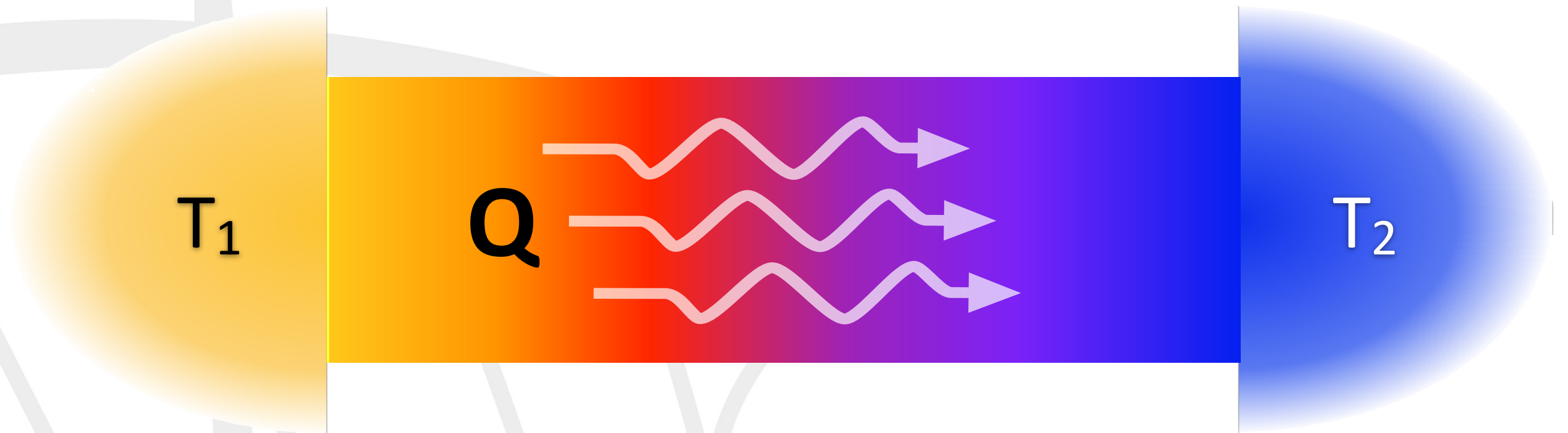


ab initio Green-Kubo simulation of heat transport in liquids, glasses, and strongly anharmonic solids

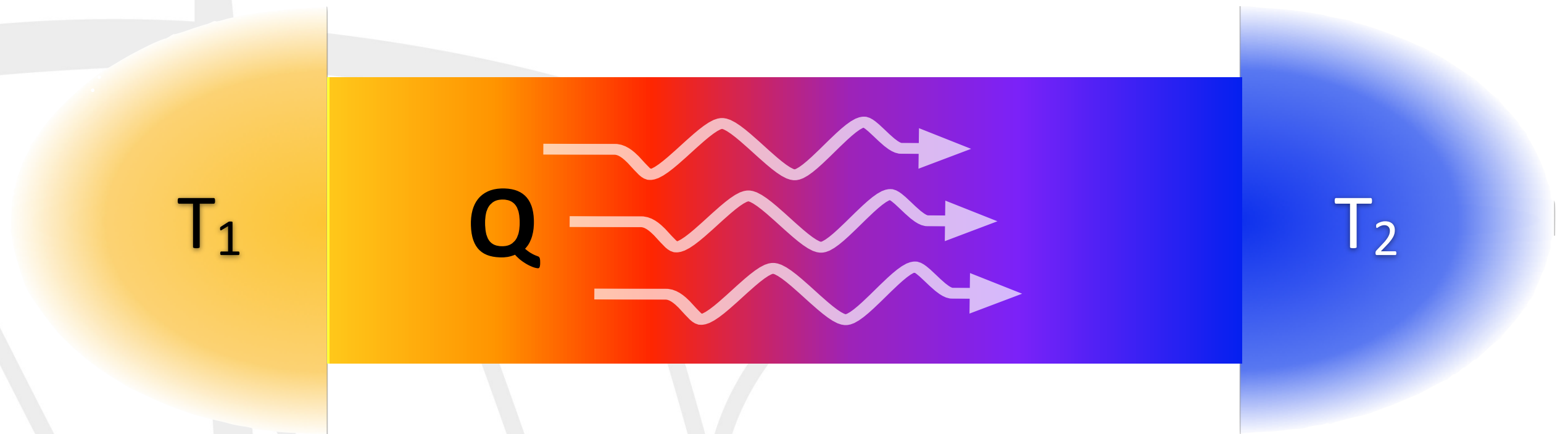
Stefano Baroni

Scuola Internazionale Superiore di Studi Avanzati, Trieste

what heat transport is all about

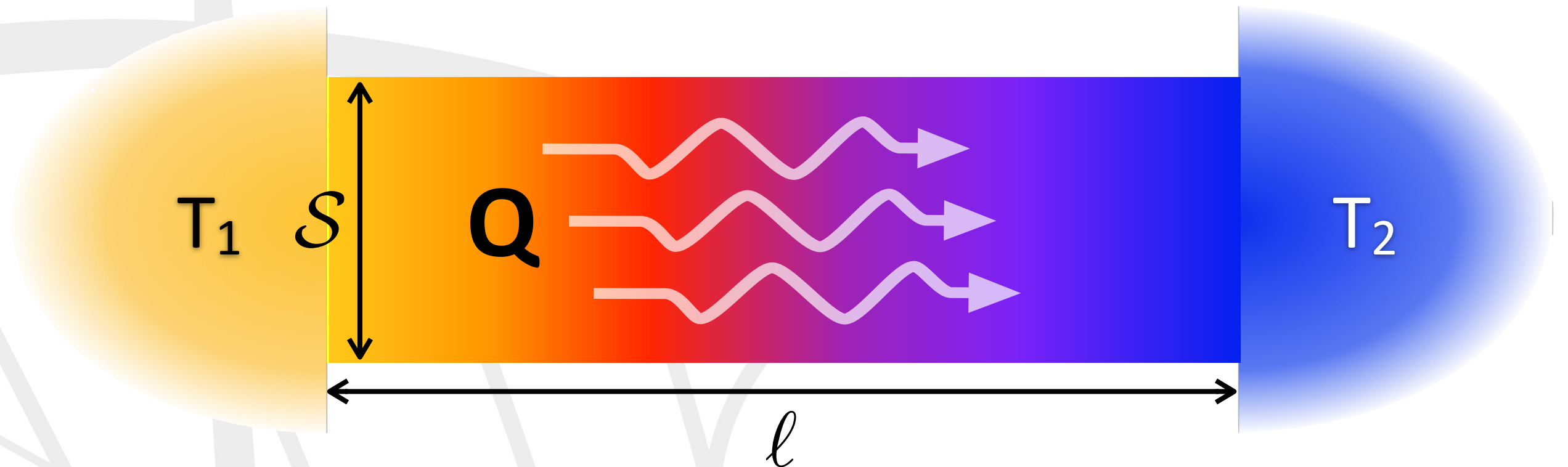


what heat transport is all about



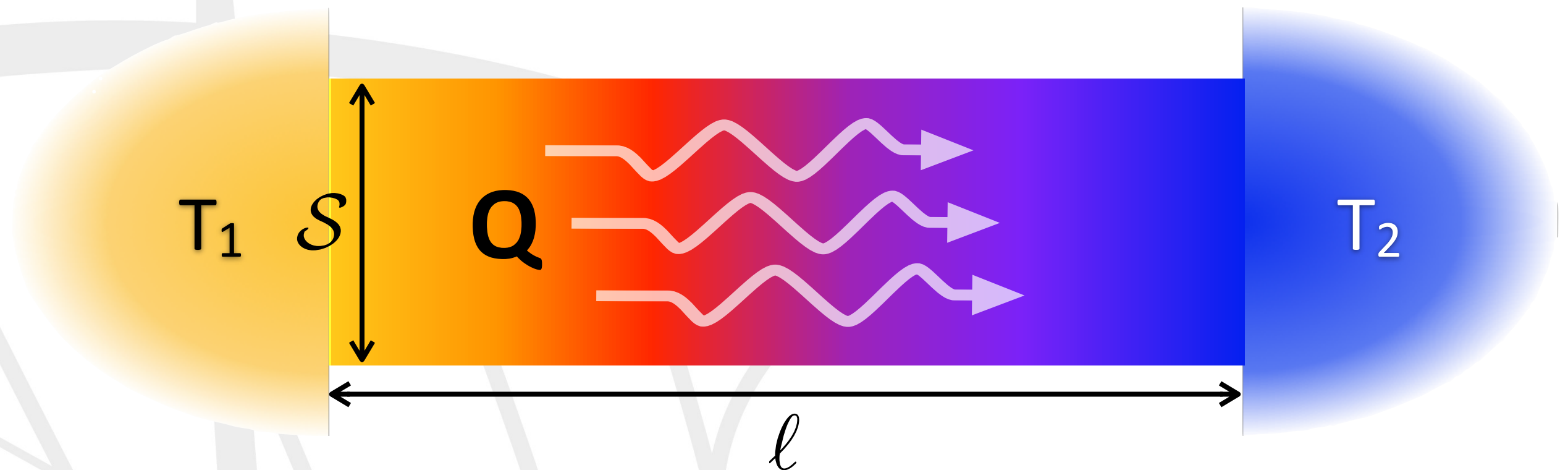
heat flows from the warm to the cool
as time flows from the past to the future

what heat transport is all about



$$\frac{1}{S} \frac{dQ}{dt} = -\kappa \frac{(T_2 - T_1)}{\ell}$$

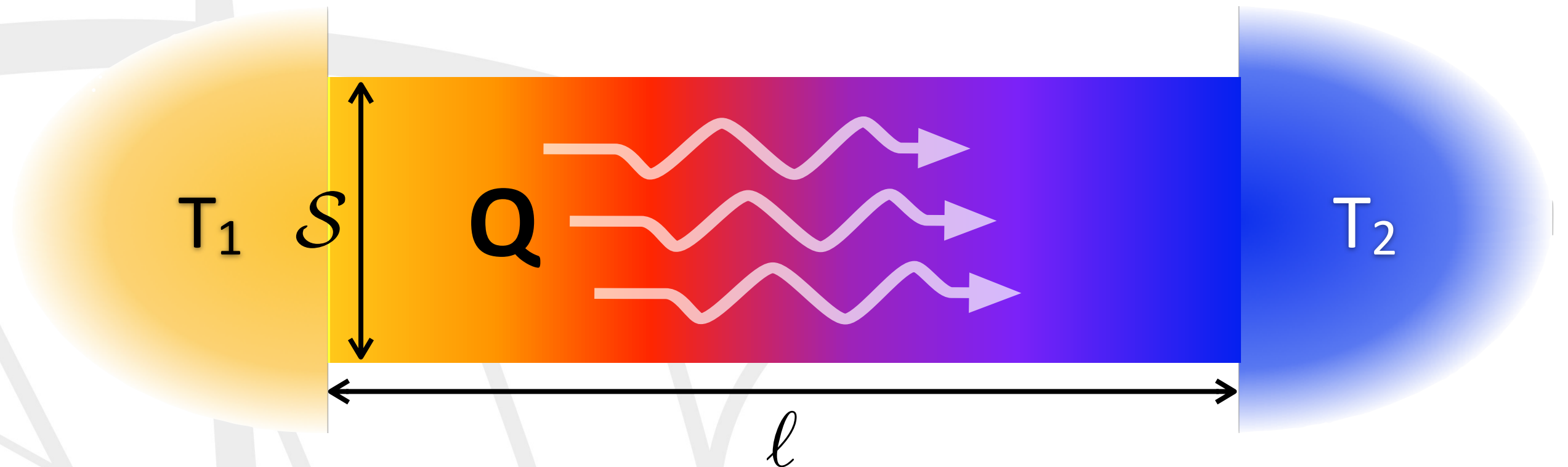
what heat transport is all about



$$\frac{1}{S} \frac{dQ}{dt} = -\kappa \frac{(T_2 - T_1)}{\ell}$$

$$\mathbf{j}_Q(\mathbf{r}, t) = -\kappa \nabla T(\mathbf{r}, t)$$

what heat transport is all about



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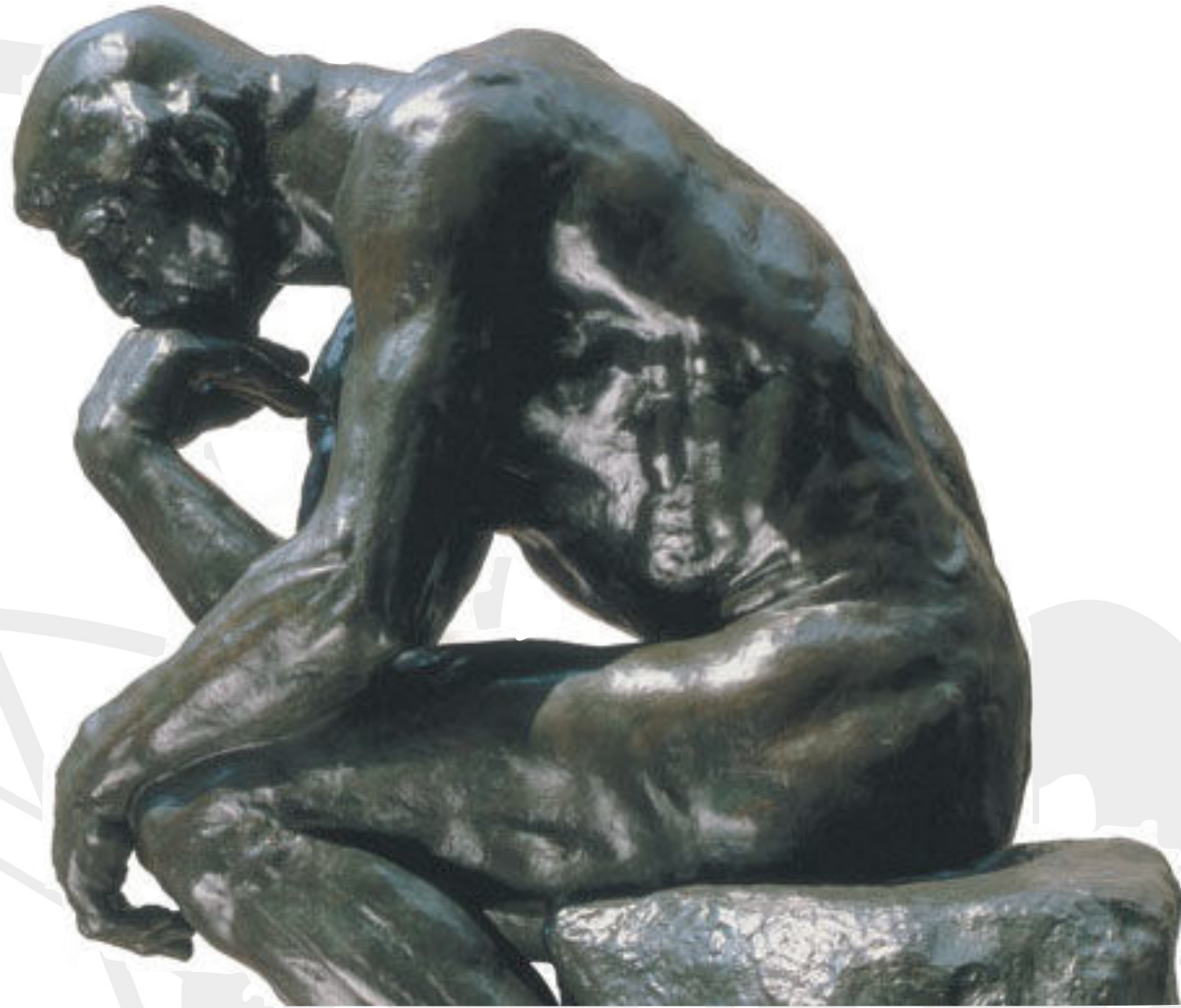


why should we care?

why should we care?

- energy saving and heat dissipation
- heat management in devices
- heat shielding
- energy conversion
- earth and planetary sciences
- ...

why should we care?



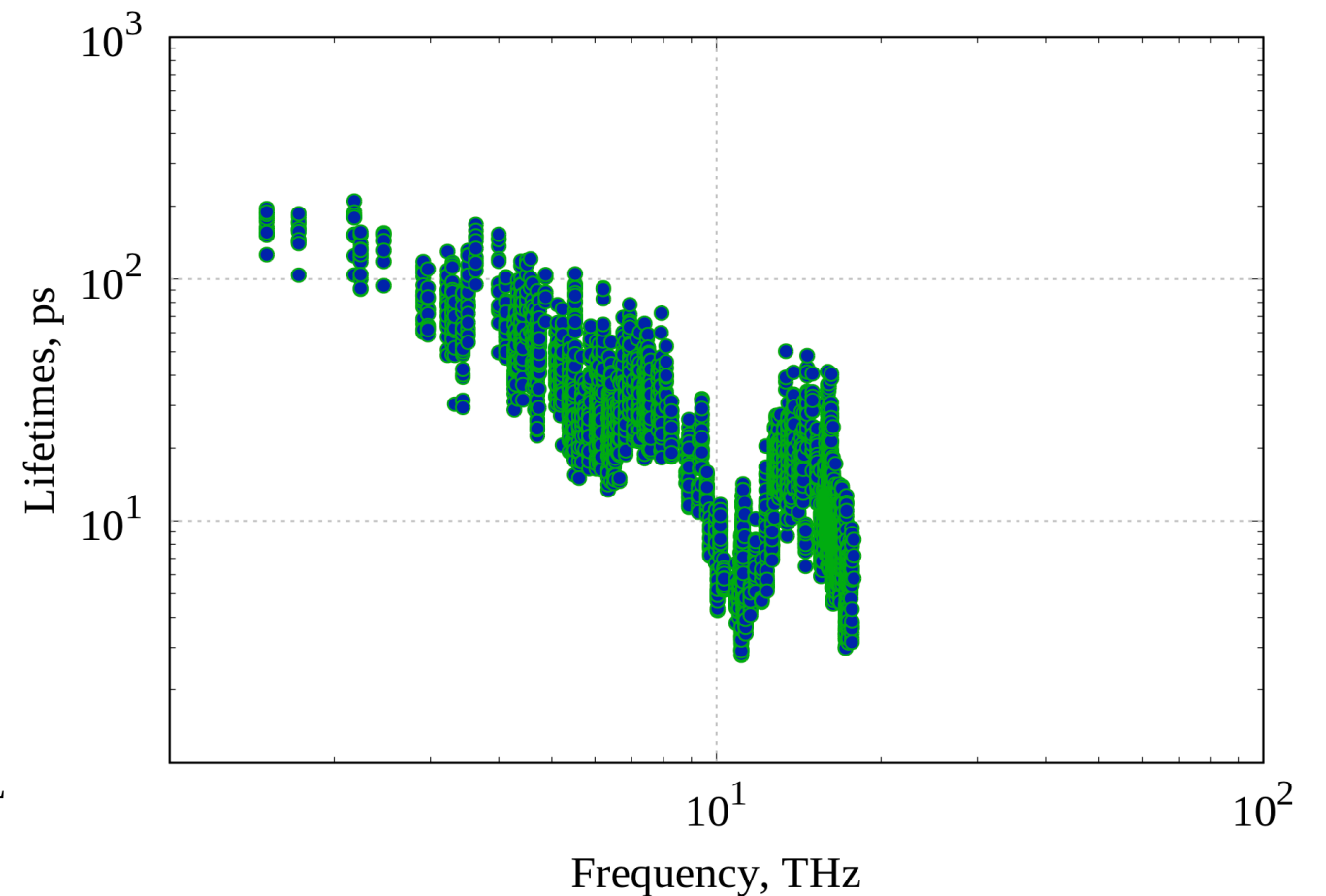
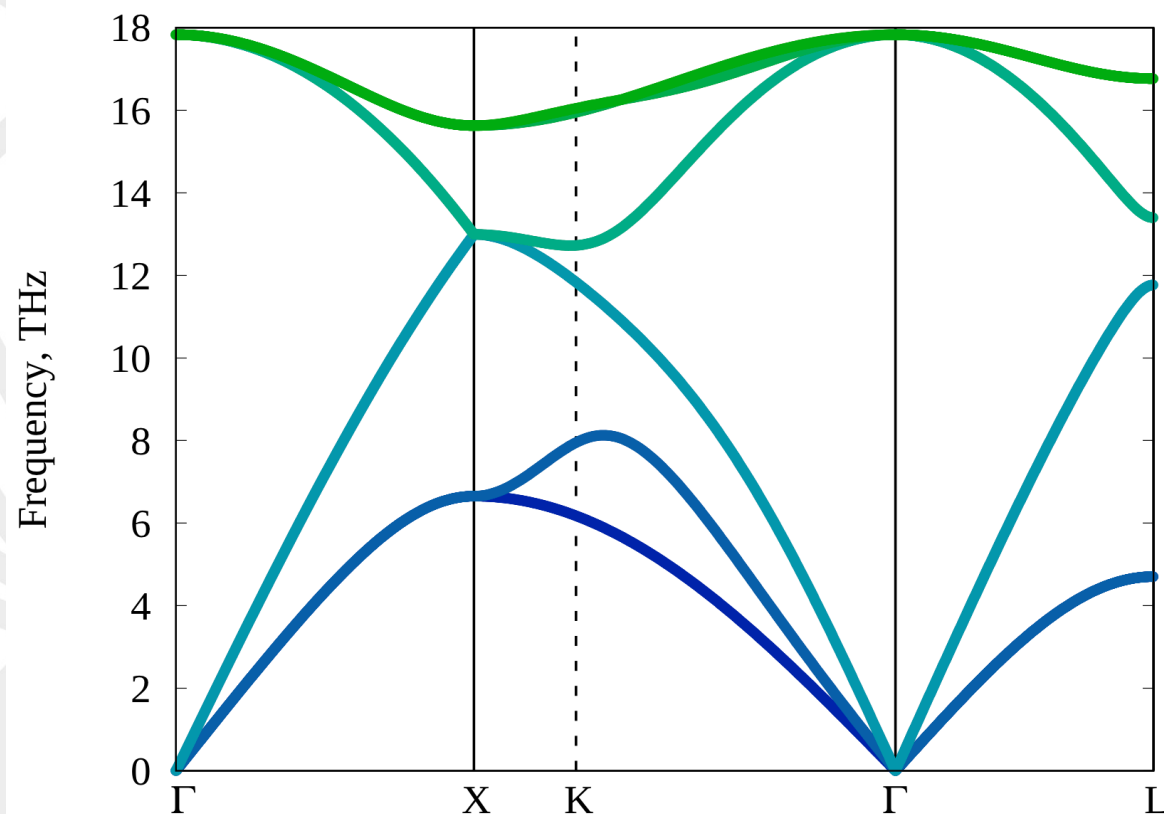
- ... because it is important and poorly understood

Boltzmann's Transport Equation

$$\frac{\partial n_{\nu}(\mathbf{r}, \mathbf{k}; t)}{\partial t} + \mathbf{v}_{\nu}(\mathbf{k}) \cdot \nabla_{\mathbf{r}} n_{\nu}(\mathbf{r}, \mathbf{k}; t) =$$

$$- \frac{1}{V} \sum_{\nu' \mathbf{k}'} \Omega_{\nu \nu'}(\mathbf{k}, \mathbf{k}') (n_{\nu'}(\mathbf{r}, \mathbf{k}'; t) - \bar{n}_{\nu'}(\mathbf{r}, \mathbf{k}'; t))$$

$$\bar{n}_{\nu}(\mathbf{r}, \mathbf{k}; t) = \frac{1}{e^{\frac{\hbar \omega_{\nu}(\mathbf{k})}{k_B T(\mathbf{r})}} - 1}$$



Green-Kubo theory

$$\kappa = \frac{1}{3V k_B T^2} \int_0^\infty \langle \mathbf{J}_q(t) \cdot \mathbf{J}_q(0) \rangle dt$$

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the classical MD ansatz

$$e(\mathbf{r}, \mathbf{t}) = \sum_I \delta(\mathbf{r} - \mathbf{R}_I(\mathbf{t})) \epsilon_I(\mathbf{R}(\mathbf{t}), \mathbf{V}(\mathbf{t}))$$

$$\epsilon_I(\mathbf{R}, \mathbf{V}) = \frac{1}{2} M_I \mathbf{V}_I^2 + \frac{1}{2} \sum_{J \neq I} v(|\mathbf{R}_I - \mathbf{R}_J|)$$

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$$\mathbf{J}_e = \sum_I \epsilon_I \mathbf{V}_I + \frac{1}{2} \sum_{I \neq J} (\mathbf{V}_I \cdot \mathbf{F}_{IJ})(\mathbf{R}_I - \mathbf{R}_J)$$

hurdles towards an ab initio Green-Kubo theory

PRL **104**, 208501 (2010)

PHYSICAL REVIEW LETTERS

week ending
21 MAY 2010

Thermal Conductivity of Periclase (MgO) from First Principles

Stephen Stackhouse, Lars Stixrude, and Bijaya B. Karki

sensitive to the form of the potential. The widely used Green-Kubo relation [14] does not serve our purposes, because in first-principles calculations it is impossible to uniquely decompose the total energy into individual contributions from each atom.



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PRL 118, 175901 (2017)

PHYSICAL REVIEW LETTERS

week ending
28 APRIL 2017

Ab Initio Green-Kubo Approach for the Thermal Conductivity of Solids

Christian Carbogno, Rampi Ramprasad, and Matthias Scheffler

ulations: Because of the limited time scales accessible in aiMD runs, thermodynamic fluctuations dominate the HFACF, which in turn prevents a reliable and numerically stable assessment of the thermal conductivity via Eq. (2).



insights from classical mechanics

$$E = \sum_I \epsilon_I(\mathbf{R}, \mathbf{V})$$
$$= \text{cnst}$$

$$\epsilon_I(\mathbf{R}, \mathbf{V}) = \frac{1}{2} M_I \mathbf{V}_I^2 + \frac{1}{2} \sum_{J \neq I} v(|\mathbf{R}_I - \mathbf{R}_J|)$$

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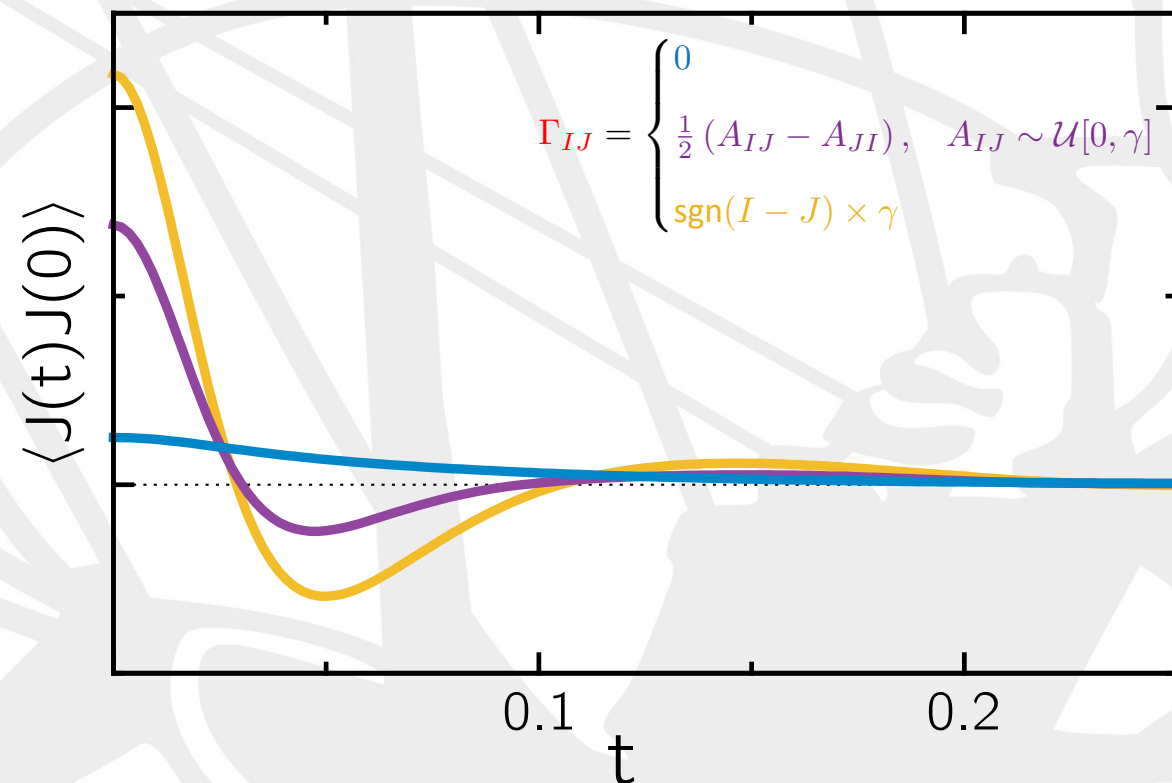
$$\begin{aligned} \mathbf{J}_e = & \sum_I \epsilon_I \mathbf{V}_I + \frac{1}{2} \sum_{I \neq J} (\mathbf{V}_I \cdot \mathbf{F}_{IJ}) (\mathbf{R}_I - \mathbf{R}_J) \\ & + \frac{1}{2} \sum_{I \neq J} \Gamma_{IJ} [\mathbf{V}_I v(|\mathbf{R}_I - \mathbf{R}_J|) + (\mathbf{V}_I \cdot \mathbf{F}_{IJ}) (\mathbf{R}_I - \mathbf{R}_I)] \end{aligned}$$

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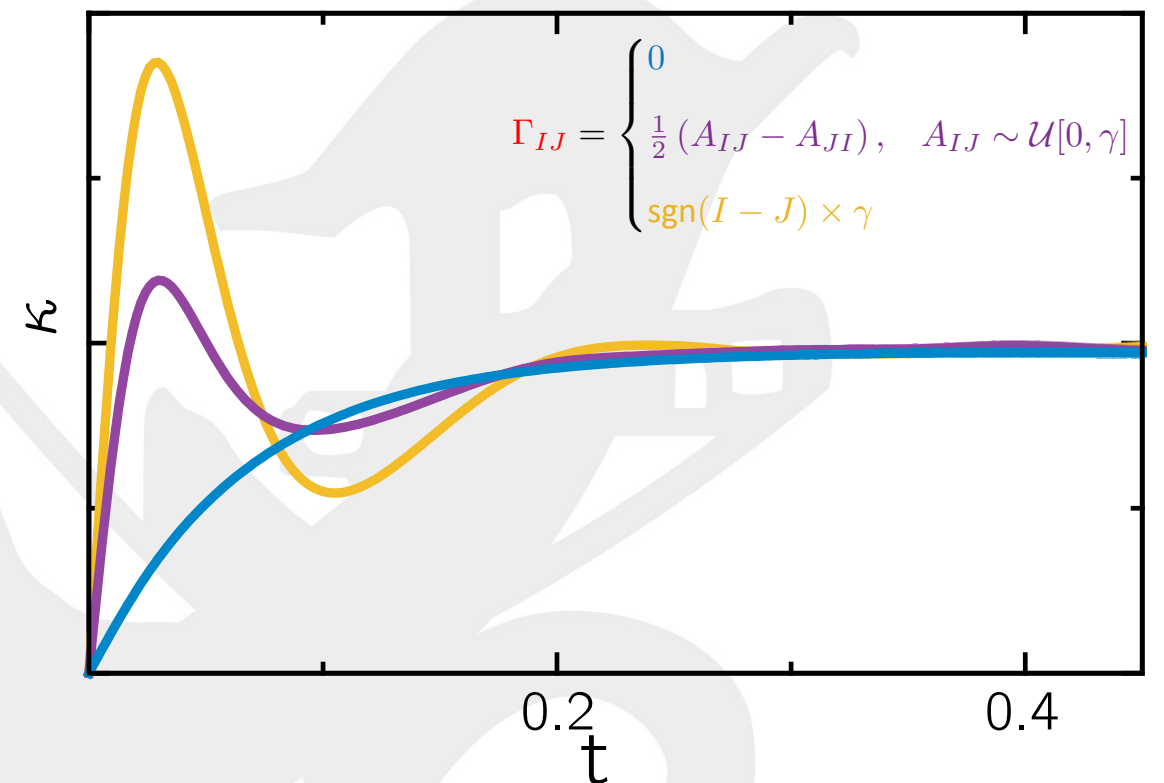
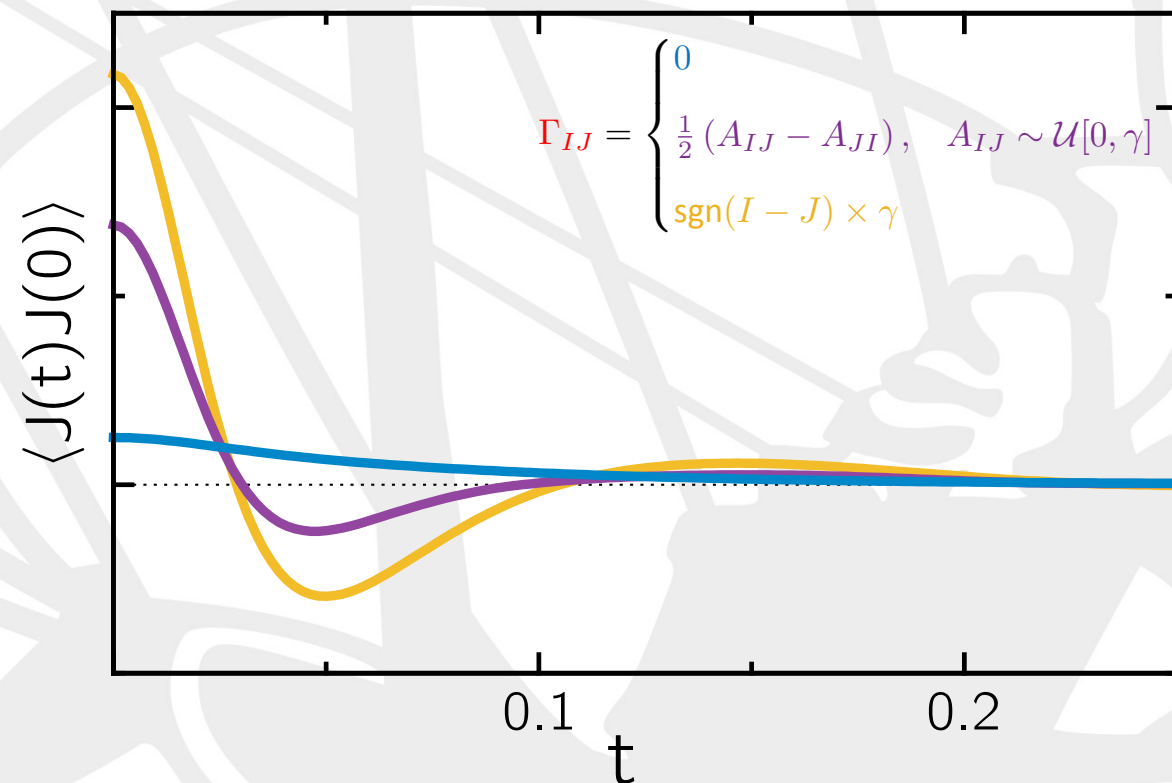
insights from classical mechanics

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insights from classical mechanics

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insights from classical mechanics

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insights from classical mechanics

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$$\dot{\mathbf{p}} = \frac{d}{dt} \frac{1}{4} \sum_{I \neq J} \Gamma_{IJ} v(|\mathbf{R}_I - \mathbf{R}_J|) (\mathbf{R}_I - \mathbf{R}_J)$$

insights from classical mechanics

$$\mathbf{J}' = \mathbf{J} + \dot{\mathbf{P}}$$

insights from classical mechanics

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$$\begin{aligned} \int_0^\infty \langle \mathbf{J}'(t) \cdot \mathbf{J}'(0) \rangle dt &= \int_0^\infty \langle \mathbf{J}(t) \cdot \mathbf{J}(0) \rangle dt \\ &+ \int_0^\infty \left(\langle \dot{\mathbf{P}}(t) \cdot \mathbf{J}(0) \rangle + \langle \dot{\mathbf{P}}(-t) \cdot \mathbf{J}(0) \rangle \right) dt \\ &+ \int_0^\infty \langle \dot{\mathbf{P}}(t) \cdot \dot{\mathbf{P}}(0) \rangle dt \end{aligned}$$

insights from classical mechanics

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insights from classical mechanics

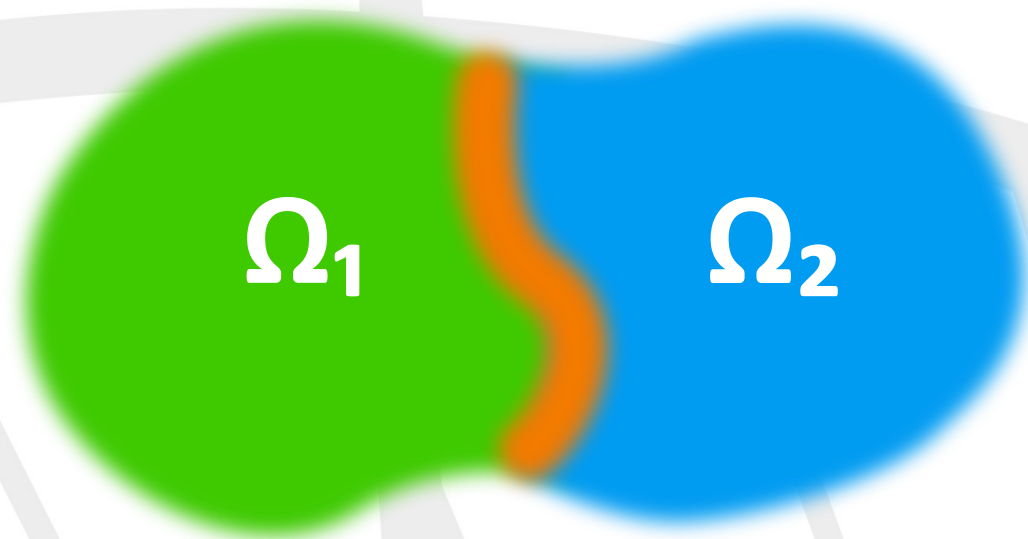
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$$\int_0^\infty \langle \mathbf{J}'(t) \cdot \mathbf{J}'(0) \rangle dt = \int_0^\infty \langle \mathbf{J}(t) \cdot \mathbf{J}(0) \rangle dt + \int_0^\infty (\langle \cancel{\mathbf{P}(t)} \cdot \mathbf{J}(0) \rangle + \langle \cancel{\dot{\mathbf{P}}(-t)} \cdot \mathbf{J}(0) \rangle) dt$$

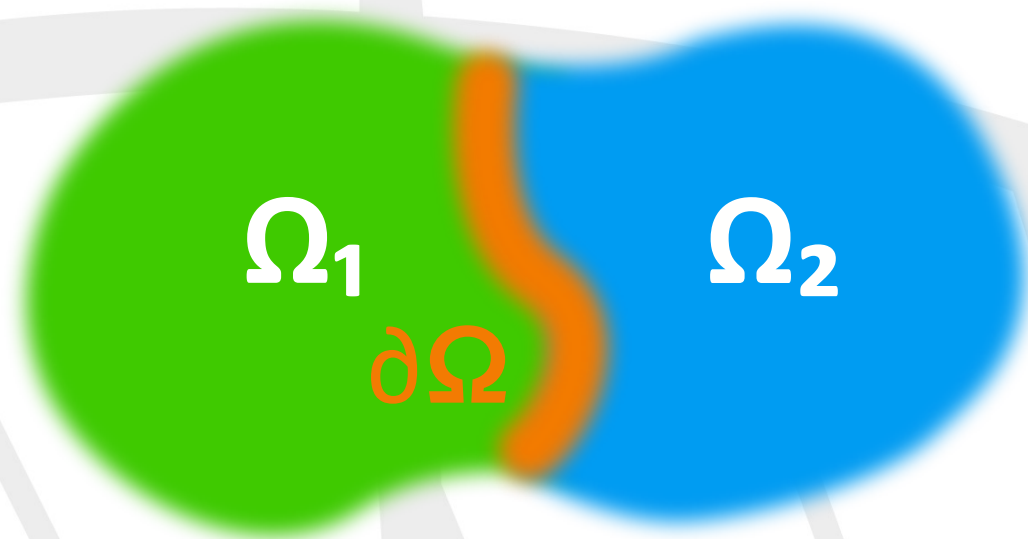
$$\kappa' = \kappa$$

gauge invariance



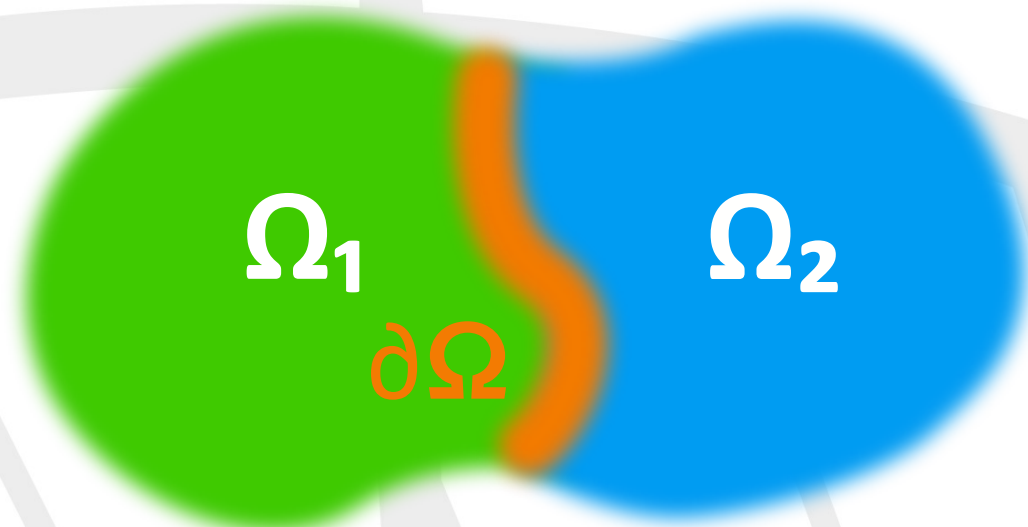
$$E[\Omega_1 \cup \Omega_2] = E[\Omega_1] + E[\Omega_2] + W[\partial\Omega]$$

gauge invariance



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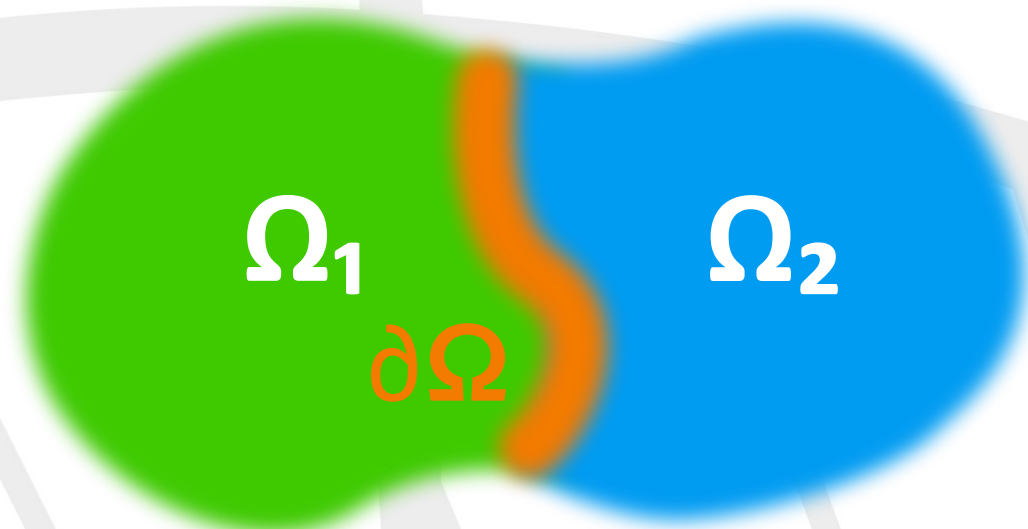
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$$\begin{aligned} E[\Omega_1 \cup \Omega_2] &= E[\Omega_1] + E[\Omega_2] + W[\partial\Omega] \\ &\stackrel{?}{=} \mathcal{E}[\Omega_1] + \mathcal{E}[\Omega_2] \end{aligned}$$

$$\mathcal{E}[\Omega] = \int_{\Omega} e(\mathbf{r}) d\mathbf{r}$$

gauge invariance

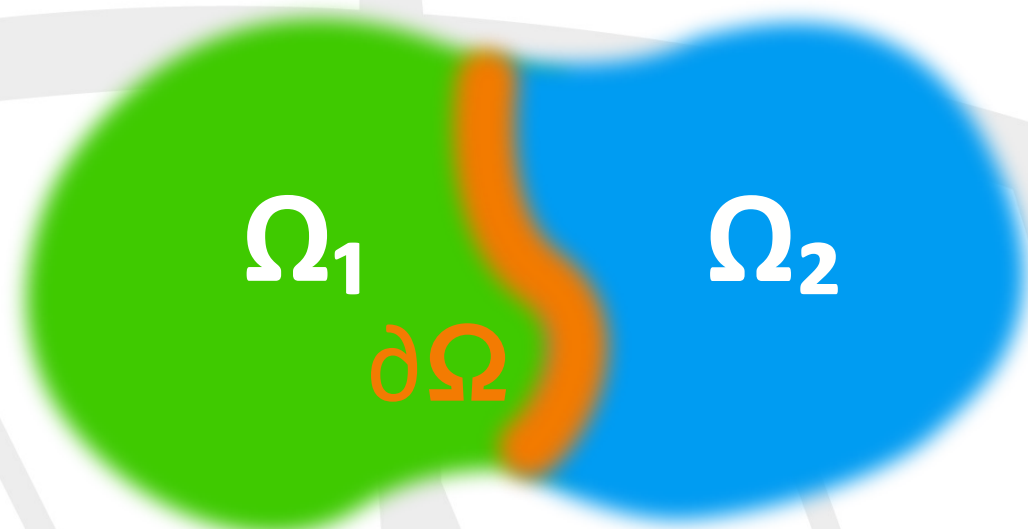


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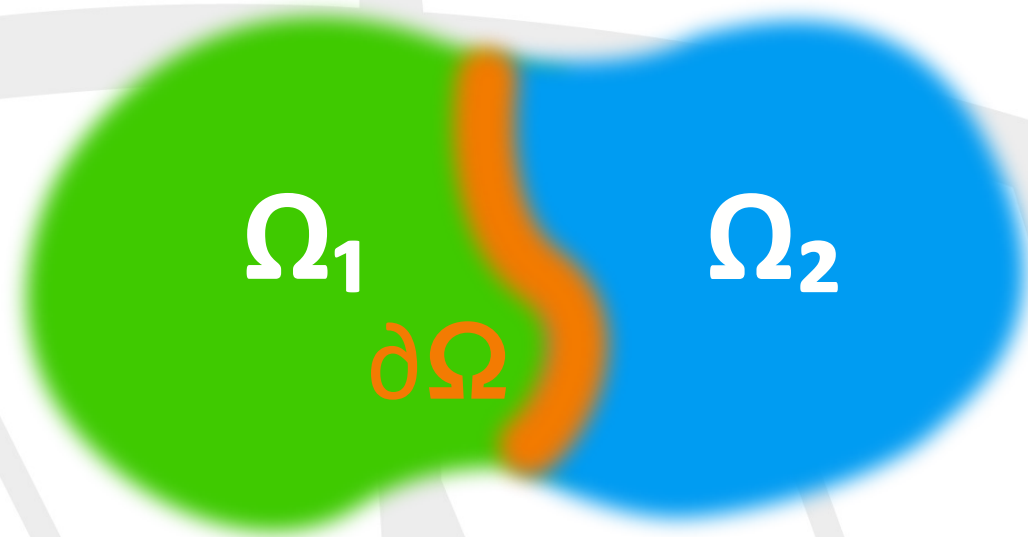
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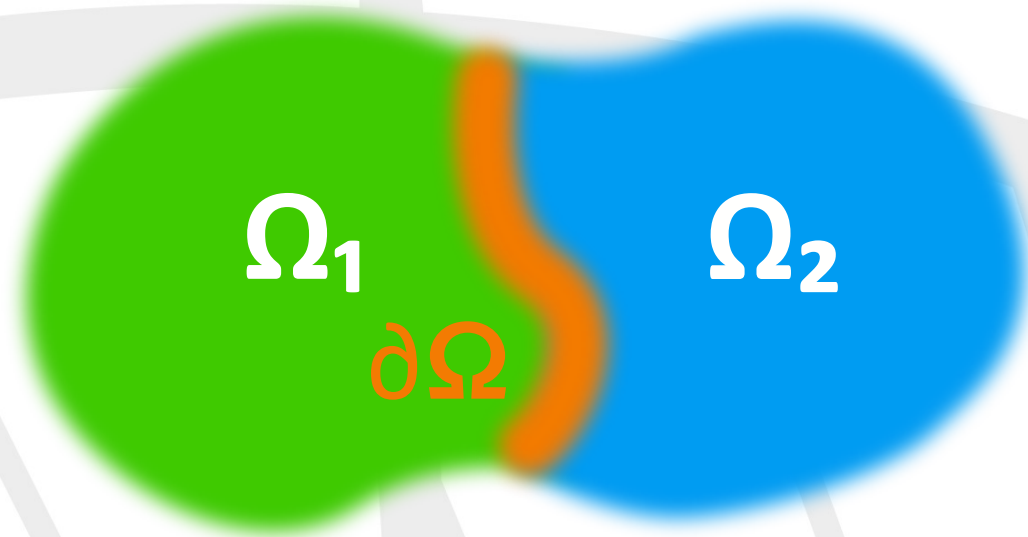
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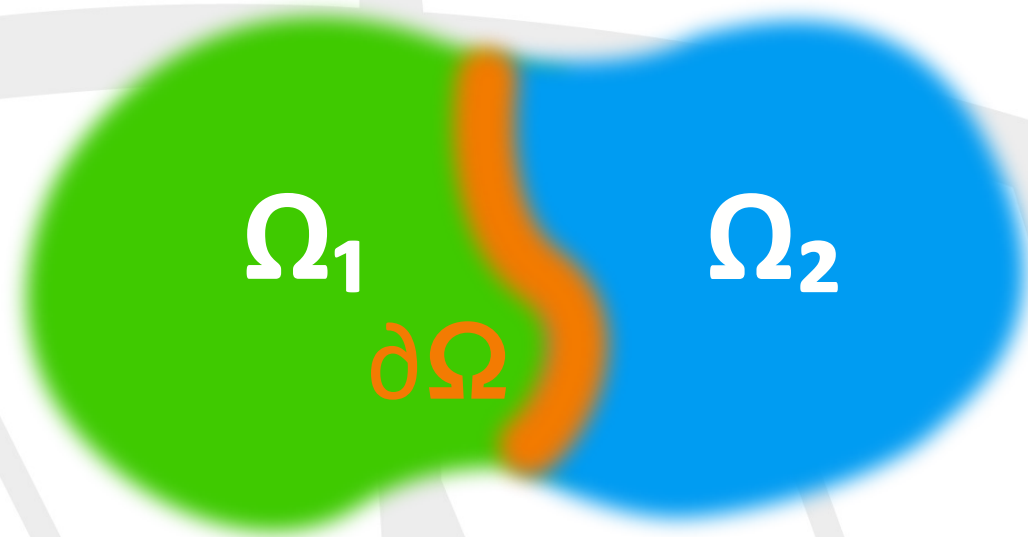
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gauge invariance

any two energy densities that differ
by the divergence of a (bounded)
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gauge invariance

any two energy densities that differ by the divergence of a (bounded) vector field are physically equivalent

$$\mathcal{E}'[\Omega] = \mathcal{E}[\Omega] + \mathcal{O}[\partial\Omega]$$

the corresponding energy fluxes differ by a total time derivative, and the heat transport coefficients coincide

$$\mathbf{J}'(t) = \mathbf{J}(t) + \dot{\mathbf{P}}(t)$$

density-functional theory

$$\begin{aligned} E_{DFT} = & \frac{1}{2} \sum_I M_I V_I^2 + \frac{e^2}{2} \sum_{I \neq J} \frac{Z_I Z_J}{R_{IJ}} \\ & + \sum_v \epsilon_v - \frac{1}{2} E_H + \int (\epsilon_{XC}(\mathbf{r}) - \mu_{XC}(\mathbf{r})) \rho(\mathbf{r}) d\mathbf{r} \end{aligned}$$

the DFT energy density

$$E_{DFT} = \frac{1}{2} \sum_I M_I v_I^2 + \frac{e^2}{2} \sum_{I \neq J} \frac{Z_I Z_J}{R_{IJ}} \\ + \sum_v \epsilon_v - \frac{1}{2} E_H + \int (\epsilon_{XC}(\mathbf{r}) - \mu_{XC}(\mathbf{r})) \rho(\mathbf{r}) d\mathbf{r}$$

$$e_{DFT}(\mathbf{r}) = e_0(\mathbf{r}) + e_{KS}(\mathbf{r}) + e_H(\mathbf{r}) + e_{XC}(\mathbf{r})$$

the DFT energy density

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$$e_{DFT}(\mathbf{r}) = e_0(\mathbf{r}) + e_{KS}(\mathbf{r}) + e_H(\mathbf{r}) + e_{XC}(\mathbf{r})$$

$$e_0(\mathbf{r}) = \sum_I \delta(\mathbf{r} - \mathbf{R}_I) \left(\frac{1}{2} M_I V_I^2 + w_I \right)$$

$$e_{KS}(\mathbf{r}) = \text{Re} \sum_v \varphi_v^*(\mathbf{r}) (\hat{H}_{KS} \varphi_v(\mathbf{r}))$$

$$e_H(\mathbf{r}) = -\frac{1}{2} \rho(\mathbf{r}) v_H(\mathbf{r})$$

$$e_{XC}(\mathbf{r}) = (\epsilon_{XC}(\mathbf{r}) - v_{XC}(\mathbf{r})) \rho(\mathbf{r})$$

the DFT energy current

$$\begin{aligned}\mathbf{J}_{DFT} &= \int \mathbf{r} \dot{e}_{DFT}(\mathbf{r}, t) d\mathbf{r} \\ &= \mathbf{J}_{KS} + \mathbf{J}_H + \mathbf{J}'_0 + \mathbf{J}_0 + \mathbf{J}_{XC}\end{aligned}$$

the DFT energy current

$$\mathbf{J}_{DFT} = \int \mathbf{r} \dot{e}_{DFT}(\mathbf{r}, t) d\mathbf{r}$$
$$= \mathbf{J}_{KS} + \mathbf{J}_H + \mathbf{J}'_0 + \mathbf{J}_0 + \mathbf{J}_{XC}$$

$$\mathbf{J}_{KS} = \sum_v \left(\langle \varphi_v | \mathbf{r} \hat{H}_{KS} | \dot{\varphi}_v \rangle + \varepsilon_v \langle \dot{\varphi}_v | \mathbf{r} | \varphi_v \rangle \right)$$

$$\mathbf{J}_H = \frac{1}{4\pi} \int \dot{v}_H(\mathbf{r}) \nabla v_H(\mathbf{r}) d\mathbf{r}$$

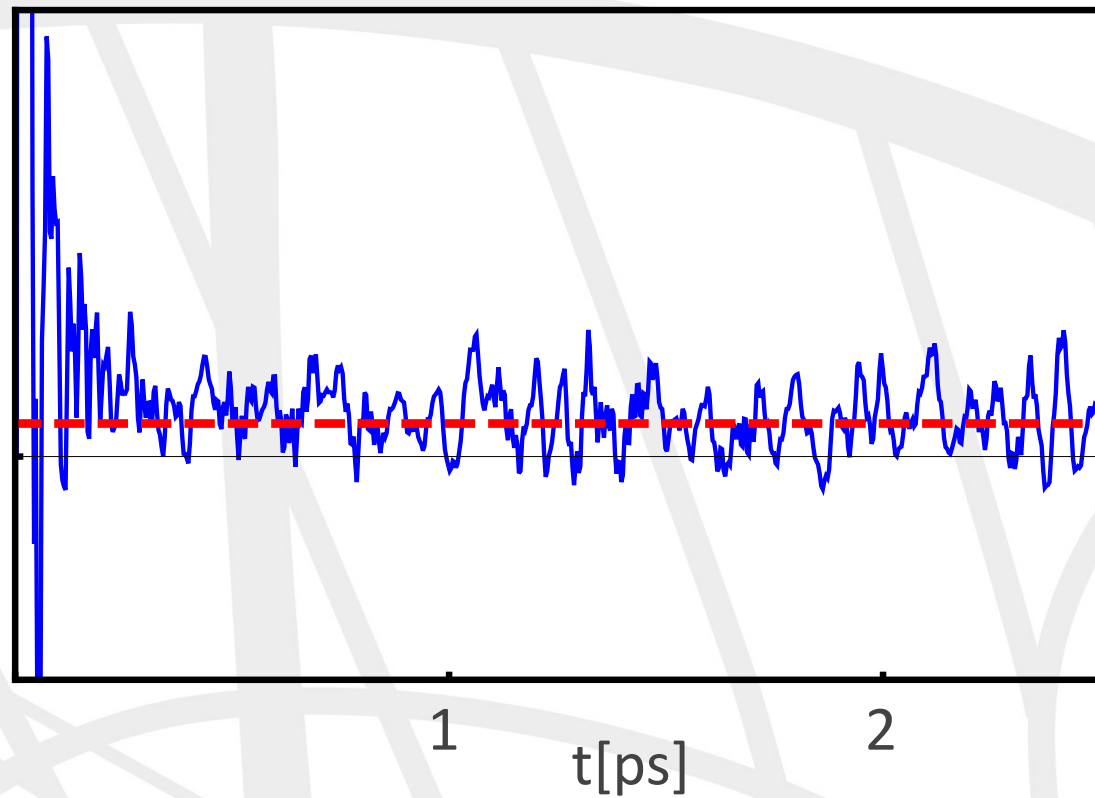
$$\mathbf{J}'_0 = \sum_{v,I} \langle \varphi_v | (\mathbf{r} - \mathbf{R}_I) (\mathbf{V}_I \cdot \nabla_I \hat{v}_0) | \varphi_v \rangle$$

$$\mathbf{J}_0 = \sum_I \left[\mathbf{v}_I e_I^0 + \sum_{L \neq I} (\mathbf{R}_I - \mathbf{R}_L) (\mathbf{V}_L \cdot \nabla_L w_I) \right]$$

$$\mathbf{J}_{XC} = \begin{cases} 0 & \text{(LDA)} \\ - \int \rho(\mathbf{r}) \dot{\rho}(\mathbf{r}) \partial \epsilon_{GGA}(\mathbf{r}) d\mathbf{r} & \text{(GGA)} \end{cases}$$

liquid water

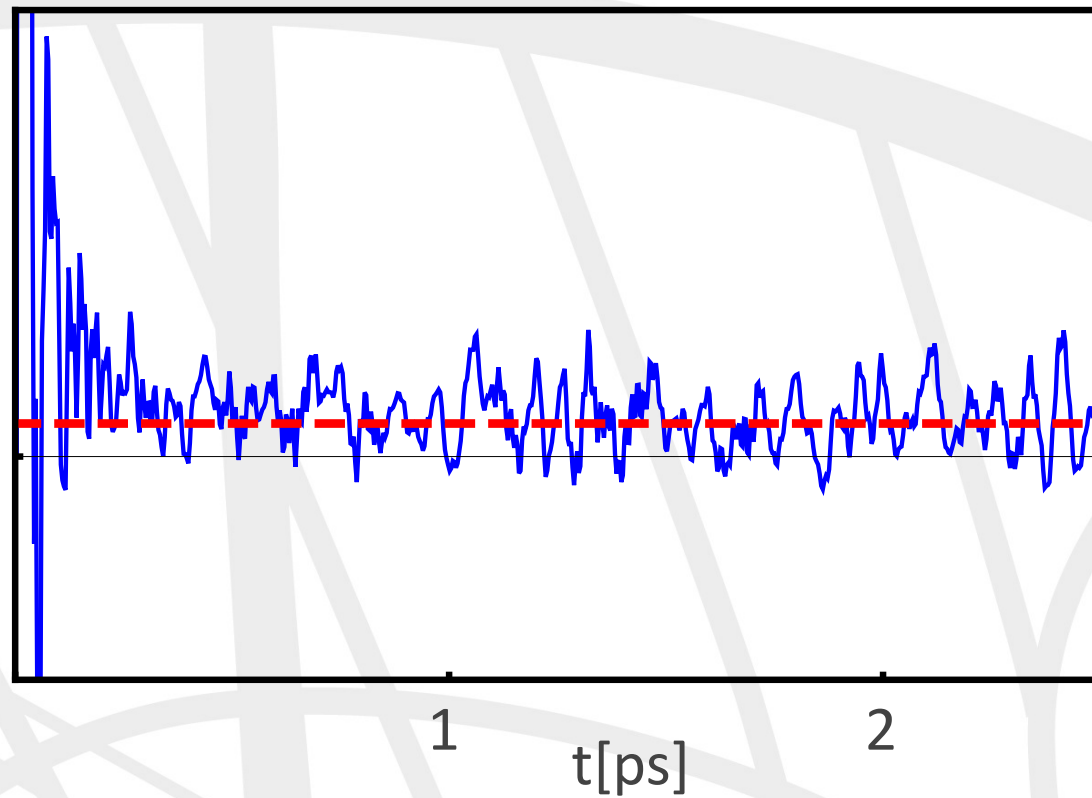
64 molecules, T=385 K
expt density @ac



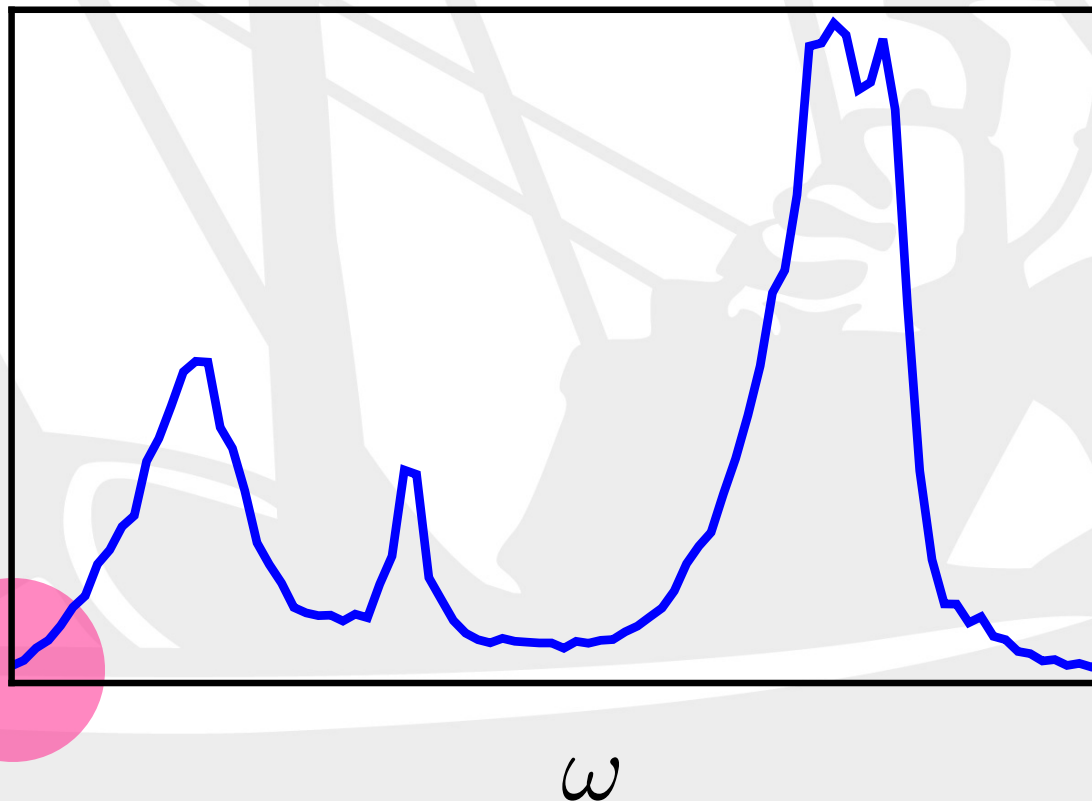
$$\frac{1}{3V k_B T^2} \int_0^t \langle \mathbf{J}(\mathbf{t}') \cdot \mathbf{J}(0) \rangle d\mathbf{t}'$$

liquid water

64 molecules, T=385 K
expt density @ac



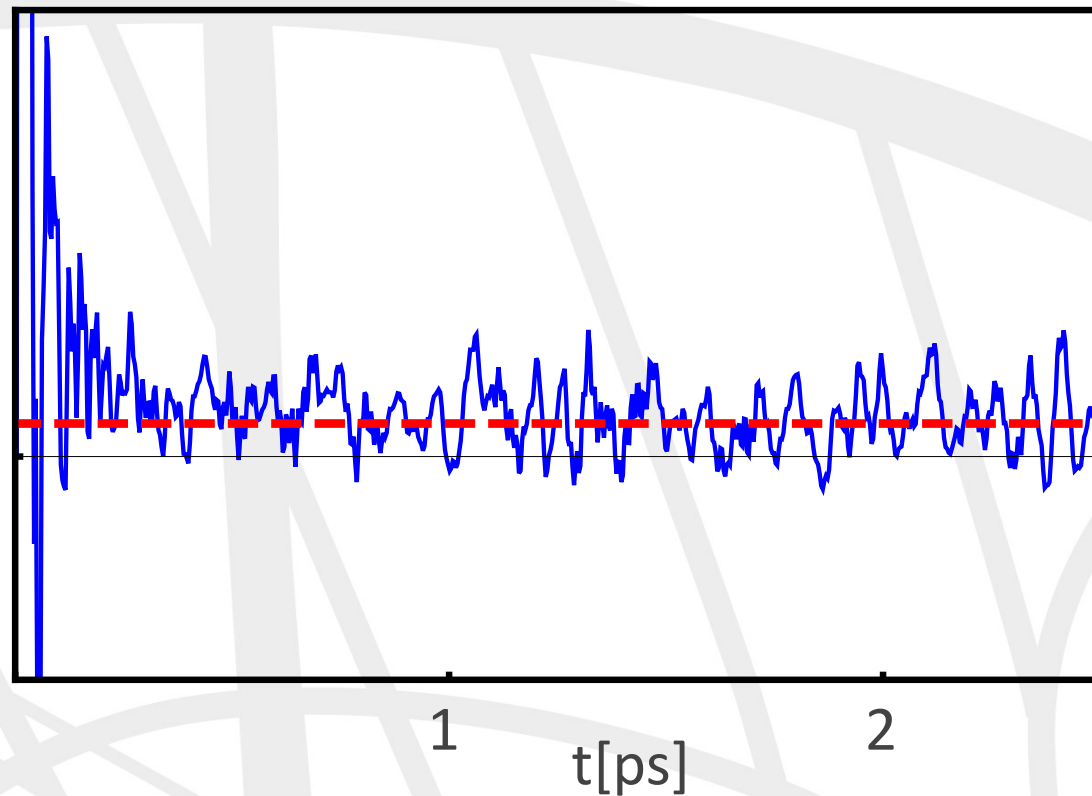
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$$S(\omega) = \int_{-\infty}^{\infty} \langle \mathbf{J}(t) \cdot \mathbf{J}(0) \rangle e^{i\omega t} dt$$

liquid water

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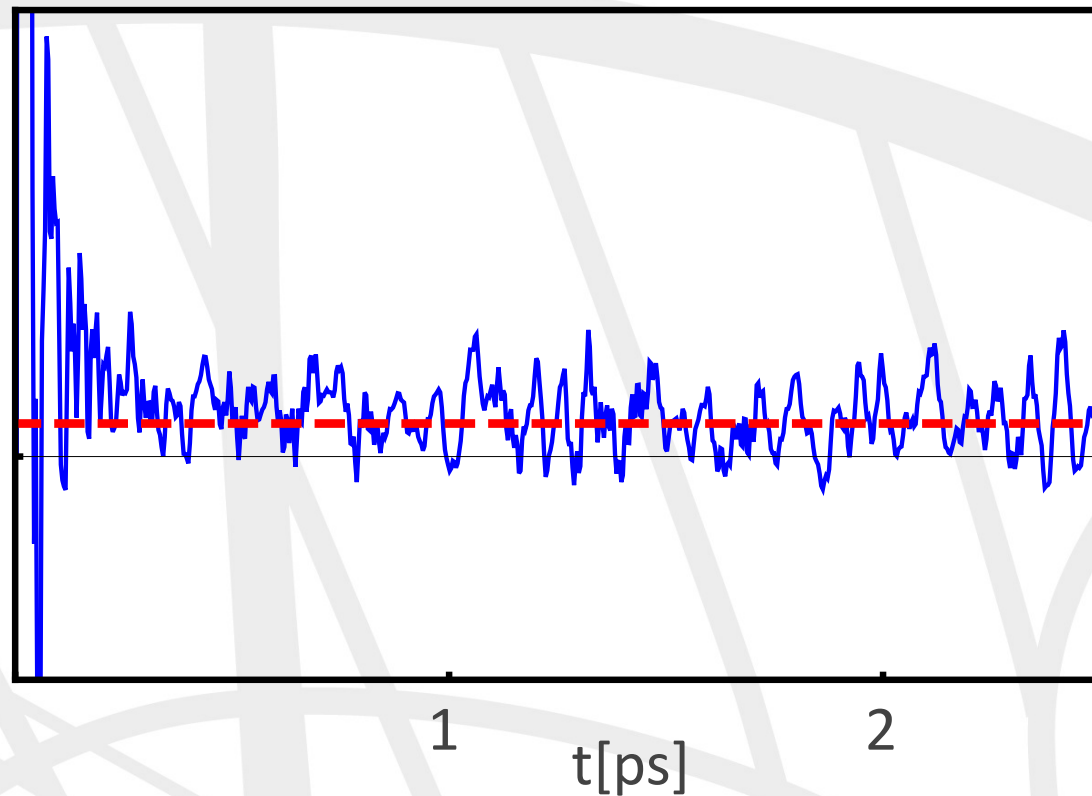
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Einstein's relation

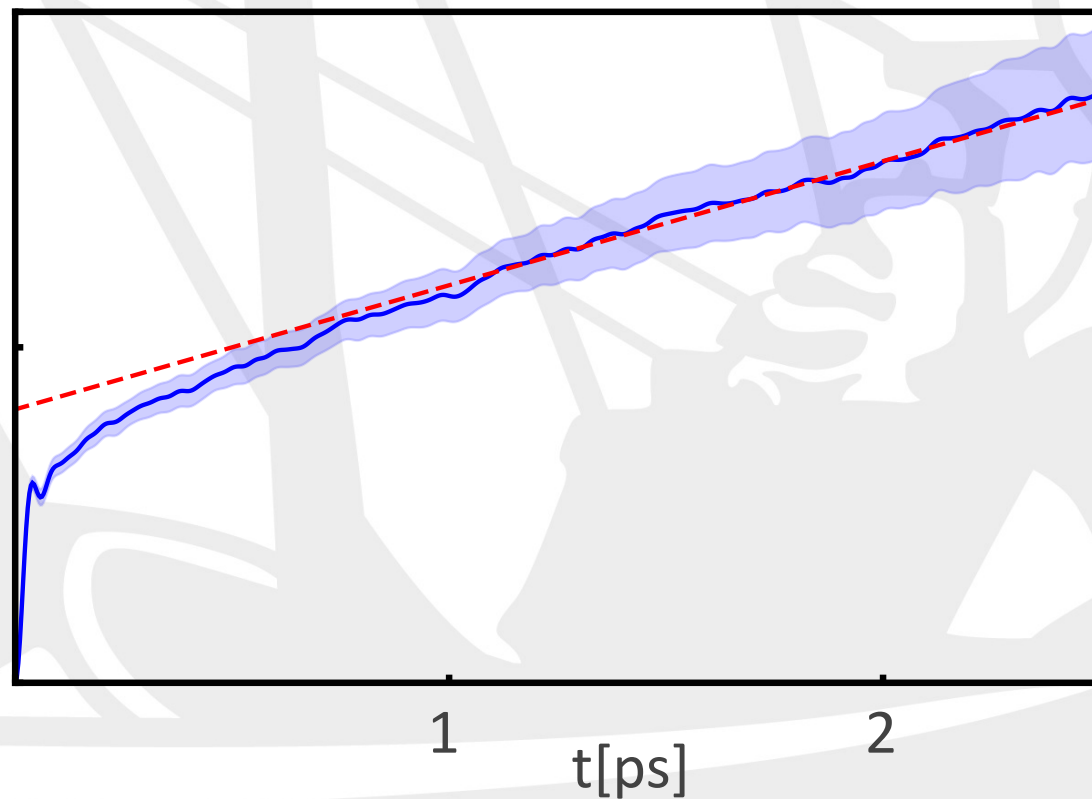
$$\frac{t}{3V k_B T^2} \int_0^t \langle \mathbf{J}(t') \cdot \mathbf{J}(0) \rangle dt' \approx \frac{1}{6V k_B T^2} \left\langle \left| \int_0^t \mathbf{J}(t') dt' \right|^2 \right\rangle$$

liquid water

64 molecules, T=385 K
expt density @ac



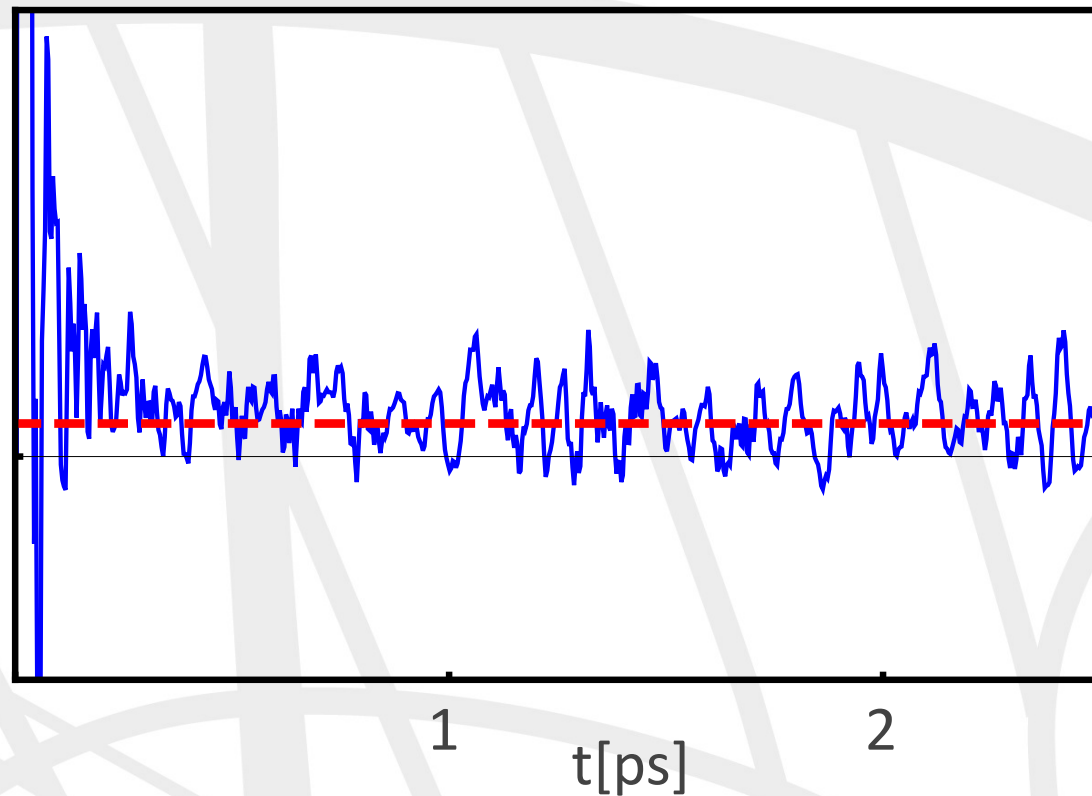
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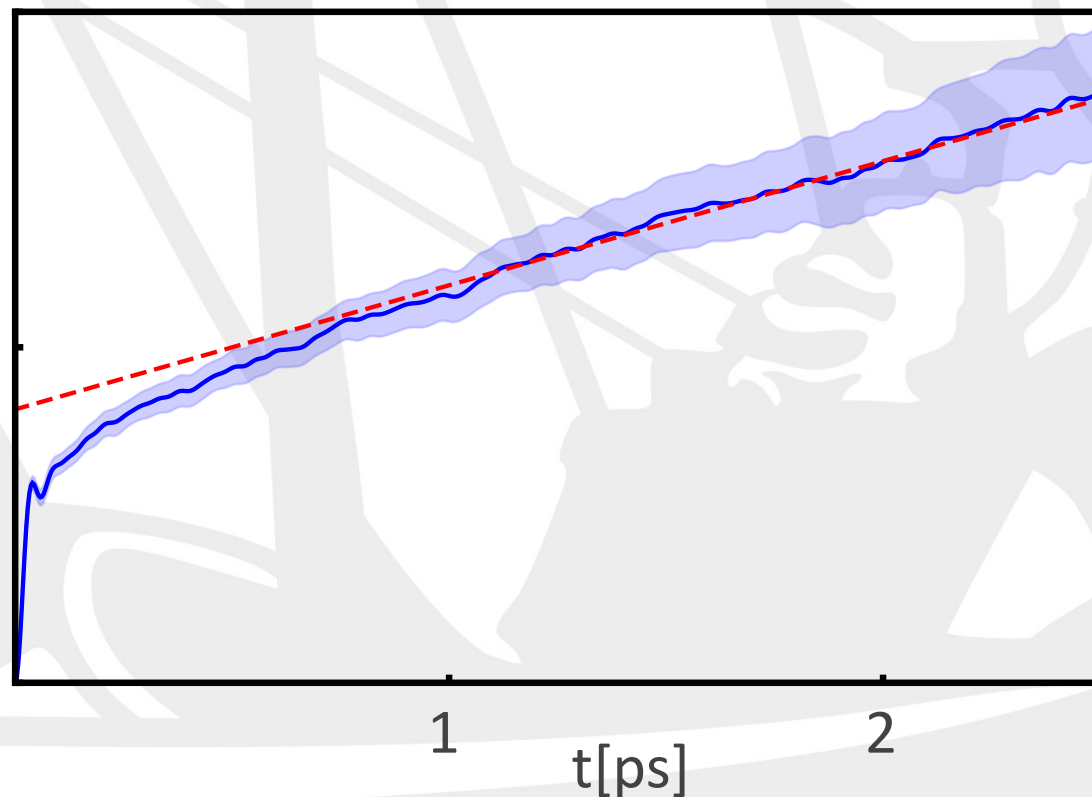
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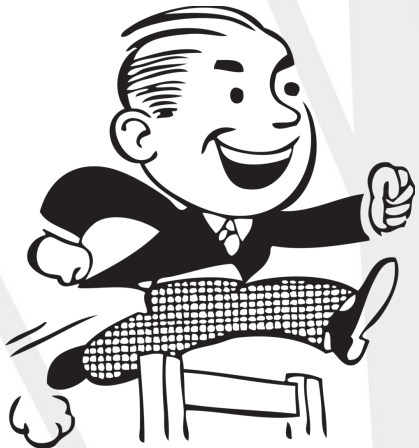
$$\kappa_{\text{DFT}} = 0.74 \pm 0.12 \text{ W/(mK)}$$

$$\kappa_{\text{expt}} = 0.60$$



$$\frac{1}{6V k_B T^2} \left\langle \left| \int_0^t \mathbf{J}(t') dt' \right|^2 \right\rangle$$

hurdles towards an ab initio Green-Kubo theory



PRL **104**, 208501 (2010)

PHYSICAL REVIEW LETTERS

week ending
21 MAY 2010

Thermal Conductivity of Periclase (MgO) from First Principles

Stephen Stackhouse, Lars Stixrude, and Bijaya B. Karki

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PRL **118**, 175901 (2017)

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***Ab Initio* Green-Kubo Approach for the Thermal Conductivity of Solids**

Christian Carbogno, Rampi Ramprasad, and Matthias Scheffler

ulations: Because of the limited time scales accessible in aiMD runs, thermodynamic fluctuations dominate the HFACF, which in turn prevents a reliable and numerically stable assessment of the thermal conductivity via Eq. (2).



separating wheat from chaff

$$\kappa \propto \int_0^\infty C(t) dt$$

$$C(t) = \langle J(t)J(0) \rangle$$

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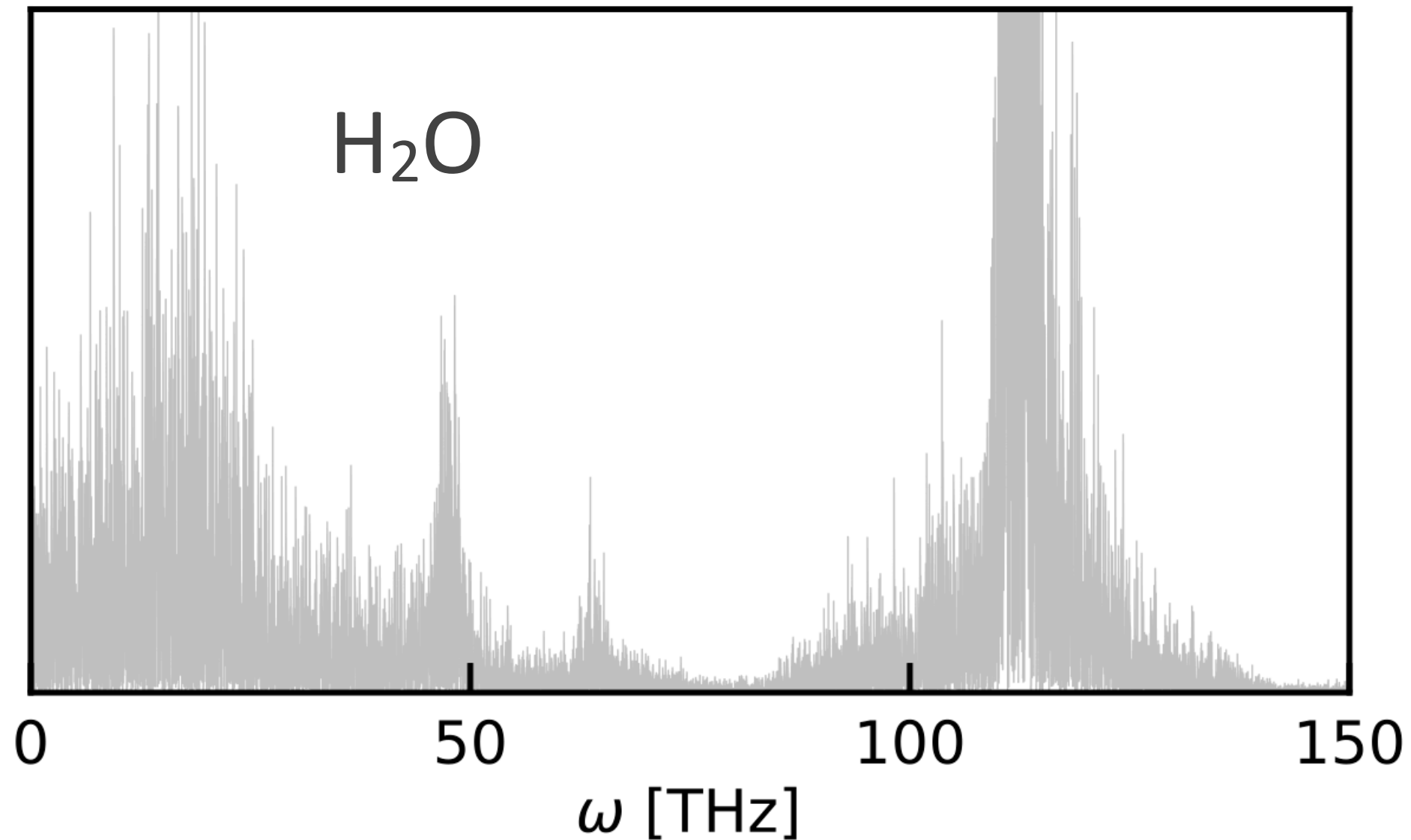
the Wiener-Khintchin theorem

$$S\left(k\frac{2\pi}{N\epsilon}\right) = \frac{\epsilon}{N} \left\langle |\tilde{J}_k|^2 \right\rangle$$

$$\tilde{J}_k = \sum_{m=0}^{N-1} J_n e^{-i\frac{2\pi nk}{N}}$$

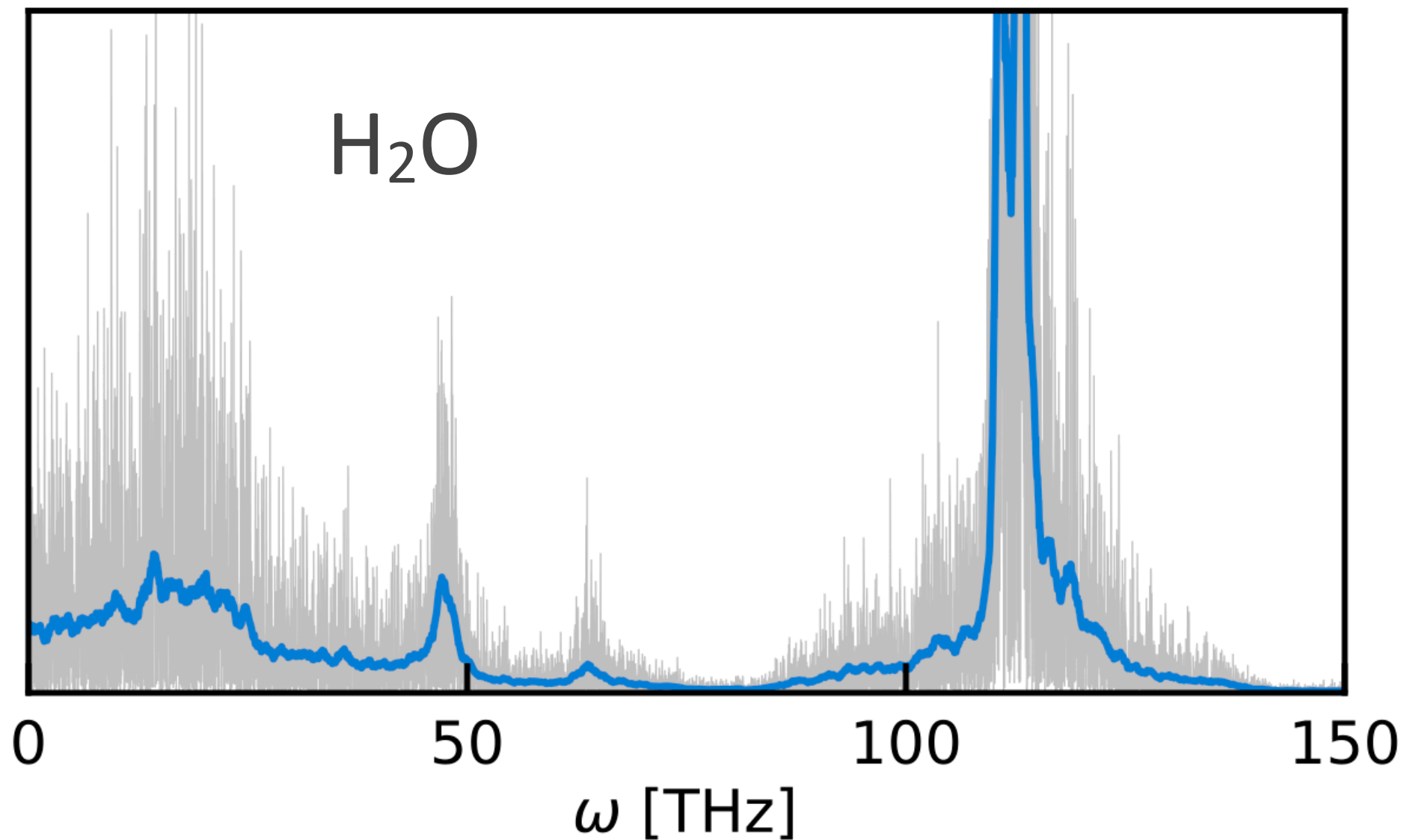
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$$\begin{aligned}\hat{S}(k) &= \frac{\epsilon}{N} |\tilde{J}(k)|^2 \\ &= \frac{1}{2} S(\omega_k) \times \chi_2^2\end{aligned}$$



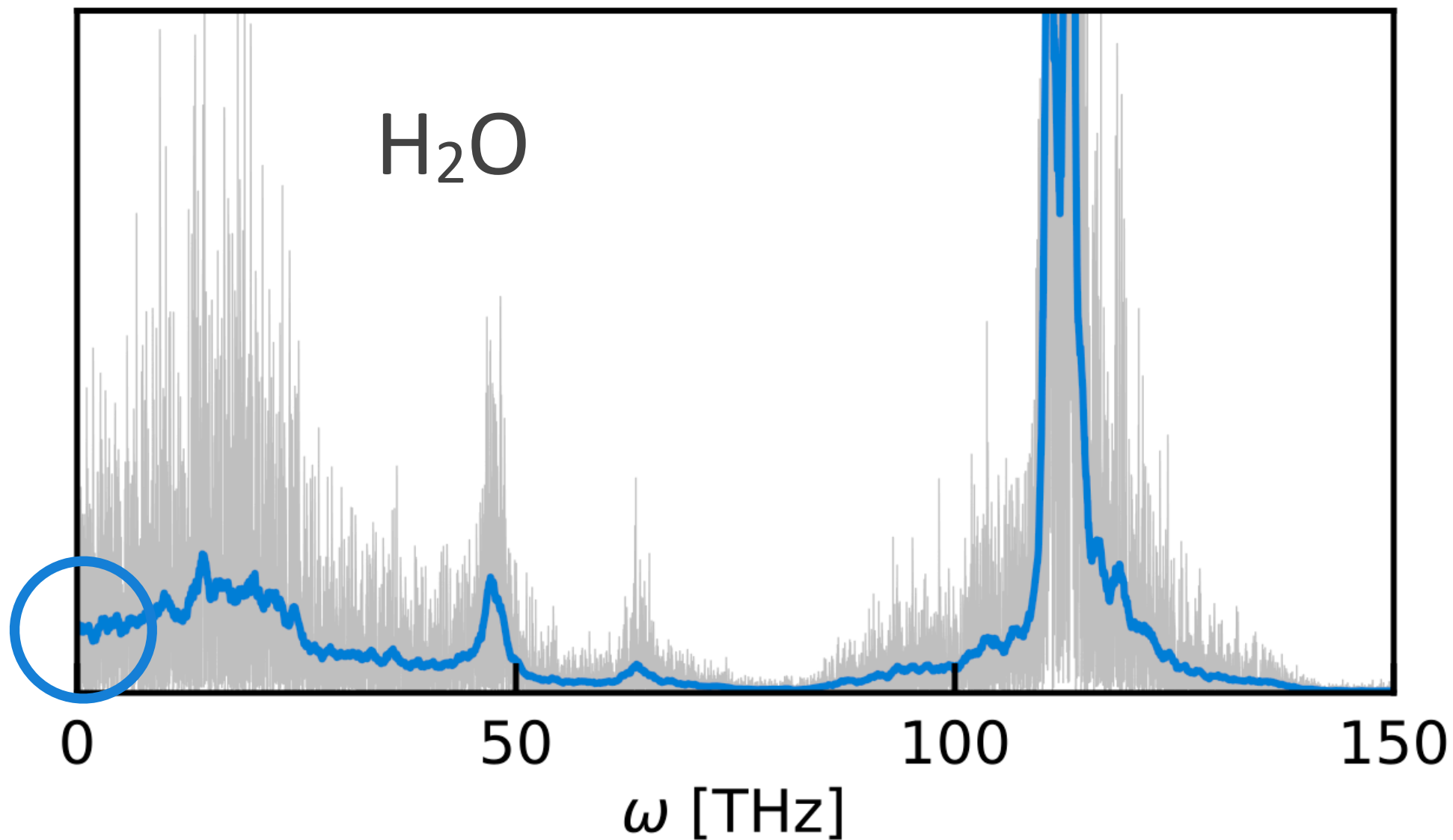
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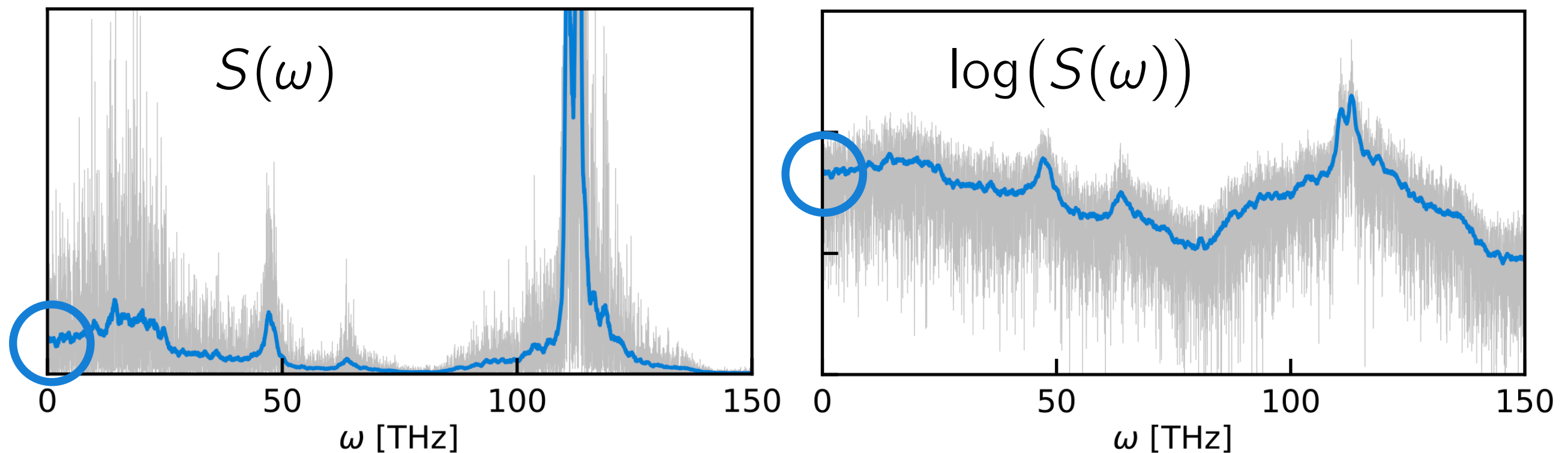
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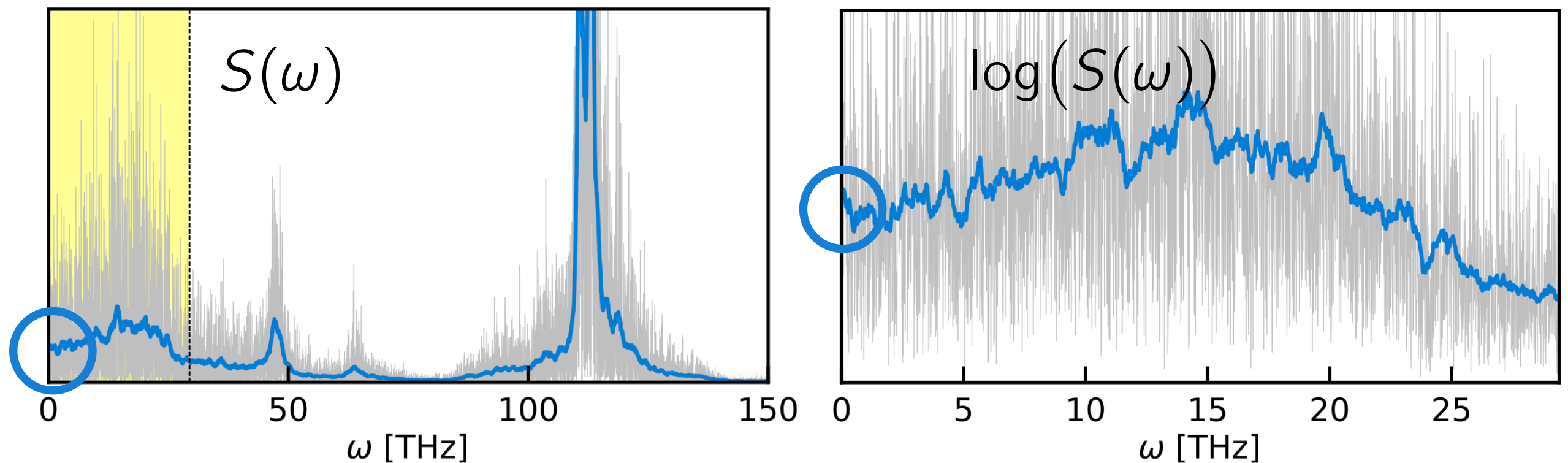
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separating wheat from chaff

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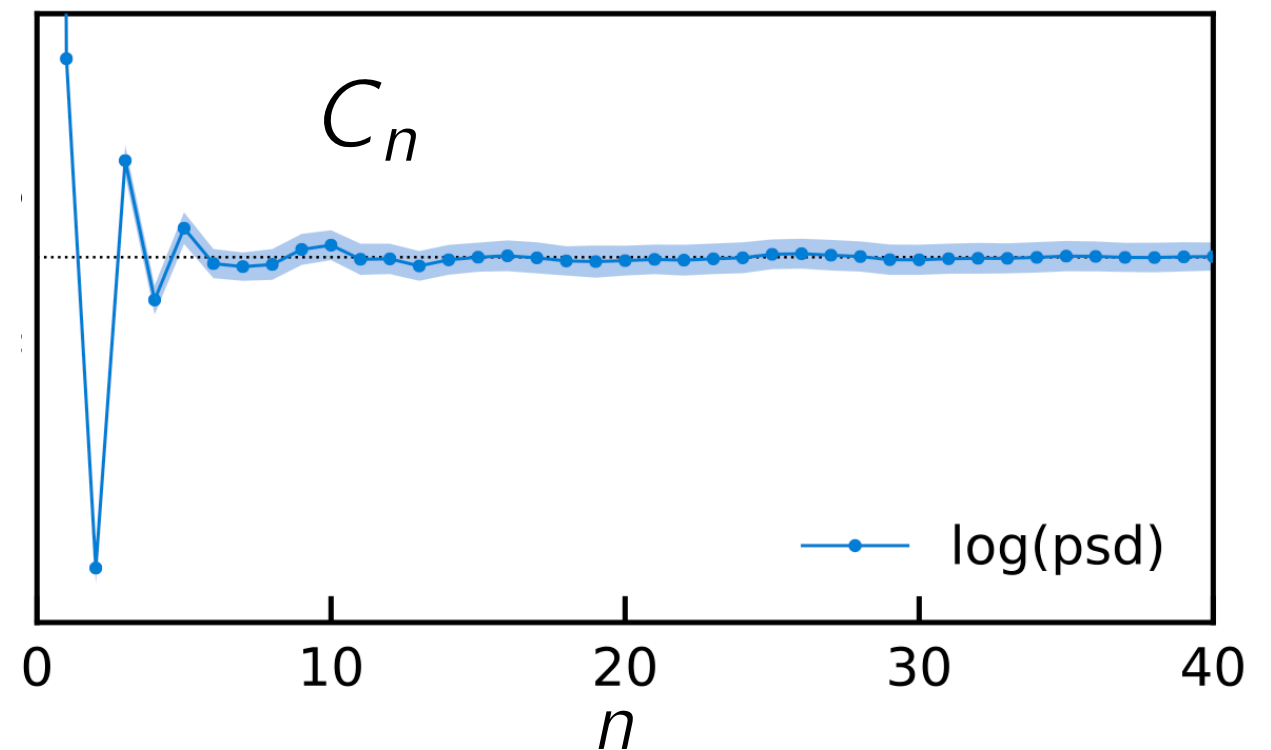
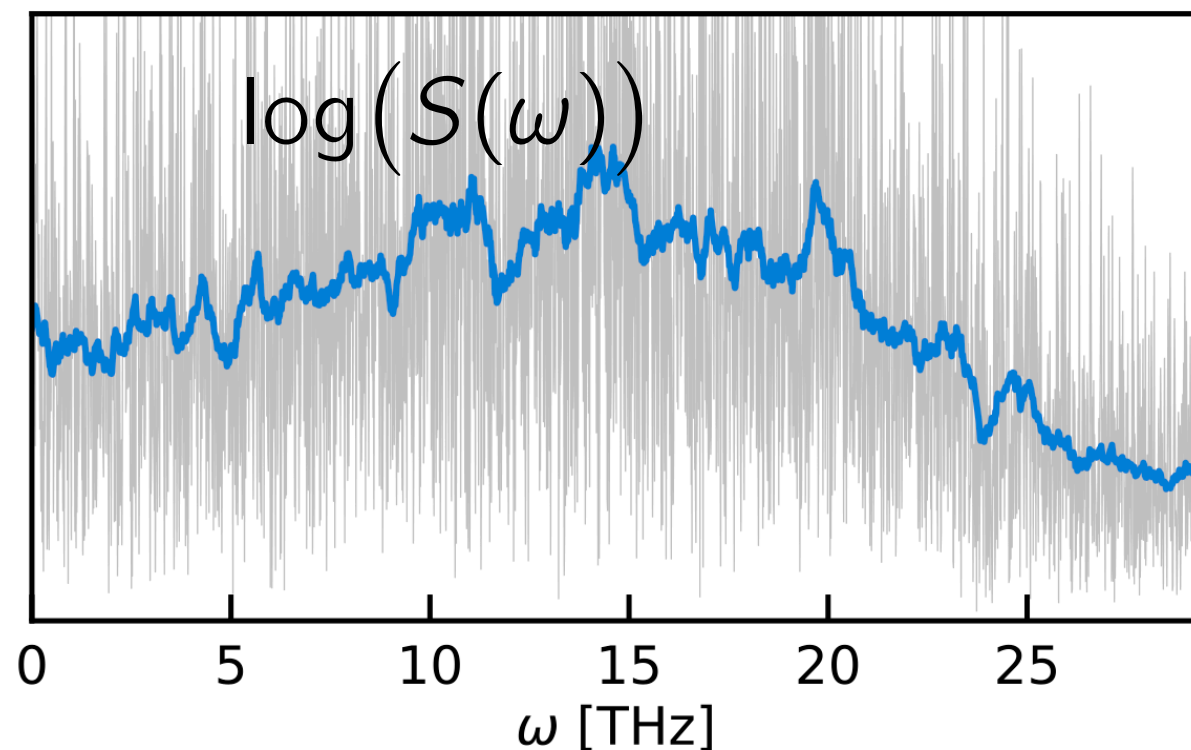
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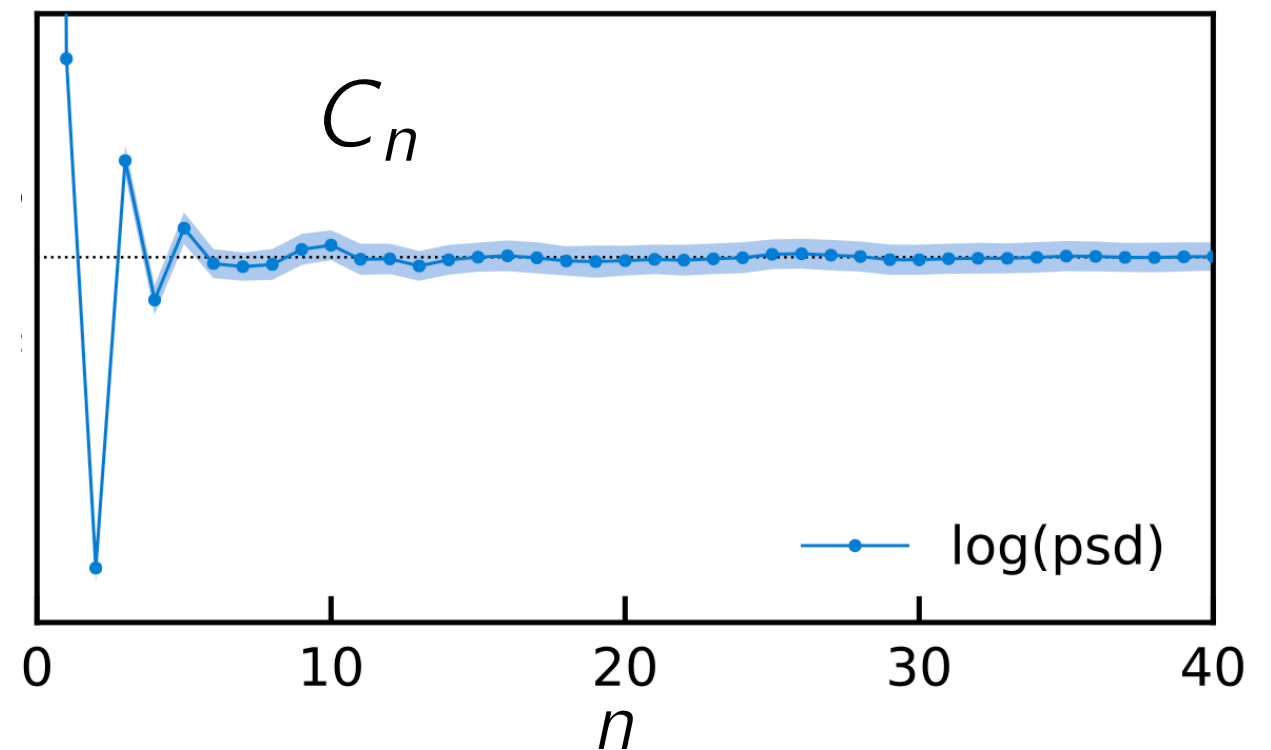
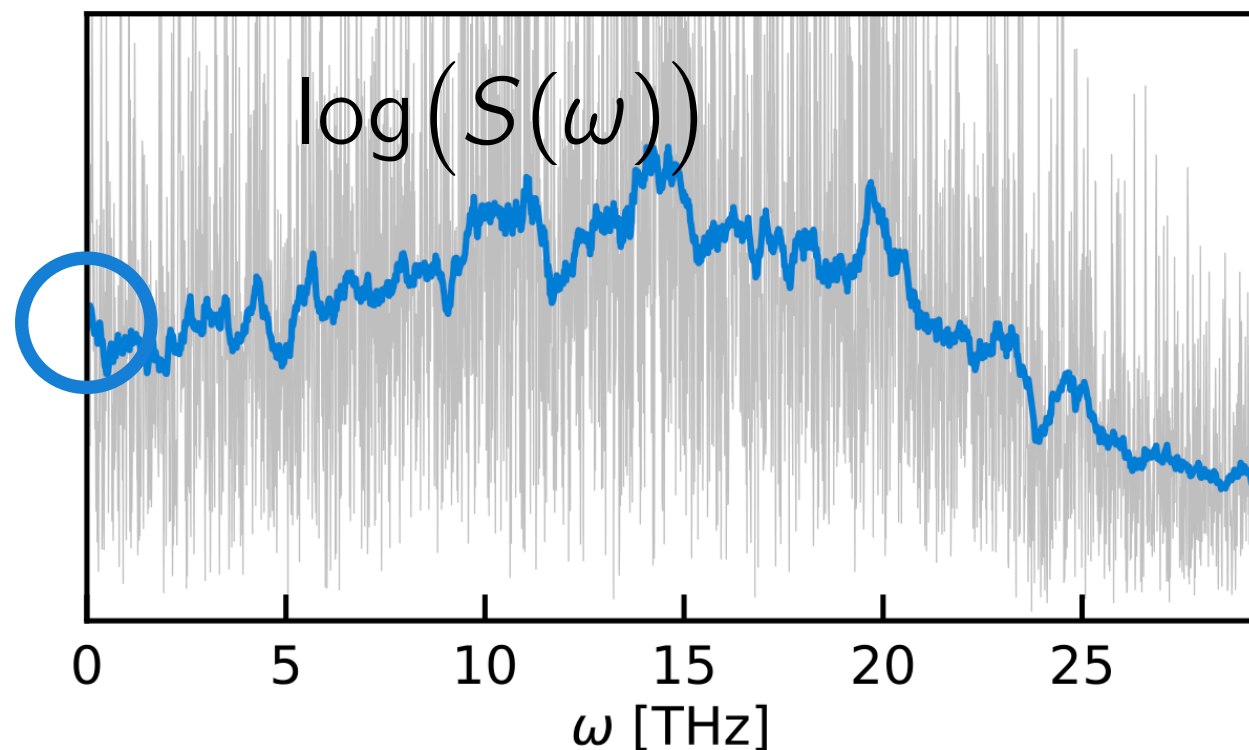
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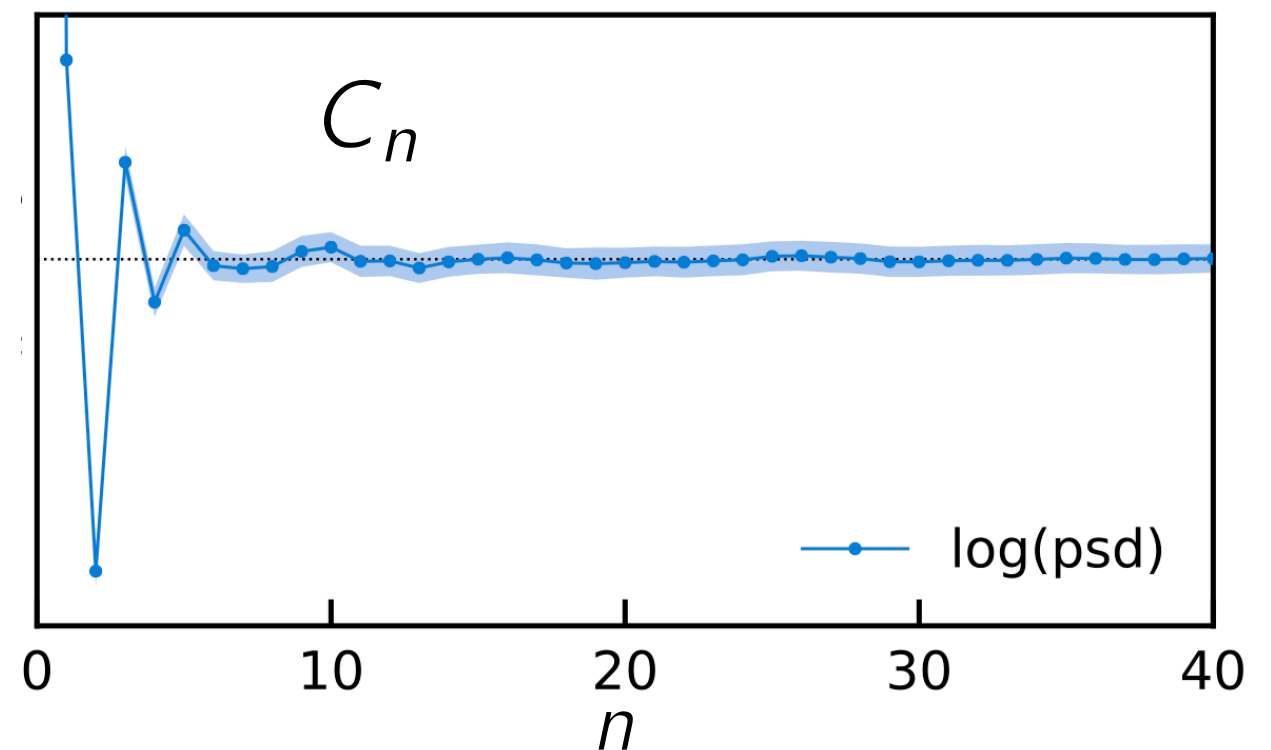
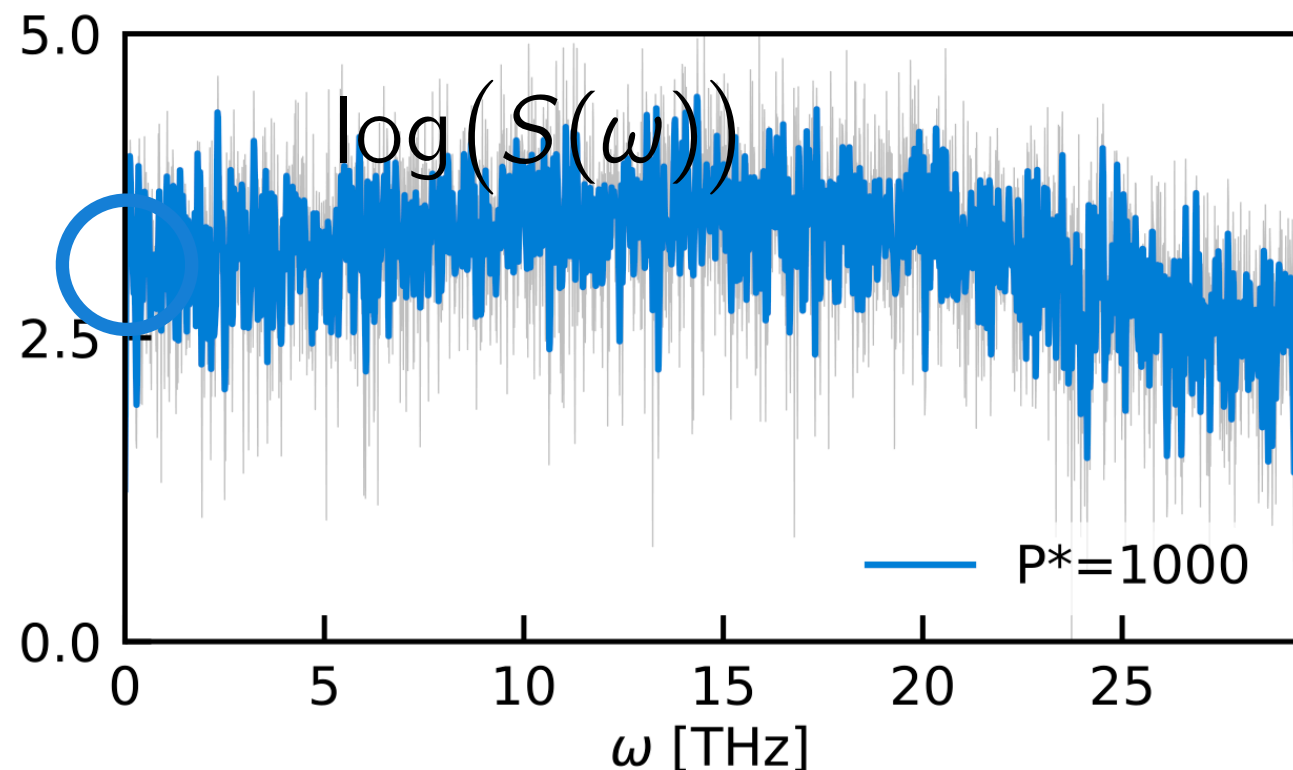


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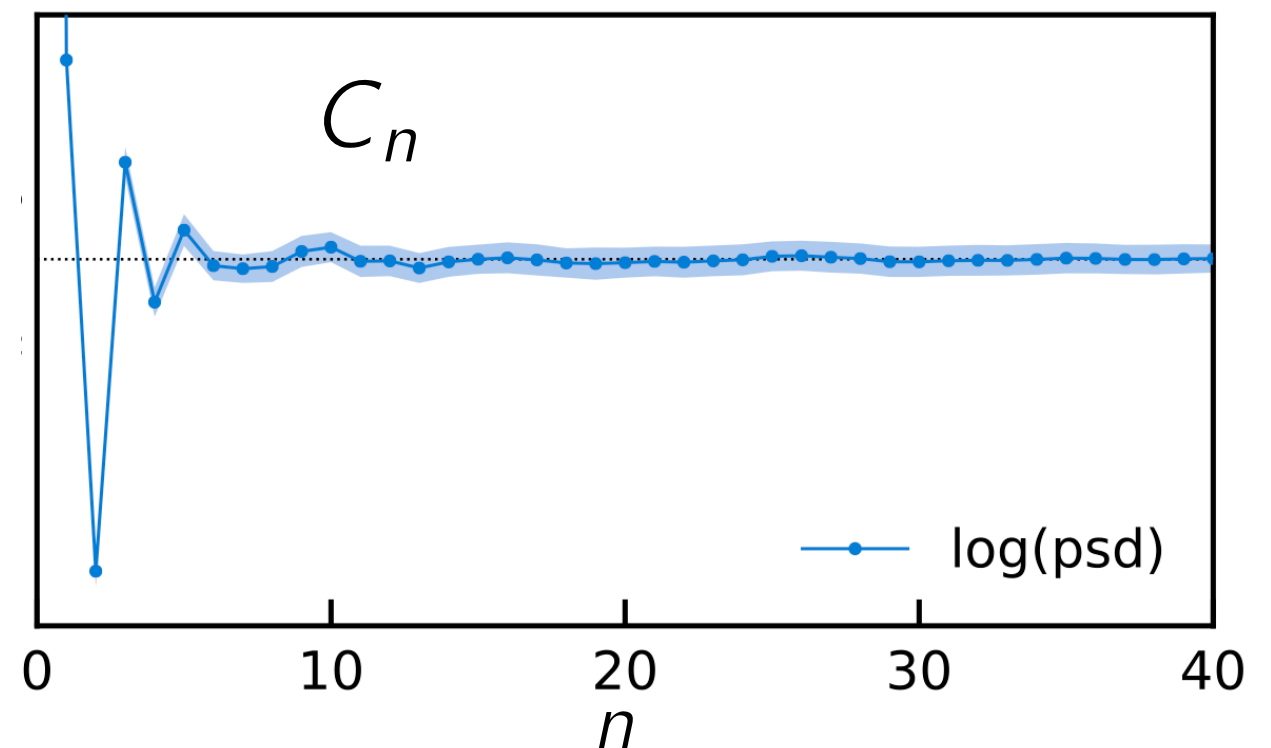
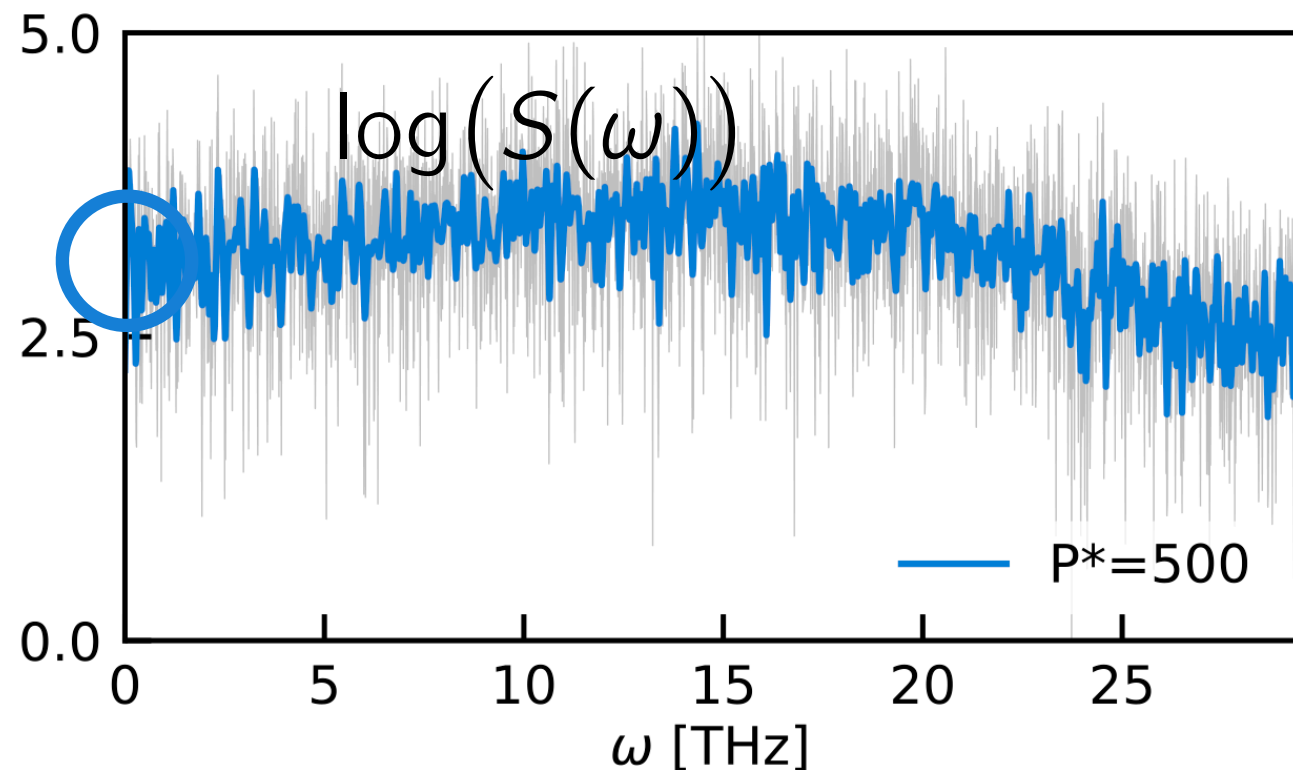


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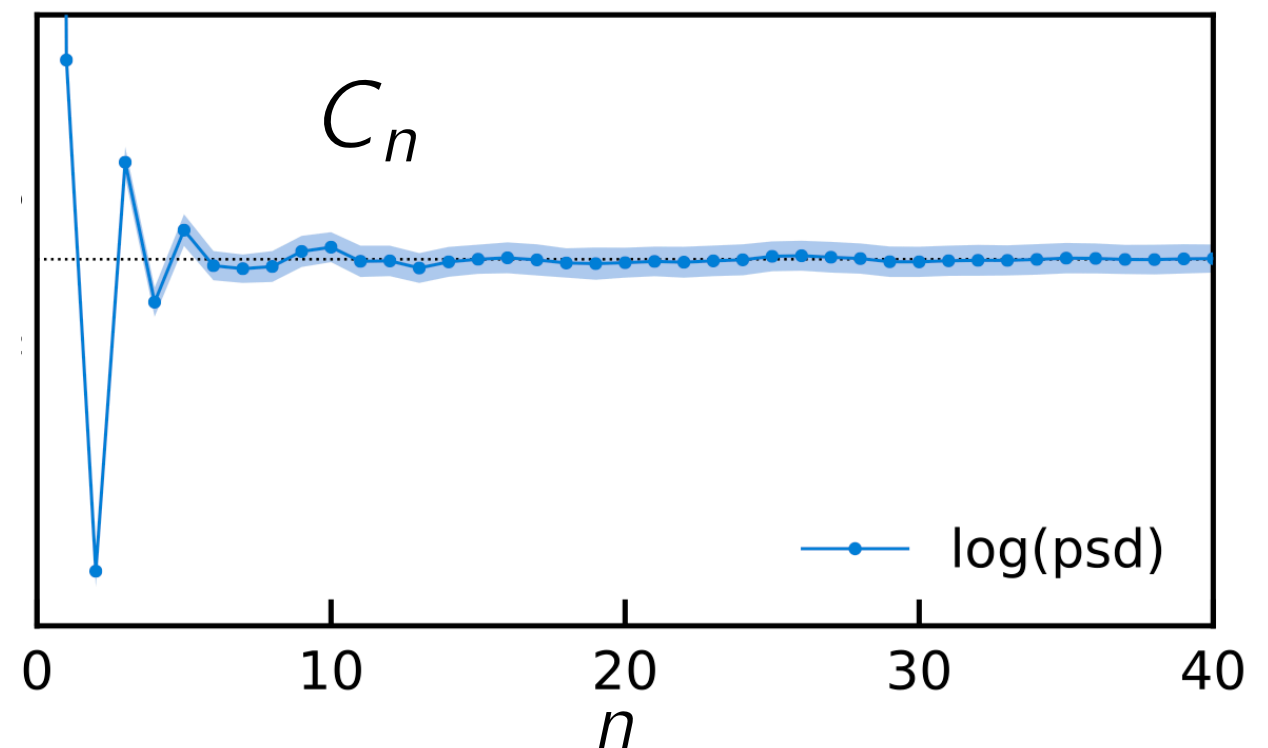
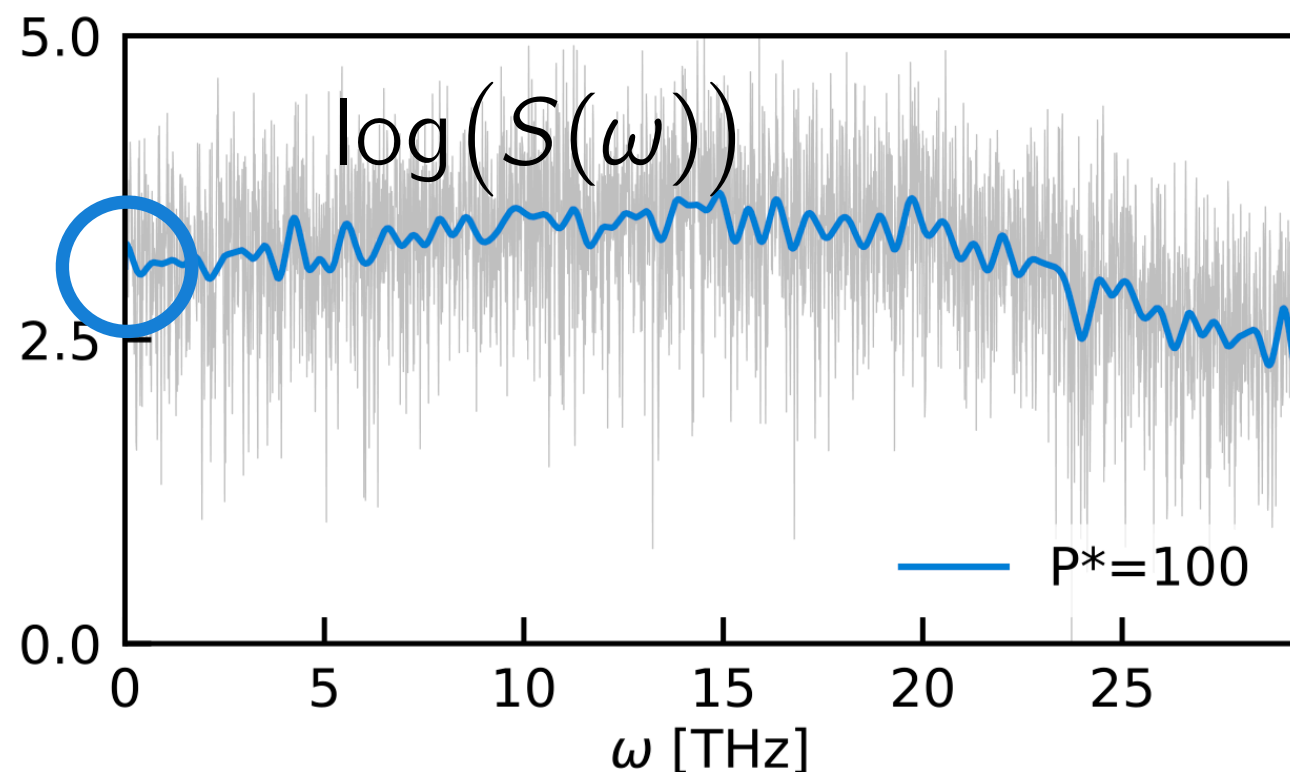


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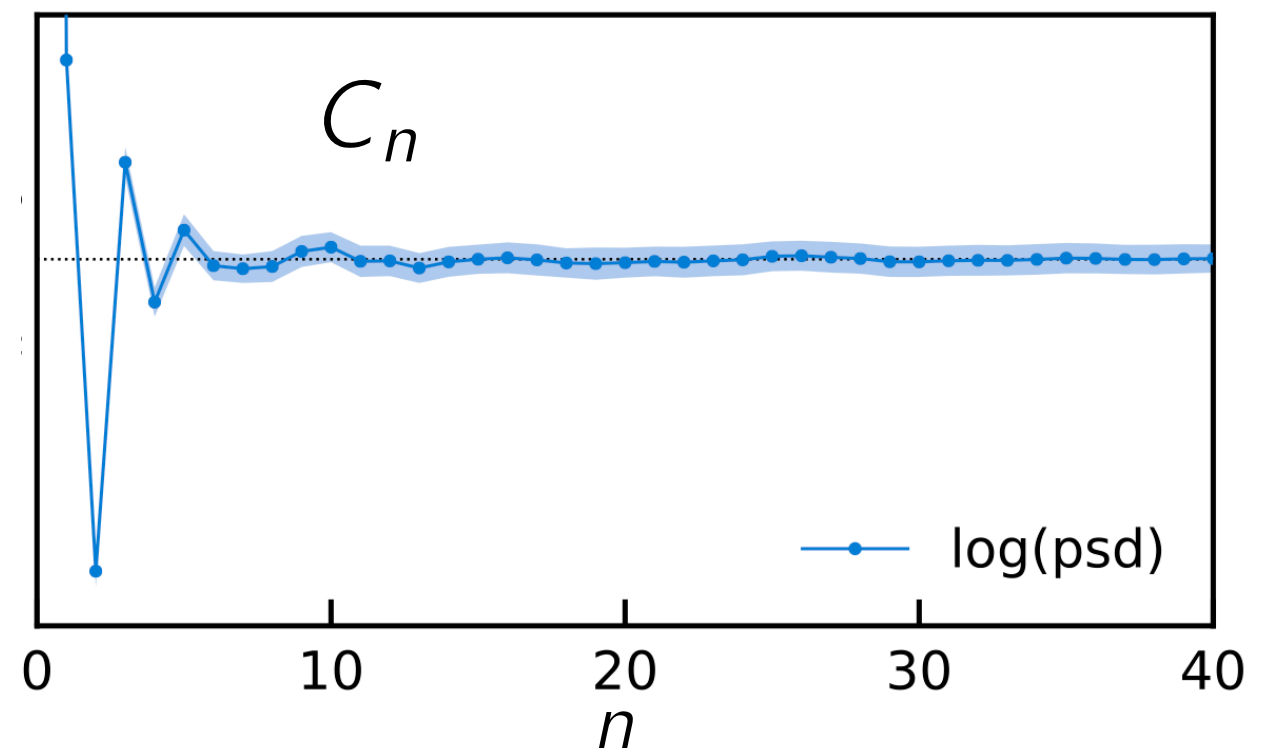
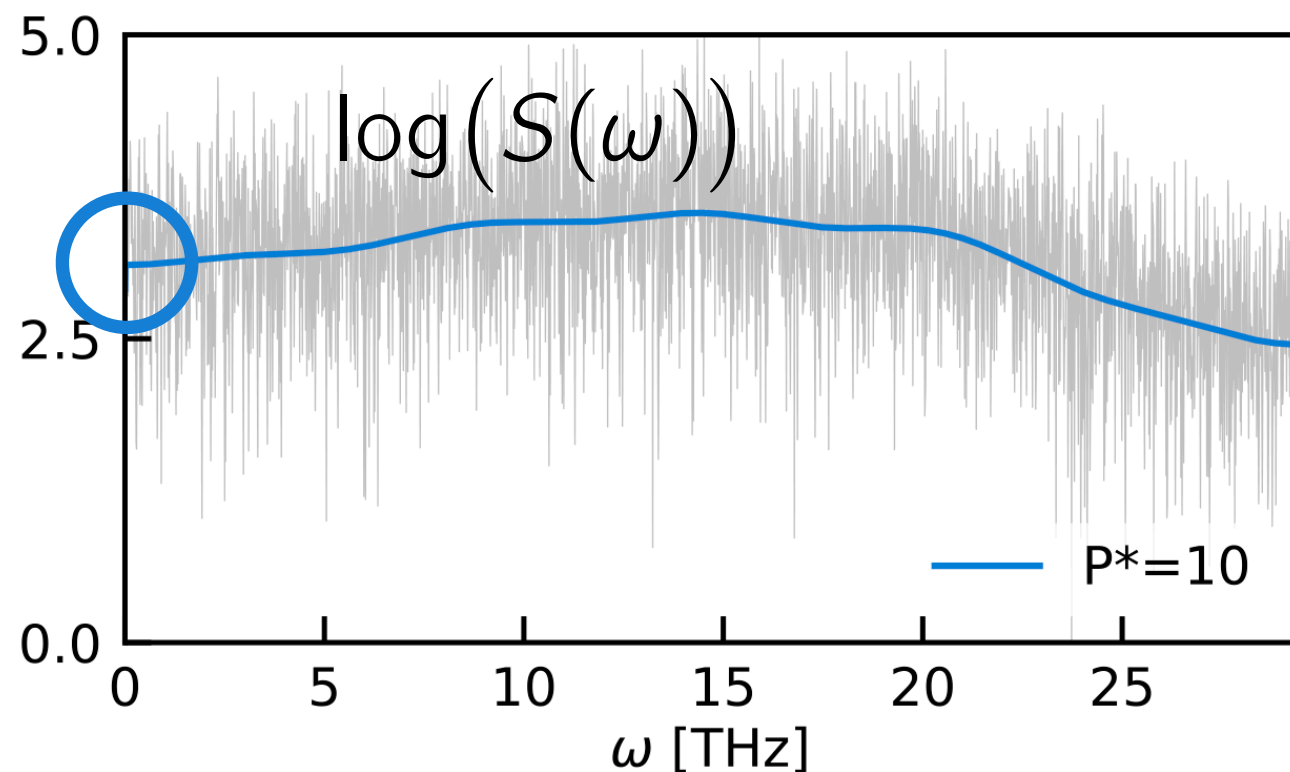


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separating wheat from chaff

$$\log(S(\omega_k)) = \sum_{n=0}^{P^*-1} C_n e^{j\frac{2\pi kn}{N}} + \text{less noise}$$

optimal number of coefficients, to be determined

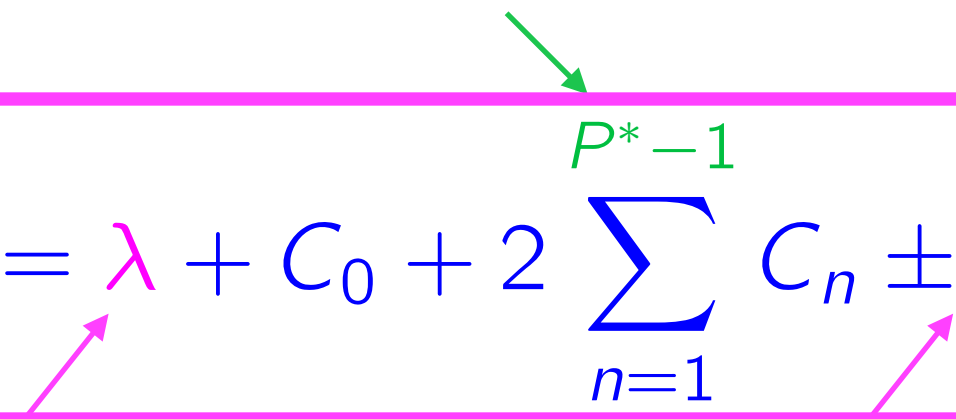
$$\log(\kappa) = \lambda + C_0 + 2 \sum_{n=1}^{P^*-1} C_n \pm \sigma \sqrt{\frac{4P^* - 2}{N^*}}$$

constants independent of the time series being sampled

separating wheat from chaff

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constants independent of the time series being sampled

$$\frac{\Delta \kappa}{\kappa} = \begin{cases} \text{Ar} & (100 \text{ ps}) & 10 \% \\ \text{H}_2\text{O} & (100 \text{ ps}) & 5 \% \\ \text{a-SiO}_2 & (100 \text{ ps}) & 12 \% \\ \text{c-MgO} & (500 \text{ ps}) & 15 \% \end{cases}$$

hurdles towards an ab initio Green-Kubo theory

PRL **104**, 208501 (2010)

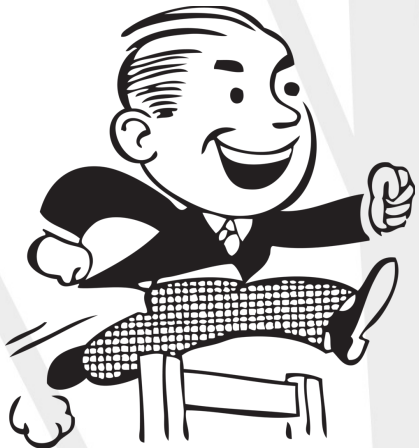
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now @EPFL



Federico Grasselli, SISSA



Riccardo Bertossa, SISSA

thanks to:



Microscopic theory and quantum simulation of atomic heat transport

Aris Marcolongo¹, Paolo Umari² and Stefano Baroni^{1*}

J Low Temp Phys (2016) 185:79–86
DOI 10.1007/s10909-016-1617-6



CrossMark

Gauge Invariance of Thermal Transport Coefficients

Loris Ercole¹ · Aris Marcolongo² ·
Paolo Umari³ · Stefano Baroni¹

SCIENTIFIC REPORTS

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Accurate thermal conductivities from optimally short molecular dynamics simulations

Received: 14 August 2017

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Loris Ercole¹, Aris Marcolongo² & Stefano Baroni¹

these slides shortly at <http://talks.baroni.me>