

# THERMO\_PW User's Guide (v.1.6.1)

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# Chapter

## Introduction

This guide covers the installation and usage of the THERMO\_PW package. It assumes some familiarity with QUANTUM ESPRESSO. For this please consult the web site: http://www.quantum-espresso.org.

THERMO\_PW computes material properties. At low level, it calls QUANTUM ESPRESSO routines and, at high level, it has pre-processing tools to reduce the information provided by the user and post-processing tools that use the output of QUANTUM ESPRESSO to produce plots of material properties directly comparable with experiment.

THERMO\_PW has the following directory structure, contained in a directory thermo\_pw/ that should be put in the root directory of QUANTUM ESPRESSO:

| : contains this user's guide and other documentation       |
|--|
| : some examples  |
| : QUANTUM ESPRESSO examples run using THERMO_PW            |
| : a collection of useful inputs                            |
| : a collection of inputs to test a pseudopotential library |
| : a collection of structures for many space groups         |
| : source files for modules used by THERMO_PW               |
| : routines of QUANTUM ESPRESSO that require some           |
| change   |
| : source files for THERMO_PW                               |
| : source files for auxiliary tools                         |
| : examples of inputs for the auxiliary tools               |
|  |

The THERMO\_PW package can calculate the following quantities:

- Plot of the Brillouin zone (the structure can be seen by reading the input of THERMO\_PW by the XCrySDen program).
- Plot of the X-rays powder diffraction pattern of the input crystal.
- Total energy at fixed geometry.
- Total energy as a function of the kinetic energy cut-off.
- Total energy as a function of **k**-points and smearing.

- Electronic band structure at fixed geometry.
- Electronic density of states at fixed geometry. Electronic thermodynamic properties: energy, free energy, entropy, and heat capacity.
- Electronic heat capacity as a function of temperature (for metals only).
- Complex dielectric constant as a function of the complex frequency  $\omega$  at fixed geometry. Complex index of refraction for all systems except monoclinic and triclinic. Reflectivity at normal incidence and adsorption coefficient for cubic solids.
- Inverse dielectric constant at a given wavevector **q** as a function of the complex frequency ω at fixed geometry.
- Phonon frequencies at fixed geometry.
- Phonon dispersions at fixed geometry and harmonic thermodynamic properties: vibrational energy, vibrational free energy, vibrational entropy, and constant volume heat capacity as a function of temperature. Atomic Debye-Waller factors as a function of temperature.
- Frozen ions and relaxed ions elastic constants at fixed geometry.
- Relaxed ions temperature dependent elastic constants at fixed unperturbed geometry.
- Fit of the total energy as a function of the lattice parameters with a quadratic or quartic polynomial and determination of equilibrium lattice parameters. Murnaghan or (third or fourth order) Birch-Murnaghan fit. Enthalpy as a function of pressure. Crystal parameters and volume as a function of pressure.
- Electronic band structure at the minimum of the total energy.
- Electronic density of states at the minimum of the total energy. Electronic thermodynamic properties.
- Complex dielectric constant as a function of the complex frequency  $\omega$  at the minimum of the total energy. Complex index of refraction for all systems except monoclinic and triclinic. Reflectivity at normal incidence and adsorption coefficient for cubic solids.
- Inverse dielectric constant at a given wavevector  $\mathbf{q}$  as a function of the complex frequency  $\omega$  at the minimum of the total energy.
- Phonon frequencies at the minimum of the total energy.
- Phonon dispersions and harmonic thermodynamic quantities at the minimum of the total energy.

- Frozen ions and relaxed ions elastic constants at the minimum of the total energy.
- Anharmonic properties within the quasi-harmonic approximation: lattice parameters, thermal expansion tensor, volume, volume thermal expansion, and constant strain heat capacity as a function of temperature; phonon frequencies and mode Grüneisen parameters interpolated at a given geometry or at the equilibrium geometry at a given temperature (limited to cubic, tetragonal, orthorhombic, and hexagonal systems). Bulk modulus and pressure derivative of the bulk modulus, isobaric heat capacity, isoentropic bulk modulus, and average Grüneisen parameter as a function of temperature (limited to cubic systems). Minimum Helmholtz (or Gibbs at finite pressures) free energy as a function of temperature.
- Isothermal and isoentropic elastic constants and elastic compliances as a function of temperature within the "quasi-static" approximation.
- Isothermal and isoentropic elastic constants and elastic compliances as a function of temperature within the "quasi-harmonic" approximation.
- Surface band structure identification and plot of the projected bulk band structure.

THERMO\_PW can run on both serial and parallel machines using all the parallellization options of QUANTUM ESPRESSO. Moreover, THERMO\_PW can run using several images. When possible, the image parallelization is used in an asynchronous way. One image takes the role of master and distributes the work to all the images that carry it out independently. Presently the total energies of several geometries for the determination of the equilibrium geometry are calculated in parallel when there are several images. Stresses or total energies at different strained geometries needed for the calculation of the elastic constants are calculated in parallel. The phonon calculations are carried out in parallel, each image doing one irreducible representation of one **q** point. For frequency dependent calculation, each frequency, or group of frequencies, can be calculated in parallel by different images. The phonon dispersions of several geometries needed for the quasi-harmonic calculation of the thermodynamic properties or of the elastic constants can be calculated in parallel (one geometry at a time or all geometries together).

## 1.1 People

The THERMO\_PW code is designed, written, and maintained by Andrea Dal Corso (SISSA - Trieste). It is an open source code distributed, as is, within the GPL license.

I would like to thank all the people that contributed with comments, requests of improvements, and bug reports. Some people also found bug corrections or wrote new routines and shared their improvements with me. In particular I would like to mention M. Palumbo, O. Motornyi, A. Urru, C. Malica, and X. Gong.

# Chapter 2

## Installing, Compiling, and Running

## 2.1 Installing

The THERMO\_PW package is tightly bound to QUANTUM ESPRESSO. It cannot be compiled without it. To download and compile QUANTUM ESPRESSO, please refer to the general User's Guide, available in the file Doc/user\_guide.pdf in the root QUANTUM ESPRESSO directory, or on the web site

http://www.quantum-espresso.org.

The main distribution page of Thermo\_pw is

https://dalcorso.github.io/thermo\_pw/

where you can download one of the .tar.gz files. Please match carefully THERMO\_PW and QUANTUM ESPRESSO versions as illustrated in Table 1. For the versions of QUANTUM ESPRESSO not listed here, there is no THERMO\_PW package. The source files of THERMO\_PW can be obtained by unpacking the .tar.gz file in the root QUANTUM ESPRESSO directory, for instance with the command tar -xzvf thermo\_pw.1.4.1.tar.gz.

You can also download the git version of THERMO\_PW as described at the web page: https://dal corso.github.io/thermo\_pw/thermo\_pw\_help.html. The git version of THERMO\_PW contains the most recent features and bug fixes but it might work only with the git version of QUANTUM ESPRESSO and its use for production is not recommended. Please read the web page:

http://dalcorso.github.io/thermo\_pw/thermo\_pw\_help.html

for updated information about the compatibility between the git version of THERMO\_PW and QUANTUM ESPRESSO. This web page contains also information on critical bugs found in the THERMO\_PW package and should be consulted before using THERMO\_PW.

| THERMO_PW  | QUANTUM ESPRESSO | release date |
|------------|------------------|--------------|
| 1.6.1      | 7.0              | 10/01/2022   |
| 1.6.0      | 6.8              | 27/12/2021   |
| 1.5.1      | 6.8              | 22/07/2021   |
| 1.5.0      | 6.7              | 19/07/2021   |
| 1.4.1      | 6.7              | 29/12/2020   |
| 1.4.0      | 6.6              | 22/12/2020   |
| 1.3.2      | 6.6              | 17/8/2020    |
| 1.3.1      | 6.6              | 13/8/2020    |
| 1.3.0      | 6.5              | 12/8/2020    |
| 1.2.1      | 6.5              | 23/1/2020    |
| 1.2.0      | 6.4.1            | 28/12/2019   |
| 1.1.1      | 6.4.1            | 16/04/2019   |
| 1.1.0      | 6.4              | 16/04/2019   |
| 1.0.9      | 6.3              | 6/3/2019     |
| 1.0.0      | 6.3              | 17/7/2018    |
| 0.9.9      | 6.2.1            | 5/7/2018     |
| 0.9.0      | 6.2.1            | 20/12/2017   |
| 0.8.0      | 6.2              | 24/10/2017   |
| 0.8.0-beta | 6.2-beta         | 31/8/2017    |
| 0.7.9      | 6.1              | 06/7/2017    |
| 0.7.0      | 6.1              | 18/3/2017    |
| 0.6.0      | 6.0.0            | 5/10/2016    |
| 0.5.0      | 5.4.0            | 26/4/2016    |
| 0.4.0      | 5.3.0            | 23/1/2016    |
| 0.3.0      | 5.2.1, 5.2.0     | 23/6/2015    |
| 0.2.0      | 5.1.2            | 13/3/2015    |
| 0.1.0      | 5.1.1            | 28/11/2014   |

Table 2.1: Compatibility between the versions of Thermo\_PW and of  $\ensuremath{\texttt{QE}}$  .

## 2.2 Compiling

In order to compile THERMO\_PW, the main Makefile and the files install/ makedeps.sh and install/plugins\_makefile of QUANTUM ESPRESSO must be changed. This is done by giving the command make join\_qe inside the thermo\_pw directory. This command exchanges the thermo\_pw files with those of the QUANTUM ESPRESSO package.

Typing make thermo\_pw inside the main QUANTUM ESPRESSO directory, or make inside the thermo\_pw directory, produces the executable thermo\_pw/src/thermo\_pw.x that appears in the QUANTUM ESPRESSO bin/ directory. A few other tool codes are produced as well and linked in the QUANTUM ESPRESSO bin/ directory.

Starting from version 1.5.1 thermo\_pw can be compiled also with cmake. After writing make join\_qe follow the QE instructions to compile using cmake. Two new commands are available: make thermo\_pw produces the thermo\_pw.x and make tpw\_tools produces the tools codes.

THERMO\_PW has been written on a PC with the Linux operating system using a gfortran compiler and openMPI parallelization. It has been run in parallel on a Linux cluster with several hundreds processors. It has not been tested with other combinations of computer/operating system, but it is supposed to run on the same systems where QUANTUM ESPRESSO runs. If you have a machine in which you can compile and run QUANTUM ESPRESSO but not thermo\_pw, please report the problem.

## 2.3 Searching help and reporting bugs

For problems installing thermo\_pw or for help with some of its features, please subscribe to the thermo\_pw-forum mailing list (https://lists.quantum-espresso.org/mailman/listinfo/thermo\_pw-forum). Requests of new features are also welcome. If you think you have found a bug in thermo\_pw, you can report it to the mailing list or write me: dalcorso.at.sissa.it.

## 2.4 Uninstalling

In order to remove THERMO\_PW, give the command make leave\_qe in the thermo\_pw directory. Then just remove the directory. Note that the command make leave\_qe is needed to restore the original QUANTUM ESPRESSO files.

## 2.5 Running THERMO\_PW

In order to run THERMO\_PW, you need an input for pw.x, a file called thermo\_control, and an input for ph.x (which must be called ph\_control) if required by the task. These files must be in your working directory. The input of pw.x can have any name and is given as input to the THERMO\_PW code. It is better not to specify an outdir directory in the ph.x input. Specifying an outdir directory is not forbidden, but for some tasks thermo\_pw.x might add a geometry number to outdir and the outdir written in the ph.x input must be consistent.

A typical command for running THERMO\_PW is: mpirun -n np thermo\_pw.x -ni ni ... < input\_pw > output\_thermo\_pw

where np is the number of processors and ni is the number of images. The dots indicate the other QUANTUM ESPRESSO parallelization options that you can find in its manual.

Note that it is very easy to waste resources using too many images. Unused images wait for the working images to complete their tasks wasting cpu-time in an endless loop. Some options do not use the image feature, so you have to know how the calculation is divided and the number of images must not be larger than the number of tasks (below I give this number for each option). If you have doubts on this point use one image (ni=1).

The outputs of the THERMO\_PW code are one or more postscript or pdf files with plots of the material properties. THERMO\_PW produces also files with the data of the plot and scripts for the gnuplot program. Usually, the user does not need to modify these files, but they allow the improvement of the figures when needed. The plot of the Brillouin zone (BZ) is made with the help of the asymptote code. Thermo\_pw produces a script for the asymptote code and can also run it to produce the pdf file of the BZ. Starting from version 1.5.0 THERMO\_PW writes also a script to plot the BZ using the freeCAD software.

# Chapter

## Input variables

The pw.x and ph.x input files are described in the QUANTUM ESPRESSO documentation. In this section we discuss only the creation of the file thermo\_control. This file contains a namelist:

&INPUT\_THERMO
what=' ',
...
/

The what variable controls the sequence of calculations made by THERMO\_PW. For each possible value of what, we discuss briefly the input variables that control the output plots. Usually default values of the input variables are sufficient to carry out the basic THERMO\_PW tasks and you are not supposed to set any variable except what, but in some cases these input variables give more control on the calculation and on its accuracy.

THERMO\_PW writes on files the data to plot and a script to plot these data. The output <code>postscript</code> or pdf files are produced by invoking the <code>gnuplot</code> program. Usually any modern Linux distribution provides a package to install this code, or has it already installed. You can also download the package from <code>http://www.gnuplot.info/</code>.

The following input variables control the use of the gnuplot code:

| lgnuplot :  | if .TRUE. gnuplot is called by the program and the postscript or pdf files are immediately available. Otherwise give the command gnuplot gnuplot_files/*. |  |
|---|---|--|
|   | Default: logical .TRUE.   |  |
| gnuplot_command : the command used to call gnuplot. |   |  |
|   | <pre>Default: character(len=*) 'gnuplot'</pre>  |  |
| flgnuplot :   | : initial part of the name of the files where   |  |
|   | gnuplot scripts are written.  |  |
|   | <pre>Default: character(len=*) 'gnuplot.tmp'</pre>  |  |
| flext   | extension of the output files. Presently .ps<br>and .pdf are supported for postscript or pdf  |  |

output. The latter is available only if gnuplot supports the pdfcairo terminal. Default: character(len=\*) '.ps'

If your system has not gnuplot you can disable the production of the postscript or pdf files and use other graphical tools to plot the output data.

#### **3.1** Temperature and pressure

Several quantities in THERMO\_PW can be calculated as a function of temperature at zero pressure or at selected pressures. Moreover the equilibrium geometry can be searched at fixed pressure minimizing the enthalpy instead of the energy. In some cases it is also possible to plot some quantities as a function of pressure at zero temperature or at selected temperatures. There is therefore the necessity to specify uniform meshes of temperatures (pressures) or to choose selected temperatures (pressures). The meshes are specified giving the minimum and maximum temperatures (pressures) and the interval between temperature (pressure) points. Selected temperatures (pressures) are instead defined giving their number and their values. The code will choose these temperatures (pressures) on the uniform mesh taking the point closest to the specified temperature (or pressure). For the options where these features are active, the values of temperature and pressure are controlled by the following variables:

| tmin        | : minimum temperature for the mesh of temperatures.<br>Default: real 1 K  |
|-------------|---|
| tmax        | : maximum temperature for the mesh of temperatures.<br>Default: real 800 K  |
| deltat      | : interval between two temperatures. Be careful<br>with this value because it is used also to<br>compute temperature derivatives numerically.<br>Too small or too large values could give<br>inaccurate anharmonic properties.<br>Default: real 3 K |
| ntemp       | : number of temperatures.<br>Default: integer determined from previous data   |
| pressure    | : The external pressure. The crystal parameters are<br>calculated minimizing the enthalpy at this<br>pressure. Given in kbar units.<br>Default: real 0.0 kbar   |
| pmin        | : minimum pressure for the mesh of pressures.<br>Default: real -50.0 kbar   |
| pmax        | : maximum pressure for the mesh of pressures.<br>Default: real 100.0 kbar   |
| deltap      | : Interval between two pressures in the mesh of pressures Default: real 1.0 kbar  |
| ntemp_plot  | : number of temperatures in the plots where the<br>temperature is a parameter. When 0 these plots are<br>not produced.<br>Default: integer 0  |
| temp_plot(n | <pre>temp_plot) : A real array of dimension ntemp_plot with<br/>the values of the temperature.<br/>No Default value, must be given when ntemp_plot is<br/>not zero.</pre>   |

| npress_plot : number of pressures in the plots where the         |
|--|
| pressure is a parameter. When 0 these plots are                  |
| not produced.  |
| Default: integer 0   |
| press_plot(npress_plot) : A real array of dimension npress_plot  |
| with the values of the pressure.                                 |
| No Default value, must be given when npress_plot is              |
| not zero.  |
| nvol_plot : number of volumes in the plots where the volume is a |
| parameter. When 0 these plots are not produced.                  |
| Default: integer 0   |
| ivol_plot(nvol_plot) : an integer array with the volumes to      |
| consider in the plots where the volume is a parameter.           |
| The chosen volumes can be only among one of the ngeo(1)          |
| geometries.  |
| No Default value, must be given when nvol_plot                   |
| is not zero.   |

Note that when you fix the external pressure, the geometries chosen to fit the enthalpy must be about the minimum geometry at that pressure. Similarly, when using pressure ranges, the number of simulated geometries must be large enough to cover the selected range of pressures, otherwise the plotted quantities might be inaccurate.

### **3.2 Coordinates and structure**

The thermo\_pw code requires the Bravais lattice of the solid. Moreover for computing some quantities it assumes that the direct lattice vectors are those provided by the routine latgen.f90 of the QUANTUM ESPRESSO distribution. For this reason it is not recommended to use ibrav=0 in the pw.x input. The preferred method is to give the value of ibrav and use the primitive vectors provided by QUANTUM ESPRESSO. It is also possible to specify the space\_group number and the coordinates of the nonequivalent atoms. When the pw.x input contains the ibrav=0 option, thermo\_pw writes on output the values of ibrav, celldm, and of the atomic coordinates that should be used in the input of pw.x to simulate the same solid and stops. There are however two input variables of thermo\_pw that can modify this behavior:

continue\_zero\_ibrav : when ibrav=0 in the input of pw.x and this variable is set to .TRUE. thermo\_pw runs with ibrav=0 (not recommended except when you deal with a supercell). When this variable is .FALSE. and ibrav=0 the behavior depends on find\_ibrav. Default: logical .FALSE.

find\_ibrav : This variable is active only when continue\_zero\_ ibrav=.FALSE.. When this variable is set to .TRUE. and the input of pw.x has ibrav=0, thermo\_pw finds the values of ibrav, celldm, and of the atomic positions that produce the same crystal and continue the calculation. The geometry used by thermo\_pw might be rotated with respect to the input and have different primitive vectors. When this variable is .FALSE. the code stops after writing in output ibrav, celldm, and the atomic positions. These variables can be copied in the pw.x input. Note that the automatic identification of the lattice does not work for supercells. Default: logical .FALSE.

## 3.3 what='scf'

With this option the code computes only the total energy. This is a single calculation as if running pw.x with the given input. No other input variable is necessary. An example for this option can be found in <code>example01</code>. Number of tasks for this option: 1.

## 3.4 what='scf\_ke'

With this option the code makes several self-consistent calculations, in parallel on several images, varying the kinetic energy cut-off for the wavefunctions and for the charge density. In the input of pw.x one specifies the minimum values for these two cut-offs. These values are then increased in fixed intervals controlled by the following variables. The energy is then plotted as a function of the wavefunctions kinetic energy cut-off, a different curve for each value of the charge density cut-off.

The variables that control this option are:

| nke        | : number of kinetic energies tested for the              |
|------------|--|
|            | wavefunctions cut-off.                                   |
|            | Default: integer 5                                       |
| deltake    | : delta of wavefunctions kinetic energy cut-off          |
|            | in Ry (can be either positive or negative).              |
|            | Default: real 10 Ry                                      |
| nkeden     | : number of kinetic energies tested for the              |
|            | charge density cut-off.                                  |
|            | Default: integer 1                                       |
| deltakeden | : delta of charge density kinetic energy                 |
|            | cut-off in Ry (can be either positive or                 |
|            | negative).   |
|            | Default: real 100 Ry.                                    |
| flkeconv   | : name of the file where the data with the               |
|            | total energy as a function of the kinetic                |
|            | energy is written.                                       |
|            | <pre>Default: character(len=*) 'output_keconv.dat'</pre> |
| flpskeconv | : name of the postscript file with the plot              |
|            | of the total energy as a function of the                 |
|            | kinetic energy cut-off.                                  |
|            | <pre>Default: character(len=*) 'output_keconv'</pre>     |

An example for this option can be found in example10. Number of tasks for this option: nke \* nkedens.

## 3.5 what='scf\_nk'

With this option the code makes several self-consistent calculations, in parallel on several images, varying the size of the **k**-point grid, and optionally for metals the smearing parameter degauss. In the input of pw.x the minimum value of these parameters is given and these values are increased in fixed intervals controlled by the following variables. On output the energy is plotted as a function of the mesh size, one curve for each smearing parameter. The variables that control this option are:

| nnk        | : the number of different values of nk to test.          |
|------------|--|
|            | Default: integer 5                                       |
| deltank(3) | : the interval between nk values. All three values       |
|            | of nk1, nk2, and nk3 are updated simultaneously.         |
|            | Default: integer 2 2 2                                   |
| nsigma     | : the number of smearing intervals.                      |
|            | Default: integer 1                                       |
| deltasigma | : the distance between different smearing values         |
|            | (can be either positive or negative).                    |
|            | Default: 0.005 Ry  |
| flnkconv   | : file where the data with the k point convergence       |
|            | is written.  |
|            | <pre>Default: character(len=*) 'output_nkconv.dat'</pre> |
| flpsnkconv | : name of the postscript file with the k points          |
|            | convergence plot.  |
|            | <pre>Default: character(len=*) 'output_nkconv'</pre>     |
|            |  |

An example for this option can be found in example11. Number of tasks for this option: nnk \* nsigma.

## 3.6 what='scf\_bands'

With this option the code makes a self-consistent calculation followed by a band structure calculation. This option is not parallelized over images and should be used with one image. The output of the band structure calculation is further processed in order to produce a plot of the band structure. The zero of the energy is the highest valence band of the first  $\mathbf{k}$  point in insulators and the Fermi energy in metals.

The energy bands plot can be modified by the following variables:

| emin_input  | :   | minimum energy for the band dispersion plot (in eV). Default: real minimum of the bands   |
|-------------|-----|---|
| emax_input  | :   | maximum energy for the band dispersion plot (in eV). Default: real maximum of the bands $% \left( $   |
|             |     | the number of bands in the band calculation.<br>Default: integer 2*nbnd, where nbnd is the number<br>of bands given in pw.x input or calculated by pw.x.  |
| only_bands_ | _p] | Lot: if the files with the bands and the represen-<br>tations are already on files, this option allows to<br>change the parameters of the plot (such as the<br>maximum or minimum energy) and do another plot<br>without additional calculation. If the files are<br>missing and this variable is .TRUE. an error<br>occurs. Note that using this option you cannot<br>change the path.<br>Default: logical .FALSE. |
| lsym        | :   | if .TRUE. does the symmetry analysis of the bands.<br>Default: .TRUE.   |
| enhance_plc | ot: | if .TRUE. writes on the band plot the point<br>group labels, and colors with different background<br>colors lines at the zone border.<br>Default: .FALSE.   |
| long_path   | :   | if .TRUE. plots the bands in all the Brillouin<br>zone path. Otherwise makes a faster calculation<br>on a short path. The short path is indicated also<br>for two-dimensional layers perpendicular to the<br>z direction.<br>Default: .TRUE.  |
| old_path    | :   | if .TRUE. use an alternative path, usually more<br>similar to the one used in experimental papers<br>(available only for a few lattices).<br>Default: .FALSE.   |
| path_fact   | :   | A factor that multiply the number of points along<br>each line of the default path. Note that this is<br>a real number so you can also decrease the default<br>number of points along each line.<br>Default: real 1.0   |

| filband  | : file where the bands are written in the QE format.    |
|----------|---|
|          | <pre>Default: character(len=*) 'output_band.dat'</pre>  |
| flpband  | : file(s) where the bands are written in gnuplot        |
|          | format.   |
|          | <pre>Default: character(len=*) 'output_pband.dat'</pre> |
| flpsband | : postscript file with the electronic band structure.   |
|          | <pre>Default: character(len=*) 'output_band'</pre>      |

Number of tasks for this option: 1.

By default, the bands are plotted along a fixed path in the Brillouin zone, but the user can modify this behavior giving the path at the end of the INPUT\_THERMO namelist with the same format used for the pw.x input. The automatic path generation is not available for base-centered monoclinic and for triclinic Bravais lattices. For these lattices the path must be given explicitly. The following variables control the path:

| q_in_band_form              | : only the first and last point of each k<br>path are given. The weight of each k point<br>is an integer, the number of points in the<br>line that starts at this k point.<br>Default: logical .TRUE.   |
|-----------------------------|---|
| q_in_cryst_coord            | : the k - points are given in crystal<br>coordinates. For centered lattices the<br>crystal coordinates refer to the primitive<br>cell (not the conventional one). Same<br>convention as in QE.<br>Default: logical .FALSE.  |
| <pre>point_label_type</pre> | : the label definition (see the BZ manual).   |
| q2d                         | : the q points define a rectangle in<br>reciprocal space. See the QE guide for<br>more details.<br>Default: logical .FALSE.   |
| is_a_path                   | : if .TRUE. the q points are in a path in<br>reciprocal space. This is usually the case<br>except when q2d=.TRUE. or when the input<br>points are in an arbitrary order. Set this<br>to .FALSE. only if you want to skip the plot<br>of the bands.<br>Default: logical .TRUE. |

Note that the path is not given in the input of pw.x that contain instead the information to generate the mesh of **k** points for the self-consistent calculation. An example for this option can be found in example02. If you give explicitly the path, be careful with options that require geometry changes (see below). Only automatic paths, or path given through letter labels are easily recalculated. The other paths could turn out to be correct only for one geometry.

It is also possible to separate the self-consistent and the band calculation, by running first thermo\_pw.x using what='scf' and then running, on the same directory, thermo\_pw.x using what='scf\_bands'. The same input can be used in the two calculations, only the thermo\_control file need to be changed. The number or processors and pools can be changed in the same cases in which this is possible in pw.x. You cannot however run twice thermo\_pw.x on the same directory using what='scf\_bands' and two different paths, you must use two different working directories.

### 3.7 what='scf\_2d\_bands'

With this option the code makes a self-consistent calculation followed by a band structure calculation as with the option what='scf\_bands', but it assumes that the cell contains a slab with surfaces perpendicular to the z direction. Therefore the two-dimensional Bravais lattice of the surface is identified and the default path is chosen on the two-dimensional Brillouin zone. There are three options: Plot of the projected band structure (PBS); plot of the bands of the slab; plot of the bands of the slab above the projected band structure (the Fermi energies are aligned). In the first case the code computes several paths of **k**-points parallel to the surface (at different  $k_z$ ) and does not plot the individual bands but selects the energy regions in which there are bulk states. The second case is similar to a standard band plot. The default path contains only **k**-points parallel to the surface (with  $k_z = 0$ ). The third case assumes that the projected band structure has been already calculated and the information to plot it can be found on the file flpbs. For the rest it is similar to case two. For each direction, bands belonging to different irreducible representations of the point co-group of **k** can be plotted in the same panel or on different panels. This option is controlled by the following variables:

- lprojpbs: When .TRUE. the projected band structure (PBS) is calculated if nkz > 1 otherwise it is read from file. Usually this variable is .TRUE.. Set it to .FALSE. if you do not want to see the PBS, or if you want to see the bands of a bulk projected on the surface Brillouin zone without the PBS. Default: logical .TRUE. (forced to .FALSE. if what is not 'scf\_2d\_bands')
- nkz: The number of k\_z values used for the PBS plot. If lprojpbs is .FALSE. a plot of the bulk bands projected on the surface Brillouin zone is produced. Default: integer 4 (forced to 1 if what is not 'scf\_2d\_bands').
- gap\_thr: minimum size (in eV) of the gaps in the PBS. Default: real 0.1 eV
- sym\_divide: When .TRUE. the bands belonging to different irreducible representations are plotted in different panels. This option can be controlled by variables specified in the path (see below). Default: logical .FALSE.
- identify\_sur: When .TRUE. the surface bands are searched and identified on the surface band structure. Default: logical .FALSE.
- dump\_states: If .TRUE. and identify\_sur is .TRUE. dump on the file 'dump/state\_k\_#' the planar averages of the density (and in the noncollinear case also of the magnetization density) of each state. One file for

each k point is produced and # is the number of the k points. (Use with a small number of k points or it might create quite large files). Default: logical .FALSE. sur\_layers: The number of surface layers on which we add the charge density of each state to check if it is a surface state. Default: integer 2 sur\_thr: the threshold (in percentage) of the charge density that must be on the surface layers to identify a state as a surface state. Default: calculated from the actual charge density values of the states. sp\_min : minimum distance between layers. Two atoms form different layers only if their distance along z is larger than this number. Should be smaller than the interplanar distance (in a.u.) written by the tool gener 3d slab. Default: real 2.0 a.u. subtract\_vacuum: if .TRUE. the charge density of each state on vacuum is subtracted (to remove the vacuum states that are confused with surface states) Default: .TRUE. force\_bands: when .TRUE. the bands are plotted in any case. Used to plot the bulk bands on top of the PBS, mainly for debugging. Default: logical .FALSE. only\_bands\_plot: if the files with the bands, the representations, the pbs and the projections are already on files, this option allows to change the parameters of the plot (such as the maximum energy or sur\_thr) and do another plot without any additional calculation. If the files are missing and this variable is .TRUE. an error occurs. Default: logical .FALSE. flpbs: the name of the file that contains the information on the projected band structure. Default: character(len=\*) 'output\_pbs' flprojlayer: the name of the file that contains the information

of the projection of the charge density of each state on each layer. Calculated only when identify\_sur is .TRUE.. Default: character(len=\*) 'output\_projlayer'

The bands and the gnuplet scripts are saved on the same files that would be used with the option  $what='scf_bands'$ .

Number of tasks for this option: 1. Image parallelization is not useful with this option.

By default the symmetry separation is not carried out. The code plots the bands of the slab on the same panel with a different color for each representation as in the bulk band structure plot (color refer to the representations of the slab point co-group of **k**). In order to plot in different panels the different representations the user can specify sym\_divide= .TRUE.. By default this option is disabled and its use is rather tricky. In order to use it you must indicate explicitly the path on the two dimensional Brillouin zone using the option q\_in\_band\_form=.TRUE.. Close to the starting point of a given line you indicate the number of representations for that line (0 means all representations) and which ones. For instance for a (111) surface of an fcc metal in the direction  $\overline{\Gamma} - \overline{M}$  you may want to plot separately the states even or odd with respect to the mirror plane perpendicular to the surface that contains the  $\overline{\Gamma} - \overline{M}$  line. In order to do so you can specify the path as follows:

| 5  |    |   |   |
|----|----|---|---|
| gG | 30 | 0 |   |
| K  | 30 | 0 |   |
| М  | 30 | 1 | 1 |
| gG | 30 | 1 | 2 |
| М  | 1  | 0 |   |
|    |    |   |   |

The representations to plot are indicated by their numbers (in this case 1 or 2). The number of the representation and the point co-group of each **k**-point can be found in the output of thermo\_pw. These representation numbers refer to the point co-group of each **k**-point in the slab when you plot the slab band structures and to the point co-group of each **k**-point in the bulk when you plot a PBS. Some particular values of  $k_z$ , such as  $k_z = 0$  might have a point co-group in the bulk different from the point co-group of a point with a generic  $k_z$  but in this case the representations are transformed into those of the smaller group using the group-subgroup relationships and the symmetry descent of the irreducible representations (only when sym\_divide=.TRUE.). The representations of the smaller point co-group have to be used in the PBS input.

In general, the point co-group of a **k**-point  $\mathbf{k} = (\mathbf{k}_{\parallel}, k_z)$  with component  $\mathbf{k}_{\parallel}$  parallel to the surface and a generic  $k_z$  in the bulk is different from the point co-group of a **k**-point  $\mathbf{k} = (\mathbf{k}_{\parallel}, 0)$  in the slab. Moreover, experimentally one cannot consider symmetries of the slab that exchange the two surfaces, and therefore the point co-group a **k**-point  $\mathbf{k} = (\mathbf{k}_{\parallel}, 0)$  on the surface is a subgroup of the point co-group of  $\mathbf{k} = (\mathbf{k}_{\parallel}, 0)$  on the slab. The point co-group  $\mathbf{k} = (\mathbf{k}_{\parallel}, k_z)$  in the bulk does not contain operations that exchange  $k_z$  with  $-k_z$  but it might be larger than the point co-group of  $\mathbf{k} = (\mathbf{k}_{\parallel}, 0)$  on the surface because it might contain symmetries of the bulk that require fractional translations perpendicular to the surface that are not symmetries neither of the slab nor of the surface.

The point co-group of a given  $\mathbf{k}$ -point  $\mathbf{k} = (\mathbf{k}_{\parallel}, 0)$  on the surface can be found by removing from the corresponding slab point co-group the operations that exchange the two surfaces. It is also the group formed from the intersection of the point co-group of  $\mathbf{k} = (\mathbf{k}_{\parallel}, 0)$  in the slab and of the point  $\mathbf{k} = (\mathbf{k}_{\parallel}, k_z)$  in the bulk. It is the user responsibility to specify the same number of panels for the PBS and for the slab calculation and to assure that the representations plotted in each panel correspond to each other. Returning to the example of the (111) surface of an fcc, in the direction  $\overline{\Gamma} - \overline{K}$  the slab has  $C_2$  symmetry about the *x*-axis, a symmetry that the surface has not. Therefore you can plot with two different colors the bands that belong to the *A* or *B* representations of the slab, (states even or odd with respect to a 180° rotation about the *x* axis, an operation that exchanges the two surfaces) but you cannot separate the PBS into even or odd states with respect to the  $C_2$  symmetry. You might specify two different panels with the *A* or *B* bands in each, but the PBS in the two panels will be the same. On the contrary, for a **k**-point along the  $\overline{\Gamma} - \overline{M}$  direction, the point co-group has the  $C_s$  symmetry both for the slab and for the surface, so you can separate both the PBS and the surface states in two different panels.

There is no input variable to control or change the colors or style of the plot. To change the defaults you can modify directly the gnuplot script, it is written in such a way that a change of a few variables can control the entire plot.

## 3.8 what='scf\_dos'

With this option the code makes a self-consistent calculation followed by a band structure calculation on a uniform mesh of  $\mathbf{k}$ -points and computes and plots the electronic density of states.

This option does not use the image parallelization and should be used with one image.

| This option is controlled by the following variables: |   |  |
|---|---|--|
| deltae  | : energy interval for electron dos plot (in Ry).  |  |
|   | Default: real 0.01 Ry.                            |  |
| ndose   | : number of energy points in the dos plot.        |  |
|   | Default: determined from previous data            |  |
| nk1_d, nk2_d,   | nk3_d : thick mesh for dos calculation.           |  |
|   | Default: integer 16, 16, 16                       |  |
| k1_d, k2_d, k3  | _d : the shift of the k point mesh.               |  |
|   | Default: integer 1, 1, 1                          |  |
| sigmae  | : the smearing used for dos calculation (in eV).  |  |
|   | If 0.0 uses the degauss of the electronic         |  |
|   | structure calculation in metals and 0.01 Ry       |  |
|   | in insulators.                                    |  |
|   | Default: real 0.0                                 |  |
| legauss   | : When .TRUE. computes the electronic dos using   |  |
|   | a gaussian smearing. When .false. uses the same   |  |
|   | smearing of the electronic structure calculation  |  |
|   | in metals or gaussian smearing in insulators.     |  |
|   | Default: logical .false.                          |  |
| fleldos   | : name of the file that contains the electron dos |  |
|   | data.   |  |
|   | Default: character output_eldos.dat               |  |
| flpseldos   | : name of the postscript file that contains the   |  |
|   | electron dos picture.                             |  |
|   | Default: character output_eldos                   |  |
| fleltherm   | : name of the file that contains the electron     |  |
|   | thermodynamic data.                               |  |
|   | Default: character output_eltherm.dat             |  |
| flpseltherm   | : name of the postscript file that contains the   |  |
|   | plot of the electron thermodynamic quantities.    |  |
|   | Default: character 'output_eltherm'               |  |

The minimum and maximum energy, as well as the number of bands, are specified as with the option  $what='scf_bands'$ . However with the present option no energy shift is applied to the bands and the minimum and maximum energies refer to the unshifted eigenvalues. Note that after a calculation with  $what='scf_dos'$  you can run the tool code <code>epsilon\_tpw.x</code> to evaluate the frequency dependent dielectric constant (for insulators only).

With this option, in the metallic case, the code computes the electronic thermodynamic quantities of a gas of independent electrons whose energy levels give the calculated density of states and produces a postscript file with the electronic excitation energy, free energy, entropy, and constant strain heat capacity as a function of temperature. The zero of the electron energy is the energy at the smallest temperature required in input when it is lower than 4 K or 4 K.

Number of tasks for this option: 1.

## 3.9 what='plot\_bz'

With this option the code writes a script to make a plot of the Brillouin zone (BZ) and of the path (the default one or the one given in input). The script must be read by the <code>asymptote</code> code, available at <code>http://asymptote.sourceforge.net/</code>. In many Linux distributions this code is available as a separate package, but it is not installed by default.

The following variables control the plot:

| 8            | 1  |
|--------------|--|
| lasymptote   | : if .TRUE. asymptote is called by the program and |
|              | the pdf file with the plot of the BZ is produced.  |
|              | Default: logical .FALSE.                           |
| ~ <b>.</b>   | -  |
| flasy        | : initial part of the name of the file where the   |
|              | asymptote script is written and of the name of the |
|              | pdf file.  |
|              | Default: character(len=*) 'asy_tmp'                |
| asymptote_co | ommand : the command that invokes asymptote and    |
|              | produces the pdf file of the BZ.                   |
|              |  |
|              | Default: character(len=*) 'asy -f pdf -noprc       |
|              | flasy.asy'   |
| npx          | : used only in the monoclinic cell, this parameter |
|              | is needed to determine the shape of the Brillouin  |
|              | zone. The default value is usually large enough,   |
|              |  |
|              | but for particular shapes of the monoclinic        |
|              | Brillouin zone it could be small. If the code      |
|              | stops with an error asking to increase npx, double |
|              | it until the error disappears.                     |
|              | Default: integer 8                                 |
|              | Deraute. Integer 0                                 |

Starting from version 1.5.0 THERMO\_PW writes also a script to plot the BZ using the freeCAD software. In order to plot the BZ you need to download the code (from the site https://www.freecadweb.org/, version 0.18 or higher) and, after opening it, choose Macro/Macros to execute the freeCAD macro that you find in the working directory (the default name is tpw\_freecad. FCMacro). In the Doc directory there is a file called tpw\_bz.svg that contains the template for the PDF file with the BZ plot. This file should be copied in the directory where you run the freeCAD executable before running the script. In the same directory there is a file called brillouin\_view.cam in the Doc directory that can be used with the option View/Freeze Display to obtain the standard view of the Brillouin zone. This feature is still experimental. The following variables control the plot:

fcfact : factor used to convert from the 2\pi/a units used for the Brillouin zone plot to the millimeters units needed to make a plot in freecad. Default: real 100.0 fc\_red, fc\_green,

| fc_blue      | : color of the BZ in the rgb format (between 0.0   |
|--------------|--|
|              | and 1.0). By default the BZ will be yellow.        |
|              | Default: real 1.0, 1.0, 0.0                        |
| fc_transpare | ncy : transparency of the BZ between 0 (opaque)    |
|              | and 100 (transparent).                             |
|              | Default: integer 15.                               |
| freecadfile  | : name of the file that contains the freecad       |
|              | script to plot the Brillouin zone.                 |
|              | <pre>Default: character(len=*) 'tpw_freecad'</pre> |

The structure of the solid can be seen using the XCrySDen code reading the input file of pw.x. You can find the code at http://www.xcrysden.org/. THERMO\_PW produces also a file in the xsf format called prefix.xsf, where the variable prefix is given in the input of pw.x. This can be useful when the nonequivalent atomic positions and the space group are given in the input of pw.x. To see an xsf file, give the command xcrysden -xsf file.xsf.

With this option the code produces also a file with the X-ray powder diffraction intensities for the solid. A plot shows the scattering angles and the relative intensity of each peak. Note that this plot is made using a superposition of atomic charges, not the self-consistent charge. By setting the flag lformf=.TRUE. the atomic form factors of all the atomic types used to calculate the intensities are plotted. By setting the flag lxrdp=.TRUE. the intensities plot is done also after the cell optimization and after a self-consistent calculation for the options that support it. The variables that control these plots are:

| lambda      | : The X-ray wavelength (in A) used to calculate the scattering angles.<br>Default: Cu alpha line 1.541838 A if lambda_element                            |
|-------------|--|
|             | is empty   |
| lambda_elem | : The anode element, used to set the X-ray   |
|             | wavelength. Supported elements 'Cr', 'Fe', 'Co',   |
|             | 'Cu', 'Mo'. NB: lambda must be zero to use   |
|             | lambda_elem, otherwise the value of lambda given   |
|             | in input is used.  |
|             | Default: character(len=2) ′′′  |
| flxrdp      | : name of the file where the scattering angles and   |
|             | intensities are written.   |
|             | <pre>Default: character 'output_xrdp.dat'</pre>  |
| flpsxrdp    | : name of the postscript file with the X-ray   |
|             | diffraction spectrum.  |
|             | <pre>Default: character 'output_xrdp'</pre>  |
| lxrdp       | : if .TRUE. compute the xrdp also after the cell   |
|             | optimization with all the options mur_lc<br>with the uniformly strained atomic positions<br>and after the scf calculation if supported by<br>the option. |
|             |  |

| lformf    | <pre>Default: logical .FALSE.<br/>: if .TRUE. plot also the form factor of each<br/>atom type present in the solid. Note that the<br/>atom type is recognized from the atom name in<br/>the thermo_pw input. The name must coincide<br/>with the symbols in the periodic table. (Cu, H,<br/>Li, Li1, are correct, CU, LI, H1 are<br/>wrong).<br/>Default: logical .FALSE.</pre> |
|-----------|---|
| smin      | : minimum value of s used in the atomic form<br>factor plot.<br>Default: real 0.0   |
| smax      | : maximum value of s used in the atomic form factor plot.<br>Default: real 1.0  |
| nspoint   | : number of points in which the atomic form factor is calculated.<br>Default: integer 200   |
| lcm       | : when .TRUE. the code uses the Cromer-Mann<br>coefficients form the International Tables of<br>Crystallography to compute the atomic form<br>factors, otherwise uses the Doyle-Turner or<br>Smith-Burge parameters.<br>Default: logical .FALSE.  |
| flformf   | : name of the file in which the atomic form<br>factor is written. The code adds a number to<br>each file name and creates a file per atom type.<br>Default: character 'output_formf.dat'  |
| flpsformf | : name of the postscript file with the atomic<br>form factor. The code adds a number to each<br>file name and creates a file per atom type.<br>Default: character 'output_formf'  |

#### 3.10 what='scf\_ph'

With this option the code makes a self-consistent calculation followed by a phonon calculation. The phonon calculation is controlled by the file  $ph_control$  and can be at a single **q** point or on a mesh of **q** points. The different representations are calculated in parallel when several images are available. No other input variable is necessary. The outputs of this calculation are the dynamical matrices files.

thermo\_pw adds to the ph.x code the ability to compute the complex dielectric constant tensor of insulators as a function of a complex frequency for the study of optical properties within time-dependent density functional perturbation theory (TD-DFPT). The code produces also the complex index of refraction for all systems except monoclinic and triclinic. For cubic solids it makes also a plot of the reflectivity for normal incidence and of the adsorption coefficient. As a default the TD-DFPT algorithm uses the Sternheimer equation and a selfconsistent loop, but it is also possible to use a Lanczos chain. The option is activated in the ph.x input by setting epsil=.TRUE. and fpol=.TRUE., but at variance with the ph.x code, the frequencies must be specified as complex numbers. The following additional variables can be put in the input of the ph.x code, to select the frequency range and the number of frequencies to compute:

- freq\_line : if this variable is .TRUE., after the FREQUENCY
   keyword the code expects the number of frequency
   points and the starting and final frequencies.
   If .FALSE. the number of frequencies and a list of
   frequencies are given. The frequencies are complex
   numbers and are given with a real and an imaginary
   part (in Ry), without parenthesis.
   Default: .FALSE.
- delta\_freq : When freq\_line is .TRUE. instead of giving the last frequency of the line one can give the distance between two frequency points delta\_freq as a complex number. The last point of the line is calculated using the number of frequencies nfs and the first frequency. When delta\_freq is not zero the last frequency is not used and can be omitted.

Default: complex, (0.0, 0.0).

- start\_freq : Number of the initial frequency calculated in the job in the sequence of frequencies. Default: integer 1
- last\_freq : Number of the final frequency calculated in the job in the sequence of frequencies. Default: integer nfs (total number of frequencies)
- lfreq\_ev : If .TRUE. the units of the frequencies are eV instead of the default Ry units. Default: logical .FALSE.

- linear\_im\_freq: This option is used only when freq\_line=.TRUE. When linear\_freq\_im is .TRUE., the imaginary part of each frequency is calculated as eta \* freq where eta is the imaginary part of the first frequency on the frequency line. Default: logical .FALSE.
- llanczos: When this flag is .TRUE. at finite frequencies a Lanczos algorithm is used to solve the linear system. Can be very fast but might require much more memory than the standard algorithm. Presently it is incompatible with images. Default: .FALSE.

lanczos\_steps: steps of the Lanczos chain.

Default: interger 2000

- lanczos\_restart\_steps: number of steps between saving of the Lanczos status. If 0 the status is saved only at the end of the run. Use recover=.TRUE. to resume an interrupted Lanczos chain or to increase the number of steps.
  - Default: integer 0
- extrapolation : extrapolation type. Presently only 'no' or 'average' are available. In the first case no extrapolation is applied, in the second the average of the beta and gamma is used. Default: character 'average'
- pseudo\_hermitian : when .TRUE. a pseudo-hermitian algorithm is used to make the Lanczos steps. Should be twice faster than the default non hermitian algorithm. Default: .TRUE.
- only\_spectrum : Computes only the spectrum assuming that the Lanczos chain coefficients are in a file. It gives error if the number of requested Lanczos steps is larger than those available on file. Default: logical .FALSE.
- lcg: When this flag is .TRUE. a global conjugate gradient algorithm is used to compute the dielectric constant and the phonon frequencies. It will not require mixing, but will use more memory than the standard algorithm (for insulators only). It is not available for the frequency dependent case. Default: .FALSE.

When a non zero wave-vector  $\mathbf{q}$  is specified in the input of the phonon code, the previous options produce the inverse of the dielectric constant as a

function of the frequency at the wave-vector  $\mathbf{q}$  (this option can be used both for insulators and metals).

Additional variables can be specified in the THERMO\_PW input to control where the frequency dependent dielectric constant is written and plotted and how the work is divided among images:

- flepsilon : beginning of the name of the file where the frequency dependent dielectric constant is written at finite q (the code adds the extensions \_re and \_im). Default: character(len=\*) 'epsilon'
- floptical : beginning of the name of the file where the frequency dependent dielectric constant and the complex index of refraction are written (the code adds the extensions \_xx, \_yy, and \_zz for the solids that need to distinguish the different directions). Default: character(len=\*) 'optical'
- flpsoptical : name of the postscript file where the frequency
   dependent dielectric constant, the complex index of
   refraction and for cubic solid also the reflectivity
   and the absorption coefficient are plotted.
   Default: character(len=\*) 'output\_optical'
- omega\_group : number of frequencies calculated together by each image. This variable is used only with images. Default: integer 1.

An example for this option can be found in example03, example16, example17, example20, and example21.

Number of tasks for this option: for a phonon calculation the number of parallelizable tasks of the phonon code (smaller but of the order of the number of **q** points times  $3N_{at}$ , where  $N_{at}$  is the number of atoms in the unit cell), for a dielectric constant calculation using Sternheimer equation nfs/omega\_group, number of frequencies divided by the number of frequencies in each group, for a dielectric constant calculation using Lanczos 1 (images not allowed).

It is also possible to separate the self-consistent and the phonon calculation, by running first thermo\_pw.x using what='scf' and then running, on the same directory, thermo\_pw.x using what='scf\_ph'. The same input can be used in the two calculations, only the thermo\_control file need to be changed. The number or processors/pools/images can be changed in the same cases in which this is possible in Quantum ESPRESSO.

Using images in a phonon calculation with the master/slave approach has an overhead because each image must recalculate the initialization and the band structure at each task, or check if the bands are already on disk, calculated previously by the same image. On some systems with slow disks it could be faster to recalculate the bands instead of reading them from disk. It is also possible to use the image breaking suggested by the ph.x code that keeps, as much as possible, on the same image the tasks that require the same initialization without recomputing it. The input variables that control this part of the calculation are:

| d |
|---|
|   |
|   |
|   |

### 3.11 what='scf\_disp'

With this option the code makes a self-consistent calculation followed by a phonon dispersion calculation at a fixed geometry. The geometry is given in the input of pw.x. The dynamical matrices are used to calculate the interatomic force constants that are then used to calculate the dynamical matrices and hence the phonon frequencies along a path in the Brillouin zone and on a much thicker mesh of  $\mathbf{q}$  points. The path can be generated automatically or given in input as in a band structure calculation (see above what='scf\_bands'). The uniform mesh of **q** points is controlled by thermo\_ control. The code uses the phonon frequencies calculated on the thick mesh of **q** points to get the phonon density of states using a smearing approach. The density of states is used to calculate the harmonic thermodynamic properties: vibrational energy, free energy, entropy, and constant strain heat capacity. The same thermodynamic quantities are calculated also by direct integration over the Brillouin zone and compared in the plots. When with\_eigen=.TRUE. the atomic B-factors are calculated as a function of temperature by the generalized vibrational density of states or by a direct integration over the Brillouin zone. Note that presently no interpolation formula is used at low temperatures so thermo\_pw can not be used to obtain thermodynamic properties at very low temperatures. The extensive quantities plotted in the figures refer to an Avogadro number of unit cells. If you need them per mole you have to divide by the number of formula units in a unit cell.

The input variables that control this option are:

| freqmin_input | : minimum frequency for phonon dos plot.<br>Default: real determined from phonon frequencies   |
|---------------|--|
| freqmax_input | : maximum frequency for phonon dos plot.<br>Default: real determined from phonon frequencies   |
| deltafreq     | : frequency interval for phonon dos plot.<br>Default: real 1 cm^{-1}   |
| ndos_input    | : number of frequency points in the dos plot.<br>Default: determined from previous data  |
| nq1_d, nq2_d, | nq3_d : thick mesh for phonon dos calculation.<br>Default: integer 192, 192, 192   |
| phdos_sigma   | : the smearing used for phonon dos calculation<br>(in cm <sup>^</sup> -1).<br>Default: real 2. cm <sup>^</sup> -1  |
| after_disp    | : if .TRUE. the dynamical matrices are supposed<br>to be already available in files in the current<br>directory. This option is needed to restart when<br>the outdir directory has been erased and ph.x<br>cannot be run without redoing the scf calculation.<br>The exact restart point depends on the files<br>already available on the current directory.<br>Default: logical .FALSE. |
| fildyn        | : the name of the dynamical matrix file, as  |

|             | would be specified in the input of ph. To be used when after_disp is .TRUE<br>Default: character ' '  |
|-------------|---|
| zasr        | : type of acoustic sum rule applied to the ifc.<br>Default: character(len=*) 'Simple'   |
| ltherm_dos  | : if .TRUE. the thermal properties are calculated<br>from the phonon dos.<br>Default: logical .TRUE.  |
| ltherm_freq | : if .TRUE. the thermal properties are calculated<br>from the direct integration using the phonon<br>frequencies.<br>Default: logical .TRUE.  |
| flfrc       | <pre>: file where the interatomic force constants are written. Default: character(len=*) 'output_frc.dat.g1'</pre>  |
| flfrq       | : file where matdyn writes the interpolated frequencies.  |
| flvec       | <pre>Default: character(len=*) 'output_frq.dat.g1' : file where the eigenvectors of the dynamical matrix are written.</pre>   |
| fldosfrq    | <pre>Default: character(len=*) 'matdyn.modes'<br/>: file where the frequencies used to calculate<br/>the phonon density of states are saved.<br/>Default: character(len=*) 'save_frequencies.dat'</pre> |
| fldos       | : file where the phonon dos is written.<br>Default: character(len=*) 'output_dos.dat.gl'  |
| fltherm     | : file where the harmonic thermodynamic quantities are written.<br>Default: character(len=*) 'output_therm.dat.g1'  |
| flpsdisp    | <pre>: postscript file of the phonon dispersions.<br/>Default: character(len=*) 'output_disp'</pre>   |
| flpsdos     | : postscript file of the phonon dos.<br>Default: character(len=*) 'output_dos'  |
| flpstherm   | : postscript file of the harmonic thermodynamic<br>quantities.<br>Default: character(len=*) 'output_therm'  |

This option requires ldisp=.TRUE. in the phonon input.

An example for this option can be found in example04.

Number of tasks for this option: number of parallelizable tasks of the phonon code (smaller but of the order of number of **q** points times  $3N_{at}$ , where  $N_{at}$  is the number of atoms in the unit cell).

### **3.12** what='scf\_elastic\_constants'

With this option the code calculates the elastic constants of the solid at the geometry given in the pw.x input. There are four different algorithms that at convergence should give the same results. In two of them, depending on the Laue class, the code calculates the nonzero components of the stress tensor for a set of strains and obtains the elastic constants from the numerical first derivative of the stress with respect to strain. The two algorithms standard and advanced differ only for the choice of the unit cell. In the standard method the code applies the strain to the primitive vectors of the unstrained solid and uses ibrav=0 and the strained vectors to compute the stress tensor. The advanced method, available only for selected Bravais lattices, tries to optimize the calculation by choosing strains for which the number of needed **k**-points is reduced. Moreover it identifies the Bravais lattice of the strained solid and recalculates the primitive vectors with the conventions of QUANTUM ESPRESSO. When available this should be the most efficient method. The other two algorithms are called energy\_std and energy. Using the energy std or energy algorithm the elastic constants are calculated from a polynomial fit of the total energy as a function of strain without computing stress. This option usually requires more independent strains. It can be used when stress calculation is not implemented in QUANTUM ESPRESSO. The difference between energy std and energy is the same between the algorithms that use the stress. With energy\_std the code applies the strain using ibrav=0, while with energy an optimized cell is used. As the advanced algorithm the energy algorithm is available only for selected Bravais lattices. For all methods the number of strains is ngeo\_strain for each independent strain. For each strain, the code relaxes the ions to their equilibrium positions if frozen\_ions=.FALSE. or keeps them in the strained positions if frozen\_ions=.TRUE.. Note that elastic constant calculations with frozen \_ions=.FALSE. might require smaller force convergence threshold than standard calculations. The default value of forc\_conv\_thr must be changed in the pw.x input. At finite pressure all methods give the elastic constants that relate linearly stress and strain.

The input variables that control this option are:

| frozen_ions: | if .TRUE. the elastic constants are calculated     |
|--------------|--|
|              | keeping the ions frozen in the strained positions. |
|              | Default: logical .FALSE.                           |
| ngeo_strain: | the number of strained configurations used to      |
|              | calculate each derivative.                         |
|              | Default: integer 4 ('standard' and 'advanced'),    |
|              | 6 ('energy')                                       |
| elastic_algo | rithm: 'standard', 'advanced', 'energy_std' or     |
|              | 'energy'. See discussion above.                    |
|              | Default: character 'standard'                      |
| delta_epsilo | n: the interval of strain values between two       |
|              | geometries. To avoid a zero strain geometry        |
|              |  |

|              | that might have a different symmetry ngeo_strain<br>must be even.<br>Default: real 0.005 |
|--------------|--|
| epsilon_0:   |  |
| 1 —          | relaxation routine requires a very small threshold                                       |
|              | to give the correct internal relaxations and   |
|              | sometimes fail to converge. In this case you   |
|              | can increase delta_epsilon, but if delta_epsilon   |
|              | becomes too large you can reach the nonlinear  |
|              | regime. In this case you can use a small   |
|              | delta_epsilon and a minimum strain (To be used   |
|              | only for difficult systems).   |
|              | Default: real 0.0  |
| poly_degree: | degree of the polynomial used to interpolate   |
|              | stress or energy. ngeo_strain must be larger   |
|              | than poly_degree+1.  |
|              | Default: 3 ('standard', 'advanced', 2  |
|              | if ngeo_strain < 6), 4 ('energy', 3 if   |
|              | ngeo_strain < 6).  |
| fl_el_cons:  | the name of the file that contains the elastic   |
|              | constants.   |
|              | <pre>Default: character(len=*) 'output_el_con.dat'</pre>                                 |

The three algorithms are equivalent only at convergence both with  $\mathbf{k}$ -point sampling and with the kinetic energy cut-off, but large differences between the elastic constants obtained with the standard and advanced algorithms might point to insufficient  $\mathbf{k}$ -point sampling. Large differences between the elastic constants obtained with the energy\_std or energy algorithms with respect to the other two might point to insufficient kinetic energy cut-off. Number of tasks for this option: ngeo strain times the number of indepen-

Number of tasks for this option: ngeo\_strain times the number of independent strains.

Using the elastic constants tensor the code can calculate and print a few auxiliary quantities: the bulk modulus, the poly-crystalline averages of the Young modulus, of the shear modulus, and of the Poisson ratio. Both the Voigt and the Reuss averages are printed together with the Hill average. The Voigt-Reuss-Hill average of the shear modulus and of the bulk modulus are used to compute average sound velocities. The average of the Poisson ratio and the bulk modulus allow the estimation of the Debye temperature. The Debye temperature is calculated also with the exact formula evaluating the average sound velocity from the angular average of the sound velocities calculated for each propagation direction solving the Christoffel wave equation. The exact Debye temperature is used within the Debye model to calculate the Debye's vibrational energy, free energy, entropy, and constant strain heat capacity. These quantities are plotted in a postscript file as a function of temperature.

### 3.13 what='mur\_lc'

With this option the code runs several self-consistent calculations at different geometries. The runs can be done in parallel when several images are available. This option has two working modes controlled by the logical variable lmurn. When lmurn=.TRUE. the total energy as a function of the volume is interpolated by a Murnaghan equation and a plot of the energy as a function of the volume, of the pressure as a function of the volume and of the enthalpy as a function of pressure are produced. The volume is changed by changing only celldm(1). celldm(2)...celldm(6) remain fixed at the values given as input of pw.x. When lmurn=.FALSE. the energy is calculated in a uniform grid of parameters composed of ngeo(1)  $\times$  ngeo(2)...  $\times$  ngeo(6) points. The energies are fitted with a quadratic or quartic polynomial of  $N_k$  variables, where  $N_k$  is the number of independent crystal parameters for the given crystal system. A plot of the energy as a function of the lattice constant is produced for cubic systems. For solids of the hexagonal, tetragonal, and trigonal systems contour plots of the energy as a function of the two crystal parameters (a and c/a or a and  $\cos \alpha$ ) are plotted. For orthorhombic systems contour plots of the energy as a function of a and b/a are plotted for each value of c/a. Presently no graphical tool is implemented to plot the energy for monoclinic and triclinic crystal systems. However in all cases the enthalpy as a function of pressure is shown. Moreover separate plots show the crystal parameters as well as the volume as a function of pressure. When lmurn=.FALSE. the bulk modulus is not calculated. To obtain it, you can calculate the elastic constants at the minimum geometry (see the option what='mur\_lc\_elastic\_constants'). With this option the pressure control is active. You can specify a finite pressure and the enthalpy is minimized instead of the energy. Note however that if the minimum is distant from the starting configuration its associated error can be large, larger for the quadratic than for the Murnaghan interpolation. For this reason the present option should be used starting from the minimum found by pw.x using the vc-relax option and the pressure should not be too different from the pressure used for vc-relax. Note that with this option the atomic coordinates are relaxed at each geometry even if you specified calculation='scf' in the pw.x input. Use frozen ion=.TRUE. if you want to keep them fixed. To increase the maximum number of ionic iterations use calculation='relax' and give nstep (otherwise the default is 20). Only the bfgs relaxation is supported by this option. When lel\_free\_energy=.TRUE. the code makes also an electronic bands dos calculation at each geometry, computes the electronic thermodynamic quantities as a function of temperature and writes them in separate files. These files can be used to add the electronic contribution to the anharmonic properties with the option mur\_lc\_t. This option can be controlled by the following variables:

for the central geometry. For the others celldm(1), ...,celldm(6), are changed in steps of step\_ngeo(1), ...,step\_ngeo(6). ngeo(1) must be odd. Only the values of celldm relevant for each Bravais lattice are actually changed. Default: integer 1,1,1,1,1 for what=scf\_\*, 9,1,1,1,1 for what=mur\_lc\_\* and lmurn=.TRUE. or for cubic systems, 5 on all the relevant celldm parameters when lmurn=.FALSE. and the system is not cubic.

- Default: real 0.05 a.u., 0.02, 0.02, 0.5, 0.5, 0.5 Imurn : if .TRUE. the Murnaghan fit is done. Only ngeo(1) values of the energy are fitted, the other values of ngeo are not used. if .FALSE. use a quadratic or quartic function to interpolate the energy as a function of all celldm parameters. The number of self-consistent calculations is ngeo(1) x ngeo(2) x ngeo(3) x ngeo(4) x ngeo(5) x ngeo(6). In this case only the minimum energy and the optimal celldm are given in output.

Default: .TRUE.

- ieos : choose the equation of state to use (only when
   lmurn=.TRUE.):
  - 1 Birch-Murnaghan third order
  - 2 Birch-Murnaghan fourth order
  - 4 Murnaghan

Default: integer 4

- show\_fit : if .TRUE. show the contour plot of the fitted energy instead of the energy. Used by default when reduced\_grid is .TRUE.. Default: logical .FALSE.
- frozen\_ions: if .TRUE. the atomic coordinates are obtained by
   applying the strain to the coordinates given in the
   pw.x input to the new cell parameters (equivalent to
   keep the crystal coordinates fixed) and kept fixed.
   If .FALSE. the atomic coordinates are relaxed at
   each geometry.
   Default: logical .FALSE.

| vmin_input  | :   | minimum volume for the plot of the energy as a function of volume. |
|-------------|-----|--|
|             |     | Default: real 0.98 times the volume of the first geometry.         |
| vmax_input  | :   | maximum volume for the plot of the energy as a                     |
| — 1         |     | function of volume.  |
|             |     | Default: real 1.02 times the volume of the last                    |
|             |     | geometry.  |
| deltav      | :   | distance between two volumes in the plot of the                    |
|             |     | energy as a function of the volume.                                |
|             |     | Default: real calculated from nvol.                                |
| nvol        | :   | number of volumes in Murnaghan plot.                               |
|             |     | Default: integer 51  |
| lquartic    | :   | if .TRUE. fit the energy with a quartic polynomial.                |
|             |     | Default: logical .TRUE.  |
| lsolve      | :   | choose the algorithm used to fit the quartic                       |
|             |     | polynomial parameters.<br>Allowed values:                          |
|             |     | 1 explicitly minimize chi^2 (usually less accurate                 |
|             |     | than the other two. Should be used only for tests).                |
|             |     | 2 Use the QR algorithm to minimize chi^2 (lapack                   |
|             |     | routine dgels) 3 Use the SVD algorithm to minimize                 |
|             |     | chi^2 (lapack routine dgelss).                                     |
|             |     | Default: integer 2   |
| flevdat     | :   | file where the Murnaghan equation is written. The                  |
|             |     | results of the Murnaghan fit are then written in                   |
|             |     | flevdat.ev.out.  |
|             |     | <pre>Default: character(len=*) 'output_ev.dat'</pre>               |
| flpsmur     | :   | postscript file of the Murnaghan plot.                             |
|             |     | <pre>Default: character(len=*) 'output_mur'</pre>                  |
| lel_free_er | nei | rgy : if .TRUE. computes the electronic thermodynamic              |
|             |     | properties (energy, free energy, entropy, and constant             |
|             |     | strain heat capacity) at each temperature and plots                |
|             |     | them. See the scf_dos option for the parameters that               |
|             |     | control the calculation.   |
|             |     | Default: .FALSE.   |
| ncontours   | :   | the number of contours in the energy plot. These                   |
|             |     | levels can be determined automatically by the code                 |
|             |     | or defined by the user. The energy levels can be                   |
|             |     | defined after the INPUT_THERMO namelist but before                 |
|             |     | the path, as a list:   |
|             |     | energy_level(1) color(1)   |
|             |     | <pre> energy_level(ncontours) color(ncontours)</pre>               |
|             |     | Color is a string of the type color_red, color_green,              |
|             |     | etc.   |
|             |     |  |

|              | The list of available colors is at the beginning of<br>each gnuplot script. energy_level is in Ry units.<br>Default: integer 9 |
|--------------|--|
| do_scf_relax | : if .TRUE. the code makes a self-consistent relax   |
|              | calculation at the equilibrium geometry to find<br>the optimized atomic coordinates. This step is                              |
|              | needed only for solids that have internal degrees  |
|              | of freedom in the unstrained configuration.  |
|              | If .FALSE. the coordinates of the input geometry   |
|              | are strained uniformly to the equilibrium geometry.  |
|              | Default: logical .FALSE.   |
| flenergy :   | name of the file that contains the energy in a   |
|              | form that can be used by gnuplot to make contour   |
|              | plots.   |
|              | <pre>Default: character(len=*) 'output_energy'</pre>   |
| flpsenergy : | file with the contour plots of the energy as a   |
|              | function of the crystal parameters.  |
|              | <pre>Default: character(len=*) 'output_energy'</pre>   |
|              |  |

An example for this option can be found in example05.

Number of tasks for this option:

ngeo(1) when lmurn=.TRUE.,

ngeo(1) ×ngeo(2) ×ngeo(3) ×ngeo(4) ×ngeo(5) ×ngeo(6) when lmurn=.FALSE..

### 3.14 what='mur\_lc\_bands'

With this option the code computes the band structure at the geometry that minimizes the energy (or the enthalpy at finite pressure). See what='scf\_bands' and what='mur\_lc' for a list of the variables that control these two options. An example for this option can be found in example06.

Number of tasks for this option: see what='mur\_lc' and what='scf\_bands'.

### 3.15 what='mur\_lc\_dos'

With this option the code computes the electronic dos at the geometry that minimizes the energy (or the enthalpy at finite pressure). See what='scf\_dos' and what='mur\_lc' for a list of the variables that control these two options. Number of tasks for this option: see what='mur\_lc' and what='scf\_dos'.

### 3.16 what='mur\_lc\_ph'

This option is similar to  $what='scf\_ph'$  but the phonon calculation is made at the geometry that minimizes the energy (or the enthalpy at finite pressure). See  $what='scf\_ph'$  and  $what='mur\_lc'$  for a list of the variables that control these options. An example for this option can be found in example07. Number of tasks for this option: Maximum between the number of tasks needed by the  $what='mur\_lc'$  option and the number of tasks of the phonon code (see above the option  $what='scf\_ph'$ ).

### 3.17 what='mur\_lc\_disp'

This option is similar to  $what='scf_disp'$  but the phonon calculation is made at the geometry that minimizes the energy (or the enthalpy at finite pressure). See  $what='scf_disp'$  and  $what='mur_lc'$  for a list of the variables that control these options. An example for this option can be found in example08.

Number of tasks for this option: Maximum between the number of tasks needed by the what='mur\_lc' option and the number of tasks of the phonon code (see above the option what='scf\_ph').

### 3.18 what='mur\_lc\_elastic\_constants'

As what='scf\_elastic\_constants' but the calculation is made at the geometry that minimizes the energy (or the enthalpy at finite pressure). See what='scf\_elastic\_constants' and what='mur\_lc' for a list of the variables that control these two options. An example for this option can be found in example13.

Number of tasks for this option: Maximum between the number of tasks needed by the what='mur\_lc' option and the number of tasks needed for the what='scf\_elastic\_constants' option.

### 3.19 what='mur\_lc\_t'

With this option the code calculates the anharmonic properties within the quasi-harmonic approximation. The outputs of the code are the values of crystal parameters (celldm) as a function of temperature. This calculation is done by computing the phonon dispersions on all the geometries specified as in what='mur\_lc' (or on a subset of these geometries) and minimizing the Helmholtz free energy. Separate plots of the phonon dispersions are obtained for all the calculated geometries. For each geometry the code produces also plots of the phonon density of states and of the harmonic thermodynamic quantities. From celldm as a function of temperature the code computes the thermal expansion tensor, the volume, and the volume thermal expansion as a function of temperature. The frequencies at all the calculated geometries are interpolated by quadratic or quartic polynomials of the crystal parameters and can be shown at crystal parameters given in input or at those that minimize the free energy at a temperature given in input. The interpolated frequencies are shown on the same path used for the phonon dispersions. In addition to the frequencies the code produces also several plots of the derivatives of the frequencies with respect to the crystal parameters multiplied by the crystal parameters.

When the Murnaghan equation is used to interpolate the Helmholtz free energy (lmurn=.TRUE.), in addition to the volume, the bulk modulus and the pressure derivative of the bulk modulus are plotted as a function of temperature. Moreover the isobaric heat capacity, the isoentropic bulk modulus, and the average Grüneisen parameter are calculated as a function of temperature. The mode Grüneisen parameters are calculated with cubic interpolations of the phonon frequencies. Using the variable with\_eigen one can calculate these parameters as derivatives of the phonon frequencies (default) or as expectation values of the derivatives of the dynamical matrix on the central geometry eigenvectors (might require a lot of RAM). The mode Grüneisen parameters are used to calculate the volume thermal expansion and the result is compared with the volume thermal expansion derived from the numerical derivative of the equilibrium volume obtained from the minimization of the Helmholtz free energy. When the Helmholtz free energy is interpolated with a quadratic or cubic polynomial (lmurn=.FALSE.), by default, the code computes only the temperature dependence of the lattice parameters and of the volume, the volume thermal expansion, and the thermal expansion tensor. However if a file with the elastic constants is found in the elastic\_constants directory and lb0\_t=.FALSE. the bulk modulus is calculated and assumed independent from the temperature so that also the isobaric specific heat, the isoentropic bulk modulus, and the average Grüneisen parameter are calculated as a function of temperature. The derivatives of the frequencies with respect to the crystal parameters are used to calculate the thermal expansion tensor which is compared with that obtained from the numerical derivatives of the crystal parameters obtained from the minimization of the Helmholtz free energy. If many files with the elastic constants, one for

each geometry, as produced with the option elastic\_constants\_t, are found in the elastic\_constants directory and lb0\_t=.TRUE., the bulk modulus and the elastic constants are computed as a function of temperature within the "quasi-static approximation" and are used to calculate the other thermodynamic properties. If one or many elastic constants files are found in the anhar\_files directory and lb0\_t=.TRUE. the bulk modulus and elastic constants are computed as a function of temperature within the "quasi-harmonic approximation" and are used to calculate the other thermodynamic properties (see a more detailed discussion in the option what=elastic\_constants\_t). In addition to the quantities plotted for cubic solids, the code plots also the elastic constants and the bulk modulus as a function of the temperature and the elastic compliances and the compressibility as a function of temperature. The elastic constants are interpolated with a quadratic (lquartic=.FALSE.) or quartic (lquartic=.TRUE.) polynomial of the crystal parameters. Moreover the thermal stresses and the generalized average Grüneisen parameters are plotted. These possibilities are implemented only for cubic, tetragonal, hexagonal, trigonal, and orthorhombic systems.

With this option the pressure control is active. You can specify a finite pressure and the Gibbs energy is minimized instead of the Helmholtz free energy. Note however that if the minimum is distant from the starting configuration its associated error can be large, larger for the quadratic than for the Murnaghan interpolation.

By using the variables ntemp\_plot and temp\_plot (see the Section Temperature and pressure) the code produces also plots of the thermal expansion, of the bulk modulus, of the average Gruneisen parameter, and of the product of the thermal expansion and the bulk modulus as a function of pressure. These plots contain several lines one for each chosen temperature. By using the variables npress\_plot and press\_plot (see the Section Temperature and pressure) in addition to the plots of the volume, the bulk modulus, the thermal expansion, the isobaric heat capacity, the isoentropic bulk modulus, and the average Grüneisen parameter as a function of temperature calculated at the input pressure, the code produces also a plot of the same quantities for all the npress\_plot pressures. Note that these plots may be inaccurate if the chosen pressures are at geometries distant from the set of geometries chosen by the code for the anharmonic calculation, so these plots might require values of ngeo larger than the default. Presently the use of these variables is limited to cubic solids. By using the variables nvol\_plot and ivol\_plot the code produces a plot of the thermal pressure as a function of temperature for several volumes.

The input variables that control these plots are those described in the option what='mur\_lc' and what='mur\_lc\_disp' in addition to the following:

| grunmin_input | : | minimum y coordinate for the Gruneisen       |
|---------------|---|--|
|               |   | parameter plot.                              |
|               |   | Default: real, calculated from the Gruneisen |
|               |   | parameters.                                  |
| grunmax_input | : | maximum y coordinate for the Gruneisen       |

parameter plot. Default: real, calculated from the Gruneisen parameters. : The frequencies and Gruneisen parameters intervolume\_ph polated at this volume are plotted on a postscript file. When volume\_ph=0.0 the volume is calculated from temp\_ph. This option is available only for cubic solids. Otherwise use celldm\_ph. Default: 0.0 (in (a.u.) \*\*3) : The frequencies and Gruneisen parameters celldm\_ph interpolated at this crystal parameters are plotted on a postscript file. If this is 0.0 the celldm are calculated from temp ph. To have accurate Gruneisen parameters and interpolated frequencies set the central geometry as close as possible to celldm\_ph. When all nstep are odd, the central geometry is the one given in the input of pw.x. Default: 0.0 (celldm(1) in a.u., celldm(2-6) dimensionless) : The frequencies and Gruneisen parameters intertemp\_ph polated at the volume (cubic systems) or at celldm (anisotropic systems) that minimize the free energy at this temperature are plotted on a postscript file (only when volume\_ph=0.0 or celldm ph(1)=0.0). Default: real tmin (in K) : if .TRUE. use the eigenvectors of the with\_eigen dynamical matrix to calculate the Gruneisen parameters used for anharmonic properties. Could require a lot of RAM. Note however that eigenvectors are always used to calculate the plotted Gruneisen bands (both in cubic and anisotropic solids). Default: logical .FALSE. poly\_degree\_ph : degree of the polynomial used to interpolate the vibrational free energy. Presently only the values 1, 2, 3, or 4 are available for anisotropic solids. Default: integer 4 poly\_degree\_cv : degree of the polynomial used to interpolate the heat capacity. Presently only the values 1, 2, 3, or 4 are available for anisotropic solids. Default: integer 4 poly\_degree\_bfact : degree of the polynomial used to interpolate

|                 | the b factor. Presently only the values 1, 2, 3,<br>or 4 are available for anisotropic solids.<br>Default: integer 4   |
|-----------------|--|
| poly_degree_elc | : degree of the polynomial used to interpolate<br>the elastic constants. Presently only the values<br>1, 2, 3, or 4 are available for anisotropic solids.<br>Default: integer 4  |
| lv0_t :         | if .TRUE. the calculation of the thermal<br>expansion with Gruneisen parameters uses the<br>equilibrium geometry as a function of temperature<br>computed from the free energy minimization,<br>otherwise the equilibrium geometry at T=0 K.<br>If reduced_grid=.TRUE. or both ltherm_freq=.FALSE.<br>and ltherm_dos=.FALSE. the input geometry is used<br>when lv0_t=.FALSE.  |
| lb0_t :         | Default: logical .TRUE.<br>if .TRUE. the calculation of the thermal expansion<br>with Gruneisen parameters uses the bulk modulus as<br>a function of temperature computed from the free<br>energy minimization, otherwise the bulk modulus<br>computed at T=0 K (lmurn=.TRUE.).<br>For lmunrn=.FALSE. the code expects a single<br>elastic constant file when lb0_t=.FALSE. and an<br>elastic constants file for each geometry when<br>lb0_t=.TRUE Note that if lb0_t=.FALSE. and there<br>are many elastic constants files the code use a<br>constant bulk modulus computed with the elastic<br>constants found in the file of the central<br>geometry. If lb0_t=.TRUE. and there is a single<br>elastic constants file all the quantities that<br>depend on elastic properties are not computed. |
| poly_degree_gru | Default: logical .TRUE.<br>n : degree of the polynomial used to interpolate<br>the frequencies (Used only when lmurn=.TRUE.<br>otherwise it is 2).<br>Default: 4   |
| flgrun :        | file where the Gruneisen parameters are written.<br>Default: character(len=*) 'output_pgrun.dat'   |
| flpgrun :       | file where the Gruneisen parameters in a plotable<br>form are written.<br>Default: character(len=*) 'output_grun.dat'  |
| flpsgrun :      | name of the postscript file with the Gruneisen<br>parameters plot. The frequencies are written in<br>a file with the same name plus the string _freq.<br>Default: character(len=*) 'output_grun'   |
| flanhar :       | file where the anharmonic thermodynamic quantities   |

are written. Default: character(len=\*) 'output\_anhar.dat' : postscript file of the anharmonic quantities. flpsanhar Default: character(len=\*) 'output\_anhar' fact\_ngeo(1)...fact\_ngeo(6) : With these factors the vibrational free energy is interpolated using a smaller number of geometries with respect to the total energy. The phonons are always calculated at geometry 1, then fact\_ngeo(i)-1 geometries are not calculated and so on. The last calculated geometry must be ngeo(i). This happens when fact ngeo(i) divides ngeo(i)-1. For even ngeo(i), fact\_ngeo(i) must be 1. For odd ngeo(i) the following table gives a few examples nqeo fact ngeo calculated geometries 3 2 1,3 5 2 1,3,5 7 2 1,3,5,7 7 3 1,4,7 2 9 1,3,5,7,9 9 4 1,5,9 11 2 1,3,5,7,9,11 11 5 1,6,11 Default: integer 1, 1, 1, 1, 1, 1 This option is not active when one of the ngeo\_ph(i) is different from ngeo(i). ngeo\_ph(1),...,ngeo\_ph(6) These variables are set to compute the phonon dispersions in a subset of the geometries used to compute the total energy. All values must be smaller than the corresponding ngeo and even or odd as the corresponding ngeo. step\_ngeo remains the same for the two meshes. The following table gives a few examples: ngeo ngeo\_ph phonon calculated in geometries 5 3 2,3,4 6 2 3,4 6 4 2,3,4,5 7 3 3,4,5 2,3,4,5,6 7 5 3 9 4,5,6 5 9 3,4,5,6,7 Default: integer ngeo(1),...,ngeo(6) reduced\_grid: if .TRUE. the computed geometries are only along one dimensional lines. So each parameter is varied independently keeping the others fixed at the input values. This option sets ltherm\_freq=.FALSE., ltherm\_dos=.FALSE., lv0\_t=.FALSE. and lb0\_t=.FALSE..

With this option the thermal expansion is calculated only using the Gruneisen parameters at the input geometry. The multidimensional fit of the free energy is not done so this method should be faster than the default one, but it is less precise. This option is used only with lmurn=.FALSE. and requires a file with the elastic constants at the input geometry. Default: logical .FALSE. all\_geometries\_together: if .TRUE. all the phonon calculation for all the geometries are used for the image parallelization. To be used only if you have many images (and CPUs) available. Default: logical .FALSE.

The output files corresponding to different geometries can be identified by the presence of the letters g1, g2, ... in the filename. To exploit all the features of this option please write the dynamical matrices in .xml format (using a fildyn with the .xml extension). An example for this option can be found in example09.

Number of tasks for this option: Maximum between the number of tasks needed by the what='mur\_lc' option and the number of tasks of the phonon code (see above the option what='scf\_ph').

When all\_geometries\_together=.TRUE.: number of tasks of the phonon code times the number of geometries.

### **3.20** what='elastic\_constants\_t'

With this option the code can compute the elastic constants and elastic compliances as a function of temperature using the quasi-harmonic approximation. For the same geometries that are used to compute the elastic constants with the elastic\_algorithm='energy\_std' or elastic\_algorithm= 'energy', the code can compute the phonon dispersions and compute the elastic constants at each temperature as the second derivatives of the Helmholtz free energy with respect to strain. The second derivatives are corrected so that the stress-strain elastic constants are shown in the plots and in output. The temperature dependent elastic constants are calculated on a regular grid of unperturbed geometries, the same geometries chosen by the option what='mur\_lc', and written on separate files, one for each unperturbed geometry, inside the directory anhar\_files. In order to plot the elastic constants as a function of temperature within the 'quasi-harmonic' approximation, it is necessary to make another calculation with what='mur lc t' having on files the elastic constants calculated for each geometry with the present option. In this case thermo\_pw will be able to calculate the anharmonic properties using temperature dependent elastic constants and bulk moduli obtained by interpolating the "fixed-geometry quasi-harmonic" elastic constants computed by this option at the crystal parameters found at each temperature from the minimization of the free energy. The variables fact\_ngeo and ngeo\_ph are not available with this option. Using start\_geometry\_gha and last\_geometry\_gha it is possible to compute the temperature dependent elastic constants for selected or for a single unperturbed configuration. The use of start\_geometry and last\_geometry is also allowed but it refers to the global number of geometries necessary to compute the elastic constants in all the grid.

Since the calculation of the Helmholtz free energy derivatives is quite heavy, it has to be requested explicitly using the flag use\_free\_energy=.TRUE.. By default, the code computes only the elastic constants at T = 0 K as second derivatives of the energy and writes them on files in the directory elastic\_ constants. A run of thermo\_pw using what='mur\_lc\_t' having on files the T = 0 K elastic constants contained in the directory elastic\_constants allows the calculation of the anharmonic properties using temperature dependent elastic constants and bulk moduli obtained by interpolating (within the "quasi-static approximation") the elastic constants computed by this option at the crystal parameters that, at each temperature, minimize the free energy. When both the T = 0 K and the temperature dependent elastic constants are on file in the directory elastic\_constants and anhar\_files respectively, the latter are used. When both use\_free\_energy=.TRUE. and lel\_free\_energy=.TRUE. the electronic free energy is added to the free energy before computing the elastic constants. In this case the code expects to find on file (in therm\_files) the electronic thermodynamic properties for each perturbed geometry. When use\_free\_energy=.FALSE. and lel\_free\_energy= .TRUE. the code computes the electronic thermodynamic properties at each

perturbed geometry and writes them on file. In this case the electronic free energy is not added to the free energy. Note that the user must be careful to use the same value for the lel\_free\_energy flag with this option and in the following mur\_lc\_t calculation that interpolates the elastic constants. The variables that control this run are:

| use_free_energy : when .TRUE. computes the elastic constants |  |
|--|--|
| as second derivatives of the Helmholtz free                  |  |
| energy with respect to strain. When .FALSE.                  |  |
| the elastic constants are computed as second                 |  |
| derivatives of the energy or using the                       |  |
| stress-strain algorithms.                                    |  |
| Default: .FALSE.   |  |
| start_geometry_qha : Among the geometries considered by the  |  |
| option mur_lc_t the calculations of elastic                  |  |
| constants are done starting from this geometry.              |  |
| Default: integer 1   |  |
| last_geometry_qha : Among the geometries considered by the   |  |
| option mur_lc_t the calculations of elastic                  |  |
| constants are done only up to this geometry.                 |  |

An example for this option with use\_free\_energy=.FALSE. can be found in example22 while an example with use\_free\_energy=.TRUE. can be found in example23.

Default: integer total number of geometries.

Number of tasks for this option: The product of the number of tasks needed by the what='scf\_elastic\_constants' option and the number of geometries used with what=mur\_lc\_t when use\_free\_energy=.FALSE.. When use\_free\_energy=.TRUE. and all\_geometries\_together=.TRUE. the number of tasks of the previous case is further multiplied by the number of tasks needed to compute a phonon dispersion (see above the option what='scf\_ph').

When use\_free\_energy=.TRUE. and all\_geometries\_together=.FALSE. the number of tasks of this option is equal to the number of tasks needed to compute a phonon dispersion.

## Chapter

### Restarting an interrupted run

There are several situations that might require the restart of the THERMO\_PW code. We must distinguish two different cases: THERMO\_PW stopped while running QUANTUM ESPRESSO routines, because the code reached the maximum cpu time or because some external event stopped the run, or THERMO\_PW stopped after doing some post-processing task. This second case comprises also the normal termination of THERMO\_PW and the necessity to change some details of the plot rerunning the post-processing tools without redoing the QUANTUM ESPRESSO calculations.

Support for the first case is based on the recover features provided by QUANTUM ESPRESSO routines and usually works when images are not used. This restarting method needs files in the outdir directory. In this case THERMO\_PW behaves as QUANTUM ESPRESSO except for the fact that max\_seconds in the input of pw.x or of ph.x is not active. To run thermo\_pw for a fixed number of seconds max\_seconds must be set in the THERMO\_CONTROL namelist. If the code stopped inside pw.x, restart\_mode must be set to 'restart' in the input of pw.x while if the code stopped inside ph.x routines recover must be set to .TRUE. in the input of ph.x.

When running thermo\_pw with several images and calculating a phonon dispersion or using the what='mur\_lc\_t' option, max\_seconds is controlled by the image driver of thermo\_pw. Presently, after max\_seconds a signal is sent to the asynchronous driver and the master stops sending new works to the images. It stops the code when all the images have terminated their current task. Recovering from this point is possible without loosing any previous work by keeping the outdir directory and by setting recover=.TRUE. in the ph.x input. Note however that when thermo\_pw is stopped by the operating system in an unclean way this restart method could not work.

As a last resource you can remove the outdir directory, and THERMO\_PW will not recalculate the quantities contained in files that are already in the working directory. Completed phonon calculations at a given geometry for which the dynamical matrices are available are not redone if you put the fildyn name in the thermo\_control namelist. It is possible to stop thermo\_pw after the calculation of the phonon dispersions for a fixed number of geometries by setting the input variable max\_geometries in the THERMO\_CONTROL namelist or also specify exactly which geometries to do in a given run using the variables <code>start\_geometry</code> and <code>last\_geometry</code> or <code>start\_geometry\_qha</code> and <code>last\_geometry\_qha</code>.

In general the restart of thermo\_pw from a post-processing task is much easier. Each routine checks if a file with the same name as the file that it would produce is already in the working directory, and if this happens, it reads its content and returns. This feature cannot be disabled from input. In order to recalculate a given quantity, just remove the file that contains it from the working directory. For instance in an anharmonic calculation, if you have already all the dynamical matrices for all the geometries and you do not have any more the outdir directory, it is possible to skip entirely the phonon calculations and the reading of the files produced by pw.x by setting the variable after\_disp=.TRUE. and giving the name of the dynamical matrices file using the variable fildyn in the THERMO CONTROL namelist. In this case THERMO\_PW can compute the anharmonic properties with a different set of temperatures, or with a different sampling on the phonon frequencies, etc.. You need to erase the output files that contain the phonon dos, or the thermal properties from a previous calculation, keeping the dynamical matrices files and the restart directory and rerun THERMO\_PW. Similarly if the files containing the bands energy eigenvalues are already in the working directory, it is possible to set the input variable only\_bands\_plot to change the bands plot without redoing the bands calculation. Note however that in this case it is not possible to change the Brillouin zone path.

The following variables can be used to stop THERMO\_PW before it concludes all the calculations:

max\_seconds: the code stops after max\_seconds have elapsed. Note that the check is not done continuously so the clean stop might occur a few minutes after max\_seconds. Default: real 10E8

start\_geometry: the code starts doing the phonons for start\_geometry. Default: integer 1

last\_geometry: the code does only the phonons for geometries
 with index lower than last\_geometry.
 Default: integer total number of geometries.

Note that the first time that you use start\_geometry and last\_geometry the codes makes a self-consistent pw.x run for all the geometries and saves the results in the outdir directory. If for any reason the outdir directory is removed after computing some geometries, just remove also the restart

directory and the code will recreate the information in outdir but will not recalculate the dynamical matrices already available.

Finally we consider some typical runs of the most time consuming option what='mur\_lc\_t', but what we say is valid also for the computation of the phonon dispersions at a single geometry. With a single processor or with a personal computer with a small number of processors you can run the code without interruption. In general in these cases it is not useful to use the image parallelization before exploiting all the parallelization levels of QUANTUM ESPRESSO, since images have an overhead due to the necessity of reinitialize the phonon calculation and recalculate the bands. If you cannot complete the calculation in a single run you need to send several times the THERMO\_PW run. In this case you can set start\_geometry=last\_geometry, max\_seconds in the thermo\_pw input, and recover=.TRUE. in the ph.x input. You need to repeat this for all the geometries and finally you can collect the results and compute the anharmonic properties.

# Chapter 5

## Tools

The directory tools contains a few tools that can be useful to build structures of solids, surfaces, ribbons, and nanowires. Moreover it contains some miscellaneous codes that give additional information on the internal conventions of THERMO\_PW or further process its output. Currently it contains the following programs:

- bravais\_lattices.x tests the module lattices.f90 of the library. Presently it can read three primitive lattice vectors of a Bravais lattice and find the ibrav code and the celldm parameters of the input lattice. It can also read two sets of primitive vectors and decide if they describe the same Bravais lattice. In the positive case it gives the orientation of one lattice with respect to the other. For an example of its use see tools\_input/bravais\_lattice.in.
- change\_dynmat\_name.x A simple tool to change the number of the geometry to a set of dynamical matrix files. For an example of its use see tools\_input/change\_name.in.
- change\_e\_name.x A simple tool to change the number of the geometry to a set of energy files inside the restart directory.
- change\_tel\_name.x A simple tool to change the number of the geometry to the electronic thermodynamic files inside the therm\_file directory.
- crystal\_point\_group.x is a crystal point group calculator. It can give several information about the crystallographic point groups, such as the list of symmetry operations, the product of two rotations, the product table, the class structure, the character tables of the irreducible representations of the point group and of the double point group and the projective representations. It gives the list of subgroups and supergroups of a given group and the compatibility tables of a given group with its subgroups. It can also decompose the Kronecker product representations. Finally it can list the conjugate groups and calculate the intersection of two point groups. For an example of its use see tools\_input/crystal\_point\_group.in.

- debye.x reads from input the Debye temperature and the number of atoms per unit cell and writes in a file the thermodynamic quantities (vibrational energy, free energy, entropy, and heat capacity) as a function of temperature computed using the Debye model. When the system has only one atomic type it writes the atomic *B* factor as a function of temperature computed using the Debye model. For an example of its use see tools\_input/debye.in.
- elastic.x reads the elastic constants of a solid and computes the elastic compliances, the bulk modulus, and a few poly-crystalline averages. It uses the THERMO\_PW library so the output is the same. For an example of its use see tools\_input/elastic.in.
- eos\_test.x reads the parameters of an equation of state, and optionally the coefficients of a polynomial. Produces in output a file with the energy, the pressure, the bulk modulus, and its first and possibly second derivative with respect to pressure. It also checks the analytic results with those obtained by numerical finite differences.
- epsilon\_tpw.x generalizes the routine epsilon.f90 of the QUANTUM ESPRESSO distribution. It calculates the complex dielectric constant of a solid as a function of the frequency for independent electrons using the LDA or GGA eigenvalues. It is limited to insulators, but supports norm-conserving, ultrasoft, and PAW pseudopotentials. It supports both scalar relativistic and fully relativistic pseudopotentials and it uses the point group symmetry of the solid to reduce the number of **k**-points. For an example of its use see example14.
- gener\_nanowire.x reads a two dimensional (2D) Bravais lattice index and atomic coordinates and generates a sheet of type (m,n). A sheet contained between the two vectors C = m a<sub>1</sub> + na<sub>2</sub> and T = pa<sub>1</sub> + qa<sub>2</sub> can be also generated and wrapped about C in a nanotube form (a<sub>1</sub> and a<sub>2</sub> are the primitive lattices of the 2D Bravais lattice). For lattices that allow it, p and q can be determined automatically so that T is perpendicular to C. For an example of its use see tools\_input/gener\_nanowire.in.
- gener\_2d\_slab.x reads a two dimensional Bravais lattice index and atomic coordinates and generates an infinite ribbon perpendicular to  $\mathbf{G} = m \mathbf{b}_1 + n \mathbf{b}_2$ , where  $\mathbf{b}_1$  and  $\mathbf{b}_2$  are the primitive reciprocal lattice vectors of the 2D Bravais lattice. The number of rows of the ribbon, and the number of atoms per row are given as input variables. For an example of its use see tools\_input/gener\_2d\_slab.in.
- gener\_3d\_slab.x reads a three dimensional Bravais lattice index and atomic coordinates and generates an infinite slab perpendicular to  $\mathbf{G} = m\mathbf{b}_1 + n\mathbf{b}_2 + o\mathbf{b}_3$ , where  $\mathbf{b}_1$ ,  $\mathbf{b}_2$  and  $\mathbf{b}_3$  are the primitive reciprocal lattice vectors of the Bravais lattice. The number of layers of each slab, and the size of the surface unit cell are given as input parameters. For an example of its use see tools\_input/gener\_3d\_slab.in.

- hex\_trig.x reads the values of *a* and *c* of the conventional hexagonal cell of a rhombohedral lattice (in Ångstrom), and gives as output the size *a<sub>r</sub>* (in a.u.) and the cosine of the angle *α* of the rhombohedral cell. This information can be written in the input of pw.x for this type of cells. It is used to convert the structural information contained in a CIF file to the pw.x input.
- kovalev.x writes the correspondence between point group symmetry operations defined in the Kovalev tables and those used by QUANTUM ESPRESSO.
- mag\_point\_group.x gives a few information on the magnetic point groups.
- merge\_interp.x This is a driver of the spline interpolation routines of QE. It can read the meshes and the functions to interpolate from two different files and provide the first function on the mesh of the second. In the data files lines that start with # are considered comments.
- optical.x contains a few utilities for optical properties calculations. It transforms a complex dielectric constant into a complex index of refraction and computes the reflectivity or the absorption coefficient for cubic system. It converts also from energy of the photon in eV to the frequency in Hz or the wavelength in nm.
- pdec.x reads the temperature dependent elastic constants files calculated for several pressures and makes a plot of the elastic constants as a function of pressure at several temperatures.
- plot\_sur\_states.x reads the dump file produced by THERMO\_PW in a what='scf\_2d\_bands' calculation that contains the planar averages of all the states, and plots the states with the **k** point and the band numbers requested in input. For an example of its use see tools\_input/plot\_sur\_states.in.
- rotate\_tensors.x applies a rotation to a tensor of rank 1, 2, 3, or 4 defined in a coordinate system 1 and finds the form of the tensor in a new coordinate system 2.
- space\_groups.x gives several information on space groups. It can give the names of the space group given the number reported in the International Tables for Crystallography (ITA), or the number given one of the names, translate the names between different editions of the ITA tables or the Shönflies name. It gives the list of coset representatives of each space group and the list of symmorphic space groups. For an example of its use see tools\_input/space\_groups.in.
- supercell.x reads a three dimensional Bravais lattice index and the atomic coordinates of the atoms inside a unit cell and produces a supercell with  $n1 \times n2 \times n3$  cells of the original unit cell or a supercell delimited

by three arbitrary Bravais lattice vectors given in crystal or cartesian coordinates. For centered cells there is the option to consider n1, n2, and n3for the primitive or for the centered Bravais lattices. The input unit cell can be specified also by giving the space group and the coordinates of the nonequivalents atoms. It can be useful to study defects or to calculate all the atomic positions starting from the space-group and the nonequivalent positions. It is also possible to give the input Bravais lattice by using ibrav=0 and the three principal vectors. In this case, before generating the supercell, the code rotates the Bravais lattice so that it has the same orientation of the vectors described in the thermo.pdf guide. For an example of its use see tools\_input/supercell.in.

- test\_colors.x produces a postscript file with the gnuplot colors that can be used in the plots.
- template.x This is an example on how to write a tool code that can interface with the QE routines and all the library routines of thermo\_pw. The template activates the parallelization options of QE and can use images.
- translate.x reads a set of atomic positions and a translation vector and translates the atomic positions. It can read also a rotation matrix and roto-translate the atomic positions.
- units.x writes on output the numerical constants used to write the guide units.pdf and equilibrium.pdf. It computes also the error associated to each conversion factor.

For a detailed description of the input variables please look at the beginning of the fortran sources of each code.

# Chapter 6

## Examples, examples\_qe, inputs, pseudo\_test, space\_groups, tools\_inputs

The directories examples, examples\_qe, inputs, pseudo\_tests, space\_groups and tools\_inputs contain a set of examples that can be studied in order to learn how to use the THERMO\_PW package. The examples directory contains inputs that run quickly but do not give converged results. These examples can be studied to see how the THERMO\_PW code works in the different cases. The reference directory of each example contains all the output files produced by the run. A one-to-one comparison with the output produced by running the example script is however not possible due to the asynchronous nature of the runs. Only the plotted physical quantities should be the same.

The directory examples\_qe is used by developers. It contains examples mostly imported from QUANTUM ESPRESSO that are used to check that QUANTUM ESPRESSO functionalities are not spoiled by thermo\_pw.

The directory inputs contains a set of realistic inputs and reasonably converged results. Not all output files are reported in the reference directory of each run. The inputs examples are divided according to the structure type and many material properties are calculated for each structure. This directory can be seen as a gallery of the results that can be obtained by the THERMO\_PW code, or as a source of information for the construction of a particular input geometry.

The directory pseudo\_test contains a set of inputs that can be used to test a pseudopotential library. It illustrates how to use THERMO\_PW for highthrough-put calculations.

The directory space\_groups contains a collection of structures ordered by the space group number. They are used to test the space groups routines. These inputs are also examples for the keyword space\_group and for the use of Wyckoff positions to give the atomic coordinates in the pw.x input. You can also use these structures for your calculations, but note that the cut-off energies and the **k**-point meshes are not converged.

The directory  ${\tt tools\_inputs}$  give some examples of the inputs of the auxiliary tools programs.

### Chapter

## Color codes

In this section we briefly summarize the color codes of some of the figures that can be obtained from thermo\_pw.

- Total energy versus kinetic energy. This is a figure of the total energy versus wave-functions kinetic energy cut-offs. When the test requires several charge density cut-offs there is a different curve for each charge density cut-off. The curve corresponding to the lowest charge density cut-off is red, the one corresponding to the highest is blue, all the others are green. Note that the total energy of the last configuration (highest wave function and charge density cut offs) is subtracted from all energies.
- Total energy versus size of the **k**-point mesh. This is a figure of the total energy as a function of the size of the **k**-point mesh. When the test requires several values of degauss, there is a different curve for each degauss. The curve corresponding to the first degauss is red, the one corresponding to the last is blue, all the others are green. Note that the total energy of the last configuration (highest number of points and lowest degauss) is subtracted from all energies.
- Total energy as a function of volume (lmurn=.TRUE.). This plot is composed of three figures: the total energy as a function of the volume, the pressure as a function of the volume and the enthalpy as a function of pressure. All curves are red. The points on the first curve are the energies calculated by pw.x, the continuous curve is the fit.
- Total energy as a function of one or two crystallographic parameters (lmurn=.FALSE.). When there is a single parameter the curve is red as in the case lmurn=.TRUE.. When there are two parameters a contour plot of the energy as a function of two parameters is shown. The contour levels, their number and their colors can be given in input. By default the code shows nine levels with three colors. From the lowest to the highest levels, the colors are red, green, and blue. The energy value of each level is written on output. When the user requests more levels without specifying their colors, the code continues with three yellow levels, then pink, cyan, orange, black, and when more than 24 levels are requested

the sequence of colors is repeated. For orthorhombic solids the code produces many postscript figures, one for each value of c/a on the grid. In each figure there is a contour plot of the energy as a function of a and b/a. The colors of the levels follow the same conventions of the previous case. When the levels are chosen by the code the entire energy range (for all c/a) is divided into nine levels so each figure might have less that nine curves. For crystal systems with more crystallographic parameters, this figure is not available.

- The crystal parameters and the volume as a function of pressure. When (lmurn=.FALSE.) the code plots the lattice parameters as a function of pressure, as well as the volume as a function of pressure (so far tested only for cubic cases). All plots are red.
- Energy bands. In this figure the bands have the color of their irreducible representation. Each line of the path can have a different point group and set of representations. See the point\_groups.pdf file for the list of representations and their color code. When the symmetry analysis is not done all the bands are red.
- Energy bands with enhance\_plot=.TRUE.. In this case the background color of the panels with lines at the zone border are gray, yellow, or pink. Gray means that the point group (or double point group) representations are used, yellow or pink means that a gauge transformation was applied and projective representations might have been used. A yellow background indicates that no switch from the point group to the double point group or viceversa was made, while a pink background means that such a switch was necessary.
- Electron density of states. This is a plot composed of two figures, the first contains the electron density of states, the second the integral of the density of states up to that energy. The dos is red. In the local spin density case, the dos for spin up is red the one for spin down is blue and with a negative sign. The integrated density of states is blue. In the spin polarized case, the curve shows the integral of the sum of the up and down density of states.
- Electronic energy, free energy, entropy, and isochoric heat capacity (metals only). This plot is composed of four pictures one for each quantity. There is a single blue curve per plot.
- Dielectric constant as a function of frequency (q = 0). There are two plots, one for the real part and one for the imaginary part. Other two plots contain the real and imaginary part of the complex index of refraction. For cubic solids other two plots show the reflectivity for normal incidence and the absorption coefficient. All curves are in red. For hexagonal, trigonal, and tetragonal systems the *xx* component is in red, while the *zz* component is in green. For orthorombic systems the *xx* component

is in red, the yy component in green and the zz component in blue. For monoclinic and triclinic systems the plot is not available.

- Inverse of the dielectric constant as a function of frequency ( $\mathbf{q} \neq \mathbf{0}$ ). There are four plots: the real and imaginary part of  $\varepsilon(\mathbf{q}, \omega)$  and the real and imaginary part of  $1/\varepsilon(\mathbf{q}, \omega)$ . They are all in red. Note that the latter is really calculated, while the first is just its inverse.
- Phonon dispersions. In this figure the phonon dispersions have the color of their irreducible representations. The same comments made for the plot of the band structure apply here.
- Phonon dos. There is one picture with a single red curve.
- Vibrational energy, free energy, entropy, and isochoric heat capacity. This plot is composed of four figures each one showing one quantity. In red the quantities obtained using the phonon density of states, in blue those obtained from integration over the Brillouin zone. In some cases the red curve is not visible because it is exactly below the blue one.
- Atomic B factors as a function of temperature. This plot is composed of one figure for each atom for cubic solids and of two figures for each atom in the other cases. One figure contains  $B_{xx}$  (red, pink),  $B_{yy}$  (blue, light\_blue) and  $B_{zz}$  (dark\_green, green) as a function of temperature. The first color refers to quantities calculated from generalized phonon density of states while the second refers to quantities calculated by Brillouin zone integration. If the curves coincide, only the last one (green) will be visible. The second figure, when plotted shows  $B_{xy}$  (red, pink),  $B_{xz}$  (blue, light\_blue), and  $B_{yz}$  (dark\_green, green).
- Debye vibrational energy, free energy, entropy, and isochoric heat capacity. This plot is composed of four figures, one for each quantity. The curves are in blue and the word Debye appears in the *y* axis label.
- Free (or Gibbs) energy as a function of volume at several temperatures, pressure as a function of volume at several temperatures, Gibbs energy as a function of pressure at several temperatures, vibrational free energy as a function of volume at several temperatures, for metals also electronic free energy as a function of volume at several temperatures (if requested in input). In all these plots the first temperature is red, the others follow in the order green, blue, yellow, pink, cyan, orange, black. If there are more temperatures the sequence is repeated.
- Crystal parameters as a function of pressure at several temperatures. Volume as a function of pressure at several temperatures. The number of plots depends on the crystal system. In these plots the first temperature is red, the others follow in the order green, blue, yellow, pink, cyan, orange, black. If there are more temperatures the sequence is repeated.

- Equilibrium volume, Helmholtz (or Gibbs at finite pressure) free energy, bulk modulus, pressure derivative of the bulk modulus, volume thermal expansion, isochoric heat capacity, isobaric heat capacity, isobaricisochoric difference of the heat capacity, isoentropic-isothermal difference of the bulk modulus, and average Grüneisen parameter as a function of temperature (lmurn=.TRUE.). This plot is composed of ten figures, one for each quantity in the order given above. The quantities calculated using the phonon dos are in red, those obtained by an integral over the Brillouin zone are in blue. The volume thermal expansion can be calculated using the mode Grüneisen parameters and is plotted in green. The isobaric-isochoric difference of the heat capacity, the isoentropicisothermal difference of the bulk modulus, and average Grüneisen parameter calculated with this volume thermal expansion are plotted in green. The volume and the bulk modulus used to compute the volume thermal expansion via the mode Grüneisen parameters are the blue ones if ltherm\_freq=.TRUE. or the red ones if ltherm\_freq=.FALSE. and ltherm\_dos=.TRUE.. When both ltherm\_freq=.FALSE. and ltherm\_ dos=.FALSE. the equilibrium values of the volume and of the bulk modulus calculated at T = 0 K are used at all temperatures. The equilibrium volume at T = 0 K is used at all temperatures also when lv0 t=.FALSE.The equilibrium bulk modulus at T = 0 K is used all temperatures also when lb0\_t=.FALSE.
- Crystallographic parameters, volume, Helmholtz (or Gibbs at finite pressure) free energy, thermal expansion tensor, volume thermal expansion, constant strain heat capacity ( $C_{\epsilon}$ ), isobaric heat capacity ( $C_{P}$ ), difference  $C_P - C_V$  of isobaric and isochoric heat capacities, difference  $C_{\sigma} - C_{\epsilon}$  of constant stress and constant strain heat capacities (note that  $C_{\sigma} = C_P$ ), difference  $C_V - C_{\epsilon}$  of isochoric and constant strain heat capacities, difference  $B_S - B_T$  of the isoentropic and isothermal bulk modulus, and average Grüneisen parameter as a function of temperature (lmurn=.FALSE.). The number of figures in this plot depends on the crystal system and on the presence of one or more files with the elastic constants. It shows a as a function of temperature for cubic solids, a, c/a, and c for tetragonal and hexagonal solids. For orthorhombic solids it shows also b/a and b while for trigonal solids it shows a and  $\cos \alpha$ . For monoclinic solids it shows a, b/a, b, c/a, c, and  $\cos \alpha$  (c-unique) or  $\cos \beta$  (b-unique). All the six crystallographic parameters as a function of temperature are shown for triclinic solids. All quantities calculated using the phonon density of states are in red, those calculated integrating over the Brillouin zone are in blue with the exception of the thermal expansion tensor. When this tensor is diagonal with all identical components it follows the above rules while the tensor computed from mode Grüneisen parameters is in green. For hexagonal, tetragonal and trigonal solids  $\alpha_{xx}$  follows the above rules while  $\alpha_{zz}$  is pink, cyan, and orange when computed from phonon density of states, Brillouin zone integration, or mode Grüneisen parameters, respectively. In the orthorhombic case  $\alpha_{xx}$  and  $\alpha_{zz}$  have the same colors,

while  $\alpha_{yy}$  is gold, olive, and light-blue in the three cases, respectively. For the other crystal systems the thermal expansion tensor is not given. The thermal expansion tensor from the mode Grüneisen parameters is calculated only when the elastic\_constants directory contains at least one file with the elastic constants. In this case also  $C_P - C_V$ ,  $C_{\sigma} - C_{\epsilon}$ ,  $C_V - C_{\epsilon}$ , and the average Grüneisen parameters are calculated using this thermal expansion tensor and plotted in green. The volume used in these calculations is the blue curve if ltherm\_freg=.TRUE. or as in the red curve if ltherm\_freq=.FALSE. and ltherm\_dos=.TRUE.. When both ltherm\_freq=.FALSE. and ltherm\_dos=.FALSE. the volume is kept fixed at the equilibrium volume at T = 0 K. The same applies for the bulk modulus calculated from a single elastic constant file when the flag lb0\_t=.FALSE. or computed within the "quasi-static" approximation when lb0\_t=.TRUE.. The  $C_P$ ,  $C_{\sigma} - C_{\epsilon}$ ,  $C_V - C_{\epsilon}$ , and the average Grüneisen parameter are plotted only in presence of one or more elastic constants file.

- Thermal stresses as a function of temperature. This plot is composed of one figure in cubic solids and of two figures in the other cases. One figure contains  $b_{xx}$  (red, pink),  $b_{yy}$  (blue, light\_blue) and  $b_{zz}$  (dark\_green, green) as a function of temperature. The first color refers to quantities calculated from phonon density of states while the second refers to quantities calculated by Brillouin zone integration. If the curves coincide, only the last one (green) will be visible. The second figure, when plotted, shows  $b_{xy}$  (red, pink),  $b_{xz}$  (blue, light\_blue), and  $b_{yz}$  (dark\_green, green).
- Mode Grüneisen parameters. In this plot the mode Grüneisen parameters have the color of the irreducible representation of the phonon dispersion curve of which they are the derivative. The same comments made for the band structure plot apply here.
- Generalized average Grüneisen parameters as a function of temperature. This plot is composed of one figure in cubic solids and of two figures in the other cases. One figure contains  $\gamma_{xx}$  (red, pink),  $\gamma_{yy}$  (blue, light\_blue) and  $\gamma_{zz}$  (dark\_green, green) as a function of temperature. The first color refers to quantities calculated from phonon density of states while the second color refers to quantities calculated by Brillouin zone integration. If the curves coincide, only the last one (green) will be visible. The second figure, when plotted shows  $\gamma_{xy}$  (red, pink),  $\gamma_{xz}$  (blue, light\_blue), and  $\gamma_{yz}$  (dark\_green, green).
- Phonon dispersions at the geometry that corresponds to a given temperature. The colors are assigned on the basis of the irreducible representation of each mode. The same comments made for the band structure plot apply here.
- Temperature dependence of the isothermal and isoentropic elastic constants within the "quasi-static", "fixed geometry quasi-harmonic" or "quasi-

harmonic" approximation. There is a plot for each non-zero elastic constant and a plot of the bulk modulus. The number of plots depends on the Laue class. Elastic constants interpolated at the geometry computed using the phonon density of states are in red (isothermal) and green (isoentropic), those calculated from integration over the Brillouin zone are in blue (isothermal) and orange (isoentropic).

- Temperature dependence of the isothermal and isoentropic elastic compliances within the "quasi-static", "fixed geometry quasi-harmonic" or "quasi-harmonic" approximation. There is a plot for each non-zero elastic compliance and a plot of the compressibility. The number of plots depends on the Laue class. Elastic compliances interpolated at the geometry computed using the phonon density of states are in red (isothermal) and green (isoentropic), those calculated from integration over the Brillouin zone are in blue (isothermal) and orange (isoentropic).
- Temperature dependence of the isothermal elastic constants within the "fixed geometry quasi-harmonic" approximation for all the geometries of the mesh. There is a plot for each non-zero elastic constant and a plot of the bulk modulus. The number of plots depends on the Laue class. Elastic constants of the different geometries are in the sequence red, green, blue, yellow, pink, cyan, orange, black. When there are more than eight geometries the sequence is repeated. The same colors are used for the elastic constants obtained with the phonon density of states or from the integration over the Brillouin zone.
- Temperature dependence of the isothermal elastic compliances within the "fixed geometry quasi-harmonic approximation" for all the geometries of the mesh. There is a plot for each non-zero elastic compliance and a plot of the compressibility. The number of plots depends on the Laue class. Elastic compliances of the different geometries are in the sequence red, green, blue, yellow, pink, cyan, orange, black. When there are more than eight geometries the sequence is repeated. The same colors are used for the elastic compliances obtained with the phonon density of states or from the integration over the Brillouin zone.
- Anharmonic quantities as a function of temperature (pressure) plotted for several pressures (temperatures) chosen using npress\_plot (ntemp\_plot). Each pressure (temperature) is plotted with a different color, in the sequence red, green, blue, yellow, pink, cyan, orange, black from press\_plot(1) to press\_plot(npress\_plot). If there are more than eight pressures (temperatures) the sequence of colors is repeated.

# Chapter 8

## Documentation

In addition to this user's guide, this directory contains the following documents:

- tutorial.pdf: a short guide that indicates where to find the information needed to compute a given quantity.
- point\_groups.pdf: a description of the crystallographic point groups, character tables of the irreducible representations of point groups and of the double point groups and tables of the projective representations, for the interpretation of the color codes in the band and phonon dispersion plots.
- thermo.pdf: some notes on the thermodynamic expressions implemented in THERMO\_PW.
- developer\_guide.pdf: some notes on the internal logic of THERMO\_PW.
- unit.pdf: some notes on the atomic units used in THERMO\_PW.
- equilibrium.pdf: some notes on the atomic units used in THERMO\_PW for the equilibrium thermodynamic tensors.