

**Doctoral Defense:**

# Hydrodynamic Correlations in Low-Dimensional Interacting Systems

- 1) The Emptiness Formation Probability
- 2) Spin-Charge (non-)Separation

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# Outline

- Introduction & Motivations
- **1.** The **E**mptiness **F**ormation **P**robability in the XY model
- **2.** Hydrodynamic Approach to the EFP
- **3.** Hydrodynamics for Spin-Charge degrees of freedom
- Conclusions and direction for future research

# Introduction

- 1-D systems, Zero-Temperature
- Correlators  $\rightarrow$  Bosonization (linear approximation)
- Bosonization cannot describe large deviations:  
e.g. **E**mptiness **F**ormation **P**robability or Spin-Charge Interaction
- Non-Linear Bosonization  $\rightarrow$  Hydrodynamics
- Integrable model  $\rightarrow$  Wave function (Bethe Ansatz)

# Part 1: Emptiness Formation Probability

- EFP measures the probability that there are no particles in a region of length  $n$
- One of the fundamental and simplest correlators in the theory of integrable models (Korepin et al.)
- Explicit expressions exist, but very complicated
- Interesting asymptotic behavior  $\rightarrow$  Hydrodynamics

# EFP for Spin Systems

- 1-d Spin Models: Probability of Formation of a Ferromagnetic String (PFFS) of length  $\mathbf{n}$ :

$$\mathbf{P}(\mathbf{n}) = \left\langle \prod_{i=1}^{\mathbf{n}} \frac{1 - \sigma_i^z}{2} \right\rangle$$

- Mapping to spinless fermion: PFFS becomes the **Emptiness Formation Probability**

$$\mathbf{P}(\mathbf{n}) = \left\langle \prod_{i=1}^{\mathbf{n}} \psi_i \psi_i^\dagger \right\rangle$$

- EFP measures the probability that there are no particles in a region of length  $\mathbf{n}$

# The Anisotropic XY Model

$$\mathbf{H} = \sum_i \left[ \left( \frac{1+\gamma}{2} \right) \sigma_i^x \sigma_{i+1}^x + \left( \frac{1-\gamma}{2} \right) \sigma_i^y \sigma_{i+1}^y \right] - h \sum_i \sigma_i^z$$

- Jordan-Wigner transformation:

spin degrees of freedom

into spinless fermions

$$\begin{cases} \sigma_j^z = 2\psi_j^\dagger \psi_j - 1 \\ \sigma_j^+ = \psi_j^\dagger e^{i\pi \sum_{i<j} \psi_i^\dagger \psi_i} \end{cases}$$

$$\sigma_j^\pm = \frac{1}{2} (\sigma_j^x \pm i\sigma_j^y)$$

- In momentum space, the Hamiltonian becomes:

$$\mathbf{H} = \sum_q 2(\cos q - h) \psi_q^\dagger \psi_q + i\gamma \sin q (\psi_q^\dagger \psi_{-q}^\dagger - \psi_{-q} \psi_q)$$

# The Anisotropic XY Model (cont.)

- A Bogoliubov transformation diagonalizes the Hamiltonian

$$\chi_q = \cos \frac{\vartheta_q}{2} \psi_q + i \sin \frac{\vartheta_q}{2} \psi_{-q}^\dagger$$

$$\mathbf{H} = \sum_q \varepsilon_q \left( \chi_q^\dagger \chi_q - 1/2 \right) \quad \varepsilon_q = \sqrt{(\cos q - h)^2 + \gamma^2 \sin^2 q}$$

- The XY Model is essentially Free Fermions
- Correlators for physical quantities involve inverting the transformation to FF: complications

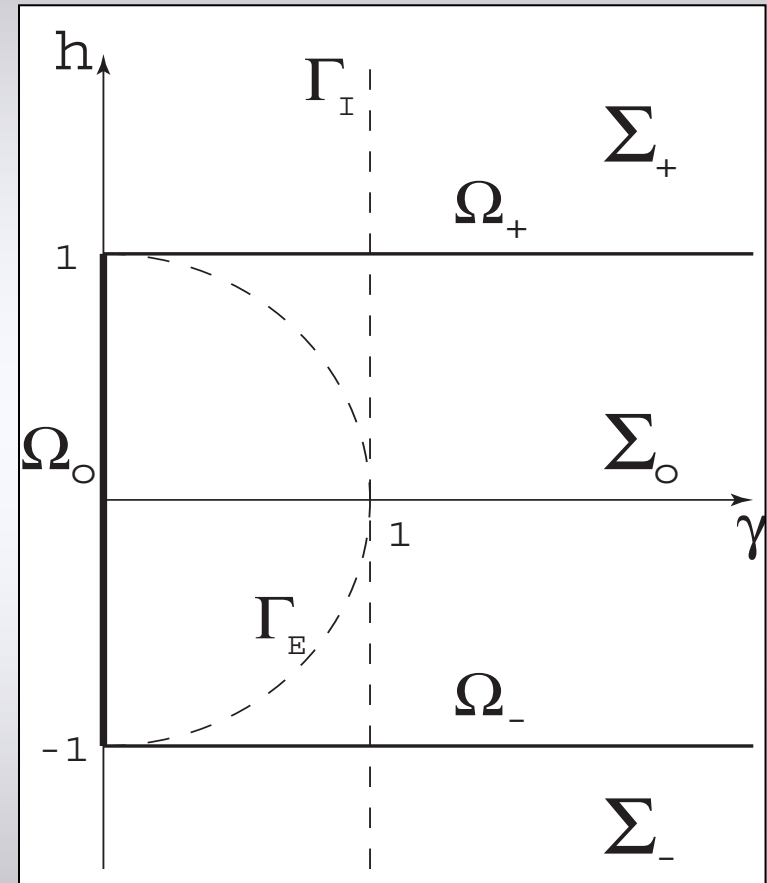


# The Phase Diagram of the XY Model

$$\varepsilon_q = \sqrt{(\cos q - h)^2 + \gamma^2 \sin^2 q}$$

Phase Diagram:

- 3 non-critical regions ( $\Sigma_0, \Sigma_{\pm}$ )
- 3 critical phases:  
 $\Omega_0$ : Isotropic XY  
 $\Omega_{\pm}$ : Critical magnetic field



Phase Diagram of the XY Model  
 (only  $\gamma > 0$  shown)

# EFP for the XY Model

$$\mathbf{P}(\mathbf{n}) = \left\langle \prod_{i=1}^n \psi_i \psi_i^\dagger \right\rangle$$

- Wick Theorem and Pfaffian properties  $\rightarrow$   
EFP as the determinant of a  $\mathbf{n} \times \mathbf{n}$  matrix:  
(Franchini & Abanov (2003))

$$\mathbf{P}(\mathbf{n}) = \left| \det(\mathbf{S}_n) \right|$$

$$\mathbf{S}_n = \left[ \frac{1}{2} \int_{-\pi}^{\pi} \left( 1 + \frac{\cos q - h + i \gamma \sin q}{\sqrt{(\cos q - h)^2 + \gamma^2 \sin^2 q}} \right) e^{iq(j-k)} \frac{dq}{2\pi} \right]_{j,k=1}^n$$

# Toeplitz Matrices

- Matrices like  $S_n$  are called Toeplitz: their elements depend only upon the difference of the indices

$$S_n = \begin{pmatrix} \mathbf{a}_0 & \mathbf{a}_1 & \mathbf{a}_2 & \cdots & \mathbf{a}_{n-1} \\ \mathbf{a}_{-1} & \mathbf{a}_0 & \mathbf{a}_1 & & \vdots \\ \mathbf{a}_{-2} & \mathbf{a}_{-1} & \mathbf{a}_0 & & \mathbf{a}_2 \\ \vdots & & & \ddots & \mathbf{a}_1 \\ \mathbf{a}_{-n+1} & \cdots & \mathbf{a}_{-2} & \mathbf{a}_{-1} & \mathbf{a}_0 \end{pmatrix}$$

$$\mathbf{a}_k = \int_{-\pi}^{\pi} \sigma(\mathbf{q}) e^{i\mathbf{q}k} \frac{d\mathbf{q}}{2\pi}$$

- Asymptotic behavior of  $\text{Det } S_n$ : Szegő Theorem, Fisher-Hartwig conjecture and its generalization, Widom Theorem
- $\text{Det } S_n$  depends on the “singularities” of the generating function

# Toeplitz Matrices Techniques

- Szegő Theorem:  $\det S_n \stackrel{n \rightarrow \infty}{\sim} \exp \left[ -n \int_0^{2\pi} \frac{dq}{2\pi} \ln \sigma(q) \right]$

- McCoy et Al. (1970) used Toeplitz determinants to calculate

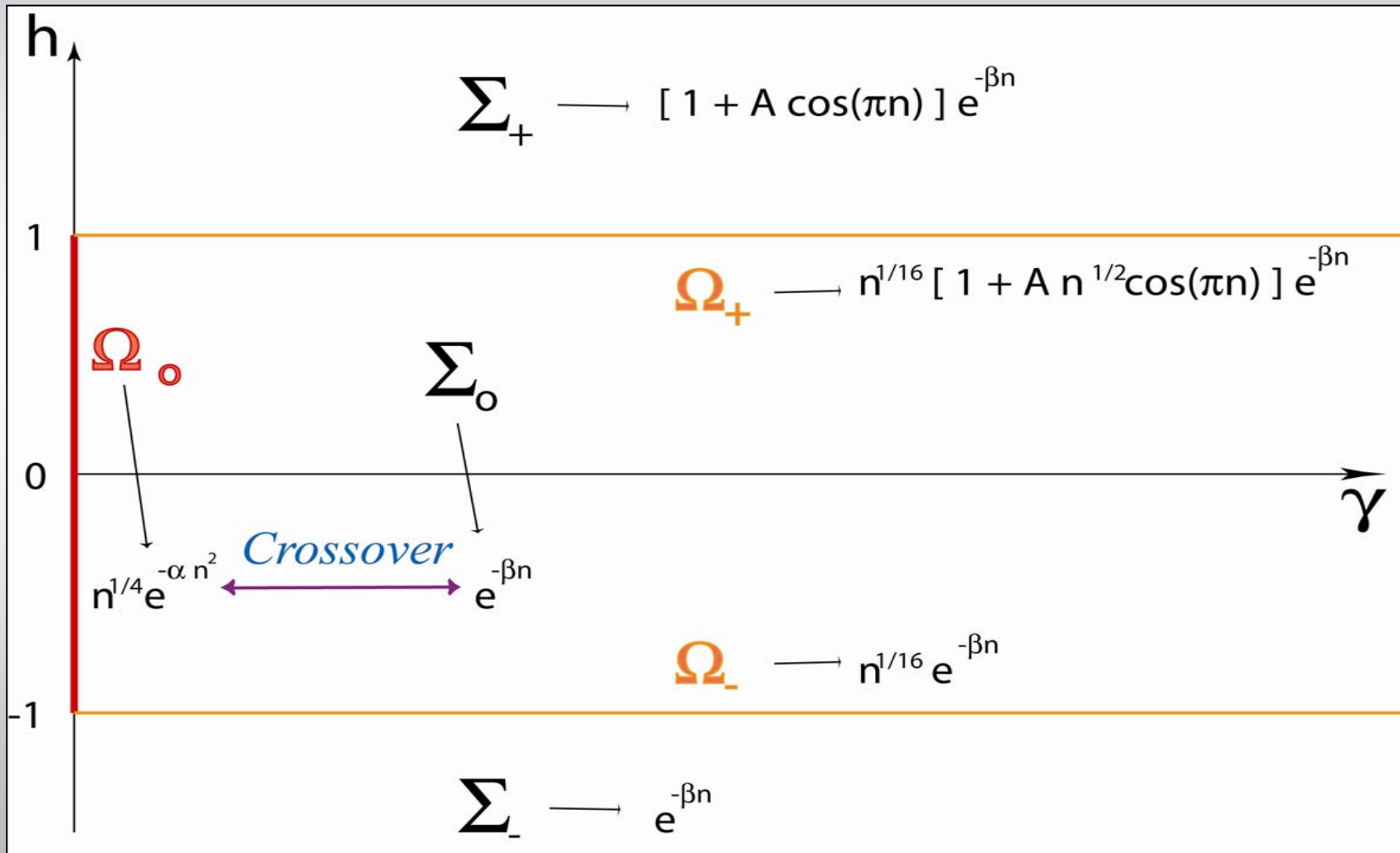
2-point correlators:  $\rho_{lm}^\nu \equiv \langle 0 | \sigma_l^\nu \sigma_m^\nu | 0 \rangle \quad \nu = x, y, z$

$$\rho_{lm}^x = \det |H(i-j)|_{\substack{j=l+1\dots m \\ i=l\dots m-1}}$$

$$\rho_{lm}^y = \det |H(i-j)|_{\substack{j=l\dots m-1 \\ i=l+1\dots m}}$$

- We are the first to use the **Generalized Fisher-Hartwig conjecture**

# The Phase Diagram of the XY Model and the Asymptotics of the EFP



# Interpretation of these results

- Toeplitz determinant technique is exclusive for XY Model
- What is the physical meaning of the different behaviors?
  - Need for a more physical (general?) approach
- Collective description of the system → Bosonization
- EFP as probability of a collective configuration

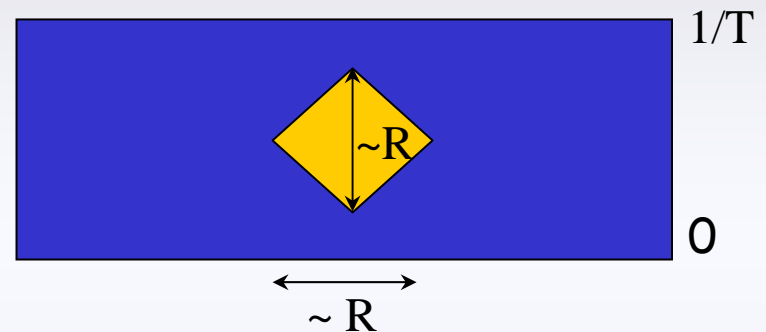
# EFP as an Instanton Solution

- EFP as a **rare fluctuation**:  $P(R) \sim e^{-S(R)}$

- Small String:  $R \ll 1/T, \xi$

$$S(R) \sim R^2$$

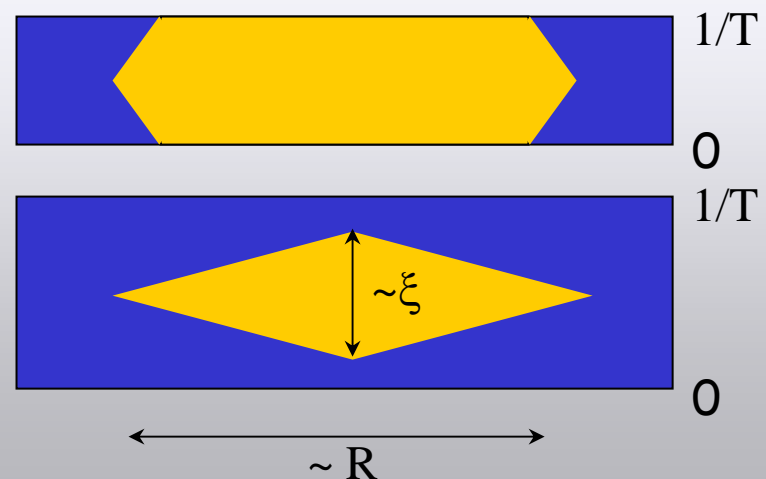
(Gaussian)



- Large String:  $R \gg 1/T$  or  $\xi$

$$S(R) \sim R \times 1/T \text{ or } \xi$$

(exponential)



# Limits of Bosonization

- Bosonization as a collective description
- Too large of a fluctuation: Bosonization cannot describe EFP  
 (New problem: Depletion Formation Probability)
- Correct qualitative behavior:
  - $\Omega_0$  (XX Model - Critical): Gaussian behavior (Abanov & Korepin, '02)
  - Crossover for small  $\gamma$ : Gaussian for  $\mathbf{n} \ll 1/\sqrt{\gamma}$   
 to Exponential for  $\mathbf{n} \gg 1/\sqrt{\gamma}$  (Franchini & Abanov, '05)



# Bosonization for the Isotropic XY Model

Korepin & Abanov 2002

- EFP as a **rare fluctuation**:  $P(R) \sim e^{-S_0(R)}$

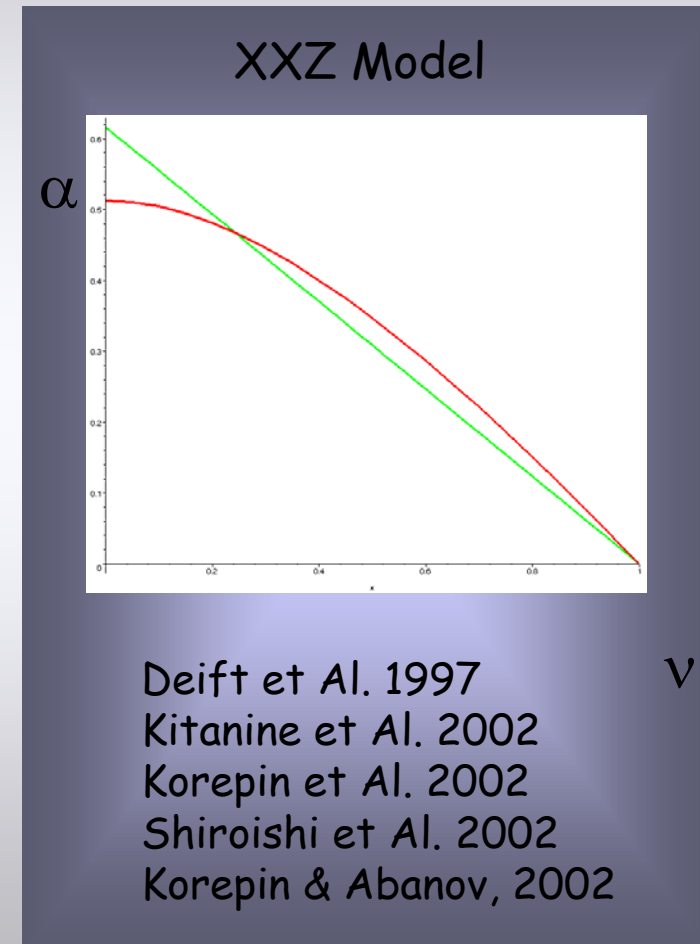
$$S = \int_{-\infty}^{+\infty} dx \int_0^{\beta} d\tau \frac{1}{2} (\partial_{\mu} \phi)^2$$

- From Bosonization:

$$S_0 = \pi^2/32 R^2 + \dots \approx \mathbf{0.34} R^2$$

- Exact result:

$$S_0 = \frac{1}{2} \text{Ln } 2 R^2 + \dots \approx \mathbf{0.30} R^2$$



# EFP Crossover from Bosonization

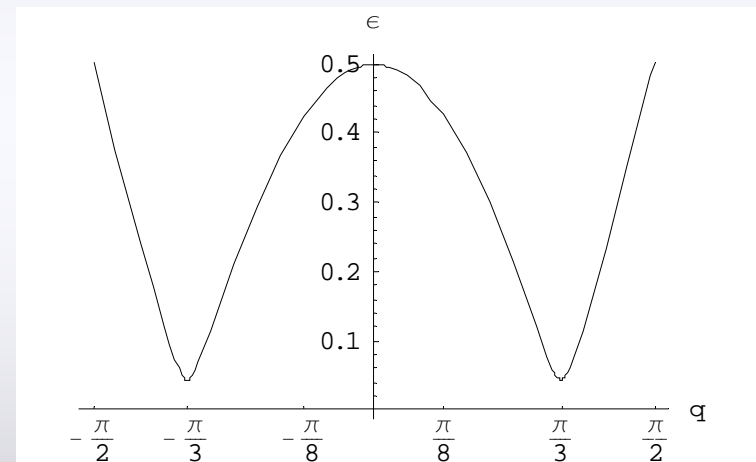
- We study the crossover for small  $\gamma$ :

$$n^{1/4} e^{-\alpha n^2} \xleftrightarrow{\text{Crossover}} e^{-\beta n}$$

- Bosonized Lagrangian:

$$\mathcal{L} \simeq (\partial_\mu \theta)^2 + 2\gamma \theta^2$$

$$\varepsilon_q = \sqrt{(\cos q - h)^2 + \gamma^2 \sin^2 q}$$



$$\gamma=0.05, h=0.5$$

$m^2 = 2\gamma$ : The anisotropy is an effective **mass term** that opens a gap

# EFP Crossover from Bosonization

- We look for the **saddle point** solution of

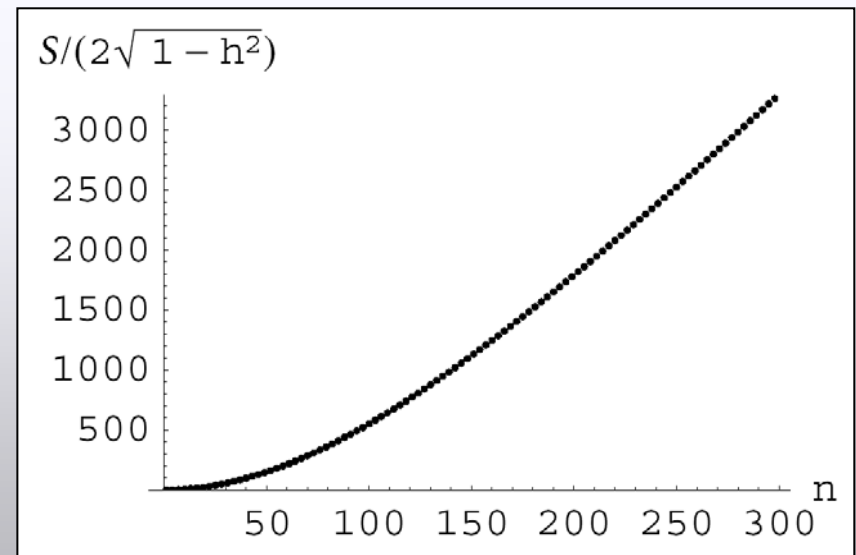
$$(\partial_\mu \partial^\mu - m^2)\theta = 0 \quad \text{with EFP BC:}$$

$$-\partial_t \theta(x, t)|_{t=0, 0 < x < n} = 0$$

- The stationary action shows  
the expected crossover

at  $n \sim 1/\sqrt{\gamma}$  :

$$P(n) \sim e^{-S(n)}$$



# Part 1: Conclusions

- We express the EFP exactly as a **determinant** of a matrix
- We calculated the EFP in the **whole phase-diagram** of the XY model
- We provided the **physical interpretation** for the asymptotic behaviors
- We tested the limits of Bosonization

# Part 2: Hydrodynamic Approach

- Collective field description: density  $\rho$  and velocity  $v$
- From Galilean Invariance (Landau – 1941):

$$\mathcal{S}[\rho, v] = \int d^2x \left[ \frac{\rho v^2}{2} - \rho \epsilon(\rho) + \dots \right]$$

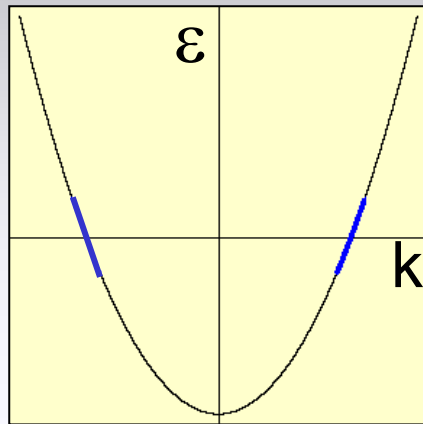
$$\partial_t \rho + \partial_x (\rho v) = 0$$

Continuity Equation

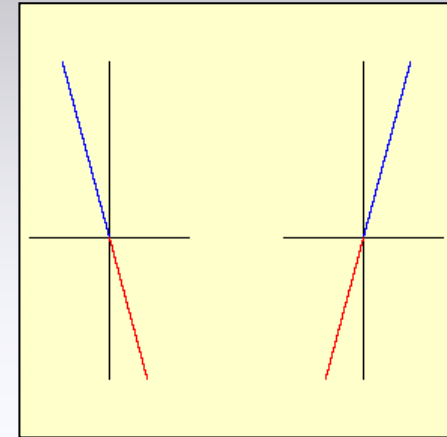
$$\partial_t v + v \partial_x v = -\partial_x \partial_\rho (\rho \epsilon)$$

Euler Equation

# EFP & Hydrodynamics



Bosonization



- Hydrodynamics keeps non-linearity of the spectrum
- EFP as probability of Instanton configuration ( $P(\mathbf{R}) \sim e^{-S_0(\mathbf{R})}$ ) with

$$\text{BC: } \rho(t = 0, |x| < R) = \bar{\rho} = 0$$

- Bosonization valid for:  $\frac{\rho_0 - \bar{\rho}}{\rho_0} \ll 1$  ( $\rho_0$  : equilibrium density)

# EFP results from Hydrodynamics

(Abanov 2005)

- Free Fermions:  $H = -\frac{1}{2} \sum_{j=1}^N \frac{\partial^2}{\partial x_j^2}$

$$\epsilon(\rho) = \frac{\pi^2}{6} \rho^2$$



$$S_{EFP} = \frac{1}{2} [\pi \rho_0 R]^2 = \frac{1}{2} (k_F R)^2$$

- Calogero-Sutherland:  $H = -\frac{1}{2} \sum_{j=1}^N \frac{\partial^2}{\partial x_j^2} + \frac{1}{2} \sum_{1 \leq j < k \leq N} \frac{\lambda(\lambda - 1)}{(x_j - x_k)^2}$

$$\epsilon(\rho) = \frac{\pi^2}{6} \lambda^2 \rho^2$$



$$S_{EFP} = \frac{\lambda}{2} [\pi \rho_0 R]^2$$

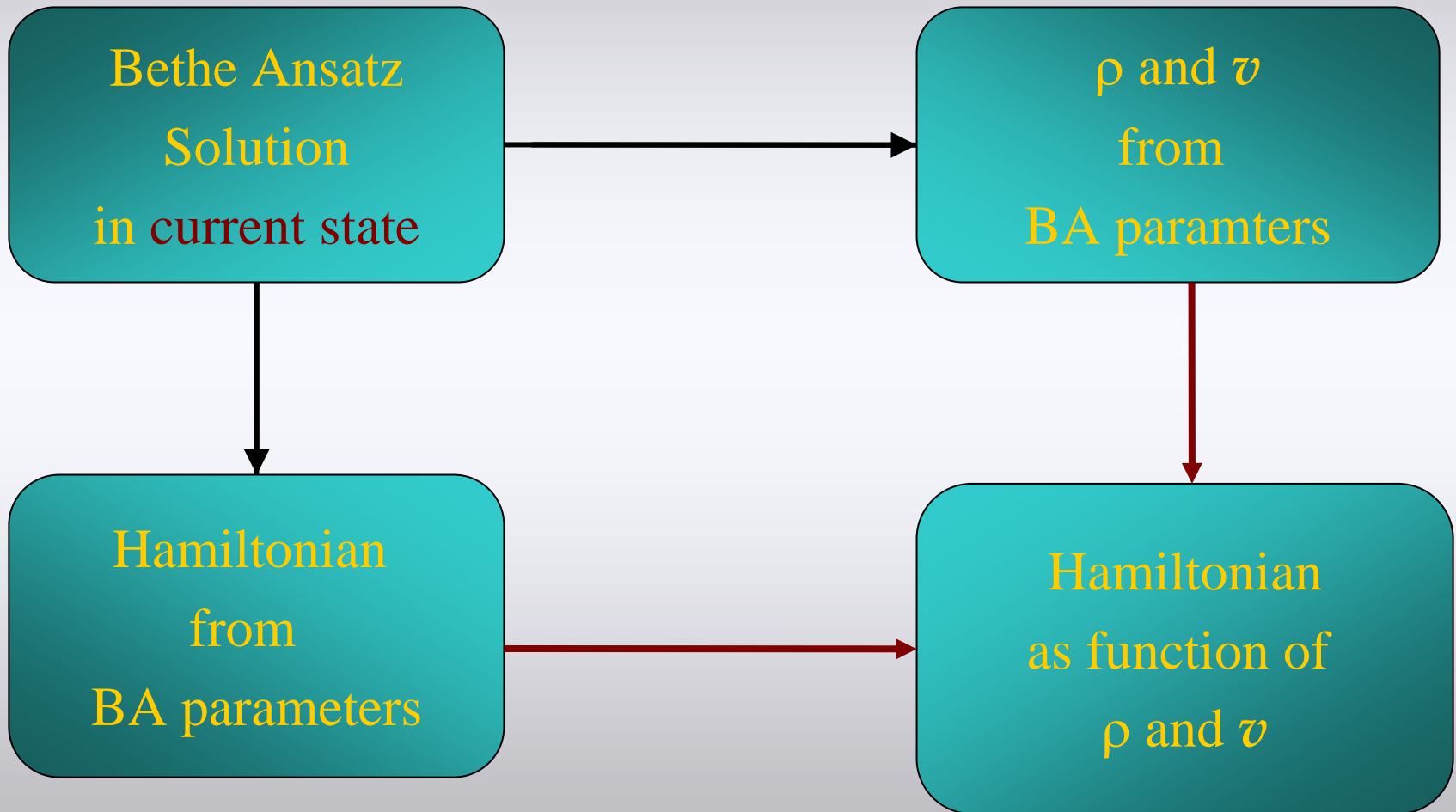
- Exact result known ( $s \equiv \pi \rho_0 R$ ):  $-S = -\frac{\lambda}{2} s^2 - (1 - \lambda)s + O(\ln s)$

# More EFP results from Hydrodynamics?

- Hydrodynamics correctly reproduce the leading behavior of EFP
- Work in progress: calculating EFP for lattice model  
(XY and XXZ model)
- Other problems → Construct the hydrodynamic description for more integrable systems



# Hydrodynamics from Bethe Ansatz



# Hydrodynamics Equations

- From microscopical description:  $[\rho(x), v(y)] = -i\hbar\delta'(x - y)$

$$\rho(x) \equiv \sum_{j=1}^N m\delta(x - x_j)$$

$$j(x) \equiv -i\frac{\hbar}{2} \sum_{j=1}^N \left\{ \frac{\partial}{\partial x_j}, \delta(x - x_j) \right\}, \quad v \equiv \frac{1}{2} \left( \frac{1}{\rho} j + j \frac{1}{\rho} \right)$$

- Using the Hydrodynamic Hamiltonian:  $\mathcal{H}(\rho, v) = \frac{\rho v^2}{2} + \rho\epsilon(\rho)$

$$\rho_t = \frac{i}{\hbar} [H, \rho] = -\partial_x(\rho v),$$

$$v_t = \frac{i}{\hbar} [H, v] = -\partial_x \left( \frac{v^2}{2} + (\rho\epsilon)_\rho \right)$$

# Hydrodynamics for Free Fermions

- Exact Hydrodynamics ( $\rho(k) = \frac{1}{2\pi}$ )

$$\rho(x) = \int_{k_L}^{k_R} \rho(k) dk = \frac{k_R - k_L}{2\pi}$$

$$J = \rho v = \int_{k_L}^{k_R} k \rho(k) dk = \frac{k_R^2 - k_L^2}{4\pi}$$

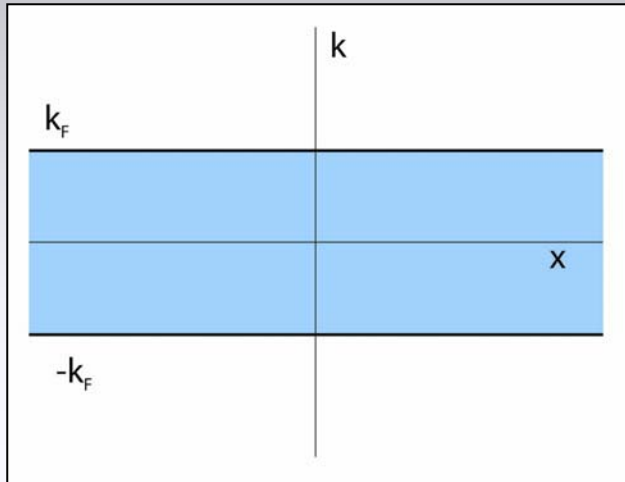
- Same Hamiltonian as from non-linear Bosonization:

$$H = \int_{k_L}^{k_R} \frac{k^2}{2} \rho(k) dk = \frac{k_R^3 - k_L^3}{12\pi} = \frac{\rho v^2}{2} + \frac{\pi^2}{6} \rho^3$$

$$[\rho(x), v(y)] = -i\delta'(x - y)$$

# Phase-Space picture

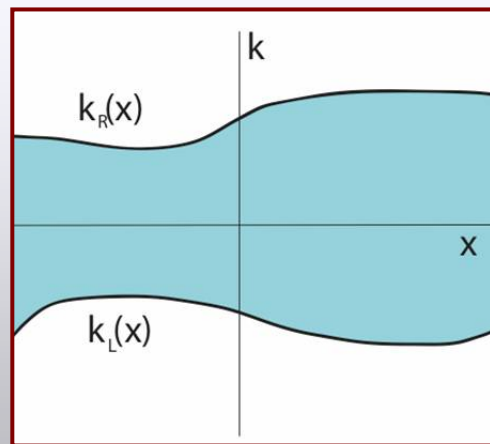
Ground  
State  
BA  
solution



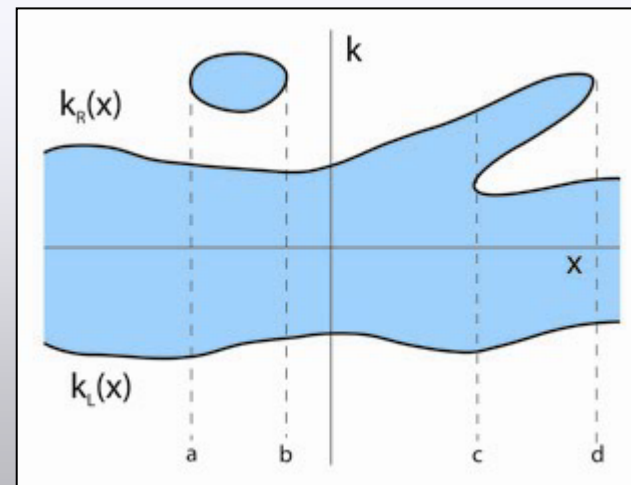
Current  
State  
BA  
solution



Perturbed  
(Hydrodynamic)  
Current  
State  
solution



Evolution  
of the  
solution  
beyond  
hydrodynamic  
description



# Hydrodynamics for integrable models

- **Bethe Ansatz** solution: wave function as a superposition of **two-particles** scattering
- Essentially Free Fermions with corrected density

$$\rho(k) + \int_{q_L}^{q_R} K(k-p, c) \rho(p) dp = \frac{1}{2\pi}$$

- Free Fermions:  $K(k-p, c) = 0 \rightarrow \rho(k) = \frac{1}{2\pi}$

# Part 2: Conclusions

- Hydrodynamics correctly reproduce the **leading behavior** of EFP
- We showed how to construct the hydrodynamic description of **Integrable Models**

## Future developments

- Construct hydrodynamic description of spin models  
(**XY and XXZ Model**)
- Calculate EFP for these models

# Part 3: Spin-Charge Separation

- Luttinger Liquid Spin-Charge separation comes from linear approximation of Bosonization (Haldane, 1979 & 1981, ...):

$$H \sim v_c (\partial_x \phi_c)^2 + v_s (\partial_x \phi_s)^2 + \dots$$

$$\delta H = \frac{1}{k_F} (\partial_x \phi_c)^3 + \frac{3}{k_F} (\partial_x \phi_c) (\partial_x \phi_s)^2$$

- Perturbative calculations with spectrum curvature diverge
- Hydrodynamic approach takes into account the whole spectrum

# Fermions with contact repulsion

$$H = - \sum_{i=1}^N \frac{\partial^2}{\partial x_i^2} + 4c \sum_{i < j} \delta(x_i - x_j)$$

- Complicated (**Nested**) Bethe Ansatz:

$$2\pi\sigma(\Lambda) = - \int_{B_L}^{B_R} \frac{4c\sigma(\Lambda')d\Lambda'}{4c^2 + (\Lambda - \Lambda')^2} + \int_{Q_L}^{Q_R} \frac{2c\rho(k)dk}{c^2 + (\Lambda - k)^2}$$

$$2\pi\rho(k) = 1 + \int_{B_L}^{B_R} \frac{4c\sigma(\Lambda)d\Lambda}{c^2 + 4(k - \Lambda)^2}$$

- The hydrodynamics variables are:

$$\rho = \int_{Q_L}^{Q_R} \rho(k)dk, \quad P = \int_{Q_L}^{Q_R} k\rho(k)dk,$$

$$\rho_s = \int_{B_L}^{B_R} \sigma(\Lambda)d\Lambda, \quad P_s = \int_{B_L}^{B_R} p(\Lambda)\sigma(\Lambda)d\Lambda$$



# Spin-Charge Hydrodynamics

- Hydrodynamic Hamiltonian from the Bethe Ansatz:

$$H(Q_L, Q_R, B_L, B_R) = \int_{Q_L}^{Q_R} k^2 \rho(k) dk = H(\rho, v, \rho_s, v_s)$$

by inverting the relations

$$\rho = \int_{Q_L}^{Q_R} \rho(k) dk, P = \int_{Q_L}^{Q_R} k \rho(k) dk, \rho_s = \int_{B_L}^{B_R} \sigma(\Lambda) d\Lambda, P_s = \int_{B_L}^{B_R} p(\Lambda) \sigma(\Lambda) d\Lambda$$

- The commutation relations complete the (implicit) hydrodynamic description:

$$[\rho(x), v(y)] = [\rho_s(x), v_s(y)] = -i\delta'(x - y)$$

# Part 3: Conclusions

- We constructed the Hydrodynamic description of Fermions with contact interaction in implicit form
- We can calculate the spin current carried by a charge disturbance  $\rightarrow$  spin-charge coupling

## Future directions

- **Expand Hamiltonian** to quadratic terms (bosonization) and beyond
- Find a close expression for the hydrodynamic Hamiltonian
- Apply this technique to **spin Calogero-Sutherland Model**

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- The department Staff, Faculty and my fellow Graduate Students
- My parents: Anna & Paolo

# Publications

- A.G. Abanov and F. Franchini; Phys. Lett. **A 316** (2003) 342-349,  
*“Emptiness Formation Probability for the Anisotropic XY Spin Chain in a Magnetic Field”*  
 (also available on arXiv:cond-mat/0307001).
- F. Franchini and A.G. Abanov; J. Phys. **A 38** (2005) 5069-5096,  
*“Asymptotics of Toeplitz Determinants and the Emptiness Formation Probability for the XY Spin Chain”*  
 (also available on arXiv:cond-mat/0502015).
- F. Franchini, A. R. Its, B.-Q. Jin and V. E. Korepin; to appear in “Proceedings of the  
*26th International Colloquium on Group Theoretical Methods in Physics”*,  
*“Analysis of entropy of XY Spin Chain*  
 (also available on arXiv:quant-ph/0606240)
- F. Franchini and A.G. Abanov; In progress,  
*“Coupling of Spin and Charge Degrees of Freedom in a Hydrodynamic Two-Fluid Approach”*
- F. Franchini and A.S. Goldhaber; In preparation,  
*“Aharonov-Bohm effect with many vortices”*

# Thank You!

# In the Thesis, but not in this presentation

- Aharonov-Bohm effect in 2-D medium:

Discrete spectrum;

Exponential decay for a zero-energy particle;

Topological trapping.

- Integrability of gradient-less hydrodynamic theories:

Construction of the conserved currents.

Region	Critical	$\gamma, h$	$P(n)$	Zeros of $\sigma(q)$	Phase Jumps of $\sigma(q)$
$\Omega_0$ (known)	Yes	$\gamma=0,$ $-1 < h < 1$	$E n^{-1/4} e^{-\alpha n^2}$	$q \notin$ $(-k_f, k_f)$	none
$\Sigma_-$	No	$h < -1$	$E e^{-\beta n}$	none	none
$\Omega_-$	Yes	$h = -1$	$E n^{-1/16} [1 + A n^{-1/2}] e^{-\beta n}$	none	$\pi$
$\Sigma_0$	No	$-1 < h < 1$	$E e^{-\beta n}$	$\pi$	$\pi$
$\Omega_+$	Yes	$h = 1$	$E n^{-1/16} [1 + (-1)^n A n^{-1/2}] e^{-\beta n}$	$\pi$	$0, \pi$
$\Sigma_+$	No	$h > 1$	$E [1 + (-1)^n A] e^{-\beta n}$	$0, \pi$	$0, \pi$

EFP for the Anisotropic XY Model

# Determinant Representation

- From **Bethe Ansatz**, correlators as complicated Fredholm integral operators (and minors of them)
- EFP is the simplest correlator, being expressed as the determinant of such an operator (Korepin et al.):

$$\begin{aligned}
 P(R) &= \lim_{\alpha \rightarrow +\infty} \langle \Psi_G | e^{-\alpha \int_{-R}^R \rho(x) dx} | \Psi_G \rangle \\
 &= \frac{(0 | \det[1 + \hat{V}] | 0)}{\det[1 + \hat{K}]}
 \end{aligned}$$

- With this formula it is possible to find the asymptotic behavior for large  $n$ , but only in very special cases

# Multiple Integral Representation

- For the critical XXZ spin-1/2 Heisenberg chain ( $\Delta = \cos \zeta$ ) (Kitanine et al. 2002):

$$\mathbf{P}(\mathbf{n}) = \lim_{\xi_1 \dots \xi_n \rightarrow -i\frac{\zeta}{2}} \frac{1}{\mathbf{n}!} \int_{-\infty}^{\infty} \frac{\mathbf{Z}_n(\{\lambda\}, \{\xi\})}{\prod_{a < b}^n \sinh(\xi_a - \xi_b)} \det_n \left( \frac{i}{2\zeta \sinh \frac{\pi}{\zeta} (\lambda_j - \xi_k)} \right) d^n \lambda$$

$$\mathbf{Z}_n(\{\lambda\}, \{\xi\}) = \frac{\prod_{a=1}^n \prod_{b=1}^n \sinh(\lambda_a - \xi_b) \sinh(\lambda_a - \xi_b - i\zeta)}{\prod_{a < b}^n \sinh(\lambda_a - \lambda_b - i\zeta)} \cdot \frac{\det_n \left( \frac{-i \sin \zeta}{\sinh(\lambda_j - \xi_k) \sinh(\lambda_j - \xi_k - i\zeta)} \right)}{\prod_{a < b}^n \sinh(\xi_a - \xi_b)}$$



# Hydrodynamics approach

- In general the Bethe Ansatz gives:

$$\rho(k) + \int_{q_L}^{q_R} K(k-p, c) \rho(p) dp = \frac{1}{2\pi}$$

$$H = \int_{q_L}^{q_R} \frac{k^2}{2} \rho(k) dk$$

- Using Galilean invariance we make a boost:

$$H = \frac{\rho v^2}{2} + \int_{-q}^q \frac{k^2}{2} \rho(k) dk = \frac{\rho v^2}{2} + \rho \epsilon(\rho)$$

$$\rho(x) = \int_{k_L}^{k_R} \rho(k) dk$$

# Non-linear Bosonization

- We are interested in bilinears like:

$$:\psi^\dagger(x)\psi(x+\epsilon): = \frac{1}{2\pi} : e^{i\sqrt{4\pi}(\phi(x+\epsilon)-\phi(x))} : e^{i4\pi\langle\phi(x)\phi(x+\epsilon)\rangle}$$

$$= \frac{e^{i\sqrt{4\pi}\sum_{n=1}^{\infty}\frac{\epsilon^n}{n!}\phi^{(n)}(x)} - 1}{2i\pi\epsilon}$$

- This is the generator for the currents:

$$\psi^\dagger(x)\psi(x+\epsilon) = \sum_{n=0}^{\infty}\frac{\epsilon^n}{n!}\psi^\dagger(x)\partial^n\psi(x) \equiv \sum_{n=0}^{\infty}\frac{\epsilon^n}{n!}J_n(x)$$

# Non-linear Bosonization (cont.)

- The currents are:

– Density:  $J_0 = \psi^\dagger(x)\psi(x) = \frac{1}{\sqrt{\pi}}\partial_x\phi(x)$

– Current Density:  $J_1 = \psi^\dagger(x)\partial_x\psi(x) = i(\partial_x\phi(x))^2 + \frac{1}{\sqrt{4\pi}}\partial_x^2\phi$

– Hamiltonian:  $J_2 = \psi^\dagger(x)\partial_x^2\psi(x)$

$$= -\frac{\sqrt{4\pi}}{3}(\partial_x\phi(x))^3 + i(\partial_x\phi)(\partial_x^2\phi) + \frac{1}{3\sqrt{4\pi}}\partial_x^3\phi$$

# Linear vs. Non-linear Bosonization

- One linearizes the spectrum around the Fermi Points:

$$H = -\psi^\dagger \partial_x^2 \psi \simeq - \sum_{L,R} \psi_{L,R}^\dagger (\partial_x \pm ik_F)^2 \psi_{L,R}$$

- And after Bosonization:

$$H \sim k_F (\partial_x \phi_R)^2 + k_F (\partial_x \phi_L)^2 + \dots$$

- While from the non-linear procedure we got:

$$H = (\partial_x \phi_R)^3 + (\partial_x \phi_L)^3$$

# Spin-Charge Separation?

- One defines Spin and Charge degrees of freedom:

$$\phi_c = \phi_{\uparrow} + \phi_{\downarrow}$$

$$\phi_s = \phi_{\uparrow} - \phi_{\downarrow}$$

- And the linear theory gives

$$H \sim k_F (\partial_x \phi_c)^2 + k_F (\partial_x \phi_s)^2 + \dots$$

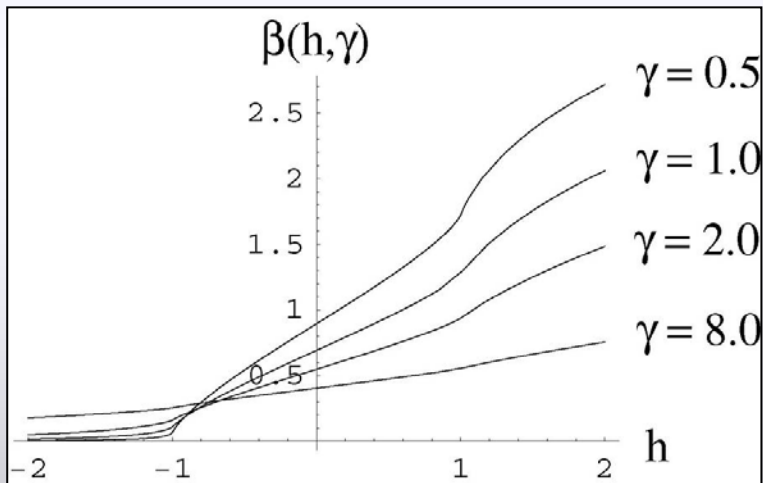
- While from the non-linear one gives:

$$H = (\partial_x \phi_c)^3 + 3 (\partial_x \phi_c) (\partial_x \phi_s)^2$$

# EFP in the Non Critical Regions

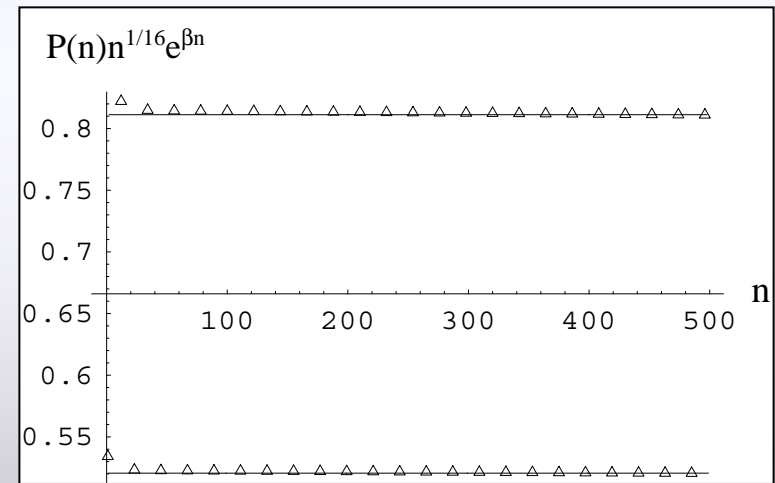
$$\Sigma_{-,0} : \mathbf{P}(n) \sim \mathbf{E}_{-,0}(\mathbf{h}, \gamma) e^{-\beta(\mathbf{h}, \gamma)n}$$

$$\Sigma_{+} : \mathbf{P}(n) \sim \mathbf{E}_{+}(\mathbf{h}, \gamma) \left[ 1 + (-1)^n \mathbf{A}(\mathbf{h}, \gamma) \right] e^{-\beta(\mathbf{h}, \gamma)n}$$



$$\beta(\mathbf{h}, \gamma) = - \int_{-\pi}^{\pi} \text{Log}(\sigma(\mathbf{q})) \frac{d\mathbf{q}}{2\pi}$$

(defined for  $\gamma \neq 0$ )



Numerical vs. Analytical results at  $\gamma=1$ ,  $h=1.5$

(Oscillatory behavior  $\rightarrow$

$Z_2$  symmetry breakdown at  $h=1$ )

# Critical Phase: $\Omega_0$

- Studied by Shiroshi et al. (2001) and in the '70s in the context of Unitary Random Matrices
- For  $\gamma=0$ ,  $\sigma(q)$  has only limited support
- Widom's Theorem  $\rightarrow$   
the behavior is **Gaussian** with a **power law** pre-factor:

$$\mathbf{P}(\mathbf{n}) \sim 2^{\frac{5}{24}} e^{3\zeta'(-1)} (1-\mathbf{h})^{-\frac{1}{8}} \mathbf{n}^{-\frac{1}{4}} \left( \frac{1+\mathbf{h}}{2} \right)^{\mathbf{n}^2/2} = \mathbf{E}_0^c(\mathbf{h}) \mathbf{n}^{-\frac{1}{4}} e^{-\alpha(\mathbf{h}) \mathbf{n}^2}$$

# EFP in the Critical Phases $\Omega_{\pm}$

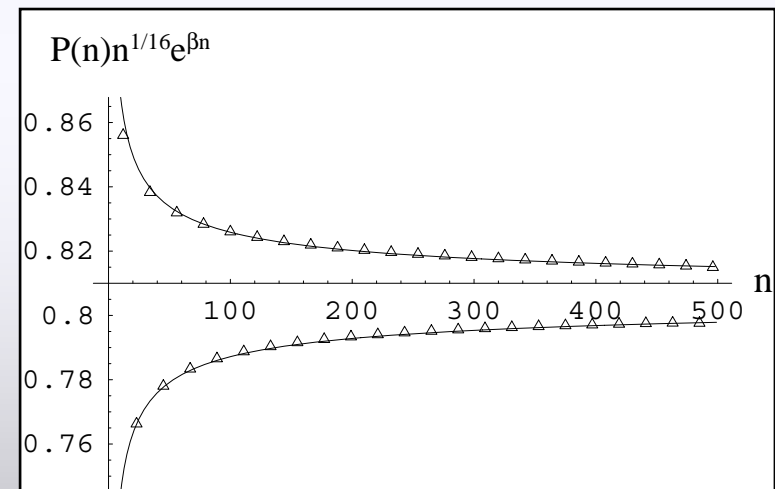
- Generalized Fisher-Hartwig conjecture:  $\mathbf{P}(\mathbf{n}) \sim \mathbf{E}(\gamma) \mathbf{n}^{-\frac{1}{16}} \mathbf{e}^{-\beta(\mathbf{h}, \gamma) \mathbf{n}}$
- Stretching the conjecture beyond its limits, we add a subleading term:

$\Omega_- :$

$$\mathbf{P}(\mathbf{n}) \sim \mathbf{E}_-^c(\gamma) \mathbf{n}^{-\frac{1}{16}} \left[ \mathbf{1} + \mathbf{A}_-^c(\gamma) \mathbf{n}^{-\frac{1}{2}} \right] \mathbf{e}^{-\beta(-1, \gamma) \mathbf{n}}$$

$\Omega_+ :$

$$\mathbf{P}(\mathbf{n}) \sim \mathbf{E}_+^c(\gamma) \mathbf{n}^{-\frac{1}{16}} \left[ \mathbf{1} + (-1)^n \mathbf{A}_+^c(\gamma) \mathbf{n}^{-\frac{1}{2}} \right] \mathbf{e}^{-\beta(1, \gamma) \mathbf{n}}$$



Numerical vs. Analytical  
results at  $\gamma=1, h=1$



# The Fisher-Hartwig Conjecture

- We parametrize the generating function as:

$$\sigma(q) = \tau(q) \prod_{r=1}^R e^{-\kappa_r (\pi - (q - \theta_r) \bmod 2\pi)} (2 - 2 \cos(q - \theta_r))^{\lambda_r}$$

where  $\tau(q)$  is a smooth, non-zero function with winding number 0

- The asymptotic behavior of the determinant is:

$$\det(S_n) \underset{n \rightarrow \infty}{\sim} \mathbf{E}[\tau, \kappa, \lambda] n^{\sum (\lambda_r^2 - \kappa_r^2)} e^{-\beta[\tau]n}$$

$$\beta[\tau] = - \int_{-\pi}^{\pi} \text{Log}(\tau(q)) \frac{dq}{2\pi}$$

# The generalized FH Conjecture

- When more than one parametrization exists:

$$\sigma(\mathbf{q}) = \tau^{\mathbf{a}}(\mathbf{q}) \prod_{r=1}^R e^{-i\kappa_r^{\mathbf{a}}(\pi - (\mathbf{q} - \theta_r) \bmod 2\pi)} (2 - 2\cos(\mathbf{q} - \theta_r))^{\lambda_r^{\mathbf{a}}}$$

the asymptotic behavior of the determinant is expressed as a sum of terms:

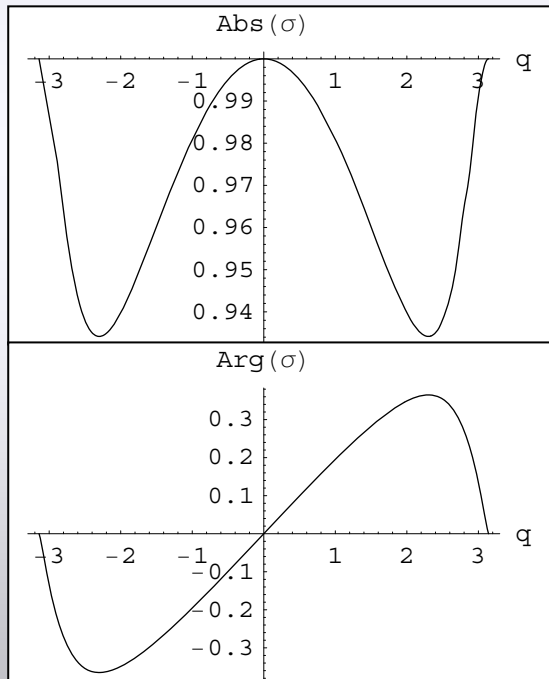
$$\det(\mathbf{S}_n) \underset{n \rightarrow \infty}{\sim} \sum_{\mathbf{a} \in \mathbf{T}} \mathbf{E}[\tau^{\mathbf{a}}, \kappa^{\mathbf{a}}, \lambda^{\mathbf{a}}] \mathbf{n}^{\Omega} e^{-\beta[\tau^{\mathbf{a}}] \mathbf{n}} ; \quad \beta[\tau^{\mathbf{a}}] = -\int_{-\pi}^{\pi} \text{Log}(\tau^{\mathbf{a}}(\mathbf{q})) \frac{d\mathbf{q}}{2\pi}$$

$$\mathbf{T} = \left\{ \mathbf{a}; \Sigma \left( (\lambda_r^{\mathbf{a}})^2 - (\kappa_r^{\mathbf{a}})^2 \right) = \max_j \Sigma \left( (\lambda_r^j)^2 - (\kappa_r^j)^2 \right) = \Omega \right\}$$

# Non Critical Regions: $\Sigma_-$ , $\Sigma_0$ and $\Sigma_+$

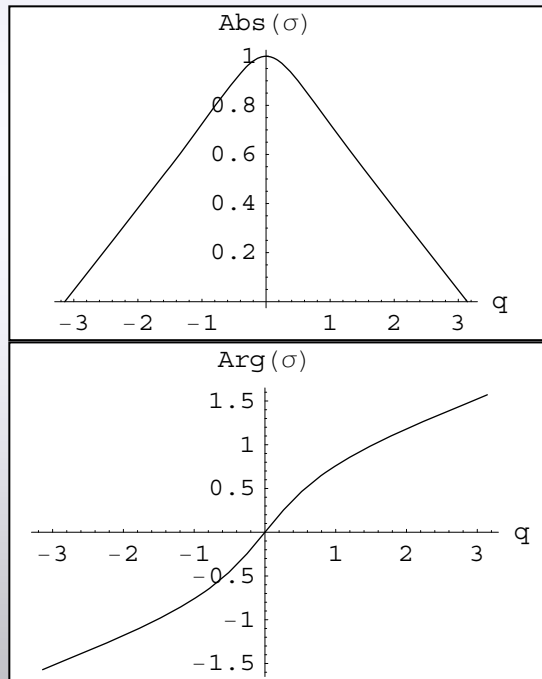
- The generating function has different structures:

$\Sigma_-$



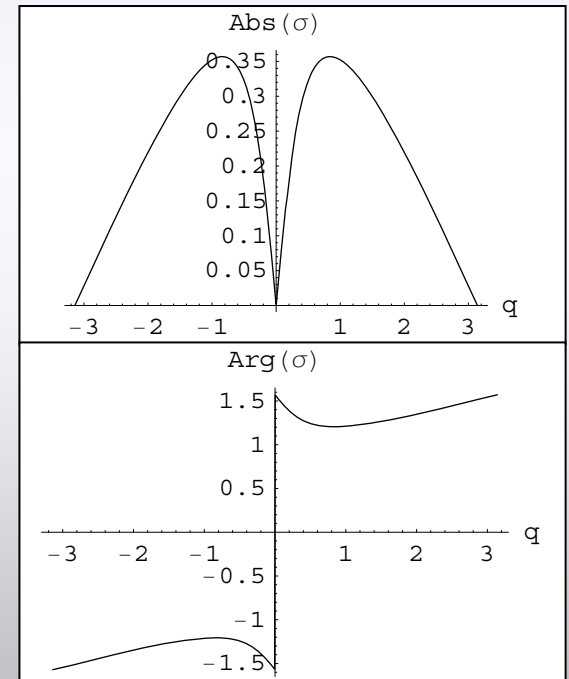
Abs( $\sigma$ ) and Arg( $\sigma$ ) for  $\gamma=1, h=-1.5$ ;

$\Sigma_0$



$\gamma=1, h=0.5$ ;

$\Sigma_+$

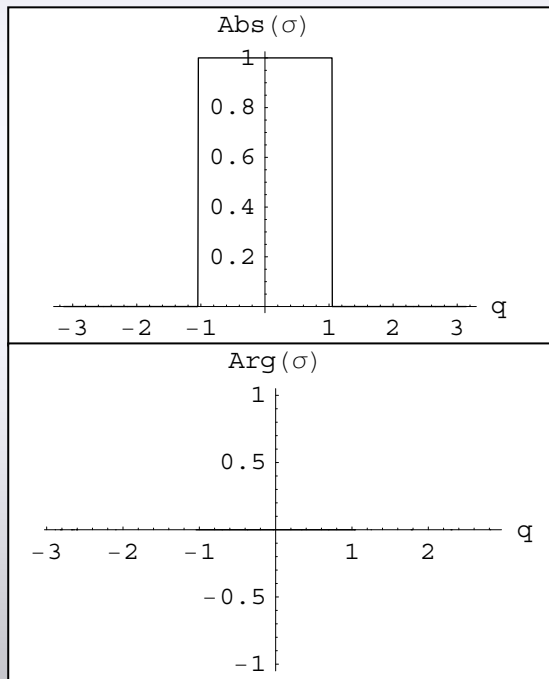


$\gamma=1, h=1.5$

# Critical Phases: $\Omega_0$ , $\Omega_-$ , $\Omega_+$

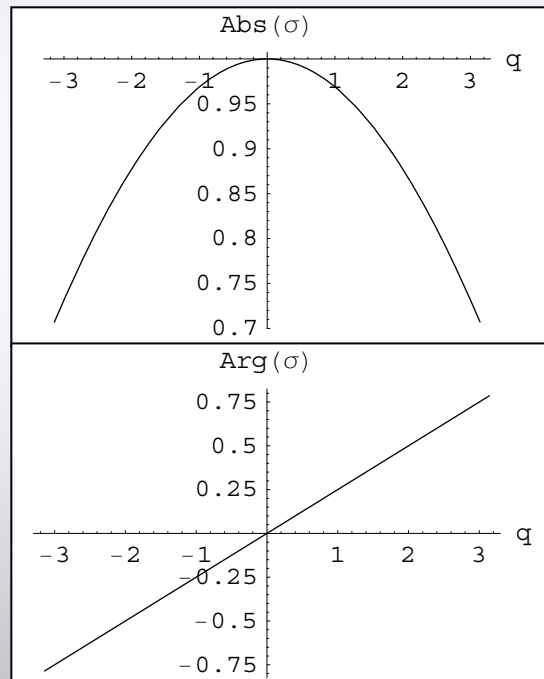
- The generating function presents the following behavior:

## $\Omega_0$



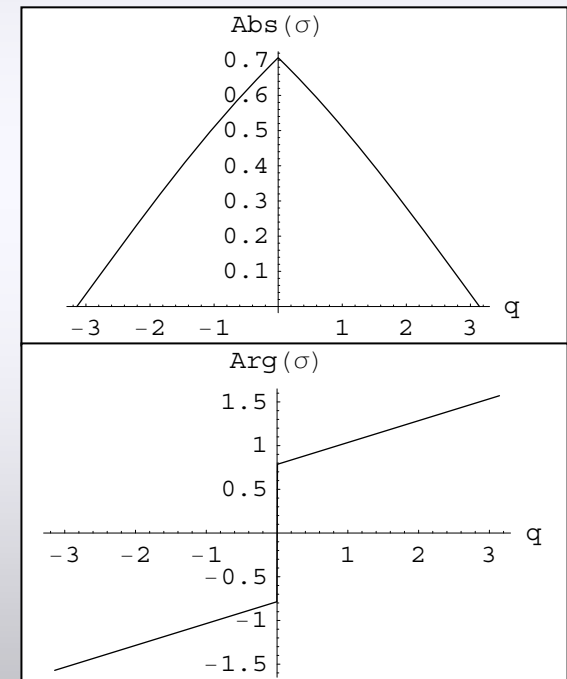
Abs( $\sigma$ ) and Arg( $\sigma$ ) for  $\gamma=0$ ,  $h=0.5$

## $\Omega_-$



Abs( $\sigma$ ) and Arg( $\sigma$ ) for  $\gamma=1$ ,  $h=-1$

## $\Omega_+$



Abs( $\sigma$ ) and Arg( $\sigma$ ) for  $\gamma=1$ ,  $h=1$