-physical waves, we easily obtain

$$\begin{aligned} \sum_{\alpha \in \mathcal{W}_0(t)} s(t, \alpha) & \leq \sum_{\alpha \in \mathcal{W}_0(t)} |s(t, \alpha) - s(\mathbf{t}^{\text{cr}}(\alpha), \alpha)| + s(\mathbf{t}^{\text{cr}}(\alpha), \alpha) \\ & \leq \sum_{\alpha \in \mathcal{W}_0} \left[ \text{Tot.Var.} \left( s(\cdot, \alpha); (t_1, \mathbf{t}^{\text{cr}}(\alpha)) \right) + s(\mathbf{t}^{\text{cr}}(\alpha), \alpha) \right] \\ & \text{(by Theorem 3.3)} \leq \mathcal{O}(1) [\Upsilon(t_1) - \Upsilon(t_2)]. \end{aligned}$$

For the term concerning the physical waves, we argue as follows. Fix any  $\tau \in \Psi_k(\mathcal{W}(t_1) \cap \mathcal{W}(t_2))$  and set  $w := \Psi^{-1}(\tau)$ .

(3.3) E:lambda-sigmahat

$$\begin{aligned} |\check{\lambda}(w) - \check{\sigma}(t, w)| &\leq \left| \check{\lambda}(w) - \frac{1}{i_2 - i_1} \sum_{i=i_1}^{i_2-1} \hat{\sigma}(i\varepsilon, w) \right| + \left| \frac{1}{i_2 - i_1} \sum_{i=i_1}^{i_2-1} \hat{\sigma}(i\varepsilon, w) - \hat{\sigma}(i_2\varepsilon, w) \right| + \left| \hat{\sigma}(i_2\varepsilon, w) - \check{\sigma}(t, w) \right| \\ &\leq \left| \check{\lambda}(w) - \frac{1}{i_2 - i_1} \sum_{i=i_1}^{i_2-1} \hat{\sigma}(i\varepsilon, w) \right| + \text{Tot.Var.} \left( \hat{\sigma}(\cdot, w); \left( t_1, t_2 + \frac{\varepsilon}{2} \right) \right) \\ &\quad + \left| \hat{\sigma}(t_2, w) - \check{\sigma}(t_2, w) \right| + \text{Tot.Var.} \left( \check{\sigma}(\cdot, w); (t_1, t_2) \right). \end{aligned}$$

To estimate the first term of the last summation we use the same technique as in [?]. Define first the map

$$\omega : [0, 1] \times [0, 1] \rightarrow \mathbb{R}, \quad \omega(\sigma, \vartheta) := \begin{cases} -\sigma & \text{if } \sigma \leq \vartheta \\ 1 - \sigma & \text{if } \sigma > \vartheta. \end{cases}$$

Set

$$\sigma^{\min} := \min_{i=i_1, \dots, i_2-1} \hat{\sigma}(i\varepsilon, w), \quad \sigma^{\max} := \max_{i=i_1, \dots, i_2-1} \hat{\sigma}(i\varepsilon, w),$$

and

$$\mathcal{J} := \{i \in [i_1, i_2 - 1] \mid \sigma^{\max} \leq \vartheta_i \leq \sigma^{\min}\}, \quad \mathcal{K} := \{i \in [i_1, i_2 - 1] \mid \vartheta_i < \hat{\sigma}(i_1\varepsilon, w)\}.$$

We thus have

$$\begin{aligned} &\left| \check{\lambda}(w) - \frac{1}{i_2 - i_1} \sum_{i=i_1}^{i_2-1} \hat{\sigma}(i\varepsilon, w) \right| \\ &= \left| \frac{1}{i_2 - i_1} \sum_{i=i_1}^{i_2-1} \omega(\hat{\sigma}(i\varepsilon, w), \vartheta_i) \right| \\ &= \frac{1}{i_2 - i_1} \left| \sum_{i=i_1}^{i_2-1} \left[ \omega(\hat{\sigma}(i\varepsilon, w), \vartheta_i) - \omega(\hat{\sigma}(i_1\varepsilon, w), \vartheta_i) \right] + \omega(\hat{\sigma}(i_1\varepsilon, w), \vartheta_i) \right| \\ &= \frac{1}{i_2 - i_1} \left| \sum_{i \notin \mathcal{J}} \left( \hat{\sigma}(i_1\varepsilon, w) - \hat{\sigma}(i\varepsilon, w) \right) + \sum_{i \in \mathcal{J}} \left( \hat{\sigma}(i_1\varepsilon, w) - \hat{\sigma}(i\varepsilon, w) + a_i \right) \right. \\ &\quad \left. + \sum_{i \notin \mathcal{K}} \left( -\hat{\sigma}(i_1\varepsilon, w) \right) + \sum_{i \in \mathcal{K}} \left( 1 - \hat{\sigma}(i_1\varepsilon, w) \right) \right| \end{aligned}$$

(3.4) E:lambda01

(Here  $a_i$  is a number in  $\{-1, 0, 1\}$ )

$$\begin{aligned} &= \frac{1}{i_2 - i_1} \left| \sum_{i \notin \mathcal{J}} \left( \hat{\sigma}(i_1\varepsilon, w) - \hat{\sigma}(i\varepsilon, w) \right) + \sum_{i \in \mathcal{J}} \left( \hat{\sigma}(i_1\varepsilon, w) - \hat{\sigma}(i\varepsilon, w) + a_i \right) \right. \\ &\quad \left. - \hat{\sigma}(i_1\varepsilon, w)(i_2 - i_1) + \#\mathcal{K} \right| \\ &\leq \frac{1}{i_2 - i_1} \left( \sum_{i=i_1}^{i_2-1} \left| \hat{\sigma}(i_1\varepsilon, w) - \hat{\sigma}(i\varepsilon, w) \right| + \#\mathcal{J} + \left| \#\mathcal{K} - \hat{\sigma}(i_1\varepsilon, w)(i_2 - i_1) \right| \right) \\ &\leq \left( 2 \left| \hat{\sigma}^{\max} - \hat{\sigma}^{\min} \right| + \left| \frac{\#\mathcal{J}}{i_2 - i_1} - (\hat{\sigma}^{\max} - \hat{\sigma}^{\min}) \right| + \left| \frac{\#\mathcal{K}}{i_2 - i_1} - \hat{\sigma}(i_1\varepsilon, w) \right| \right) \end{aligned}$$

(using (1.9))

$$\leq \mathcal{O}(1) \left[ \text{Tot.Var.} \left( \hat{\sigma}(\cdot, w); \left( t_1, t_2 + \frac{\varepsilon}{2} \right) \right) + \frac{1 + \log(i_2 - i_1)}{i_2 - i_1} \right].$$

Using (3.3), (3.4), Corollary 2.24 and Theorem 3.3 we thus get

$$\begin{aligned}
& \int_{\Psi_k(\mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2))} \left| \check{\lambda}(\Psi^{-1}(\tau)) - \check{\sigma}(t, \Psi^{-1}(\tau)) \right| d\tau \\
& \leq \mathcal{O}(1) \int_{\Psi_k(\mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2))} \left\{ \frac{1 + \log(i_2 - i_1)}{i_2 - i_1} + \text{Tot.Var.} \left( \hat{\sigma}(\cdot, \Psi_k^{-1}(\tau)); (t_1, t_2 + \frac{\varepsilon}{2}) \right) \right. \\
& \quad \left. + \left| \hat{\sigma}(t_2, \Psi_k^{-1}(\tau)) - \check{\sigma}(t_2, \Psi_k^{-1}(\tau)) \right| + \text{Tot.Var.} \left( \check{\sigma}(\cdot, \Psi_k^{-1}(\tau)); (t_1, t_2) \right) \right\} d\tau \\
& \leq \mathcal{O}(1) \left\{ \frac{1 + \log(i_2 - i_1)}{i_2 - i_1} + \Upsilon(t_1) - \Upsilon(t_2) \right\}
\end{aligned}$$

Therefore, using (3.2), integrating over all times  $t \in [i_1\varepsilon, i_2\varepsilon]$  we get the conclusion.  $\square$

(P-3.6) **Proposition 3.6** (Estimate (1.16c)). *It holds*

$$\|\psi(t_1) - u^\varepsilon(t_1)\|_1 \leq \mathcal{O}(1) \left( \Upsilon(t_1) - \Upsilon(t_2) \right) (t_2 - t_1).$$

*Proof.* Fix any  $x \in \mathbb{R}$ . Consider the segment on the  $(t, x)$ -plane joining  $(t_1, x)$  and  $(t_2, x - (t_2 - t_1))$ . Assume that  $x \notin \mathbb{Z}\varepsilon$  and that no non-physical wavefront travels on this segment (this holds for all but countable many  $x \in \mathbb{R}$ ). Define the set of  $k$ -waves which cross this segment in  $u^\varepsilon$  and in  $\psi$  respectively:

$$\begin{aligned}
\mathcal{W}_k^{\text{cross}}(u^\varepsilon, x) & := \{w \in \mathcal{W}_k \mid \text{there exists } t =: \mathbf{t}^{\text{cross}}(u^\varepsilon, x, w) \in (t_1, t_2) \text{ such that } \mathbf{x}(t, w) = x - (t - t_1)\}, \\
\mathcal{W}_k^{\text{cross}}(\psi, x) & := \{w \in \mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2) \mid \text{there exists } t =: \mathbf{t}^{\text{cross}}(\psi, x, w) \in (t_1, t_2) \text{ such that } \mathbf{y}(t, w) = x - (t - t_1)\}.
\end{aligned}$$

Since, for any wave  $w \in \mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2)$ ,  $\mathbf{x}(t_1, w) = \mathbf{y}(t_1, w)$  and  $\mathbf{x}(t_2, w) = \mathbf{y}(t_2, w)$ ,

$$\mathcal{W}_k^{\text{cross}}(\psi, x) = \mathcal{W}_k^{\text{cross}}(u^\varepsilon, x) \cap \mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2).$$

Moreover, if a  $k$ -wave  $w \in \mathcal{W}_k^{\text{cross}}(\psi, x)$ , then its position at time  $t_1$  must be

$$\mathbf{x}(t_1, w) = \mathbf{y}(t_1, w) \in [x - 2(t_2 - t_1), x],$$

while if  $w \in \mathcal{W}_k^{\text{cross}}(u^\varepsilon, x) \setminus \mathcal{W}_k^{\text{cross}}(\psi, x)$ , then either it is created at some grid point in the triangle

$$\Delta^{\text{cr}}(x) := \left[ (t_1, x - 2(t_2 - t_1)), (t_2, x - (t_2 - t_1)), (t_1, x) \right]$$

or it is canceled at some grid point in the triangle

$$\Delta^{\text{canc}}(x) := \left[ (t_2, x - (t_2 - t_1)), (t_1, x), (t_2, x + (t_2 - t_1)) \right].$$

Since  $\psi(t_2) = u^\varepsilon(t_2)$ , we can now write

$$\begin{aligned}
& |\psi(t_1, x) - u^\varepsilon(t_1, x)| \\
&= \left| \left[ \psi(t_1, x) - \psi(t_2, x - (t_2 - t_1)) \right] - \left[ u^\varepsilon(t_1, x) - u^\varepsilon(t_2, x - (t_2 - t_1)) \right] \right| \\
&= \left| \sum_{k=1}^n \int_{\Psi_k} \mathcal{W}_k^{\text{cross}}(\psi, x) \left\{ \check{r}_k \left( \mathbf{t}^{\text{cross}}(\psi, x, \Psi_k^{-1}(\tau)), \Psi_k^{-1}(\tau) \right) - \hat{r}_k \left( \mathbf{t}^{\text{cross}}(u^\varepsilon, x, \Psi^{-1}(\tau)), \Psi^{-1}(\tau) \right) \right\} d\tau \right| \\
&\quad + \mathcal{O}(1) \left\{ \sum_{\substack{(i,m) \in \mathbb{N} \times \mathbb{Z} \\ (i\varepsilon, m\varepsilon) \in \Delta^{\text{cr}}(x)}} \mathbf{A}^{\text{cr}}(i\varepsilon, m\varepsilon) + \sum_{\substack{(i,m) \in \mathbb{N} \times \mathbb{Z} \\ (i\varepsilon, m\varepsilon) \in \Delta^{\text{canc}}(x)}} \mathbf{A}^{\text{canc}}(i\varepsilon, m\varepsilon) \right\} \\
&\leq \sum_{k=1}^n \int_{\Psi_k} \mathcal{W}_k^{\text{cross}}(\psi, x) \left\{ \left| \check{r}_k \left( \mathbf{t}^{\text{cross}}(\psi, x, \Psi_k^{-1}(\tau)), \Psi_k^{-1}(\tau) \right) - \check{r}_k(t_2, \Psi_k^{-1}(\tau)) \right| \right. \\
&\quad \left. + \left| \check{r}_k(t_2, \Psi^{-1}(\tau)) - \hat{r}_k(t_2, \Psi_k^{-1}(\tau)) \right| + \left| \hat{r}_k(t_2, \Psi^{-1}(\tau)) - \hat{r}_k(\mathbf{t}^{\text{cross}}(u^\varepsilon, x, \Psi^{-1}(\tau)), \Psi^{-1}(\tau)) \right| \right\} d\tau \\
&\quad + \mathcal{O}(1) \left\{ \sum_{\substack{(i,m) \in \mathbb{N} \times \mathbb{Z} \\ (i\varepsilon, m\varepsilon) \in \Delta^{\text{cr}}(x)}} \mathbf{A}^{\text{cr}}(i\varepsilon, m\varepsilon) + \sum_{\substack{(i,m) \in \mathbb{N} \times \mathbb{Z} \\ (i\varepsilon, m\varepsilon) \in \Delta^{\text{canc}}(x)}} \mathbf{A}^{\text{canc}}(i\varepsilon, m\varepsilon) \right\} \\
&\leq \sum_{k=1}^n \int_{\Psi_k} \mathcal{W}_k(x^{-1}([x-2(t_2-t_1), x])) \left\{ \left| \text{Tot.Var.}(\check{r}_k(\cdot, \Psi_k^{-1}(\tau)); (t_1, t_2)) \right| \right. \\
&\quad \left. + \left| \check{r}_k(t_2, \Psi^{-1}(\tau)) - \hat{r}_k(t_2, \Psi_k^{-1}(\tau)) \right| + \left| \text{Tot.Var.}(\hat{r}_k(\cdot, \Psi_k^{-1}(\tau)); (t_1, t_2)) \right| \right\} d\tau \\
&\quad + \mathcal{O}(1) \left\{ \sum_{\substack{(i,m) \in \mathbb{N} \times \mathbb{Z} \\ (i\varepsilon, m\varepsilon) \in \Delta^{\text{cr}}(x)}} \mathbf{A}^{\text{cr}}(i\varepsilon, m\varepsilon) + \sum_{\substack{(i,m) \in \mathbb{N} \times \mathbb{Z} \\ (i\varepsilon, m\varepsilon) \in \Delta^{\text{canc}}(x)}} \mathbf{A}^{\text{canc}}(i\varepsilon, m\varepsilon) \right\}
\end{aligned}$$

Hence, integrating over all  $x \in \mathbb{R}$ , we get

$$\begin{aligned}
& \int_{-\infty}^{+\infty} |\psi(t_1, x) - u^\varepsilon(t_1, x)| dx \\
&\leq \int_{-\infty}^{+\infty} \left\{ \sum_{k=1}^n \int_{\Psi_k} \mathcal{W}_k(x^{-1}([x-2(t_2-t_1), x])) \left[ \left| \text{Tot.Var.}(\check{r}_k(\cdot, \Psi_k^{-1}(\tau)); (t_1, t_2)) \right| \right. \right. \\
&\quad \left. \left. + \left| \check{r}_k(t_2, \Psi^{-1}(\tau)) - \hat{r}_k(t_2, \Psi_k^{-1}(\tau)) \right| + \left| \text{Tot.Var.}(\hat{r}_k(\cdot, \Psi_k^{-1}(\tau)); (t_1, t_2)) \right| \right] \right\} d\tau \\
&\quad + \mathcal{O}(1) \left\{ \sum_{\substack{(i,m) \in \mathbb{N} \times \mathbb{Z} \\ (i\varepsilon, m\varepsilon) \in \Delta^{\text{cr}}(x)}} \mathbf{A}^{\text{cr}}(i\varepsilon, m\varepsilon) + \sum_{\substack{(i,m) \in \mathbb{N} \times \mathbb{Z} \\ (i\varepsilon, m\varepsilon) \in \Delta^{\text{canc}}(x)}} \mathbf{A}^{\text{canc}}(i\varepsilon, m\varepsilon) \right\} dx
\end{aligned}$$

(using Fubini's Theorem and Corollaries 2.24 and 3.4 )

$$\leq \mathcal{O}(1) \left[ \Upsilon(t_1) - \Upsilon(t_2) \right] (t_2 - t_1),$$

which is what we wanted to get.  $\square$

#### 4. ANALYSIS OF THE INTERACTIONS IN $\psi$

interactions:psi) sostituire stima in norma  $L^\infty$  su  $u$  con stima in norma  $L^1$ : quella in norma infinito non e' vera a causa delle interazioni trasversali!! ma a noi basta la stima in norma  $L^1$

In this and next section we prove Theorem 3.3. We will follow the same technique we used in [3]. In particular this section is devoted to study the *local* part of the theorem: we introduce a suitable notion

of amount of interaction and we prove that at any interaction the variation of  $\hat{u}_k, \hat{v}_k, \hat{\sigma}_k$  is bounded by such amount of interaction.

In the next section, we will prove the *global* part of the theorem, i.e. that the sum of all the amounts of interactions is bounded by the decrease of  $\Upsilon$  in the time interval  $[t_1, t_2]$ .

The crucial point is that the new definition of the functional  $\Omega$  we gave in Section 2.6 is the one we need to prove Theorem 3.3, as we will see in the next section. ~~The definition of  $\Omega$  given in [3] is not strong enough to prove Theorem 3.3.~~

(Ss:amounts:t2)

**4.1. Amounts of interaction at the final time  $t_2$ .** Instead of defining immediately the amounts of interactions at any point  $(i_2\varepsilon, r\varepsilon)$ ,  $r \in \mathbb{Z}$ , it is more convenient (to avoid too heavy notations) to consider first a more abstract situation, and then apply it to our analysis.

Fix a left state  $u^L$ , a right state  $u^R$  and a collection of  $A$  vectors

$$\mathbf{s}^a = (s_1^a, \dots, s_n^a) \in \mathbb{R}^n, \quad a = 0, 1, \dots, A.$$

The Riemann problem  $(u^L, u^R)$  is solved by the collection of curves  $\{\gamma_k\}_{k=1, \dots, n}$ , where

$$\gamma_k : \mathbf{I}(s_k) \rightarrow \mathcal{D} \subseteq \mathbb{R}^{n+2}, \quad \gamma_k = (u_k, v_k, \sigma_k),$$

and denote by  $f_k : \mathbf{I}(s_k) \rightarrow \mathbb{R}$  the associated reduced fluxes.

Assume that for any fixed  $k = 1, \dots, n$ ,

- all the  $s_k^a$ ,  $a \in \{1, \dots, A\}$ , and  $s_k$  have the same sign;
- $\left| \sum_{a=1}^A s_k^a \right| \leq |s_k|$ .

Observe that our assumptions describe precisely the collisions taking place at any point  $(i_2\varepsilon, m\varepsilon)$ ,  $m \in \mathbb{Z}$ . Set  $I_k^a := \sum_{b < a} s_k^b + \mathbf{I}(s_k^a)$ . Let  $\Theta_k : \mathbf{I}(\sum_{a=1}^A s_k^a) \rightarrow \mathbf{I}(s_k)$  be any increasing map such that for each  $a = 0, 1, \dots, A$ ,  $\Theta_k|_{I_k^a}$  is an affine map with slope equal to 1. Denote by  $\Theta_k^{-1}$  its pseudo-inverse, which turns out to be a continuous map. Set  $J_k^a := \{\tau \in \mathbf{I}(s_k) \mid \Theta_k^{-1}(\tau) \in I_k^a\}$ . Set  $u^0 := u^L$  and for any  $a = 1, \dots, A$ ,

$$u^a := T_{s_n^a}^n \circ \dots \circ T_{s_1^a}^1 u^{a-1}.$$

Assume that the Riemann problem  $(u^{a-1}, u^a)$  is solved by the collection of curves  $\{\gamma_k^a\}_{k=1, \dots, n}$ , with  $\gamma_k^a = (u_k^a, v_k^a, \sigma_k^a)$ . Assume moreover that, for any  $k$  and  $a$ ,  $\gamma_k^a$  is defined on  $I_k^a$ .

We can now define:

- the *transversal amount of interaction* as

$$\mathbf{B}^{\text{trans}}(u^L, \mathbf{s}_1, \dots, \mathbf{s}_A, u^R) := \sum_{a=0}^A \sum_{b=a+1}^A \sum_{k=1}^n \sum_{h=1}^{k-1} |s_k^a| |s_h^b|;$$

- the *quadratic amount of interaction of the  $k$ -th family* as

$$\mathbf{B}_k^{\text{quadr}}(u^L, \mathbf{s}_1, \dots, \mathbf{s}_A, u^R) := \begin{cases} \left\| \frac{d}{d\tau} \text{conv}_{\mathbf{I}(s_k)} f_k - \bigcup_{a=0}^A \frac{d}{d\tau} \text{conv}_{J_k^a} f_k \right\|_1 & \text{if } s_k \geq 0, \\ \left\| \frac{d}{d\tau} \text{conc}_{\mathbf{I}(s_k)} f_k - \bigcup_{a=0}^A \frac{d}{d\tau} \text{conc}_{I_k^a} f_k \right\|_1 & \text{if } s_k < 0; \end{cases}$$

- the *amount of creation of the  $k$ -th family* as

$$\mathbf{B}_k^{\text{cr}}(u^L, \mathbf{s}_1, \dots, \mathbf{s}_A, u^R) := \left| s_k - \sum_{a=1}^A s_k^a \right|;$$

- the *global amount of interaction* as

$$\begin{aligned} & \mathbf{B}(u^L, \mathbf{s}_1, \dots, \mathbf{s}_A, u^R) \\ & := \mathbf{B}^{\text{trans}}(u^L, \mathbf{s}_1, \dots, \mathbf{s}_A, u^R) + \sum_{k=1}^n \left[ \mathbf{B}_k^{\text{quadr}}(u^L, \mathbf{s}_1, \dots, \mathbf{s}_A, u^R) + \mathbf{B}_k^{\text{cr}}(u^L, \mathbf{s}_1, \dots, \mathbf{s}_A, u^R) \right]. \end{aligned}$$

We have used the letter  $\mathbf{B}$  instead of  $\mathbf{A}$  to distinguish these amounts of interaction from the amounts of interactions concerning two merging Riemann problems, already introduced in Section 2.2.

collisions\_at\_t2) **Proposition 4.1.** For any  $k = 1, \dots, n$ , the following inequalities hold *sistemare il punto di partenza*

$$\left. \begin{aligned} & \left\| \bigcup_{a=1}^A u_k^a - u_k \circ \Theta_k \right\|_{\infty} \\ & \left\| \bigcup_{a=1}^A v_k^a - v_k \circ \Theta_k \right\|_{\infty} \\ & \left\| \bigcup_{a=1}^A \sigma_k^a - \sigma_k \circ \Theta_k \right\|_1 \end{aligned} \right\} \leq \mathcal{O}(1) \mathbf{B}(u^L, \mathbf{s}_1, \dots, \mathbf{s}_A, u^R).$$

The proof can be achieved using the same techniques as in [3, Section 3] and for this reason it is omitted [here](#).

Recall now the definition of  $\check{s}_k^{m \rightsquigarrow r}$  in (3.1) and define the vector

$$\check{\mathbf{s}}^{m \rightsquigarrow r} := (\check{s}_1^{m \rightsquigarrow r}, \dots, \check{s}_n^{m \rightsquigarrow r}).$$

Applying the previous definitions to the collisions taking place at time  $t_2 = i_2 \varepsilon$ , we can define, for any  $r \in \mathbb{Z}$ ,

$$\begin{aligned} \mathbf{B}^{\text{trans}}(i_2 \varepsilon, r \varepsilon) &:= \mathbf{B}^{\text{trans}}(u^{i_2, r-1}, \check{\mathbf{s}}^{r-(i_2-i_1) \rightsquigarrow r}, \dots, \check{\mathbf{s}}^{r \rightsquigarrow r}, u^{i_2, r}), \\ \mathbf{B}_k^{\text{quadr}}(i_2 \varepsilon, r \varepsilon) &:= \mathbf{B}_k^{\text{quadr}}(u^{i_2, r-1}, \check{\mathbf{s}}^{r-(i_2-i_1) \rightsquigarrow r}, \dots, \check{\mathbf{s}}^{r \rightsquigarrow r}, u^{i_2, r}), \quad k = 1, \dots, n \\ \mathbf{B}^{\text{cr}}(i_2 \varepsilon, r \varepsilon) &:= \mathbf{B}_k^{\text{cr}}(u^{i_2, r-1}, \check{\mathbf{s}}^{r-(i_2-i_1) \rightsquigarrow r}, \dots, \check{\mathbf{s}}^{r \rightsquigarrow r}, u^{i_2, r}), \quad k = 1, \dots, n, \\ \mathbf{B}(i_2 \varepsilon, r \varepsilon) &:= \mathbf{B}(u^{i_2, r-1}, \check{\mathbf{s}}^{r-(i_2-i_1) \rightsquigarrow r}, \dots, \check{\mathbf{s}}^{r \rightsquigarrow r}, u^{i_2, r}). \end{aligned}$$

Applying Proposition 4.1, we obtain the following corollary.

**Corollary 4.2.** It holds *sistemare il punto di partenza*

$$\left. \begin{aligned} & \left\| (\check{u}_k(t_2-, \cdot) - \hat{u}_k(t_2, \cdot)) \circ \Psi_k^{-1} \right\|_{L^\infty([- \check{L}_k^-, \check{L}_k^+])} \\ & \left\| (\check{v}_k(t_2-, \cdot) - \hat{v}_k(t_2, \cdot)) \circ \Psi_k^{-1} \right\|_{L^\infty([- \check{L}_k^-, \check{L}_k^+])} \\ & \left\| (\check{\sigma}_k(t_2-, \cdot) - \hat{\sigma}_k(t_2, \cdot)) \circ \Psi_k^{-1} \right\|_{L^1([- \check{L}_k^-, \check{L}_k^+])} \end{aligned} \right\} \leq \mathcal{O}(1) \sum_{r \in \mathbb{Z}} \mathbf{B}(i_2 \varepsilon, r \varepsilon).$$

**4.2. Amounts of interaction at times  $t \in (t_1, t_2)$ .** Let  $t \in (t_1, t_2)$  and let  $(t, x)$  be a point where two wavefronts collide. As in Section 3.1, we have to distinguish to two cases.

*Case 1: both the colliding wavefronts are physical.* Assume that before the collision the first wavefront is traveling with speed  $\lambda'$  and it is connecting the states

$$\psi^M = T_{s'_k}^n \circ \dots \circ T_{s'_1}^1 \psi^L,$$

while the second wavefront is traveling with speed  $\lambda' < \lambda''$  and it is connecting the states

$$\psi^R = T_{s''_n}^n \circ \dots \circ T_{s''_k}^1 \psi^M.$$

We have already observed that the interaction at  $(\bar{t}, \bar{x})$  is purely transversal. Define thus the (*transversal*) amount of interaction at  $(t, x)$  as

$$\mathbf{B}^{\text{trans}}(t, x) := \sum_{k=1}^{\bar{k}} \sum_{h=\bar{k}+1}^n |s'_k| |s''_h|.$$

*Case 2: one of the two colliding wavefronts is non-physical.* Assume that the non-physical wavefront  $\alpha$  is connecting  $\psi^L$  with  $\psi^M$ , while the physical wavefront is traveling with speed  $\lambda$  and it is connecting

$$\psi^R = T_{s_n}^n \circ \dots \circ T_{s_1}^1 \psi^M.$$

Also in this case the interaction is purely transversal. Define thus the *amount of interaction* at  $(t, x)$  as

$$\mathbb{B}(t, x) := \mathbb{B}^{\text{trans}}(t, x) := s(t+, \alpha) \sum_{k=1}^n |s_k| = |\psi^M - \psi^L| \sum_{k=1}^n |s_k|.$$

The following proposition covers both the case of a collision between physical wavefronts and the case of a collision between a physical and a non-physical wavefront.

**Proposition 4.3.** *The following hold.*

- (1) For any  $k = 1, \dots, n$ , for the  $k$ -physical waves  $\mathbf{y}(t)^{-1}(x) \cap \mathcal{W}_k$  located at  $(t, x)$  in the wavefront map  $\psi$ , we have *sistemare il punto di partenza*

$$\left. \begin{aligned} & \left\| (\check{u}_k(t+, \cdot) - \check{u}_k(t-, \cdot)) \circ \Psi_k^{-1} \right\|_{L^\infty(\Psi_k(\mathbf{y}(t)^{-1}(x) \cap \mathcal{W}_k))} \\ & \left\| (\check{v}_k(t+, \cdot) - \check{v}_k(t-, \cdot)) \circ \Psi_k^{-1} \right\|_{L^\infty(\Psi_k(\mathbf{y}(t)^{-1}(x) \cap \mathcal{W}_k))} \\ & \left\| (\check{\sigma}_k(t+, \cdot) - \check{\sigma}_k(t-, \cdot)) \circ \Psi_k^{-1} \right\|_{L^1(\Psi_k(\mathbf{y}(t)^{-1}(x) \cap \mathcal{W}_k))} \end{aligned} \right\} \leq \mathcal{O}(1) \mathbb{B}^{\text{trans}}(t, x).$$

- (2) If both wavefronts interacting at  $(t, x)$  are physical, denoting by  $\alpha$  the non-physical wavefront generated at  $(t, x)$ , its initial strength can be estimated by

$$|s(\mathfrak{t}^{\text{ct}}(\alpha), \alpha)| \leq \mathcal{O}(1) \mathbb{B}^{\text{trans}}(t, x).$$

- (3) If one of the two wavefronts interacting at  $(t, x)$  is a non-physical wavefront  $\alpha$ , the variation of the strength of  $\alpha$  can be estimated by

$$|s(t+, \alpha) - s(t-, \alpha)| \leq \mathcal{O}(1) \mathbb{B}^{\text{trans}}(t, x).$$

The proof of this proposition can again be obtained with the same techniques as in [3, Section 3], and thus it is omitted here.

## 5. ESTIMATES ON THE AMOUNTS OF INTERACTION IN $\psi$

(mates: on: amounts)

In this section we prove the following theorem, which is the *global* part of the proof of Theorem 3.3. The proof of this theorem is the last *step* in order to complete the proof of the convergence rate of the Glimm scheme, Theorem 1.3.

**Theorem 5.1.** *The sum of all amounts of interaction in the time interval  $(t_1, t_2]$  is bounded by the decrease of the functional  $\Upsilon$  in the same time interval, i.e.*

$$\sum_{r \in \mathbb{Z}} \mathbb{B}(i_2 \varepsilon, r \varepsilon) + \sum_{\substack{(t, x) \text{ int. pt.} \\ t \in (t_1, t_2)}} \mathbb{B}^{\text{trans}}(t, x) \leq \mathcal{O}(1) (\Upsilon(t_1) - \Upsilon(t_2)).$$

The proof is a direct consequence of the following three propositions.

(P: global: trans)?

**Proposition 5.2** (Transversal amounts of interactions). *It holds*

$$\sum_{r \in \mathbb{Z}} \mathbb{B}^{\text{trans}}(i_2 \varepsilon, r \varepsilon) + \sum_{\substack{(t, x) \text{ int. pt.} \\ t \in (t_1, t_2)}} \mathbb{B}^{\text{trans}}(t, x) \leq \mathcal{O}(1) (\Upsilon(t_1) - \Upsilon(t_2)).$$

*Proof.* Since for any wave  $w \in \mathcal{W}_k(t_1) \cap \mathcal{W}_k(t_2)$ ,

$$\mathbf{x}(t_1, w) = \mathbf{y}(t_1, w), \quad \mathbf{x}(t_2, w) = \mathbf{y}(t_2, w),$$

and thus the waves which have to cross in  $\psi$  also cross in  $u^\varepsilon$ , it is not difficult to see that

$$\begin{aligned} \sum_{r \in \mathbb{Z}} \mathbb{B}^{\text{trans}}(i_2 \varepsilon, r \varepsilon) + \sum_{\substack{(t, x) \text{ int. pt.} \\ t \in (t_1, t_2)}} \mathbb{B}^{\text{trans}}(t, x) & \leq \sum_{i=i_1+1}^{i_2} \sum_{m \in \mathbb{Z}} \mathbb{A}^{\text{trans}}(i \varepsilon, m \varepsilon) \\ & \text{(by (2.25)) } \leq \mathcal{O}(1) (\Upsilon(i_2 \varepsilon) - \Upsilon(t_1)), \end{aligned}$$

which is what we wanted to prove.  $\square$

(P:global:cr) **Proposition 5.3** (Amounts of creation). *It holds*

$$\sum_{r \in \mathbb{Z}} \mathbf{B}_k^{\text{cr}}(i_2 \varepsilon, r \varepsilon) \leq \mathcal{O}(1)(\Upsilon(t_1) - \Upsilon(t_2)).$$

*Proof.* It is fairly easy to see that

$$\sum_{r \in \mathbb{Z}} \mathbf{B}_k^{\text{cr}}(i_2 \varepsilon, r \varepsilon) \leq \sum_{i=i_1+1}^{i_2} \sum_{m \in \mathbb{Z}} \mathbf{A}^{\text{cr}}(i_2 \varepsilon, m \varepsilon),$$

and thus, again using (2.25), we get the conclusion.  $\square$

(P:global:quadr) **Proposition 5.4** (Quadratic amounts of interaction). *It holds*

$$(5.1) \quad \boxed{\text{E:Bquadr}} \quad \sum_{r \in \mathbb{Z}} \mathbf{B}_k^{\text{quadr}}(i_2 \varepsilon, r \varepsilon) \leq \mathcal{O}(1)(\Upsilon(t_1) - \Upsilon(t_2)).$$

The proof of this proposition is much more difficult than the previous two. However, the technique we will use is the same we used in [3] to prove estimate (2.24) on the decreasing part of the functional  $\Omega(t)$ . Here, however, the new definition of the functional  $\Omega(t)$  we presented in Section 2.6 plays a crucial role, since, with the old definition (the one in [3]), the decrease of  $\Omega$  in the time interval  $[t_1, t_2]$  is not big enough to prove (5.1).

*Proof.* Introduce first the following sets:

$$(5.2) \quad \boxed{\text{E:erfr}} \quad \begin{aligned} \mathcal{E}_r &:= \left\{ (w, w') \in \mathcal{W}_k(i_2 \varepsilon, r \varepsilon) \times \mathcal{W}_k(i_2 \varepsilon, r \varepsilon) \mid w < w', \mathbf{x}(t_1, w) < \mathbf{x}(t_1, w'), \right\}, \quad r \in \mathbb{Z}, \\ \mathcal{F}_r &:= \left\{ (w, w') \in \mathcal{W}_k(i_2 \varepsilon, r \varepsilon) \times \mathcal{W}_k(i_2 \varepsilon, r \varepsilon) \mid w < w', \max \{ \mathbf{t}^{\text{cr}}(w), \mathbf{t}^{\text{cr}}(w') \} > t_1 \right\}, \quad r \in \mathbb{Z}, \end{aligned}$$

$$(5.3) \quad \boxed{\text{E:ef}} \quad \mathcal{E} := \bigcup_{r \in \mathbb{Z}} \mathcal{E}_r, \quad \mathcal{F} := \bigcup_{r \in \mathbb{Z}} \mathcal{F}_r,$$

$$\mathcal{E}^i := \left\{ (w, w') \in \mathcal{E} \mid \mathbf{t}^{\text{int}}(t_1, w, w') = i \varepsilon \right\}, \quad i = i_1 + 1, \dots, i_2.$$

We need now the following four lemmas, which conclude the proof of the proposition.

**Lemma 5.5.** *For any  $r \in \mathbb{Z}$ ,*

$$\mathbf{B}_k^{\text{quadr}}(i_2 \varepsilon, r \varepsilon) \leq \mathcal{O}(1) \iint_{(\Psi_k \times \Psi_k)(\mathcal{E}_r \cup \mathcal{F}_r)} \mathbf{q}_k(t_1, t_2, t_2, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) d\tau d\tau'.$$

*Proof.* We assume for the sake of simplicity that the  $k$ -waves interacting at  $(i_2 \varepsilon, r \varepsilon)$  are positive, the negative case being completely similar. We divide the proof in several steps.

*Step 1.* Set  $u^L := u^{i_2, r-1}$ ,  $u^R := u^{i_2, r}$  and

$$s_k^a := s_k^{r - (i_2 - i_1) + a}$$

for any  $a = 0, 1, \dots, i_2 - i_1 =: A$ . As in Section 4.1, let

$$\mathbf{s}^a := (s_1^a, \dots, s_n^a),$$

let  $\{\gamma_k\}_{k=1, \dots, n}$ ,  $\gamma_k : \mathbf{I}(s_k) \rightarrow \mathcal{D} \subseteq \mathbb{R}^{n+2}$  be the collection of curves which solve the Riemann problem  $(u^L, u^R)$  and let  $f_k$  be the associated reduced flux. Define also

$$\Theta_k := \Phi_k(t_2) \circ \Psi_k^{-1} \Big|_{\Psi_k(\mathcal{W}_k(i_2 \varepsilon, r \varepsilon) \cap \mathcal{W}_k(t_1))}.$$

It is not difficult to see that there exists two real numbers  $\zeta, \zeta' \in \mathbb{R}$  such that

$$\Psi_k \left( \mathcal{W}_k(i_2 \varepsilon, r \varepsilon) \cap \mathcal{W}_k(i_1 \varepsilon, (r - (i_2 - i_1) + a) \varepsilon) \right) = \zeta + \sum_{b < a} s_k^b + \mathbf{I}(s_k^a) =: I_k^a,$$

$$\Phi_k(t_2)(\mathcal{W}_k(i_2 \varepsilon, r \varepsilon)) = \zeta' + \mathbf{I}(s_k),$$

and

$$\Theta_k : \zeta + \mathbf{I} \left( \sum_{a=1}^A s_k^a \right) \rightarrow \zeta' + \mathbf{I}(s_k)$$

is an increasing map and for each  $a = 0, 1, \dots, A$  the restriction  $\Theta_k|_{I_k^a}$  is an affine map with slope equal to 1. We are thus exactly in the situation described in Section 4.1 and therefore we can define the intervals  $J_k^a := \{\tau \in \zeta' + \mathbf{I}(s_k) \mid \Theta_k^{-1}(\tau) \in I_k^a\}$ . Notice, moreover, that the effective flux  $\mathbf{f}_k^{\text{eff}}(t_2)$  at time  $t_2$  and the flux  $f_k$  associated to the Riemann problem  $(u^L, u^R)$  coincide up to affine functions, i.e.

$$\frac{d^2}{d\tau^2} \operatorname{conv}_{\zeta' + \mathbf{I}(s_k)} \mathbf{f}_k^{\text{eff}}(t_2)(\zeta' + \tau) = \frac{d^2}{d\tau^2} \operatorname{conv}_{\mathbf{I}(s_k)} f_k(\tau), \quad \tau \in \mathbf{I}(s_k).$$

Hence, by the properties of the convex envelope, we can compute the quadratic amount of interaction  $\mathbf{B}_k^{\text{quadr}}(i_2\varepsilon, r\varepsilon)$  using the effective flux  $\mathbf{f}_k^{\text{eff}}(t_2)$  instead of  $f_k$ :

$$\mathbf{B}_k^{\text{quadr}}(i_2\varepsilon, r\varepsilon) := \left\| \frac{d}{d\tau} \operatorname{conv}_{\bigcup_{a=0}^A J_k^a} \mathbf{f}_k^{\text{eff}}(t_2) - \bigcup_{a=0}^A \frac{d}{d\tau} \operatorname{conv}_{J_k^a} \mathbf{f}_k^{\text{eff}}(t_2) \right\|_1.$$

By triangular inequality, it is enough to prove that for any  $b = 1, \dots, A$ ,

$$(5.4) \quad \left\| \frac{d}{d\tau} \operatorname{conv}_{\bigcup_{a=0}^b J_k^a} \mathbf{f}_k^{\text{eff}}(t_2) - \left( \frac{d}{d\tau} \operatorname{conv}_{\bigcup_{a=0}^{b-1} J_k^a} \mathbf{f}_k^{\text{eff}}(t_2) \cup \frac{d}{d\tau} \operatorname{conv}_{J_k^b} \mathbf{f}_k^{\text{eff}}(t_2) \right) \right\|_1 \leq \iint_{(\bigcup_{a=0}^{b-1} J_k^a) \times J_k^b} \mathbf{q}_k(t_1, t_2, t_2, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) d\tau d\tau'.$$

The technique we use to prove (5.4) is the same as in [3, Proposition 6.9].

*Step 2.* Set

$$\tau_M := \sup \bigcup_{a=0}^{b-1} J_k^a = \inf J_k^b,$$

and

$$\tau_L := \max \left\{ \tau \in \bigcup_{a=0}^{b-1} J_k^a \mid \operatorname{conv}_{\bigcup_{a=0}^{b-1} J_k^a} \mathbf{f}_k^{\text{eff}}(t_2)(\tau) = \operatorname{conv}_{\bigcup_{a=0}^b J_k^a} \mathbf{f}_k^{\text{eff}}(t_2)(\tau) \right\},$$

$$\tau_R := \min \left\{ \tau \in J_k^b \mid \operatorname{conv}_{J_k^b} \mathbf{f}_k^{\text{eff}}(t_2)(\tau) = \operatorname{conv}_{\bigcup_{a=0}^b J_k^a} \mathbf{f}_k^{\text{eff}}(t_2)(\tau) \right\}.$$

W.l.o.g. we assume that  $\tau_L < \tau_M < \tau_R$ , otherwise there is nothing to prove.

It is quite easy to see that

$$\mathbf{B}_k^{\text{quadr}}(i\varepsilon, r\varepsilon) = \frac{1}{\tau_R - \tau_L} \left[ \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(t_2), (\tau_L, \tau_M]) - \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(t_2), (\tau_M, \tau_R]) \right] \mathcal{L}^2((\tau_L, \tau_M] \times (\tau_M, \tau_R]),$$

and thus it is sufficient to prove that

$$(5.5) \quad \frac{1}{\tau_R - \tau_L} \left[ \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(t_2), (\tau_L, \tau_M]) - \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(t_2), (\tau_M, \tau_R]) \right] \mathcal{L}^2((\tau_L, \tau_M] \times (\tau_M, \tau_R]) \leq \int_{\tau_L}^{\tau_M} \int_{\tau_M}^{\tau_R} \mathbf{q}_k(t_1, t_2, t_2, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) d\tau d\tau'.$$

Observe that, by Proposition 2.16,

$$d(t_1, t_2, t_2, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) \leq \tau_R - \tau_L;$$

hence (5.5) will follow if we prove that

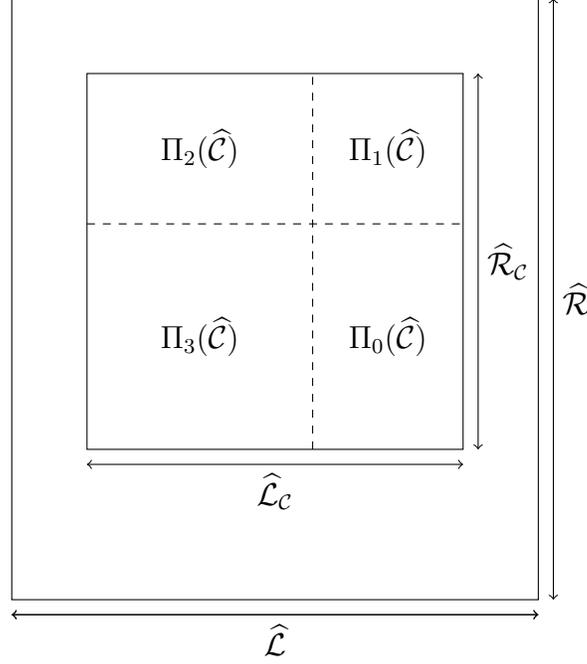
$$(5.6) \quad \left[ \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(t_2), (\tau_L, \tau_M]) - \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(t_2), (\tau_M, \tau_R]) \right] \mathcal{L}^2((\tau_L, \tau_M] \times (\tau_M, \tau_R]) \leq \int_{\tau_L}^{\tau_M} \int_{\tau_M}^{\tau_R} \pi_k(t_1, t_2, t_2, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) d\tau d\tau'.$$

*Step 3.* Let

$$\mathcal{L} := \Psi_k^{-1}((\tau_L, \tau_M]), \quad \mathcal{R} := \Psi_k^{-1}((\tau_M, \tau_R]).$$

We will identify waves through the equivalence relation  $\bowtie$ , already introduced in (2.8): for any couple of waves  $w, w' \in \mathcal{L} \cup \mathcal{R}$ , set  $w \bowtie w'$  if and only if

$$\mathbf{t}^{\text{cr}}(w) = \mathbf{t}^{\text{cr}}(w') \text{ and } \mathbf{x}(t, w) = \mathbf{x}(t, w') \quad \text{for any } t \in [\mathbf{t}^{\text{cr}}(w), i\varepsilon).$$

FIGURE 3. Partition of  $\widehat{C} := \widehat{\mathcal{L}}_c \times \widehat{\mathcal{R}}_c$ .

(F\_partition\_lxr)

The sets

$$\widehat{\mathcal{L}} := \mathcal{L} / \bowtie, \quad \widehat{\mathcal{R}} := \mathcal{R} / \bowtie$$

are finite and totally ordered by the order  $\leq$  of  $\mathcal{W}_k(t_2)$ . Moreover for any  $\xi \in \widehat{\mathcal{L}}$ ,  $\xi' \in \widehat{\mathcal{R}}$ , let  $w \in \xi$ ,  $w' \in \xi'$  and set

$$\mathcal{I}(t_1, t_2, \xi, \xi') := \mathcal{I}(t_1, t_2, w, w'), \quad \mathcal{P}(t_1, t_2, \xi, \xi') := \mathcal{P}(t_1, t_2, w, w'),$$

and

$$\widehat{\mathcal{I}}(t_1, t_2, \xi, \xi') := \mathcal{I}(t_1, t_2, \xi, \xi') / \bowtie.$$

It is not hard to see that the above definitions are well posed and that  $\widehat{\mathcal{I}} \subseteq \widehat{\mathcal{L}} \cup \widehat{\mathcal{R}}$ .

Now we partition the rectangle  $\widehat{\mathcal{L}} \times \widehat{\mathcal{R}}$  in sub-rectangles, as follows. For any **non empty** rectangle  $\widehat{C} := \widehat{\mathcal{L}}_c \times \widehat{\mathcal{R}}_c \subseteq \widehat{\mathcal{L}} \times \widehat{\mathcal{R}}$ , define (see Figure 3)

$$\begin{aligned} \Pi_0(\widehat{C}) &:= \left[ \widehat{\mathcal{L}}_c \cap \widehat{\mathcal{I}}(t_1, t_2, \max \widehat{\mathcal{L}}_c, \min \widehat{\mathcal{R}}_c) \right] \times \left[ \widehat{\mathcal{R}}_c \cap \widehat{\mathcal{I}}(t_1, t_2, \max \widehat{\mathcal{L}}_c, \min \widehat{\mathcal{R}}_c) \right], \\ \Pi_1(\widehat{C}) &:= \left[ \widehat{\mathcal{L}}_c \cap \widehat{\mathcal{I}}(t_1, t_2, \max \widehat{\mathcal{L}}_c, \min \widehat{\mathcal{R}}_c) \right] \times \left[ \widehat{\mathcal{R}}_c \setminus \widehat{\mathcal{I}}(t_1, t_2, \max \widehat{\mathcal{L}}_c, \min \widehat{\mathcal{R}}_c) \right], \\ \Pi_2(\widehat{C}) &:= \left[ \widehat{\mathcal{L}}_c \setminus \widehat{\mathcal{I}}(t_1, t_2, \max \widehat{\mathcal{L}}_c, \min \widehat{\mathcal{R}}_c) \right] \times \left[ \widehat{\mathcal{R}}_c \setminus \widehat{\mathcal{I}}(t_1, t_2, \max \widehat{\mathcal{L}}_c, \min \widehat{\mathcal{R}}_c) \right], \\ \Pi_3(\widehat{C}) &:= \left[ \widehat{\mathcal{L}}_c \setminus \widehat{\mathcal{I}}(t_1, t_2, \max \widehat{\mathcal{L}}_c, \min \widehat{\mathcal{R}}_c) \right] \times \left[ \widehat{\mathcal{R}}_c \cap \widehat{\mathcal{I}}(t_1, t_2, \max \widehat{\mathcal{L}}_c, \min \widehat{\mathcal{R}}_c) \right]. \end{aligned}$$

Clearly  $\{\Pi_0(\widehat{C}), \Pi_1(\widehat{C}), \Pi_2(\widehat{C}), \Pi_3(\widehat{C})\}$  is a disjoint partition of  $\widehat{C}$ .

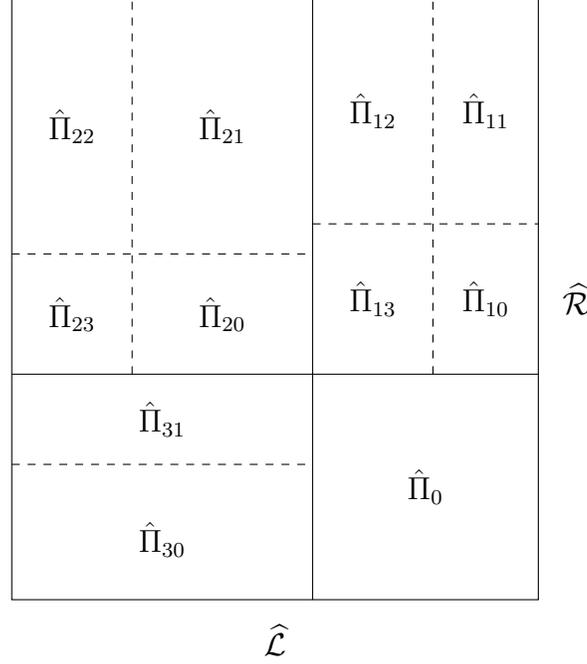
For any set  $A$ , denote by  $A^{<\mathbb{N}}$  the set of all finite sequences taking values in  $A$ . We assume that  $\emptyset \in A^{<\mathbb{N}}$ , called the *empty sequence*. There is a natural ordering  $\preceq$  on  $A^{<\mathbb{N}}$ : given  $\alpha, \beta \in A^{<\mathbb{N}}$ ,

$$\alpha \preceq \beta \iff \beta \text{ is obtained from } \alpha \text{ by adding a finite sequence.}$$

A subset  $D \subseteq A^{<\mathbb{N}}$  is called a *tree* if for any  $\alpha, \beta \in A^{<\mathbb{N}}$ ,  $\alpha \preceq \beta$ , if  $\beta \in D$ , then  $\alpha \in D$ .

Define a map  $\widehat{\Psi} : \{0, 1, 2, 3\}^{<\mathbb{N}} \rightarrow 2^{\widehat{\mathcal{L}} \times \widehat{\mathcal{R}}}$ , by setting

$$\widehat{\Psi}_\alpha = \begin{cases} \widehat{\mathcal{L}} \times \widehat{\mathcal{R}}, & \text{if } \alpha = \emptyset, \\ \Pi_{z_n} \circ \dots \circ \Pi_{z_1}(\widehat{\mathcal{L}} \times \widehat{\mathcal{R}}), & \text{if } \alpha = (z_1, \dots, z_L) \in \{0, 1, 2, 3\}^{<\mathbb{N}} \setminus \{\emptyset\}. \end{cases}$$

FIGURE 4. Partition of  $\mathcal{L} \times \mathcal{R}$  using the tree  $D$ . $\langle \mathbf{F\_tree} \rangle$ 

For  $\alpha \in \{0, 1, 2, 3\}^{<\mathbb{N}}$ , let  $\widehat{\mathcal{L}}_\alpha, \widehat{\mathcal{R}}_\alpha$  be defined by the relation  $\widehat{\Psi}_\alpha = \widehat{\mathcal{L}}_\alpha \times \widehat{\mathcal{R}}_\alpha$ . Define a tree  $D$  in  $\{0, 1, 2, 3\}^{<\mathbb{N}}$  setting

$$D := \{\emptyset\} \cup \left\{ \alpha = (z_1, \dots, z_L) \in \{0, 1, 2, 3\}^{<\mathbb{N}} \mid L \in \mathbb{N}, \widehat{\Pi}_\alpha \neq \emptyset, z_l \neq 0 \text{ for } l = 1, \dots, L-1 \right\}.$$

See Figure 4.

Since  $\Pi_0(\Pi_0(\widehat{\mathcal{C}})) = \Pi_0(\widehat{\mathcal{C}})$  for any  $\widehat{\mathcal{C}} \subseteq \widehat{\mathcal{L}} \times \widehat{\mathcal{R}}$ , this implies, together with the fact that  $\widehat{\mathcal{L}} \times \widehat{\mathcal{R}}$  is a finite set, that  $D$  is a finite tree.

For any  $\alpha \in D$ , set

$$\begin{aligned} \mathcal{L}_\alpha &:= \bigcup_{\xi \in \widehat{\mathcal{L}}_\alpha} \xi, & \mathcal{R}_\alpha &:= \bigcup_{\xi' \in \widehat{\mathcal{R}}_\alpha} \xi', \\ L_\alpha &:= \Psi_k(\mathcal{L}_\alpha), & R_\alpha &:= \Psi_k(\mathcal{R}_\alpha). \end{aligned}$$

The idea of the proof is to show that, for each  $\alpha \in D$ , on the rectangle  $L_\alpha \times R_\alpha$  it holds

$$(5.7) \quad \underline{\mathbf{E\_fund}}[\underline{\mathbf{oneq}}]_{\mathbf{f}_k^{\text{eff}}}(t_2, L_\alpha) - \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(t_2), R_\alpha) \mathcal{L}^2(L_\alpha \times R_\alpha) \leq \int_{L_\alpha \times R_\alpha} \pi_k(t_1, t_2, \tau, \tau') d\tau d\tau'.$$

The conclusion will follow just considering that  $\emptyset \in D$  and  $L_\emptyset = (\tau_L, \tau_M]$ ,  $R_\emptyset = (\tau_M, \tau_R]$ .

*Step 4.* Using Propositions 2.16, 2.18, 2.19, it is possible to prove that 5.7 holds for each  $\alpha = (z_1, \dots, z_L) \in D$  such that  $z_L = 0$ . This is a major part of the proof, in which the partitions  $\mathcal{P}(t_1, t_2, w, w')$  are widely used, but we don't prove this step explicitly, since its proof can be obtained adapting the proofs of [3, Lemmas 6.10-6.11].

*Step 5.* We prove now that (5.7) holds for any  $\alpha \in D$  by (inverse) induction on the tree. If  $\alpha$  is a leaf of the tree, then, by definition, the last component of  $\alpha$  is equal to zero, and thus (5.7) has already been proved in Step 4. If  $\alpha$  is not a leaf, then

$$\widehat{\Psi}_\alpha = \widehat{\Psi}_{\alpha_0} \cup \widehat{\Psi}_{\alpha_1} \cup \widehat{\Psi}_{\alpha_2} \cup \widehat{\Psi}_{\alpha_3}$$

and thus

$$L_\alpha \times R_\alpha = (L_{\alpha_0} \times R_{\alpha_0}) \cup (L_{\alpha_1} \times R_{\alpha_1}) \cup (L_{\alpha_2} \times R_{\alpha_2}) \cup (L_{\alpha_3} \times R_{\alpha_3}).$$

The estimate (5.7) holds on  $L_{\alpha 0} \times R_{\alpha 0}$  by Step 4, while it holds on  $L_{\alpha a} \times R_{\alpha a}$ ,  $a = 1, 2, 3$ , by inductive assumption. Hence we can write

$$\begin{aligned}
[\sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(t_2), L_\alpha) - \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(t_2), R_\alpha)] \mathcal{L}^2(L_\alpha \times R_\alpha) &= \iint_{L_\alpha \times R_\alpha} \left[ \frac{d\mathbf{f}_k^{\text{eff}}(t_2)}{d\tau}(\tau) - \frac{d\mathbf{f}_k^{\text{eff}}(t_2)}{d\tau}(\tau') \right] d\tau d\tau' \\
&= \sum_{a=0}^3 \iint_{L_{\alpha a} \times R_{\alpha a}} \left[ \frac{d\mathbf{f}_k^{\text{eff}}(t_2)}{d\tau}(\tau) - \frac{d\mathbf{f}_k^{\text{eff}}(t_2)}{d\tau}(\tau') \right] d\tau d\tau' \\
&= \sum_{a=0}^3 [\sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(t_2), L_{\alpha a}) - \sigma^{\text{rh}}(\mathbf{f}_k^{\text{eff}}(t_2), R_{\alpha a})] \mathcal{L}^2(L_{\alpha a} \times R_{\alpha a}) \\
&\leq \sum_{a=0}^3 \iint_{L_{\alpha a} \times R_{\alpha a}} \pi_k(t_1, t_2, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) d\tau d\tau' \\
&= \iint_{L_{\alpha a} \times R_{\alpha a}} \pi_k(t_1, t_2, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) d\tau d\tau'.
\end{aligned}$$

As already observed, for  $\alpha = \emptyset$ , we get inequality (5.6), thus concluding the proof of the lemma.  $\square$

**Lemma 5.6.** *It holds*

$$\iint_{(\Psi_k \times \Psi_k)(\mathcal{F})} \mathbf{q}_k(t_1, t_2, t_2, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) d\tau d\tau' \leq \mathcal{O}(1) \sum_{i=i_1+1}^{i_2} \sum_{m \in \mathbb{Z}} \mathbf{A}_k^{\text{cr}}(i\varepsilon, m\varepsilon).$$

*Proof.* The proof is an easy consequence of the definition (5.2)-(5.3) of the sets  $\mathcal{F}_\tau, \mathcal{F}$  and the fact that the weights  $\mathbf{q}_k$  are uniformly bounded, Remark 2.20.  $\square$

**Lemma 5.7.** *It holds*

$$\begin{aligned}
\iint_{(\Psi_k \times \Psi_k)(\mathcal{E})} \mathbf{q}_k(t_1, t_2, t_2, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) - \mathbf{q}_k(\mathbf{t}^{\text{int}}(t_1, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) - \varepsilon, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) d\tau d\tau' \\
\leq \mathcal{O}(1) \sum_{i=i_1+1}^{i_2} \sum_{m \in \mathbb{Z}} \mathbf{A}(i\varepsilon, m\varepsilon).
\end{aligned}$$

*Proof.* Fix  $(w, w') \in \mathcal{E}$ . Observe that for any  $i = i_1, \dots, i_2$ ,

$$(5.8) \quad \boxed{\mathbf{E} \cdot \mathbf{\Phi} : \mathbf{magg} : \mathbf{\Psi}} \quad \left| \Phi_k(i\varepsilon)(w') - \Phi_k(i\varepsilon)(w) \right| \geq \left| \Psi_k(i\varepsilon)(w') - \Psi_k(i\varepsilon)(w) \right|,$$

since  $\Psi$  takes into account only the waves which are in  $\mathcal{W}_k(i_1\varepsilon) \cap \mathcal{W}_k(i_2\varepsilon)$ . Then notice that

$$\begin{aligned}
\mathbf{q}(\mathbf{t}^{\text{int}}(t_1, w, w') - \varepsilon, w, w') &= \mathbf{q}(\mathbf{t}^{\text{int}}(t_1, w, w') - \varepsilon, \mathbf{t}^{\text{int}}(t_1, w, w') - \varepsilon, w, w') \\
&= \mathbf{q}(t_1, \mathbf{t}^{\text{int}}(t_1, w, w') - \varepsilon, w, w') \\
&\geq \mathbf{q}(t_1, \mathbf{t}^{\text{int}}(t_1, w, w') - \varepsilon, t_2, w, w').
\end{aligned}$$

Hence

$$\begin{aligned}
\Delta \mathbf{q}_k(w, w') &= \mathbf{q}(t_1, t_2, t_2, w, w') - \mathbf{q}(\mathfrak{t}^{\text{int}}(t_1, w, w') - \varepsilon, w, w') \\
&\leq \mathbf{q}(t_1, t_2, t_2, w, w') - \mathbf{q}(t_1, \mathfrak{t}^{\text{int}}(t_1, w, w') - \varepsilon, t_2, w, w') \\
&\leq \sum_{i=\mathfrak{t}^{\text{int}}(t_1, w, w')/\varepsilon}^{i_2} \left[ \mathbf{q}(t_1, i\varepsilon, t_2, w, w') - \mathbf{q}(t_1, (i-1)\varepsilon, t_2, w, w') \right] \\
&\text{(by (2.23))} \\
&\leq \mathcal{O}(1) \sum_{i=\mathfrak{t}^{\text{int}}(t_1, w, w')/\varepsilon}^{i_2} \frac{1}{|\Phi_k(i\varepsilon)(w') - \Phi_k(i\varepsilon)(w)|} \sum_{m \in \mathbb{Z}} \mathbf{A}(i\varepsilon, m\varepsilon) \\
&\text{(by (5.8))} \\
&\leq \mathcal{O}(1) \frac{1}{|\Psi_k(w') - \Psi_k(w)|} \sum_{i=i_1+1}^{i_2} \sum_{m \in \mathbb{Z}} \mathbf{A}(i\varepsilon, m\varepsilon).
\end{aligned}$$

Therefore

$$\begin{aligned}
&\iint_{(\Psi_k \times \Psi_k)(\mathcal{E})} \mathbf{q}_k(t_1, t_2, t_2, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) - \mathbf{q}_k(\mathfrak{t}^{\text{int}}(t_1, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) - \varepsilon, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) \\
&\leq \mathcal{O}(1) \sum_{i=i_1+1}^{i_2} \sum_{m \in \mathbb{Z}} \mathbf{A}(i\varepsilon, m\varepsilon) \iint_{(\Psi_k \times \Psi_k)(\mathcal{E})} \frac{d\tau d\tau'}{|\tau' - \tau|} \\
&\leq \mathcal{O}(1) \mathcal{L}^2((\Psi_k \times \Psi_k)(\mathcal{E})) \sum_{i=i_1+1}^{i_2} \sum_{m \in \mathbb{Z}} \mathbf{A}(i\varepsilon, m\varepsilon) \\
&\leq \mathcal{O}(1) \sum_{i=i_1+1}^{i_2} \sum_{m \in \mathbb{Z}} \mathbf{A}(i\varepsilon, m\varepsilon). \quad \square
\end{aligned}$$

**Lemma 5.8.** *It holds*

$$\iint_{(\Psi_k \times \Psi_k)(\mathcal{E})} \mathbf{q}_k(\mathfrak{t}^{\text{int}}(t_1, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) - \varepsilon, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau')) d\tau d\tau' \leq \mathcal{O}(1)(\Upsilon(t_1) - \Upsilon(t_2)).$$

*Proof.* It holds

$$\begin{aligned}
 & \iint_{(\Psi_k \times \Psi_k)(\mathcal{E})} \mathfrak{q}_k \left( \mathfrak{t}^{\text{int}} \left( t_1, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau') \right) - \varepsilon, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau') \right) d\tau d\tau' \\
 &= \sum_{i=i_1+1}^{i_2} \iint_{(\Psi_k \times \Psi_k)(\mathcal{E}^i)} \mathfrak{q}_k \left( (i-1)\varepsilon, \Psi_k^{-1}(\tau), \Psi_k^{-1}(\tau') \right) d\tau d\tau' \\
 &= \sum_{i=i_1+1}^{i_2} \iint_{(\Phi_k((i-1)\varepsilon) \times \Phi_k((i-1)\varepsilon))(\mathcal{E}^i)} \mathfrak{q}_k \left( (i-1)\varepsilon, \Phi_k((i-1)\varepsilon^{-1}(\tau), \Phi_k((i-1)\varepsilon)^{-1}(\tau')) \right) d\tau d\tau' \\
 & \text{(see (2.20))} \\
 &\leq \sum_{i=i_1+1}^{i_2} \sum_{m \in \mathbb{Z}} \iint_{J_m^L \times J_m^R} \mathfrak{q}((i-1)\varepsilon) d\tau d\tau' \\
 & \text{(using (2.21)-(2.22) and the fact that for waves } w, w' \text{ interacting at time } i\varepsilon, \mathfrak{q}(i\varepsilon, w, w') = 0) \\
 &\leq \sum_{i=i_1+1}^{i_2} \left( \mathfrak{Q}((i-1)\varepsilon) - \mathfrak{Q}(i\varepsilon) \right) + \mathcal{O}(1) \text{Tot.Var.}(\bar{u}) \sum_{i=i_1+1}^{i_2} \sum_{m \in \mathbb{Z}} \mathbf{A}(i\varepsilon, m\varepsilon) \\
 & \text{(since } Q^{\text{known}} \text{ is decreasing in time)} \\
 &\leq \sum_{i=i_1+1}^{i_2} \left( \mathfrak{Q}((i-1)\varepsilon) - \mathfrak{Q}(i\varepsilon) \right) + C \left( Q^{\text{known}}((i-1)\varepsilon) - Q^{\text{known}}(i\varepsilon) \right) \\
 & \qquad \qquad \qquad + \mathcal{O}(1) \text{Tot.Var.}(\bar{u}) \sum_{i=i_1+1}^{i_2} \sum_{m \in \mathbb{Z}} \mathbf{A}(i\varepsilon, m\varepsilon) \\
 & \text{(by the definition of } \Upsilon \text{ and Corollary 2.24)} \\
 &\leq \mathcal{O}(1) \sum_{i=i_1+1}^{i_2} \left( \Upsilon((i-1)\varepsilon) - \Upsilon(i\varepsilon) \right) \\
 &= \mathcal{O}(1) \left( \Upsilon(t_1) - \Upsilon(t_2) \right). \quad \square
 \end{aligned}$$

The conclusion of the proof of Proposition 5.4 is an immediate consequence of the previous four lemmas, Corollary 2.24 and Proposition 5.3.  $\square$

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