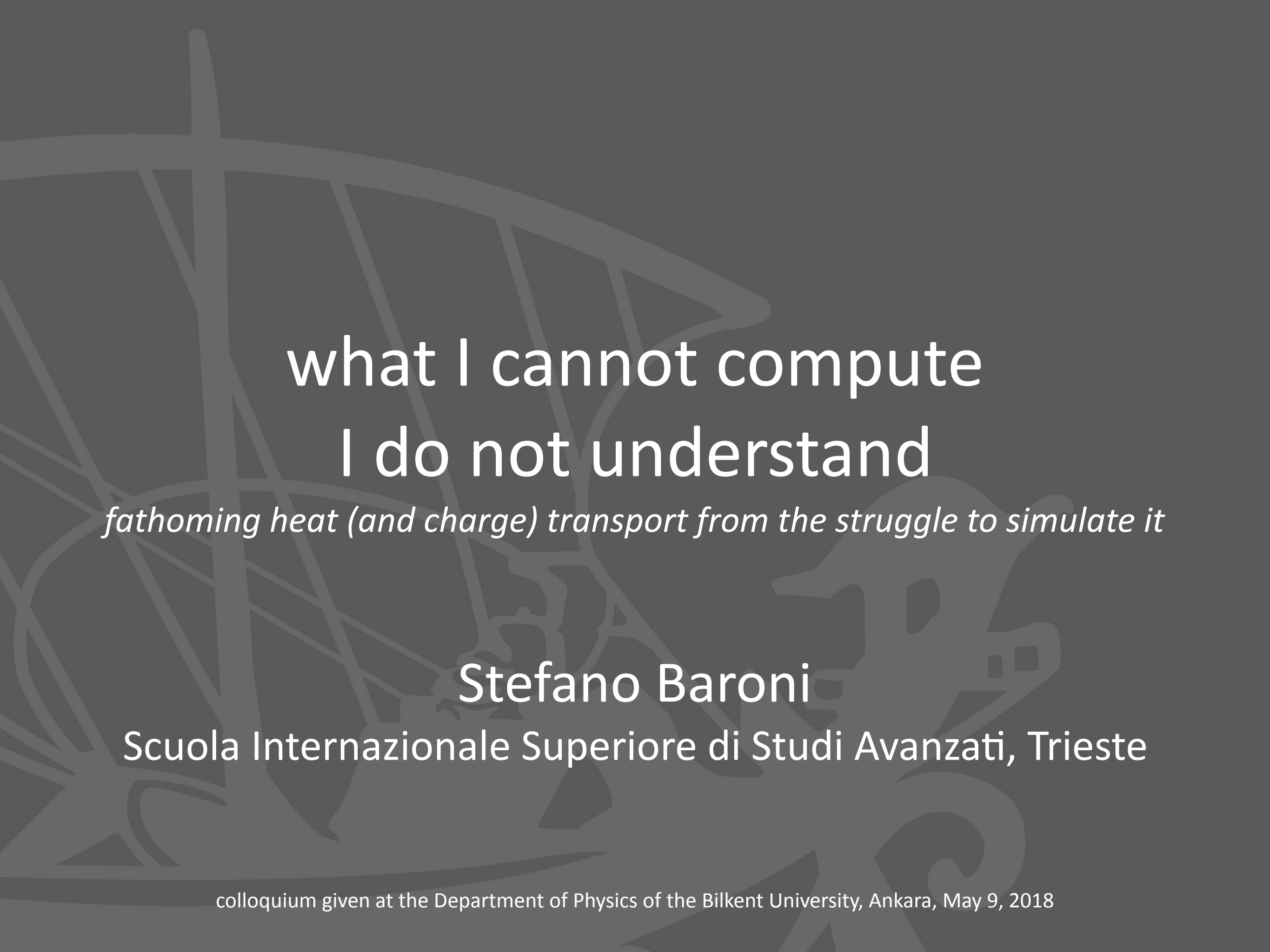




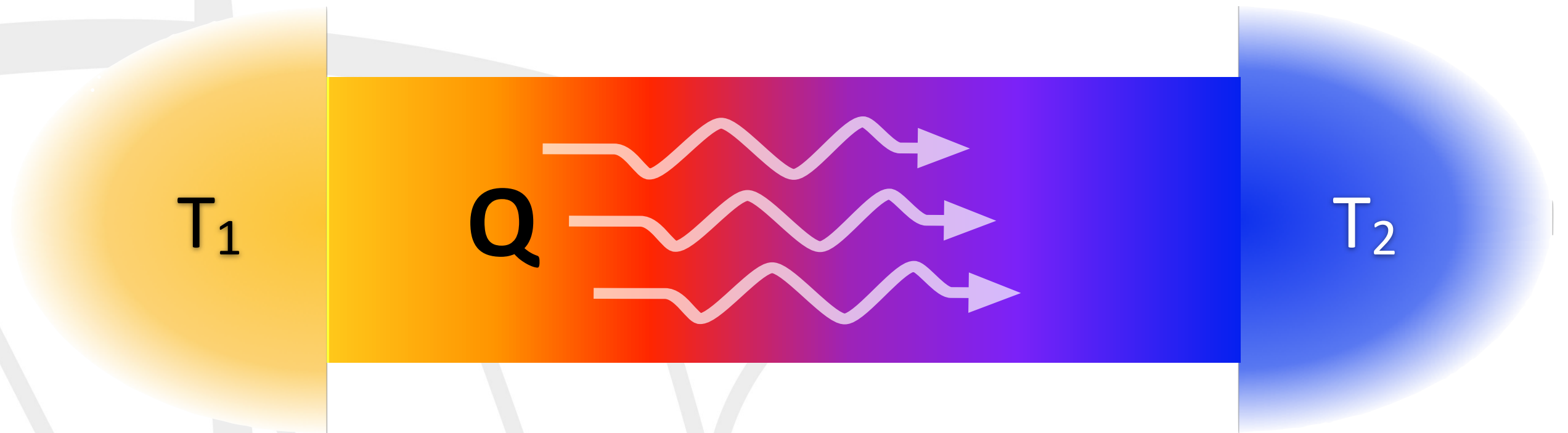
what I cannot compute I do not understand

fathoming heat (and charge) transport from the struggle to simulate it

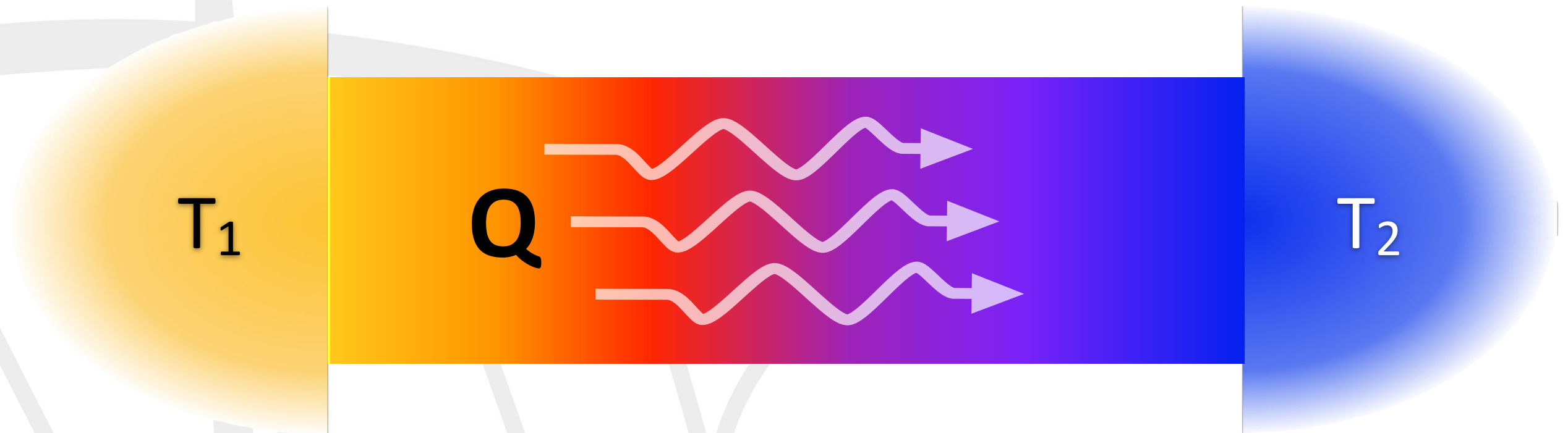
Stefano Baroni

Scuola Internazionale Superiore di Studi Avanzati, Trieste

what heat transport is all about

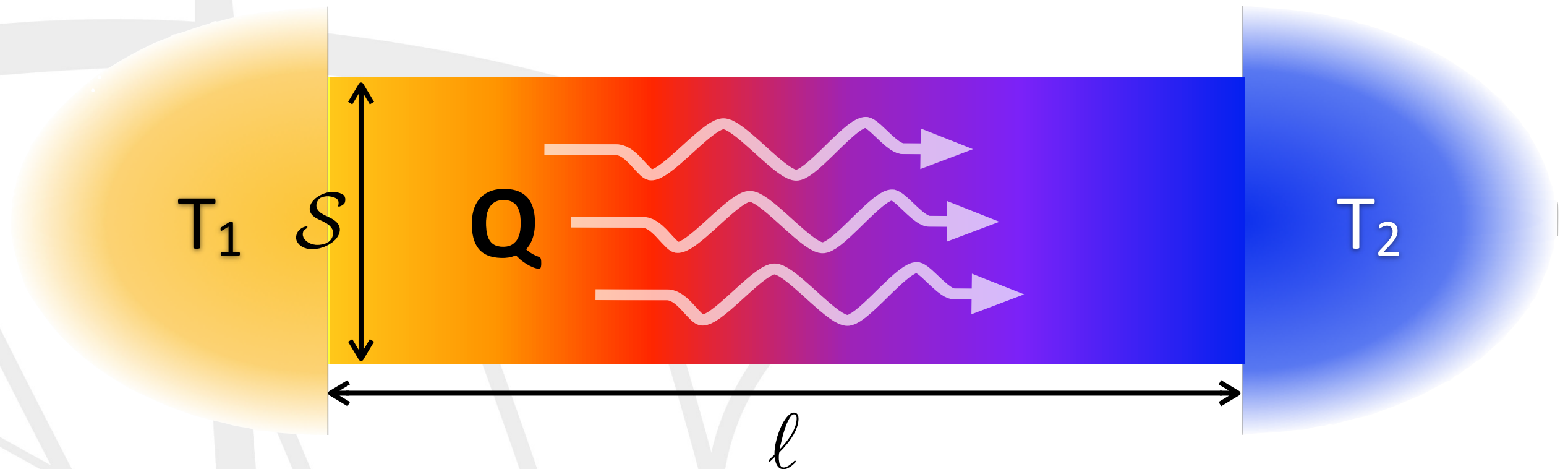


what heat transport is all about



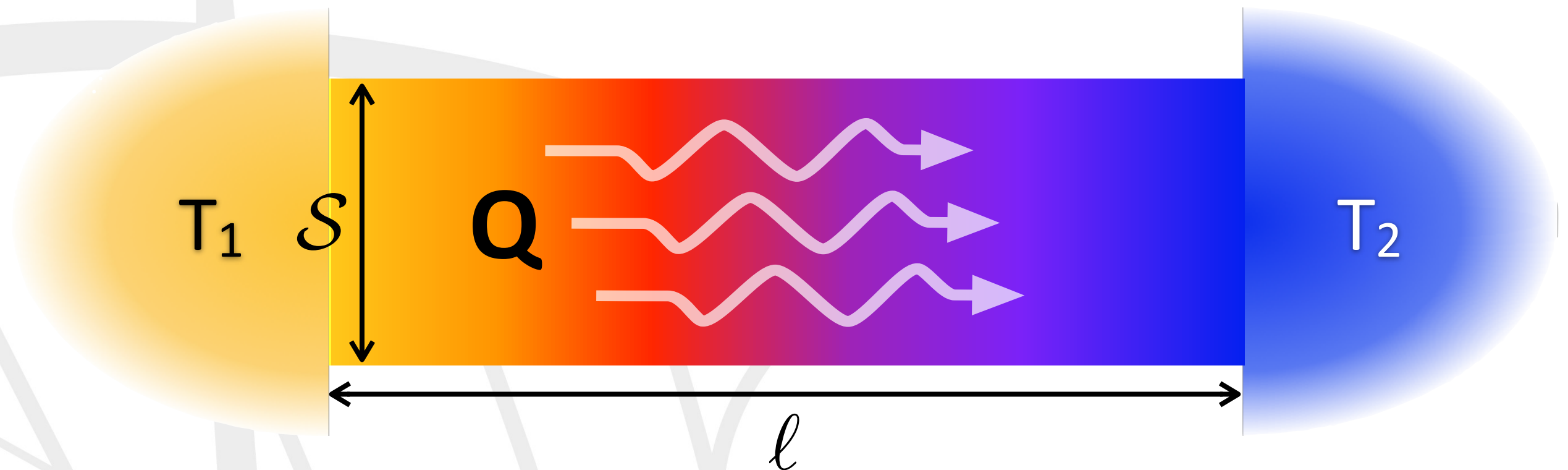
heat flows from the warm to the cool
as time flows from the past to the future

what heat transport is all about



$$\frac{1}{S} \frac{dQ}{dt} = -\kappa \frac{(T_2 - T_1)}{\ell}$$

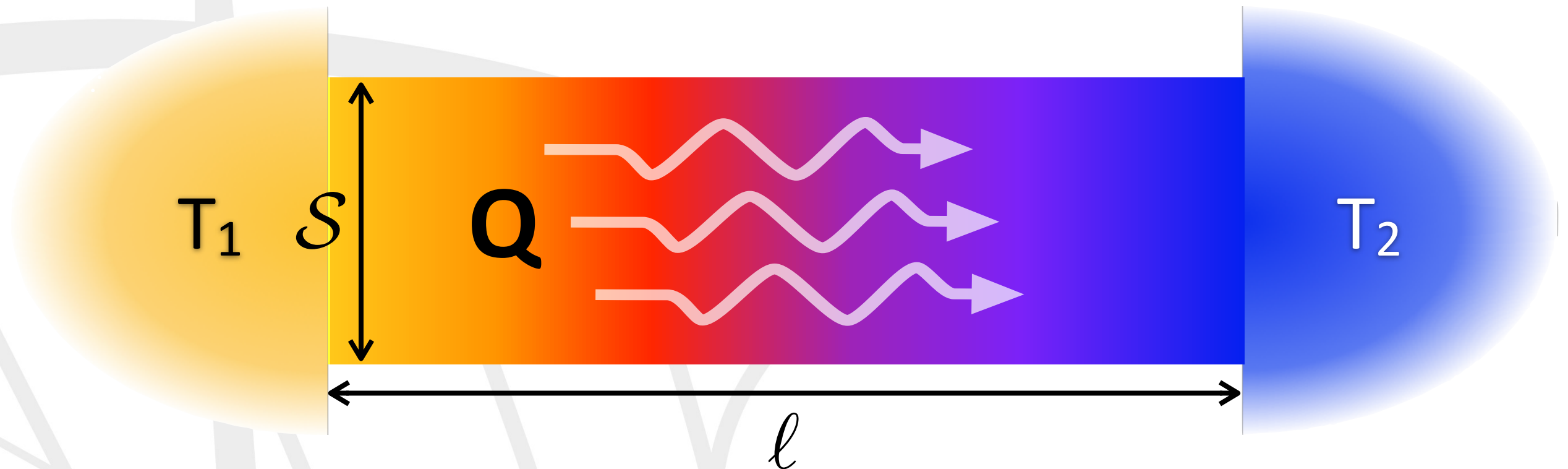
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what heat transport is all about



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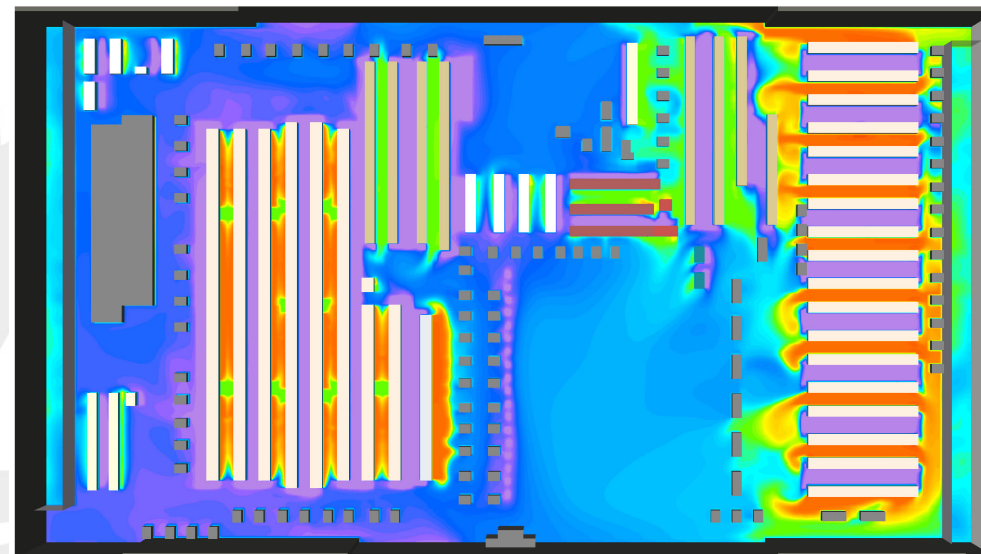
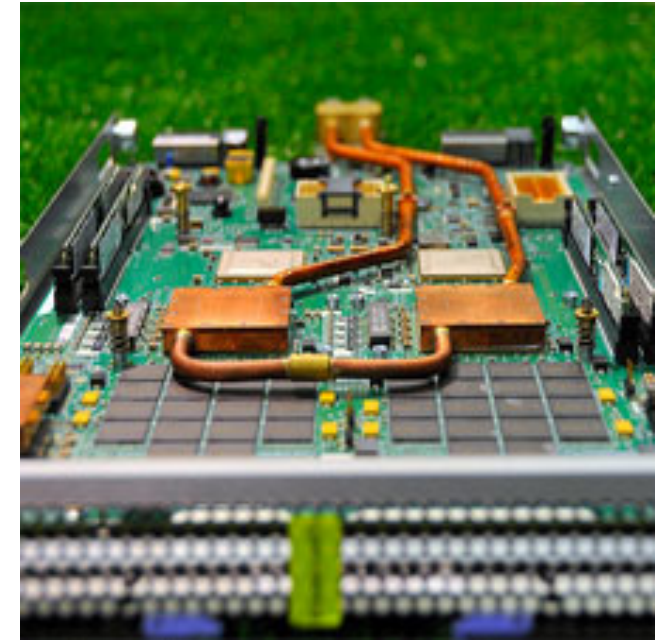


why should we care?

energy saving



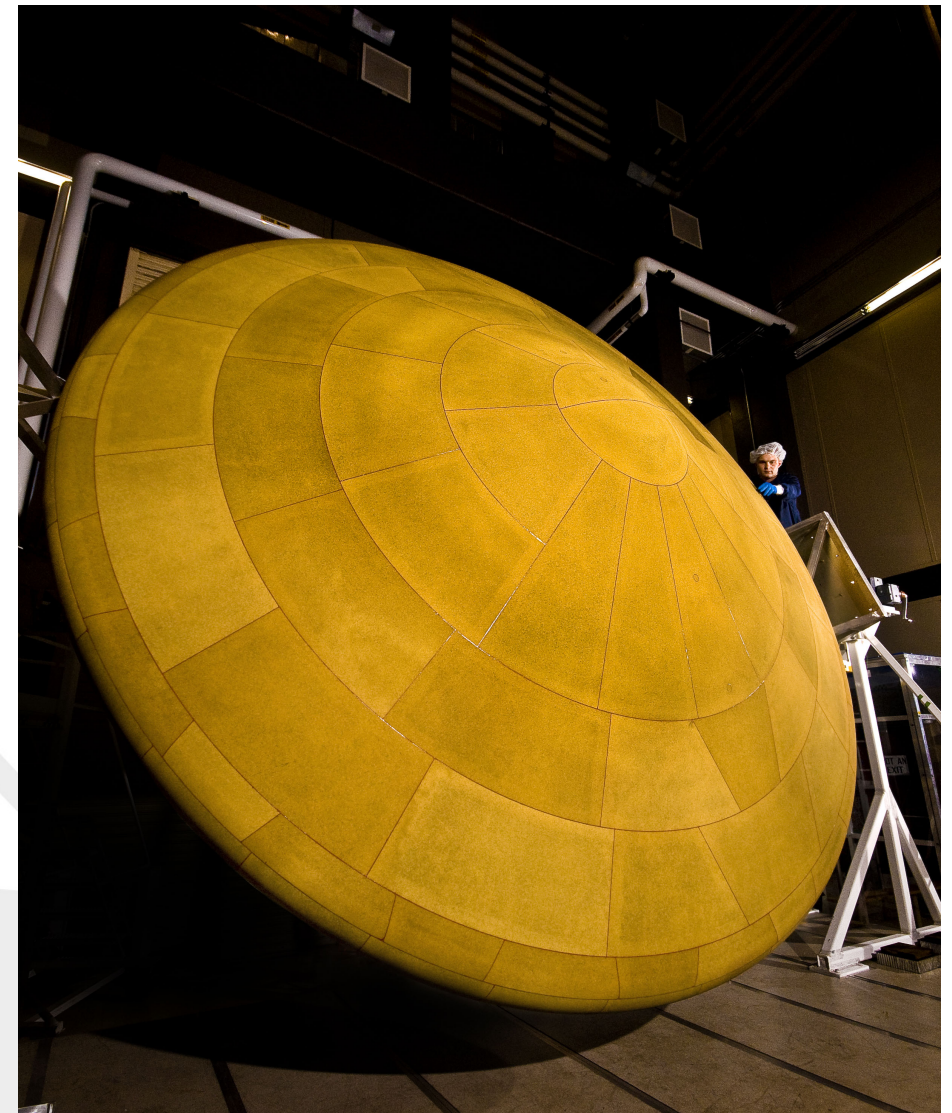
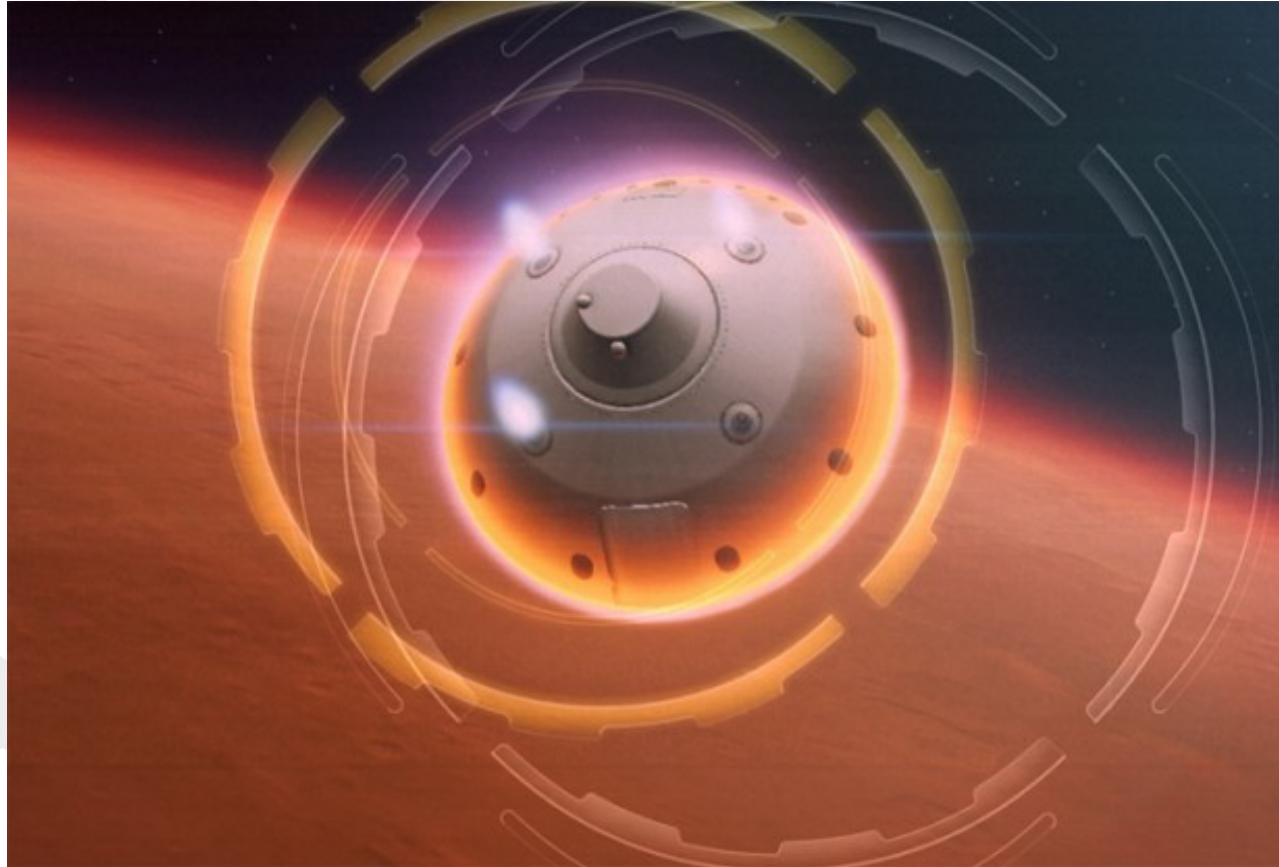
heat dissipation



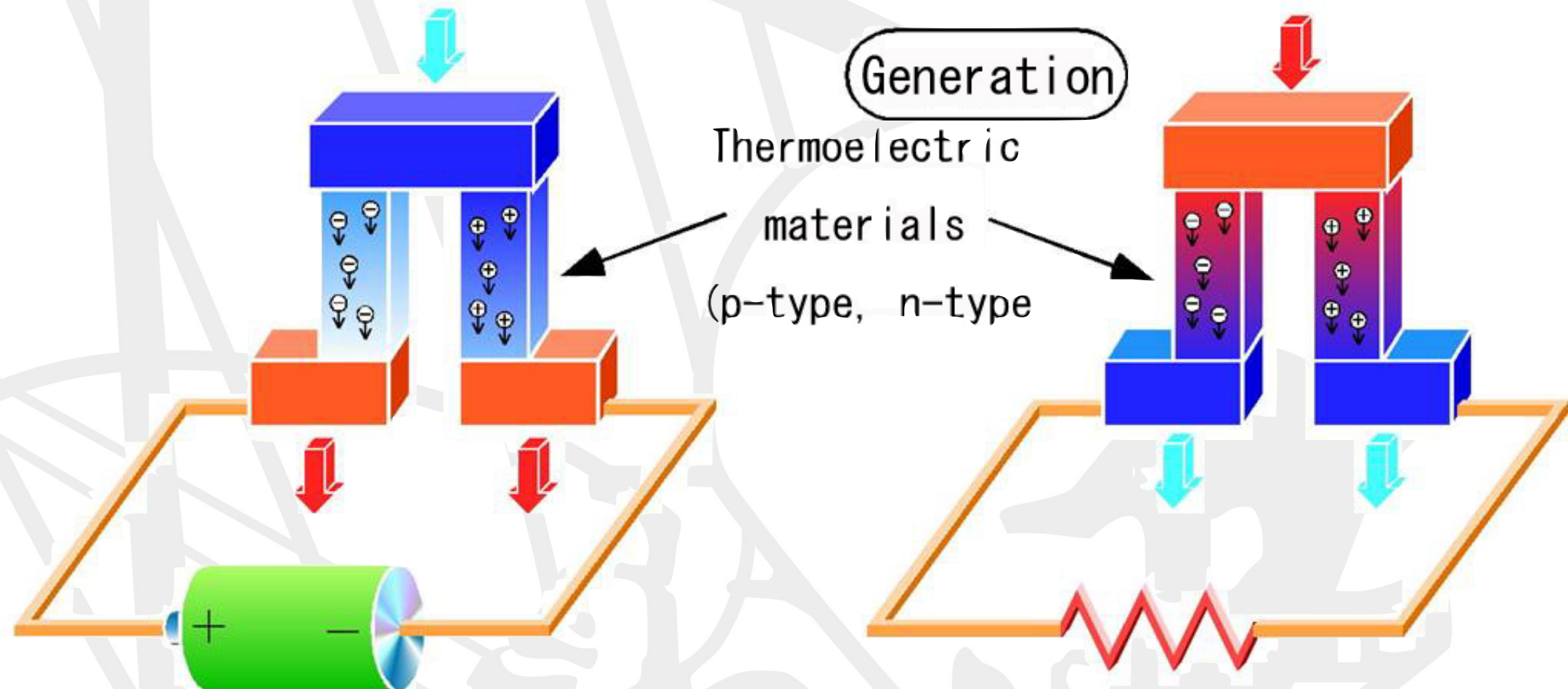
heat shielding



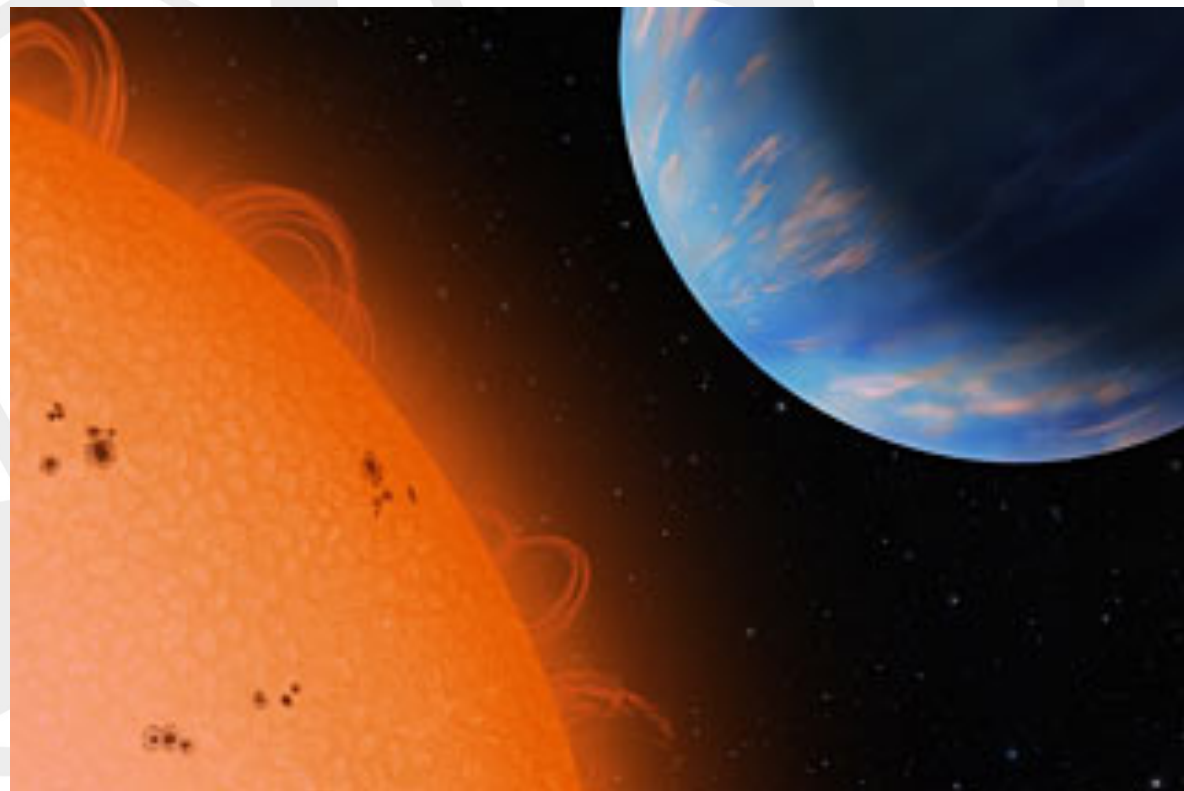
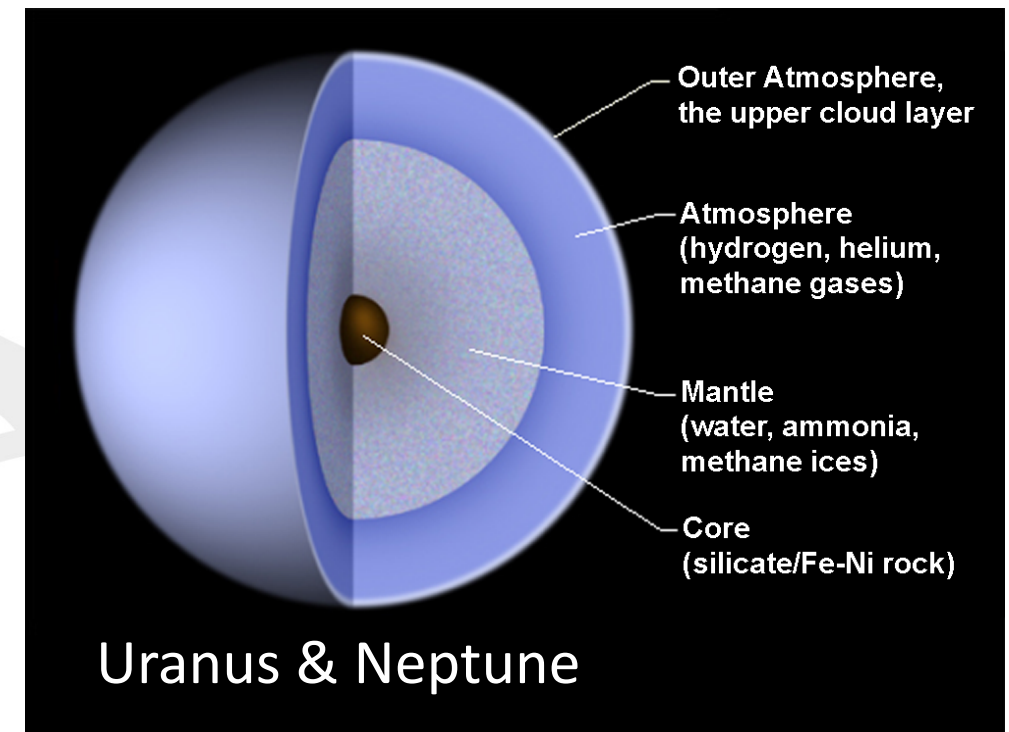
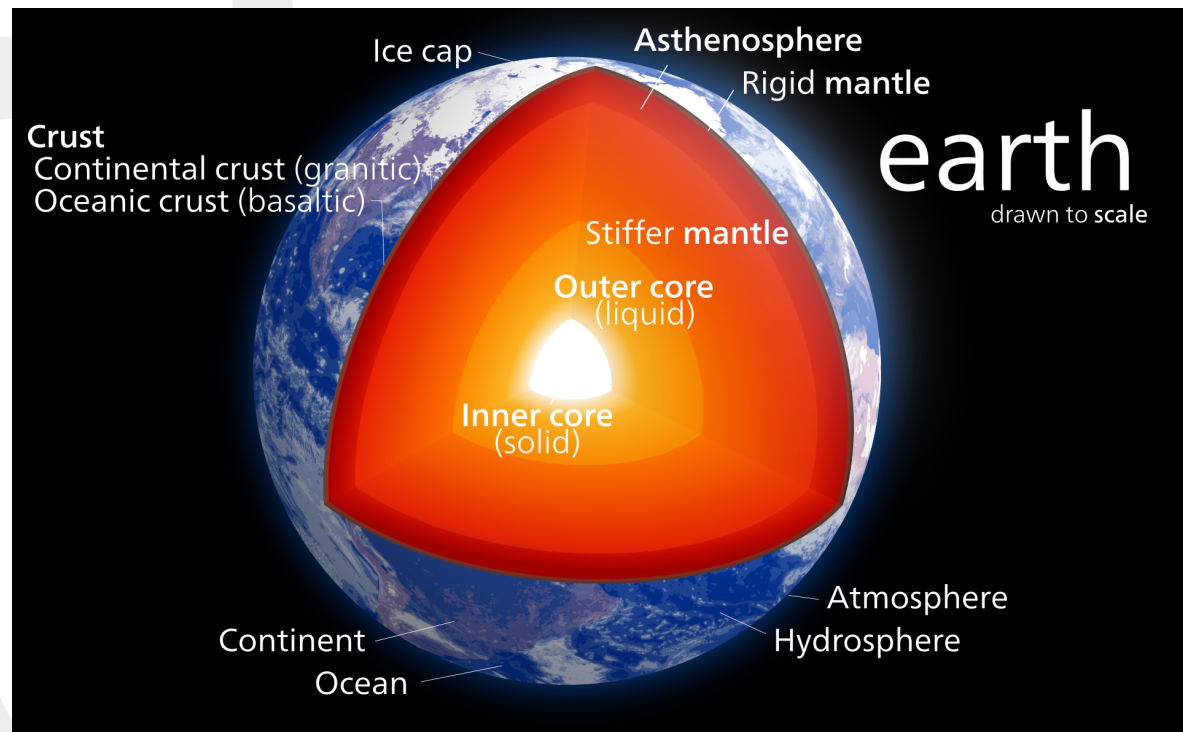
heat shielding



energy conversion



planetary sciences



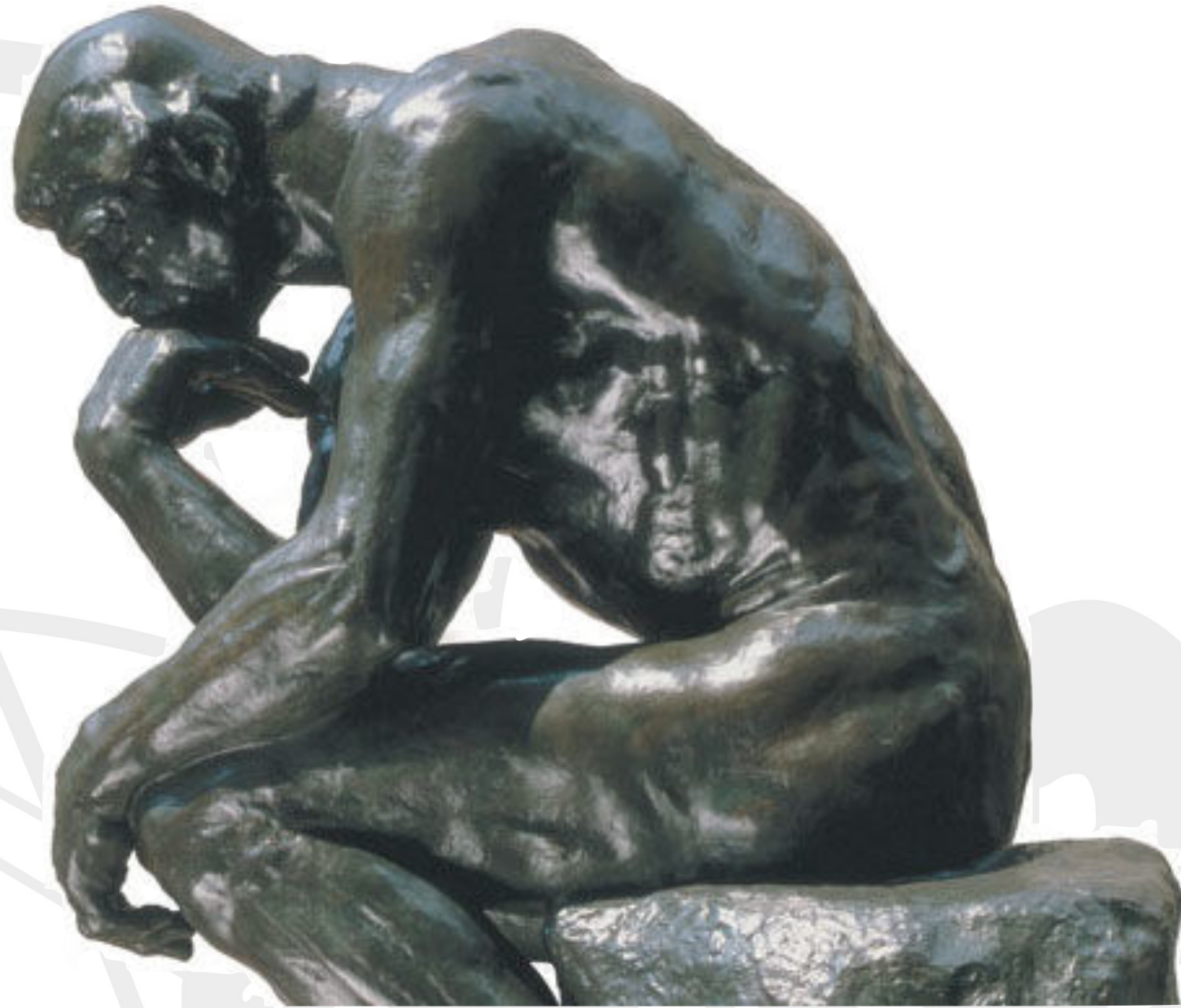
why should we care?

- energy saving and heat dissipation
- heat shielding
- energy conversion
- earth and planetary sciences
- ...

why should we care?



why should we care?



- ... because it is important and poorly understood

Green-Kubo theory

$$\kappa = \frac{1}{3V k_B T^2} \int_0^\infty \langle \mathbf{J}_q(t) \cdot \mathbf{J}_q(0) \rangle dt$$

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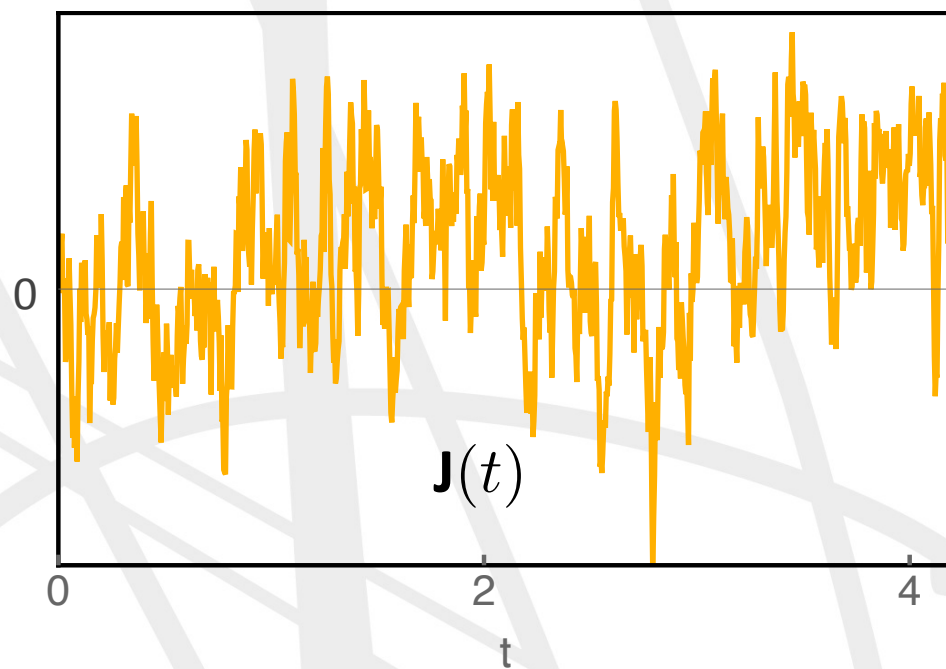
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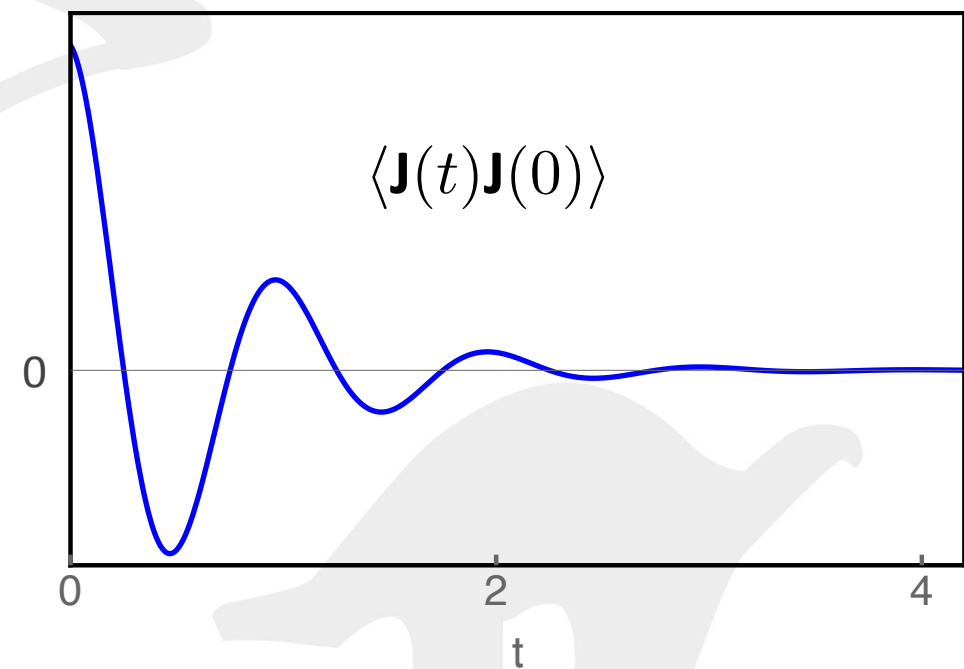
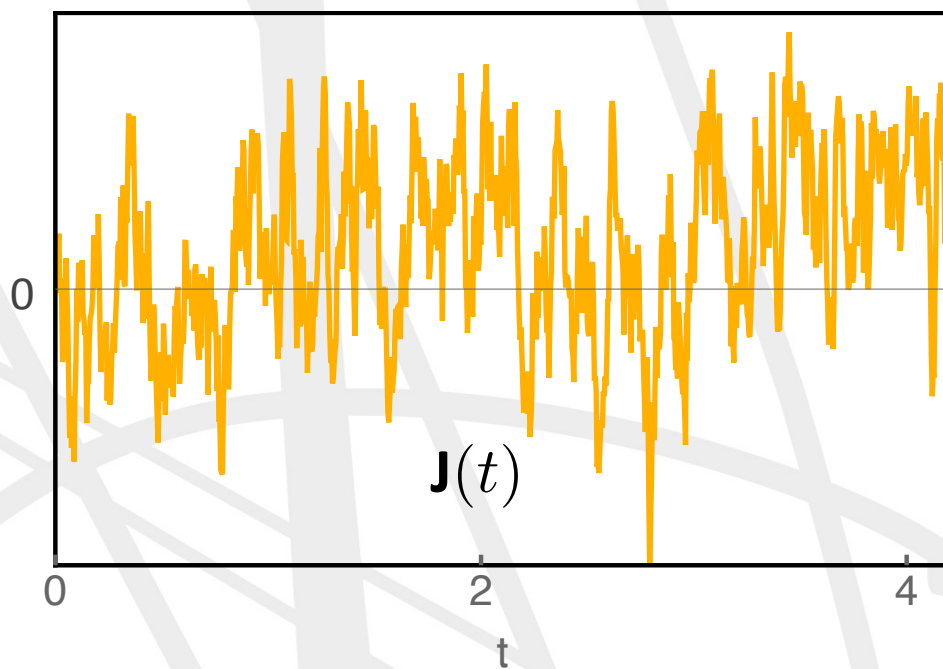
Green-Kubo vs. Einstein-Helfand

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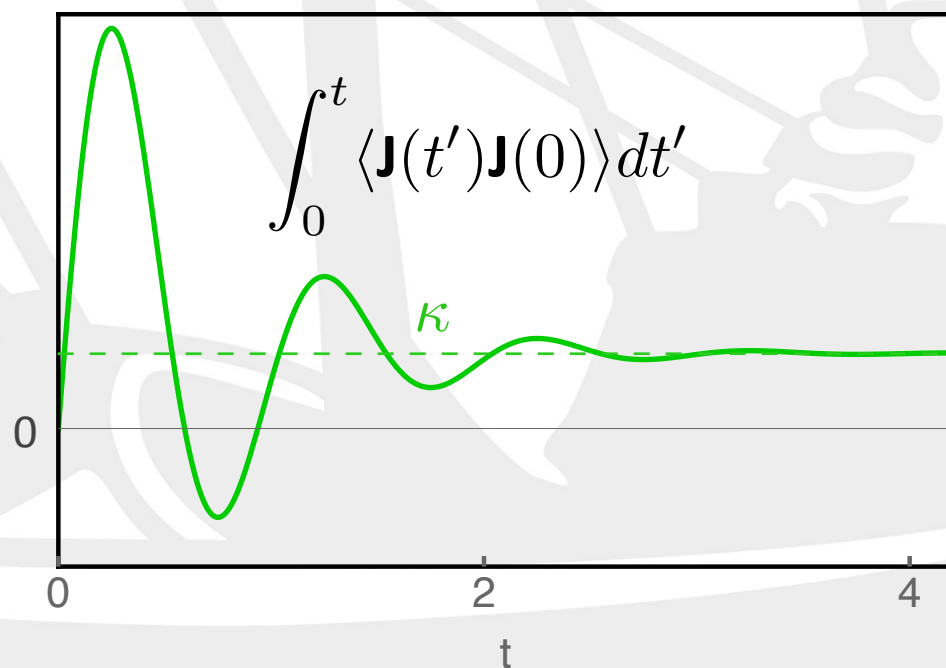
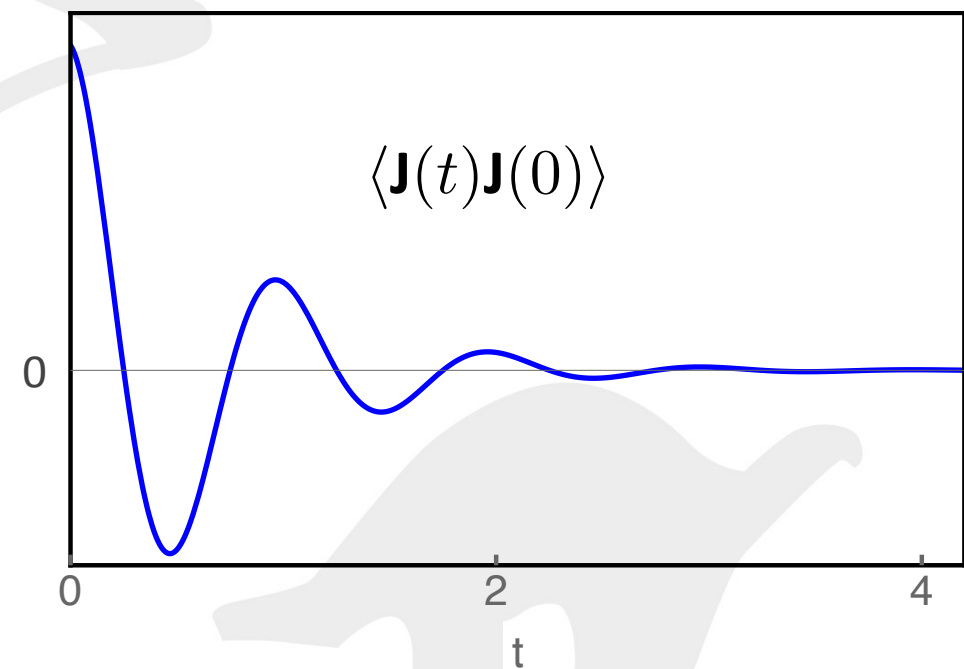
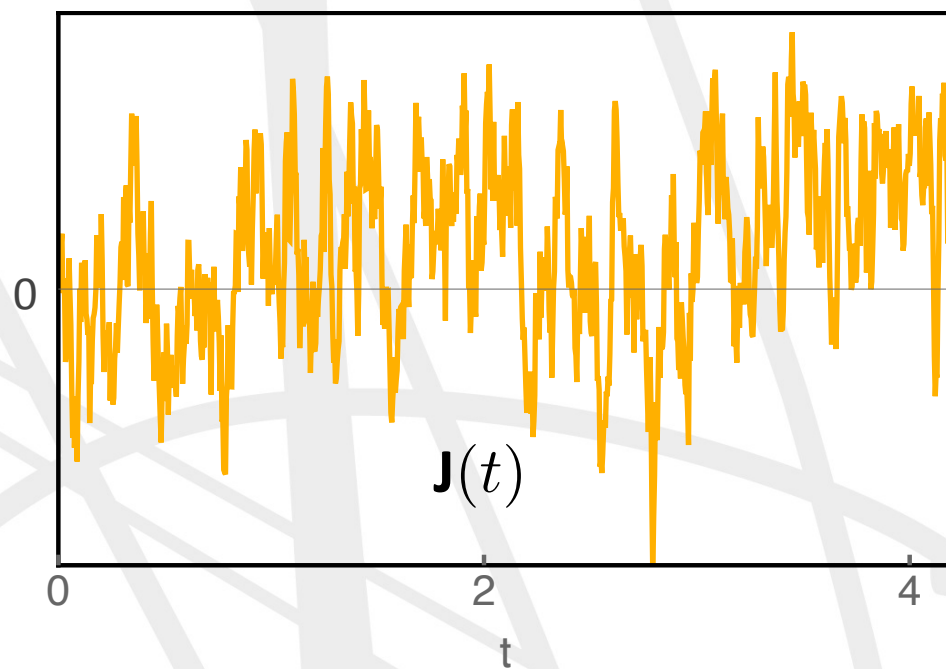
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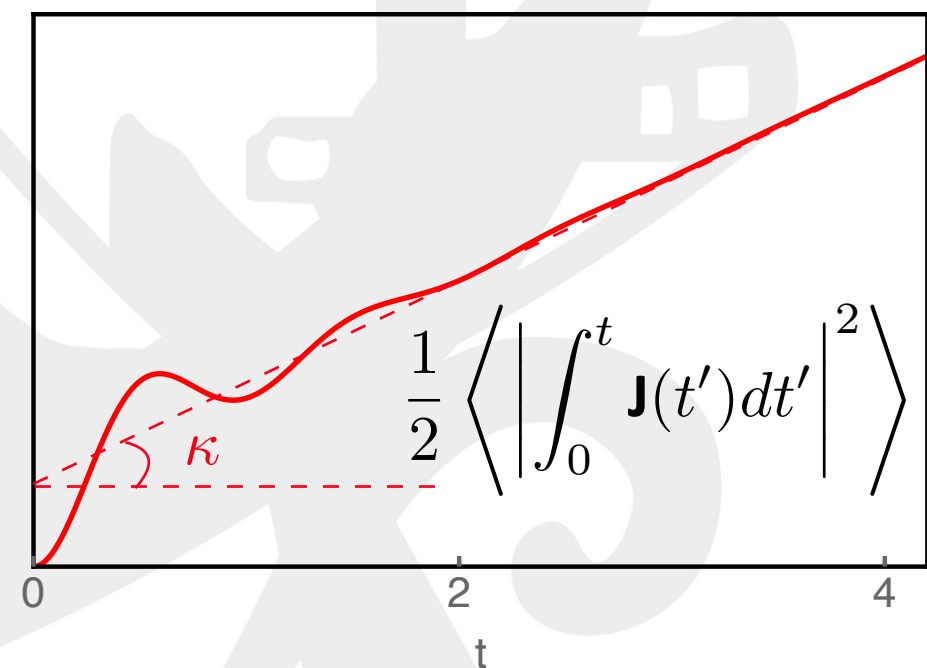
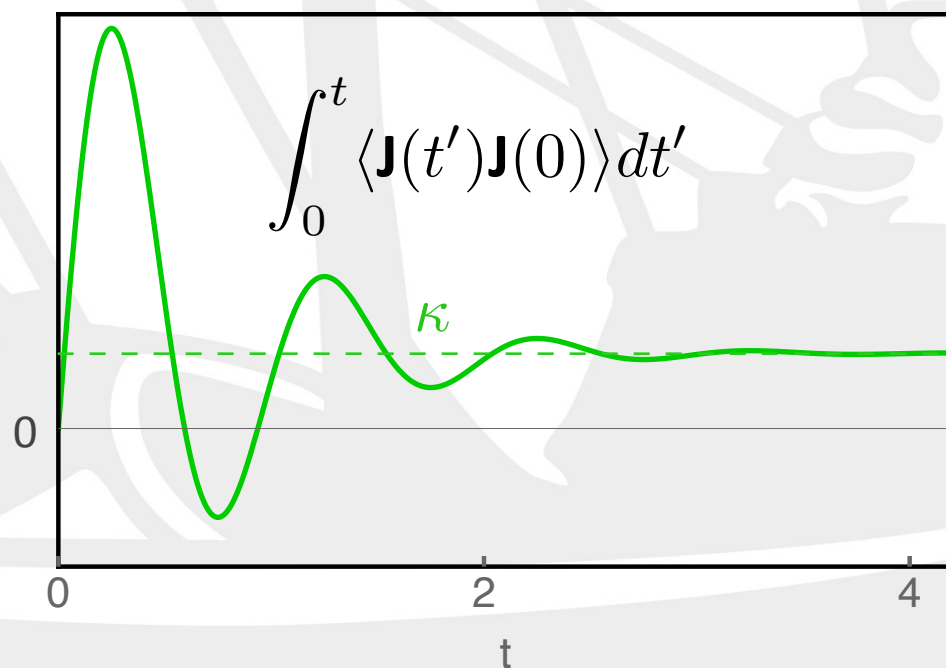
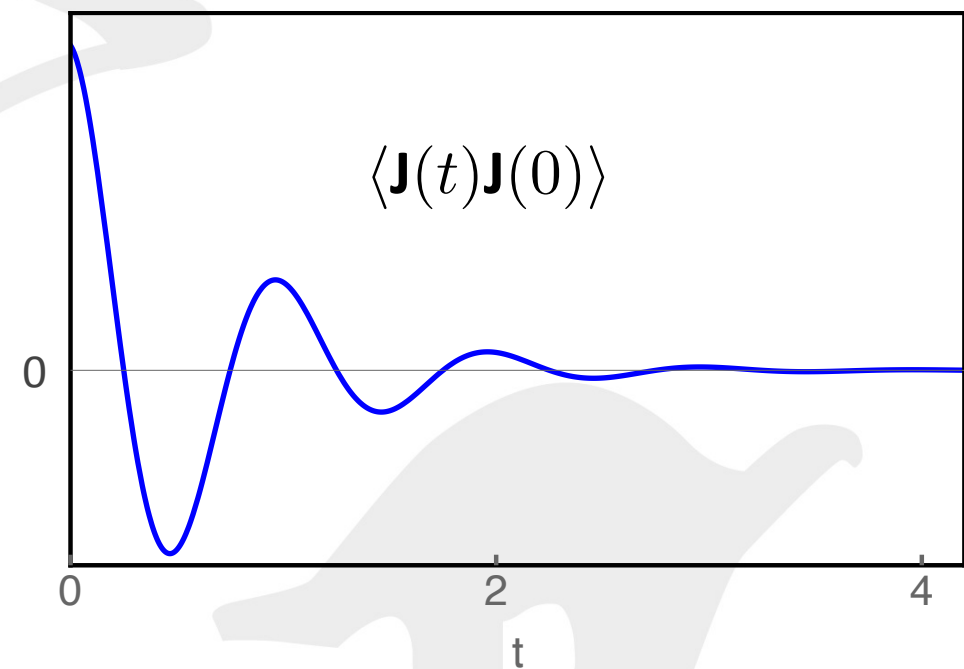
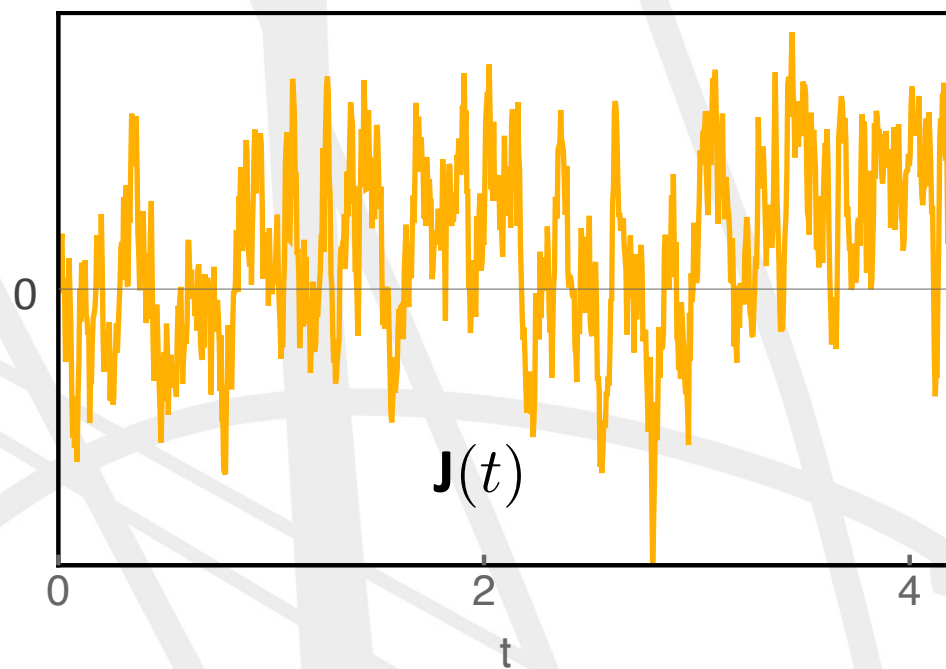
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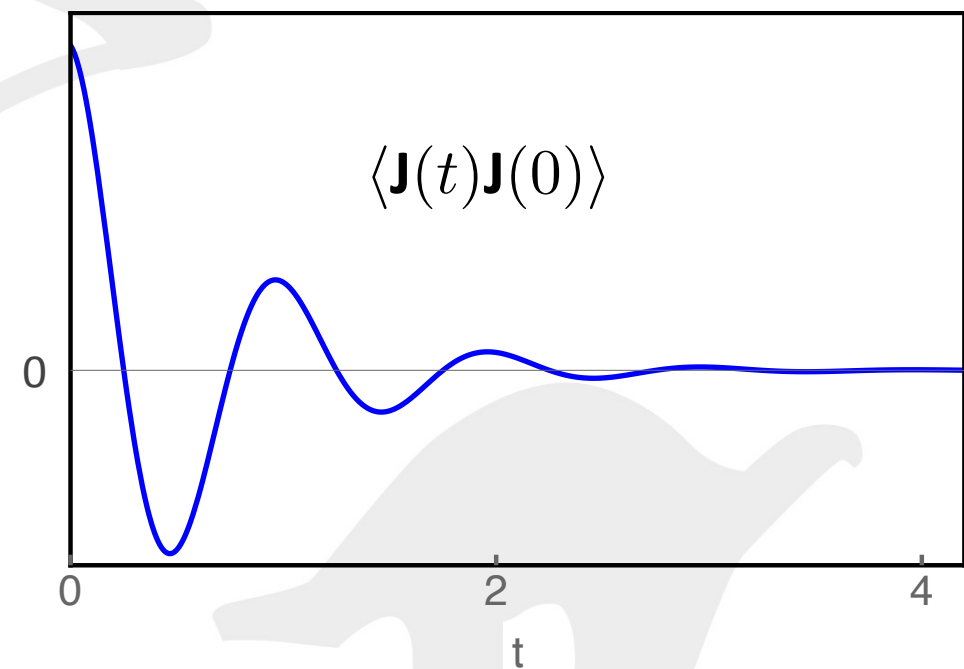
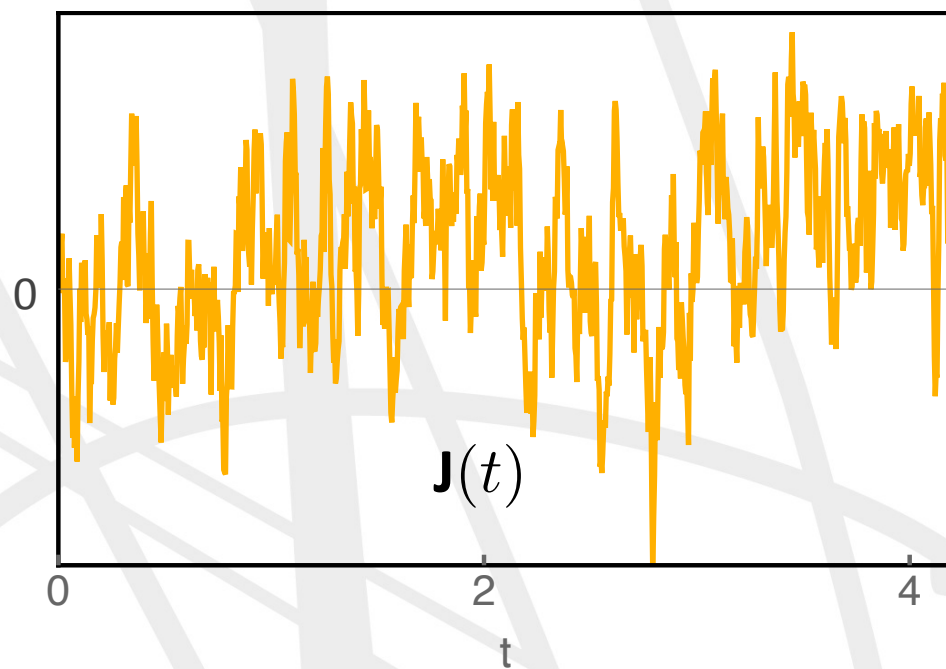
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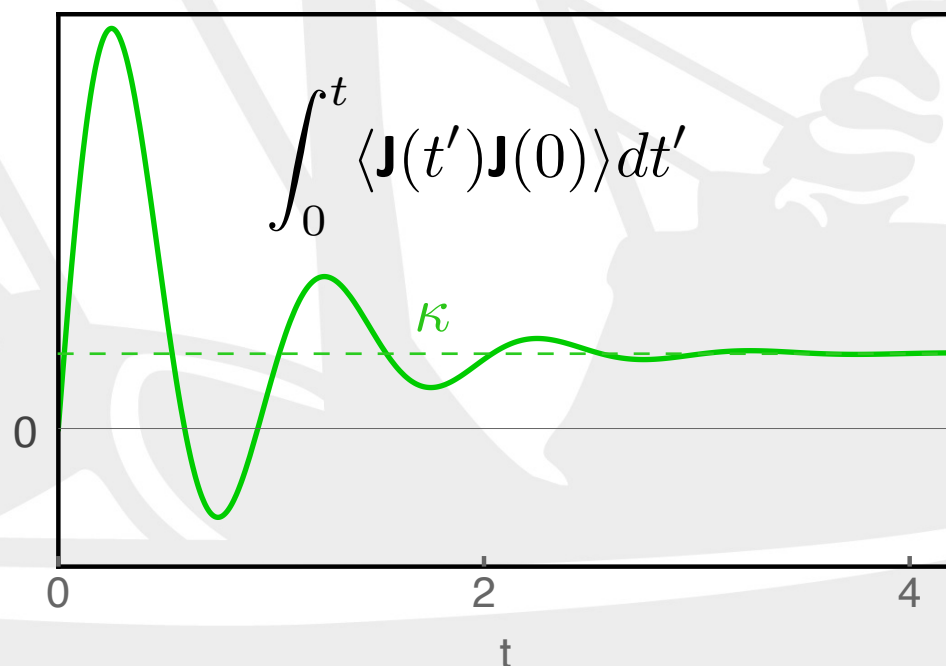


Green-Kubo vs. Einstein-Helfand

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Einstein's relation
for the diffusion coefficients



$$\int_0^t \langle \mathbf{J}(t') \mathbf{J}(0) \rangle dt' = \frac{1}{2t} \left\langle \left| \int_0^t \mathbf{J}(t') dt' \right|^2 \right\rangle + \mathcal{O}(t^{-1})$$

the classical MD ansatz

$$e(\mathbf{r}, \mathbf{t}) = \sum_I \delta(\mathbf{r} - \mathbf{R}_I(\mathbf{t})) \epsilon_I(\mathbf{R}(\mathbf{t}), \mathbf{V}(\mathbf{t}))$$

$$\epsilon_I(\mathbf{R}, \mathbf{V}) = \frac{1}{2} M_I \mathbf{V}_I^2 + \frac{1}{2} \sum_{J \neq I} v(|\mathbf{R}_I - \mathbf{R}_J|)$$

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ab initio simulations

PRL **104**, 208501 (2010)

PHYSICAL REVIEW LETTERS

week ending
21 MAY 2010

Thermal Conductivity of Periclase (MgO) from First Principles

Stephen Stackhouse^{*}

Department of Geological Sciences, University of Michigan, Ann Arbor, Michigan, 48109-1005, USA

Lars Stixrude[†]

Department of Earth Sciences, University College London, Gower Street, London WC1E 6BT, United Kingdom

Bijaya B. Karki[‡]

*Department of Computer Science, Louisiana State University, Baton Rouge, Louisiana 70803, USA
and Department of Geology and Geophysics, Louisiana State University, Baton Rouge, Louisiana 70803, USA*

sensitive to the form of the potential. The widely used Green-Kubo relation [14] does not serve our purposes, because in first-principles calculations it is impossible to uniquely decompose the total energy into individual contributions from each atom.



What I cannot create,
I do not understand.

Know how to solve every
problem that has been solved

Why const $\times$ sort PC

TO LEARN:

Bethe Ansatz Prob.

Kondo

2-D Hall

accel. Temp

Non linear Chained Hydro

$$(A) f = U(r, a)$$

$$g = 4(r, z) u(r, z)$$

$$(B) f = 2|k \cdot a| (u, a)$$

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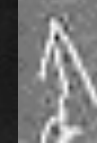
Non linear Chained Hydro



$$f = U(r, a)$$

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$$f = 2|k \cdot a| (u, a)$$



What I cannot create,
I do not understand.

$$A \sim \int e^{-\frac{i}{\hbar} S[x(\cdot)]} \mathcal{D}[x(\cdot)]$$

Why const $\times$ $\log t$ Po
TO LEARN:
Bohr's Answer Probs.

I can safely say
that nobody
understands quantum
mechanics



$$\begin{aligned} f &= U(r, a) \\ g &= 4(r, z) u(r, z) \\ f &= 2|k \cdot a| (u, a) \end{aligned}$$

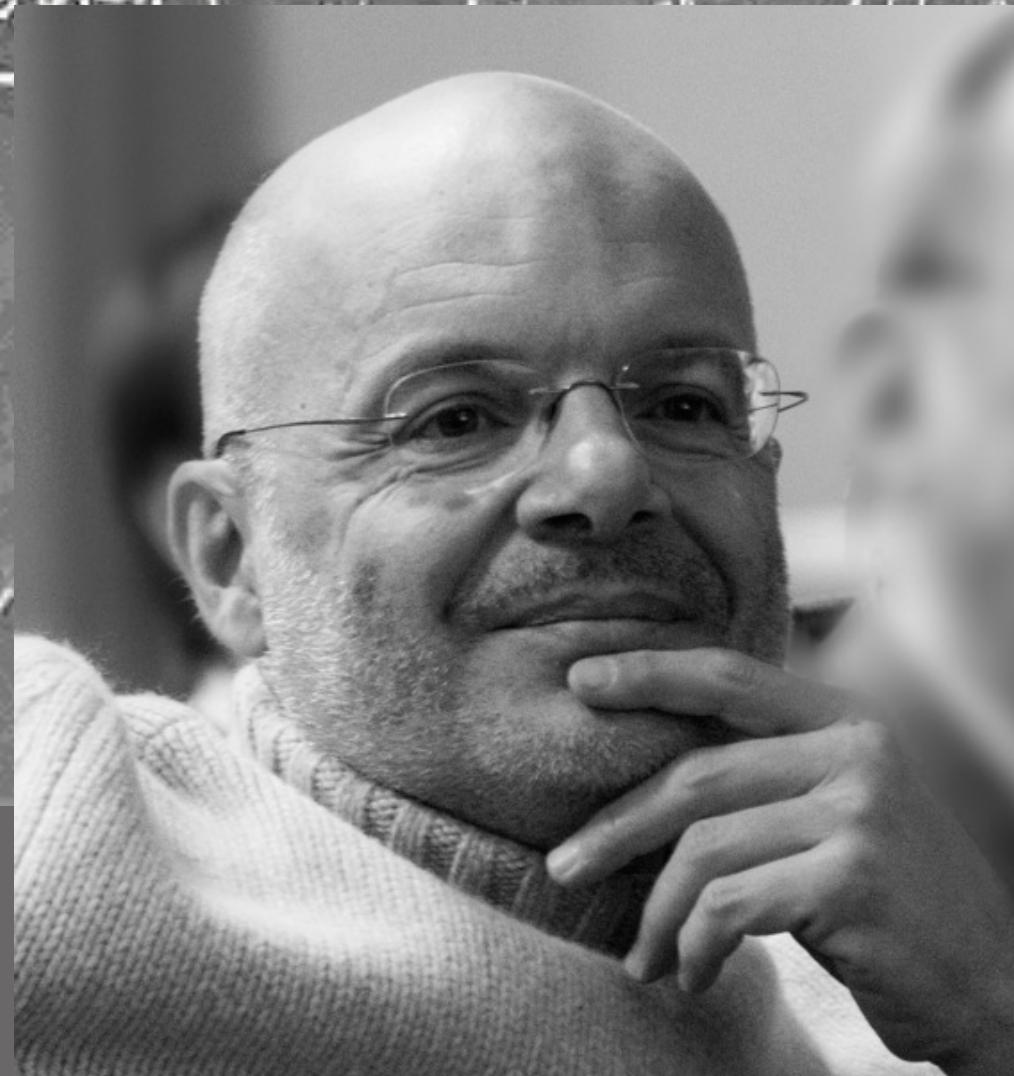
compute

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LEARN:
The Anxiety Probs.
Know
2-D Hall
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insights from classical mechanics

$$E = \sum_I \epsilon_I(\mathbf{R}, \mathbf{V})$$
$$= \text{cnst}$$

$$\epsilon_I(\mathbf{R}, \mathbf{V}) = \frac{1}{2} M_I \mathbf{V}_I^2 + \frac{1}{2} \sum_{J \neq I} v(|\mathbf{R}_I - \mathbf{R}_J|)$$

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insights from classical mechanics

$$\sum_I \epsilon_I(\mathbf{R}, \mathbf{V}) = \text{cnst}$$

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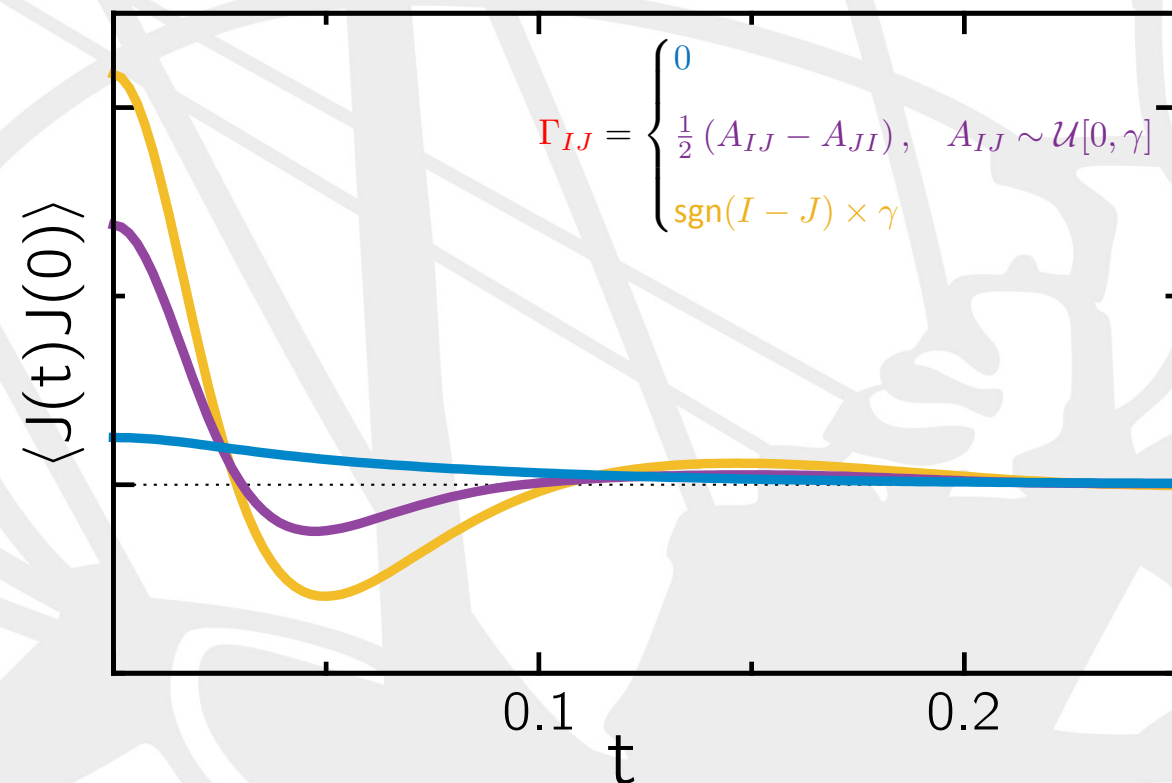
$$\begin{aligned} \mathbf{J}_e = & \sum_I \epsilon_I \mathbf{V}_I + \frac{1}{2} \sum_{I \neq J} (\mathbf{V}_I \cdot \mathbf{F}_{IJ}) (\mathbf{R}_I - \mathbf{R}_J) \\ & + \frac{1}{2} \sum_{I \neq J} \Gamma_{IJ} [\mathbf{V}_I v(|\mathbf{R}_I - \mathbf{R}_J|) + (\mathbf{V}_I \cdot \mathbf{F}_{IJ}) (\mathbf{R}_I - \mathbf{R}_I)] \end{aligned}$$

insights from classical mechanics

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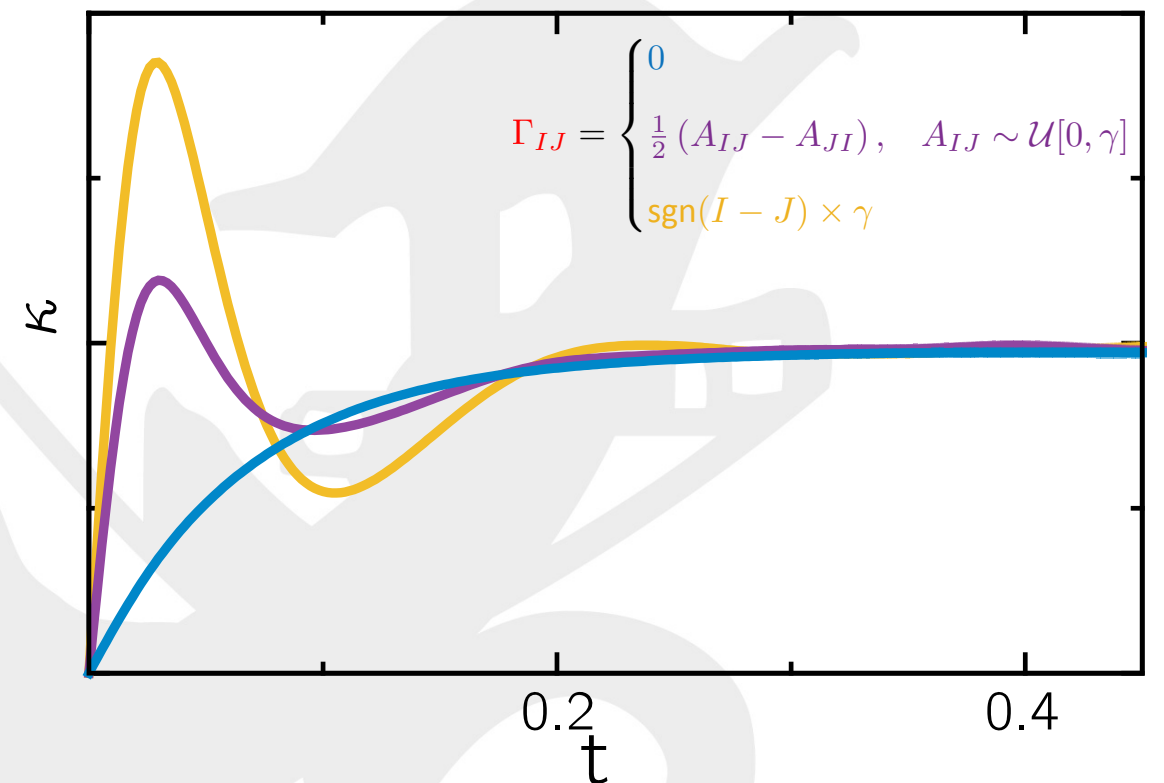
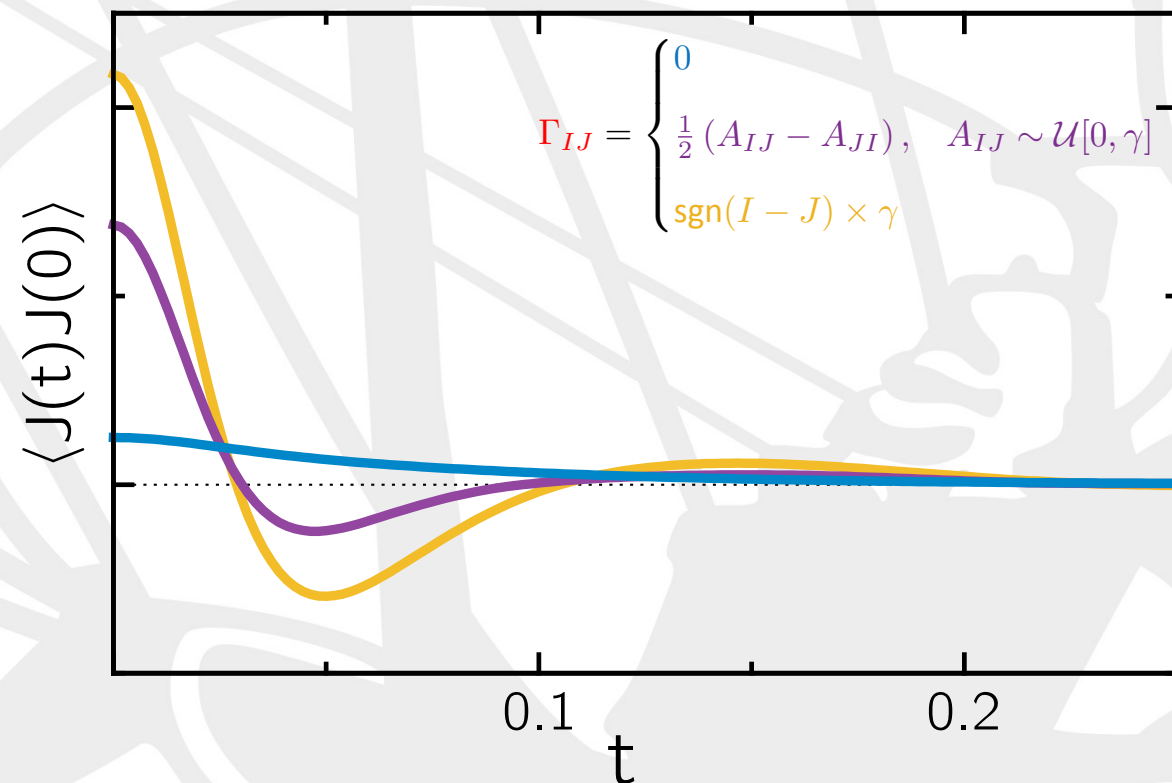
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insights from classical mechanics

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insights from classical mechanics

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$$\dot{\mathbf{p}} = \frac{d}{dt} \frac{1}{4} \sum_{I \neq J} \Gamma_{IJ} v(|\mathbf{R}_I - \mathbf{R}_J|) (\mathbf{R}_I - \mathbf{R}_J)$$

insights from classical mechanics

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insights from classical mechanics

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insights from classical mechanics

$$\mathbf{J}' \equiv \mathbf{J} + \dot{\mathbf{P}}$$

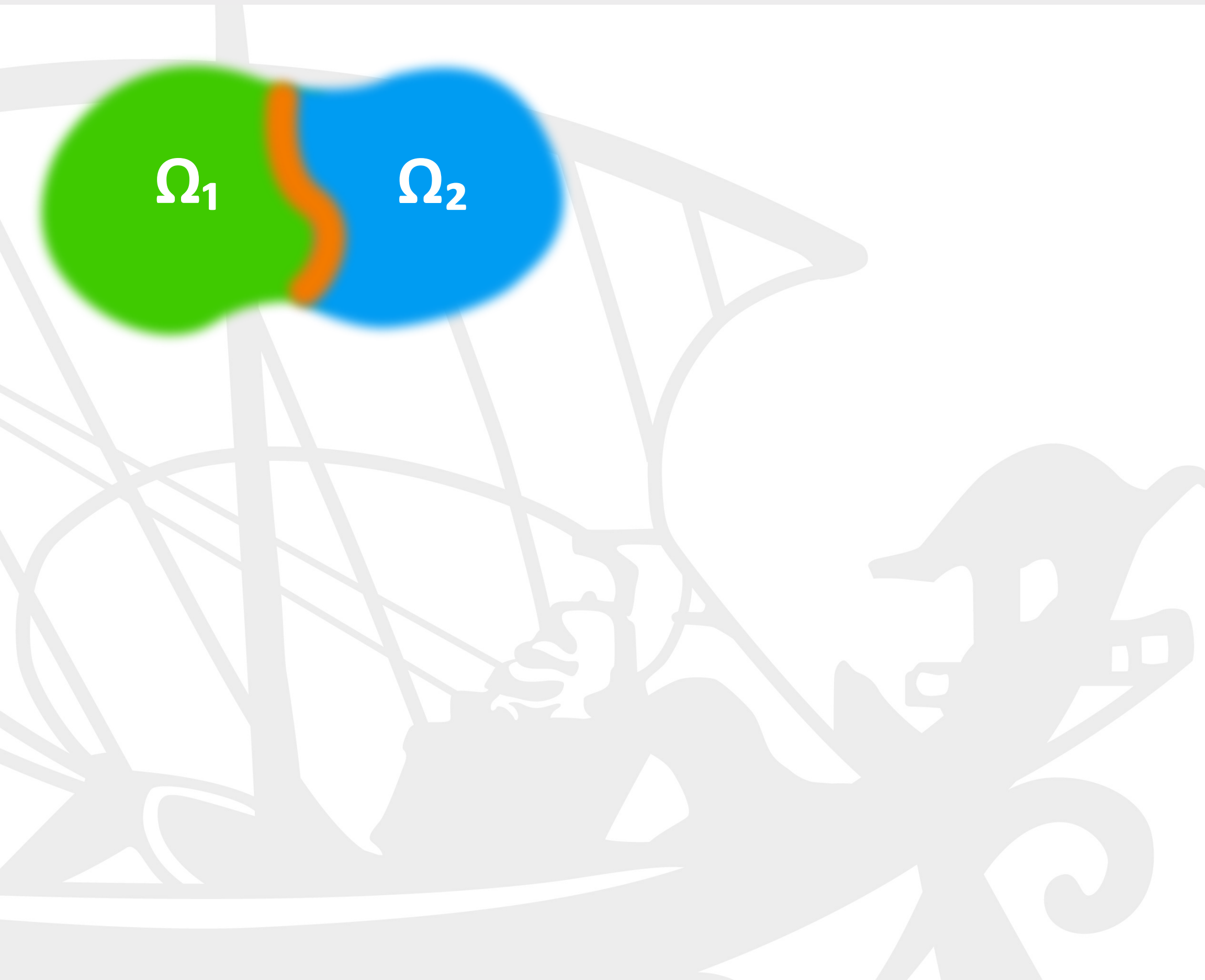
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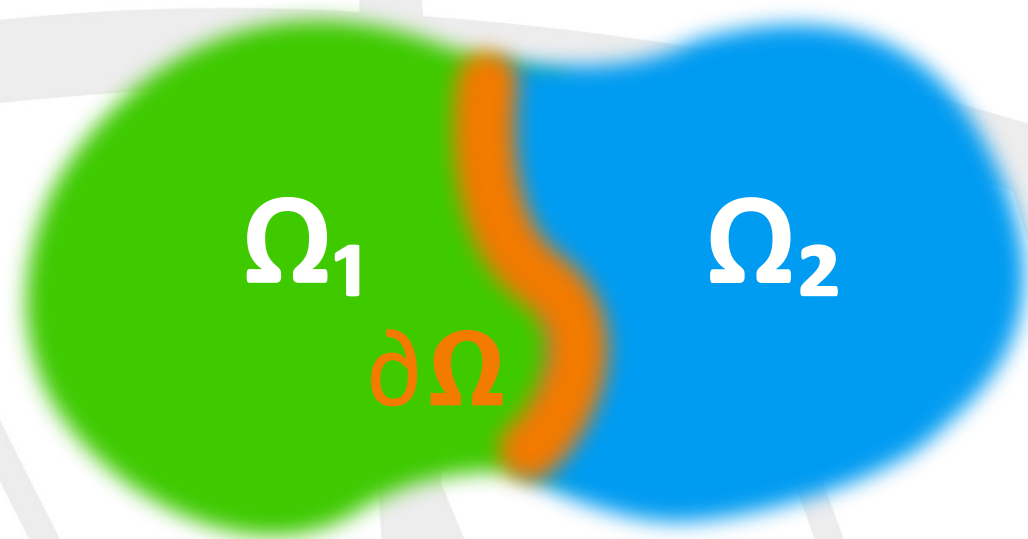
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$$\kappa' = \kappa$$

gauge invariance

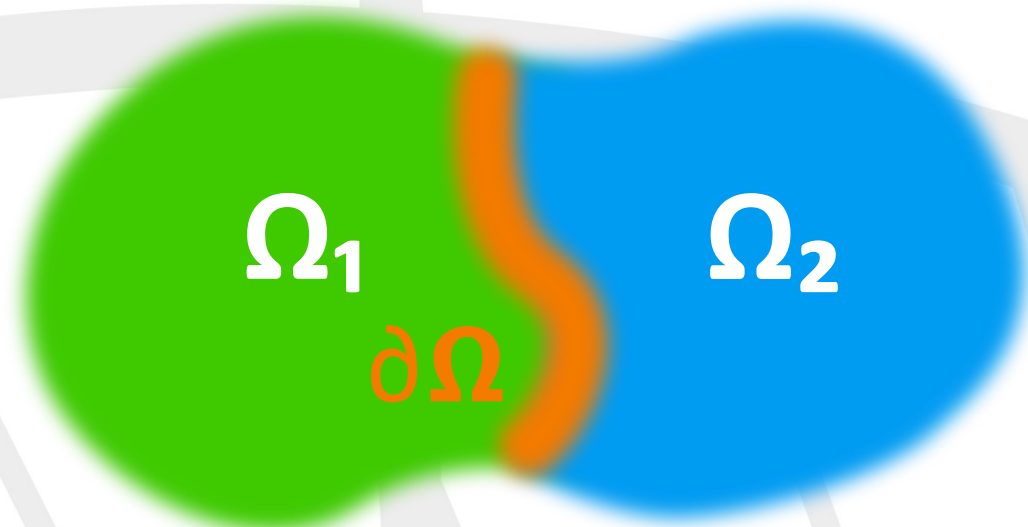


gauge invariance



$$E[\Omega_1 \cup \Omega_2] = E[\Omega_1] + E[\Omega_2] + W[\partial\Omega]$$

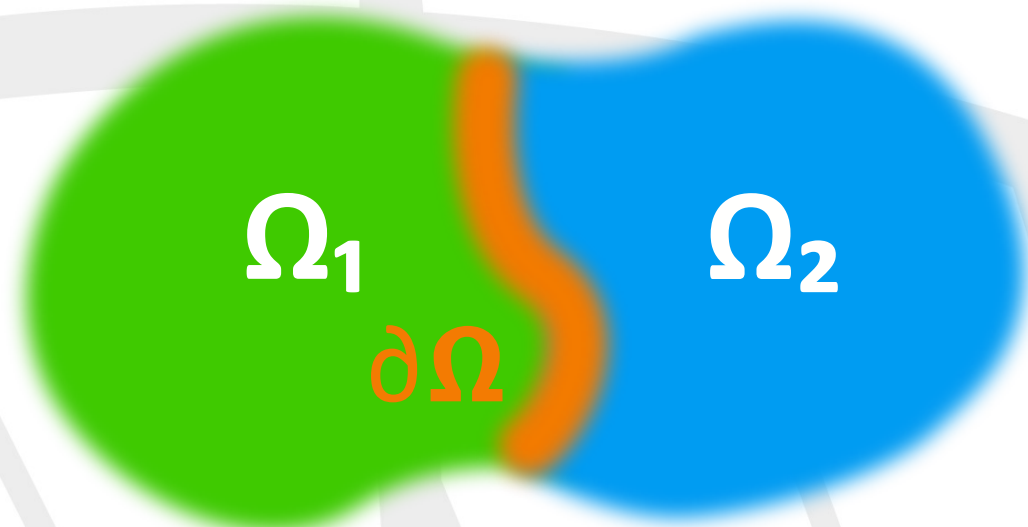
gauge invariance



$$\begin{aligned} E[\Omega_1 \cup \Omega_2] &= E[\Omega_1] + E[\Omega_2] + W[\partial\Omega] \\ &\stackrel{?}{=} \mathcal{E}[\Omega_1] + \mathcal{E}[\Omega_2] \end{aligned}$$

$$\mathcal{E}[\Omega] = \int_{\Omega} e(\mathbf{r}) d\mathbf{r}$$

gauge invariance

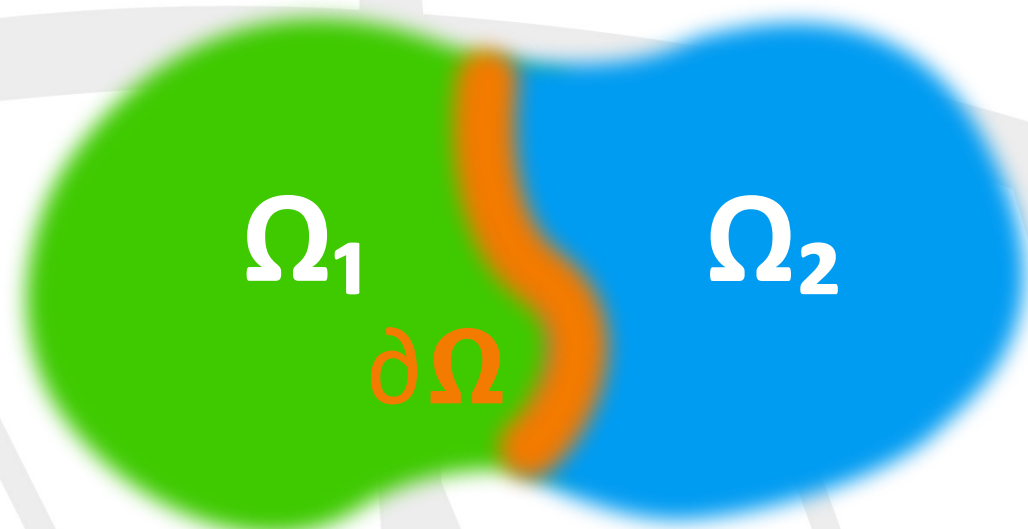


$$\begin{aligned}\mathcal{E}[\Omega_1 \cup \Omega_2] &= \mathcal{E}[\Omega_1] + \mathcal{E}[\Omega_2] + W[\partial\Omega] \\ &\stackrel{?}{=} \mathcal{E}[\Omega_1] + \mathcal{E}[\Omega_2]\end{aligned}$$

$$\mathcal{E}[\Omega] = \int_{\Omega} e(\mathbf{r}) d\mathbf{r}$$

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gauge invariance



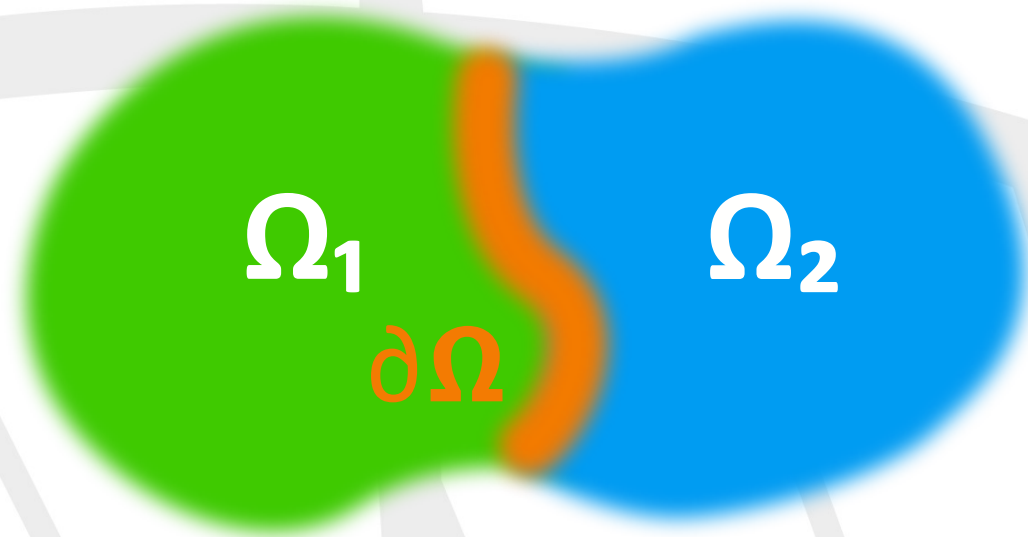
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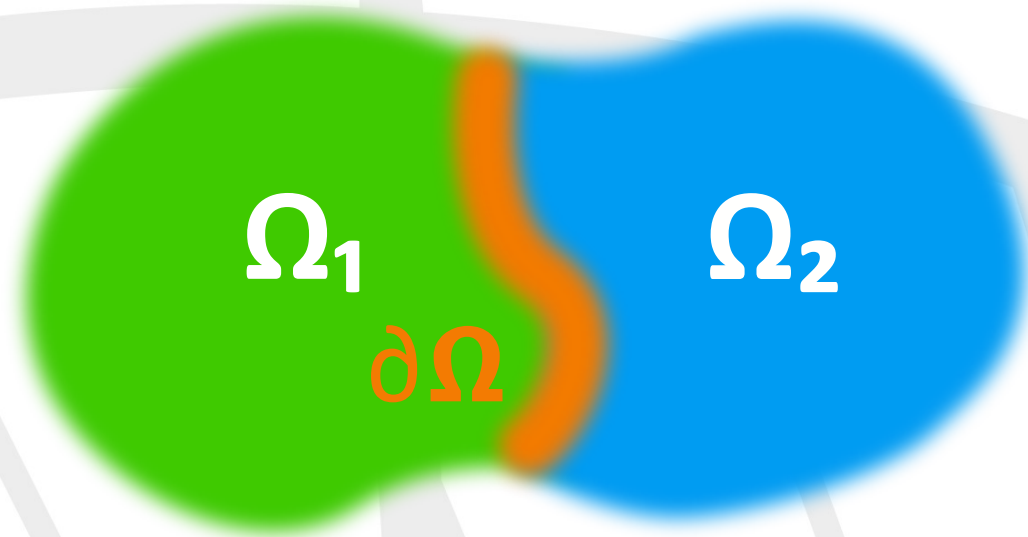
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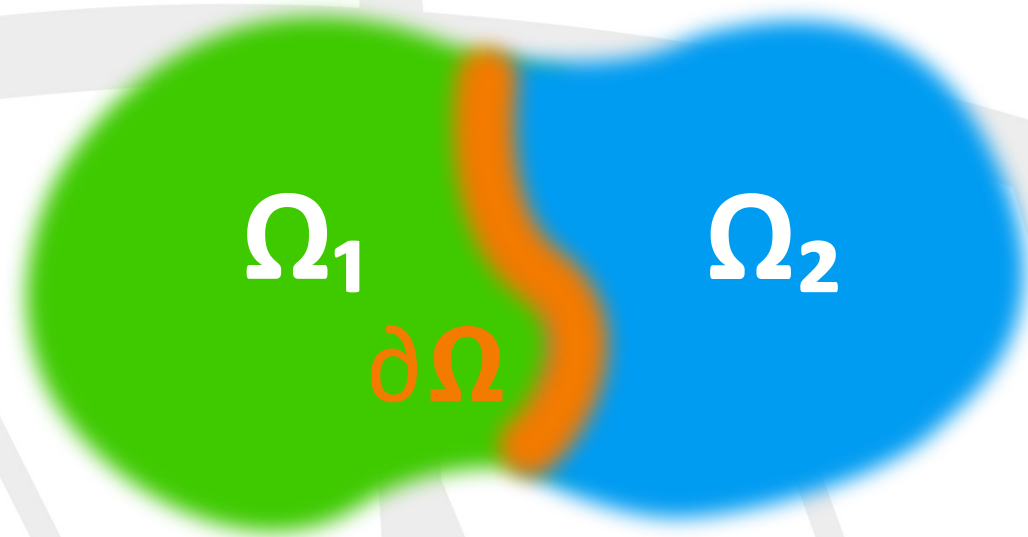
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gauge invariance

Any two energy densities that differ by the divergence of a (bounded) vector field are physically equivalent

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gauge invariance

Any two energy densities that differ by the divergence of a (bounded) vector field are physically equivalent

$$\mathcal{E}'[\Omega] = \mathcal{E}[\Omega] + \mathcal{O}[\partial\Omega]$$

The corresponding energy fluxes differ by a total time derivative, and the heat transport coefficients coincide

$$\mathbf{J}'(t) = \mathbf{J}(t) + \dot{\mathbf{P}}(t)$$

density-functional theory

$$\begin{aligned} E_{DFT} = & \frac{1}{2} \sum_I M_I V_I^2 + \frac{e^2}{2} \sum_{I \neq J} \frac{Z_I Z_J}{R_{IJ}} \\ & + \sum_v \epsilon_v - \frac{1}{2} E_H + \int (\epsilon_{XC}(\mathbf{r}) - \mu_{XC}(\mathbf{r})) \rho(\mathbf{r}) d\mathbf{r} \end{aligned}$$

the DFT energy density

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$$e_{DFT}(\mathbf{r}) = e_0(\mathbf{r}) + e_{KS}(\mathbf{r}) + e_H(\mathbf{r}) + e_{XC}(\mathbf{r})$$

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$$e_{DFT}(\mathbf{r}) = e_0(\mathbf{r}) + e_{KS}(\mathbf{r}) + e_H(\mathbf{r}) + e_{XC}(\mathbf{r})$$

$$e_0(\mathbf{r}) = \sum_I \delta(\mathbf{r} - \mathbf{R}_I) \left(\frac{1}{2} M_I V_I^2 + w_I \right)$$

$$e_{KS}(\mathbf{r}) = \text{Re} \sum_v \varphi_v^*(\mathbf{r}) (\hat{H}_{KS} \varphi_v(\mathbf{r}))$$

$$e_H(\mathbf{r}) = -\frac{1}{2} \rho(\mathbf{r}) v_H(\mathbf{r})$$

$$e_{XC}(\mathbf{r}) = (\epsilon_{XC}(\mathbf{r}) - v_{XC}(\mathbf{r})) \rho(\mathbf{r})$$

the DFT energy current

$$\begin{aligned}\mathbf{J}_{DFT} &= \int \mathbf{r} \dot{e}_{DFT}(\mathbf{r}, t) d\mathbf{r} \\ &= \mathbf{J}_{KS} + \mathbf{J}_H + \mathbf{J}'_0 + \mathbf{J}_0 + \mathbf{J}_{XC}\end{aligned}$$

the DFT energy current

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$$= \mathbf{J}_{KS} + \mathbf{J}_H + \mathbf{J}'_0 + \mathbf{J}_0 + \mathbf{J}_{XC}$$

$$\mathbf{J}_{KS} = \sum_v \left(\langle \varphi_v | \mathbf{r} \hat{H}_{KS} | \dot{\varphi}_v \rangle + \varepsilon_v \langle \dot{\varphi}_v | \mathbf{r} | \varphi_v \rangle \right)$$

$$\mathbf{J}_H = \frac{1}{4\pi} \int \dot{v}_H(\mathbf{r}) \nabla v_H(\mathbf{r}) d\mathbf{r}$$

$$\mathbf{J}'_0 = \sum_{v,I} \langle \varphi_v | (\mathbf{r} - \mathbf{R}_I) (\mathbf{V}_I \cdot \nabla_I \hat{v}_0) | \varphi_v \rangle$$

$$\mathbf{J}_0 = \sum_I \left[\mathbf{v}_I e_I^0 + \sum_{L \neq I} (\mathbf{R}_I - \mathbf{R}_L) (\mathbf{V}_L \cdot \nabla_L w_I) \right]$$

$$\mathbf{J}_{XC} = \begin{cases} 0 & \text{(LDA)} \\ - \int \rho(\mathbf{r}) \dot{\rho}(\mathbf{r}) \partial \epsilon_{GGA}(\mathbf{r}) d\mathbf{r} & \text{(GGA)} \end{cases}$$

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$$\mathbf{J}_{DFT} = \int \mathbf{r} \dot{e}_{DFT}(\mathbf{r}, t) d\mathbf{r}$$

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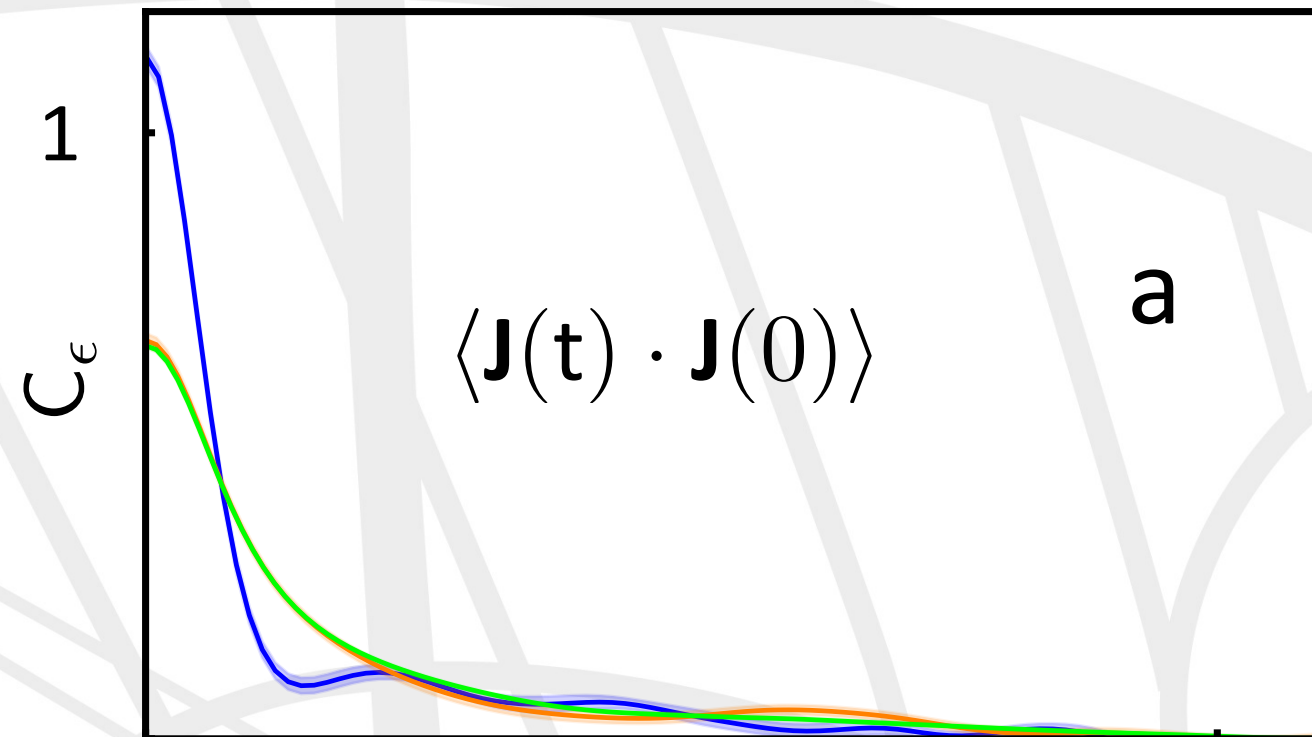
$$\mathbf{J}_H = \frac{1}{4\pi} \int \dot{v}_H(\mathbf{r}) \nabla v_H(\mathbf{r}) d\mathbf{r}$$

- $|\dot{\varphi}_v\rangle$ and $\hat{H}_{KS}|\dot{\varphi}_v\rangle$ orthogonal to the occupied-state manifold

- $\hat{P}_c \mathbf{r} |\varphi_v\rangle$ computed from standard DFPT

$$\mathbf{J}_{XC} = \begin{cases} 0 & \text{(LDA)} \\ - \int \rho(\mathbf{r}) \dot{\rho}(\mathbf{r}) \partial \epsilon_{GGA}(\mathbf{r}) d\mathbf{r} & \text{(GGA)} \end{cases}$$

a benchmark



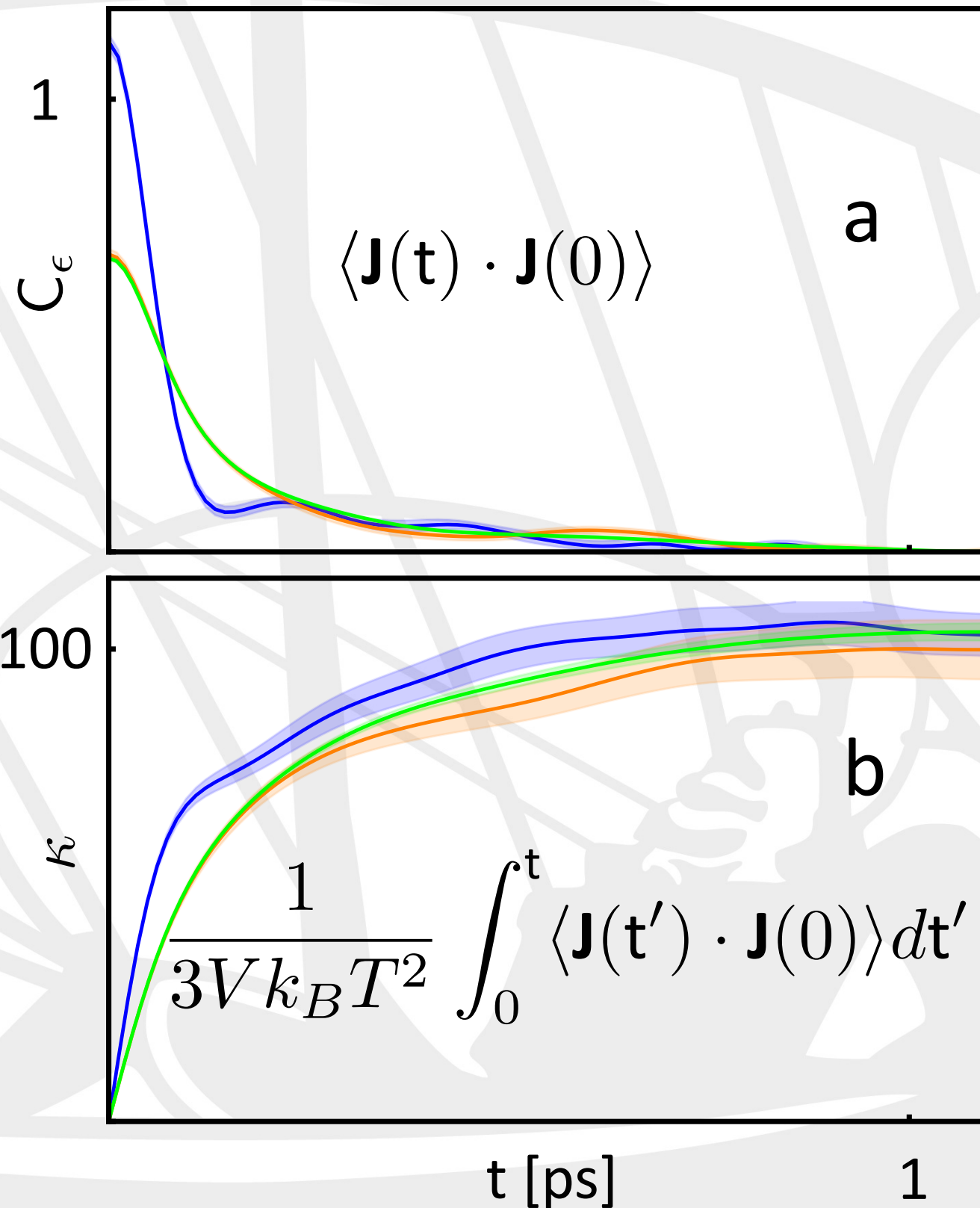
108 “LDA Ar” atoms
@bp density, $T=250$ K

100 ps CP trajectory

100 ps classical FF trajectory

1 ns classical FF trajectory

a benchmark



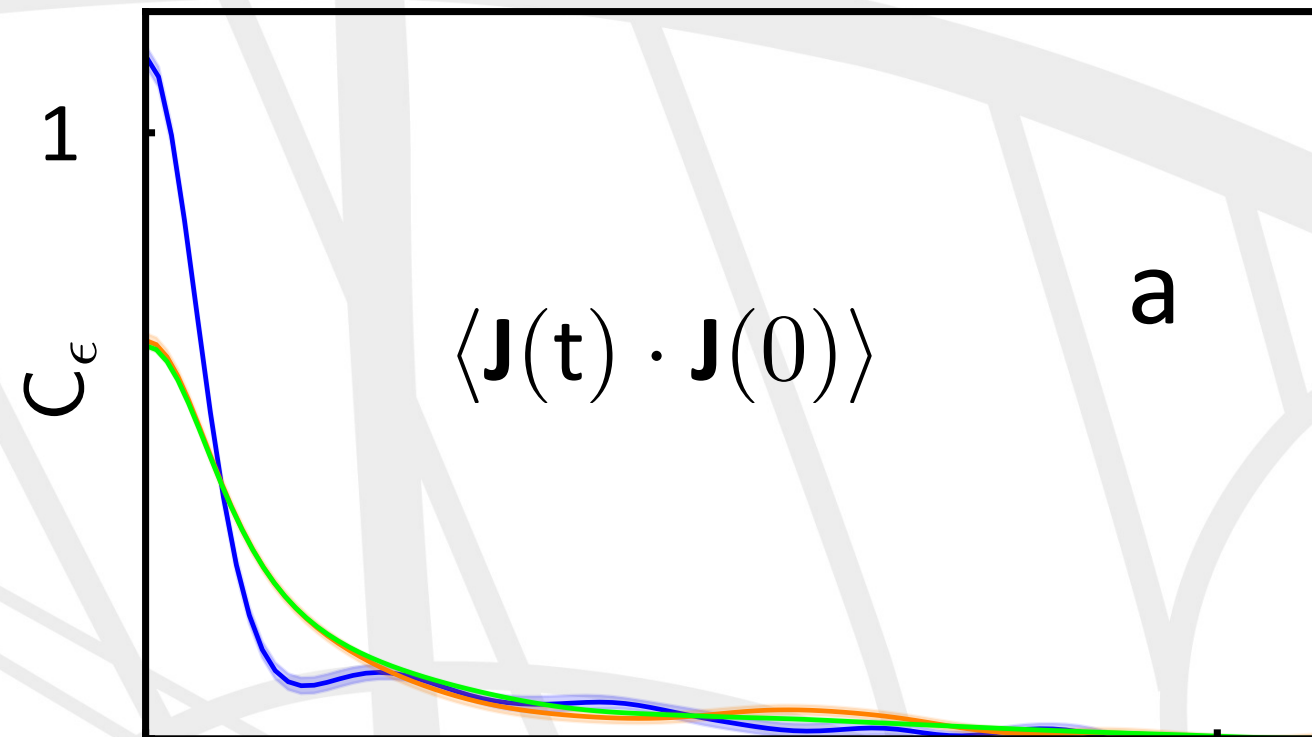
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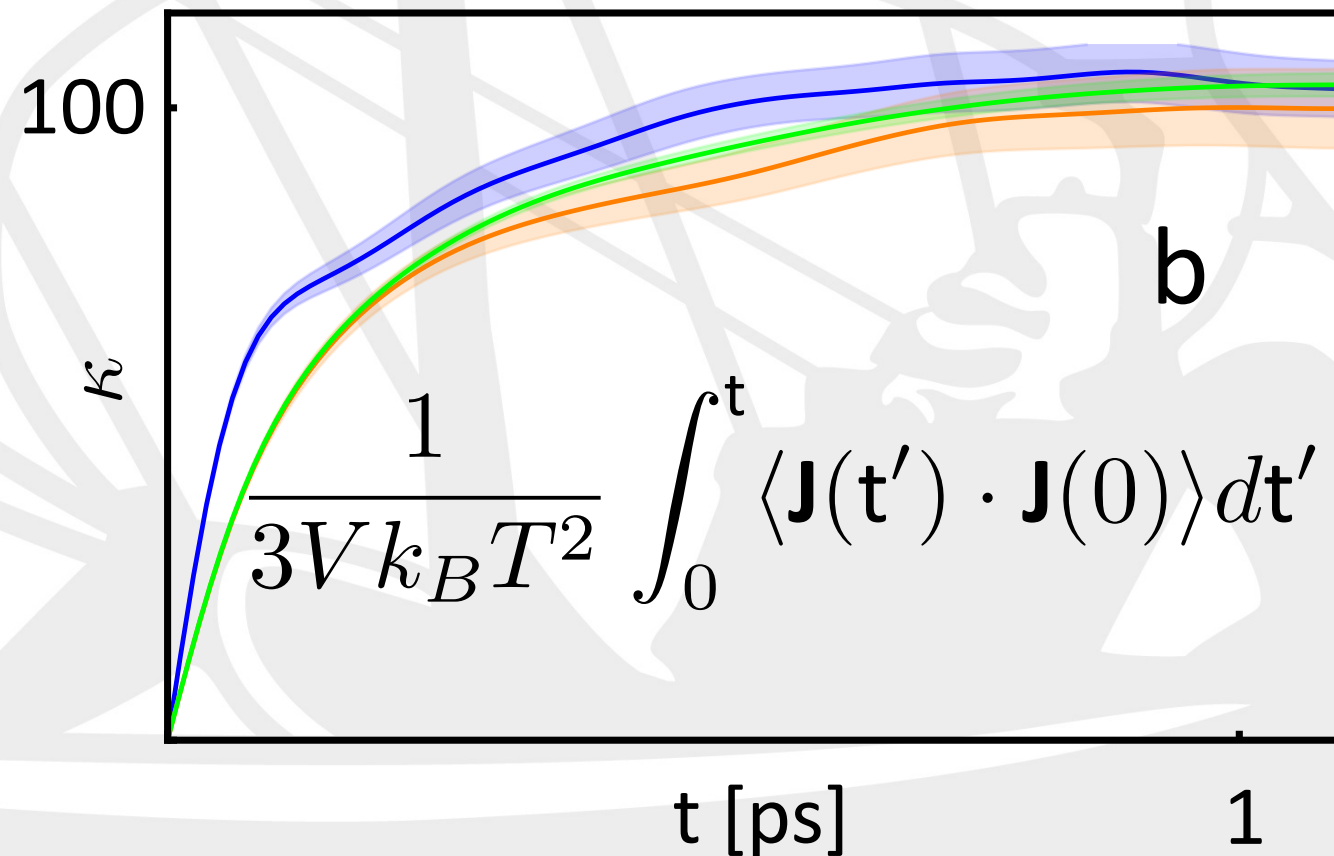


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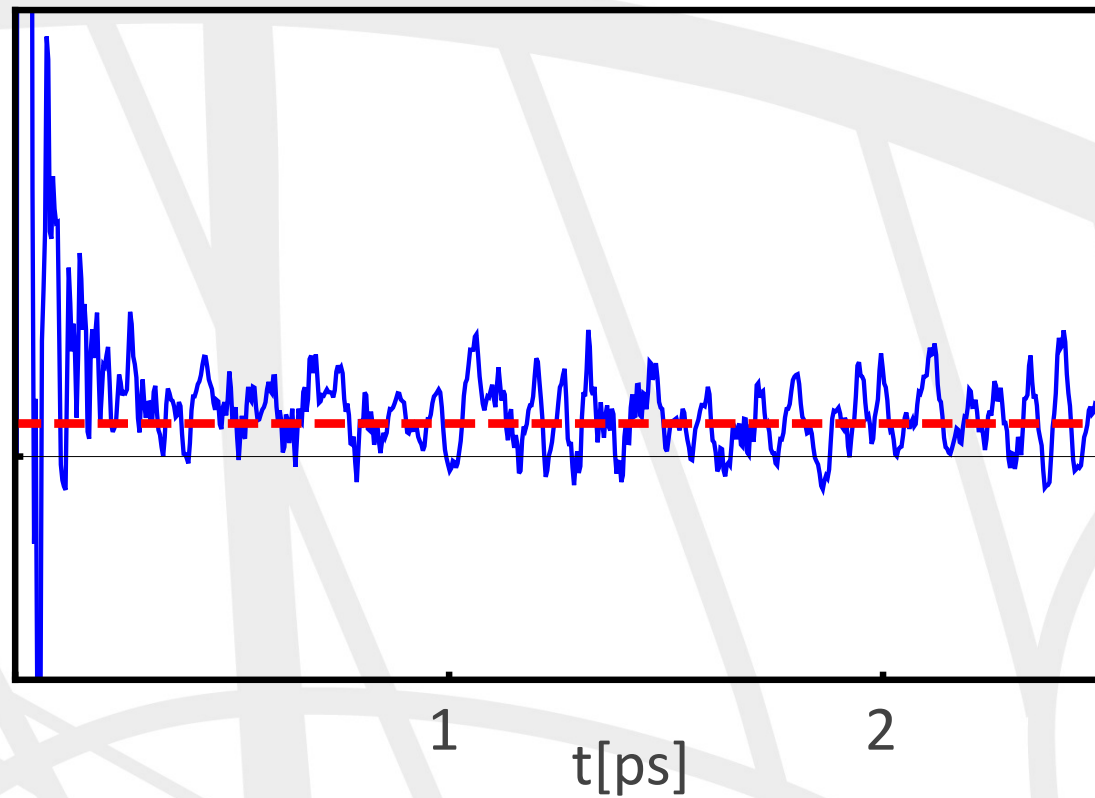
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same behavior at T=400 K

liquid (heavy) water

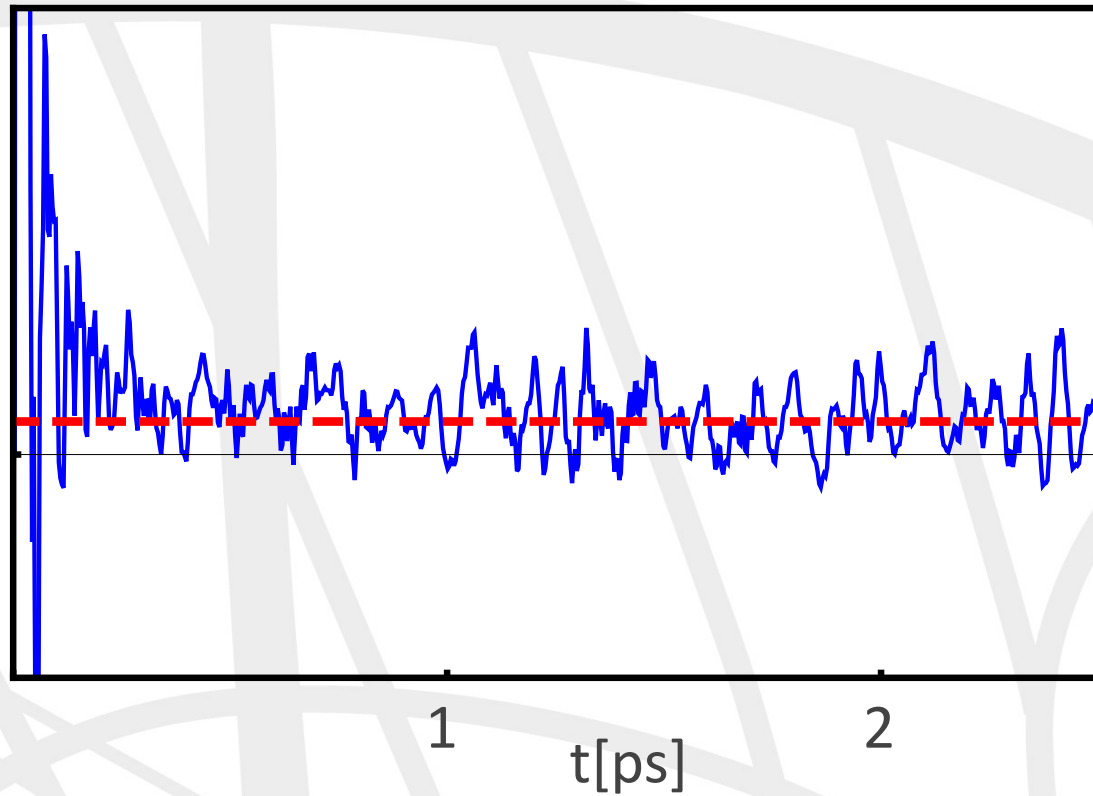
64 molecules, T=385 K
expt density @ac



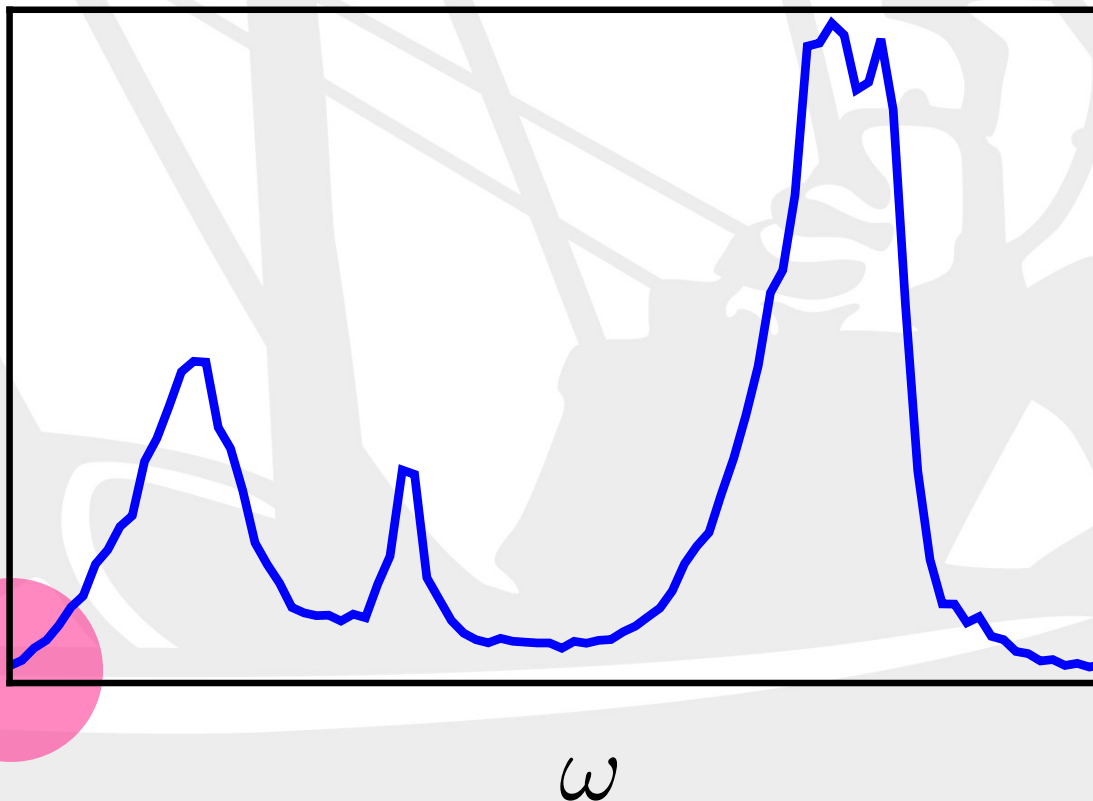
$$\frac{1}{3V k_B T^2} \int_0^t \langle \mathbf{J}(\mathbf{t}') \cdot \mathbf{J}(0) \rangle d\mathbf{t}'$$

liquid (heavy) water

64 molecules, T=385 K
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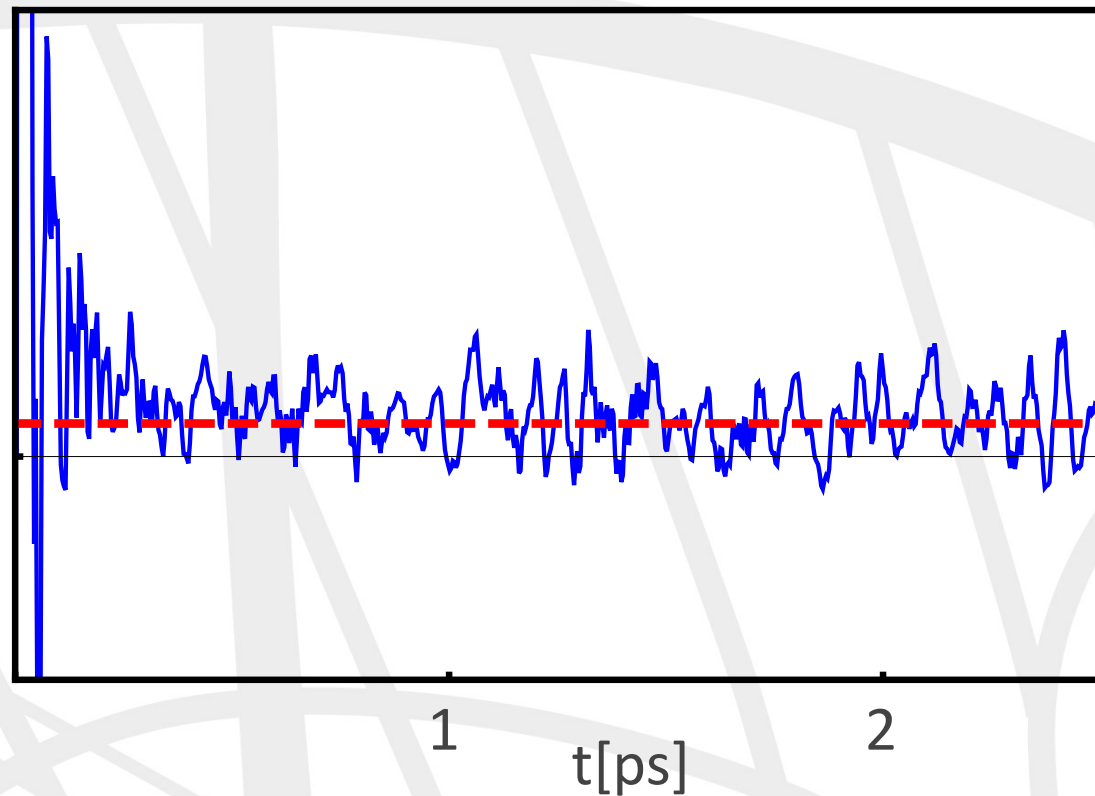
$$\frac{1}{3V k_B T^2} \int_0^t \langle \mathbf{J}(t') \cdot \mathbf{J}(0) \rangle dt'$$



$$S(\omega) = \int_{-\infty}^{\infty} \langle \mathbf{J}(t) \cdot \mathbf{J}(0) \rangle e^{i\omega t} dt$$

liquid (heavy) water

64 molecules, T=385 K
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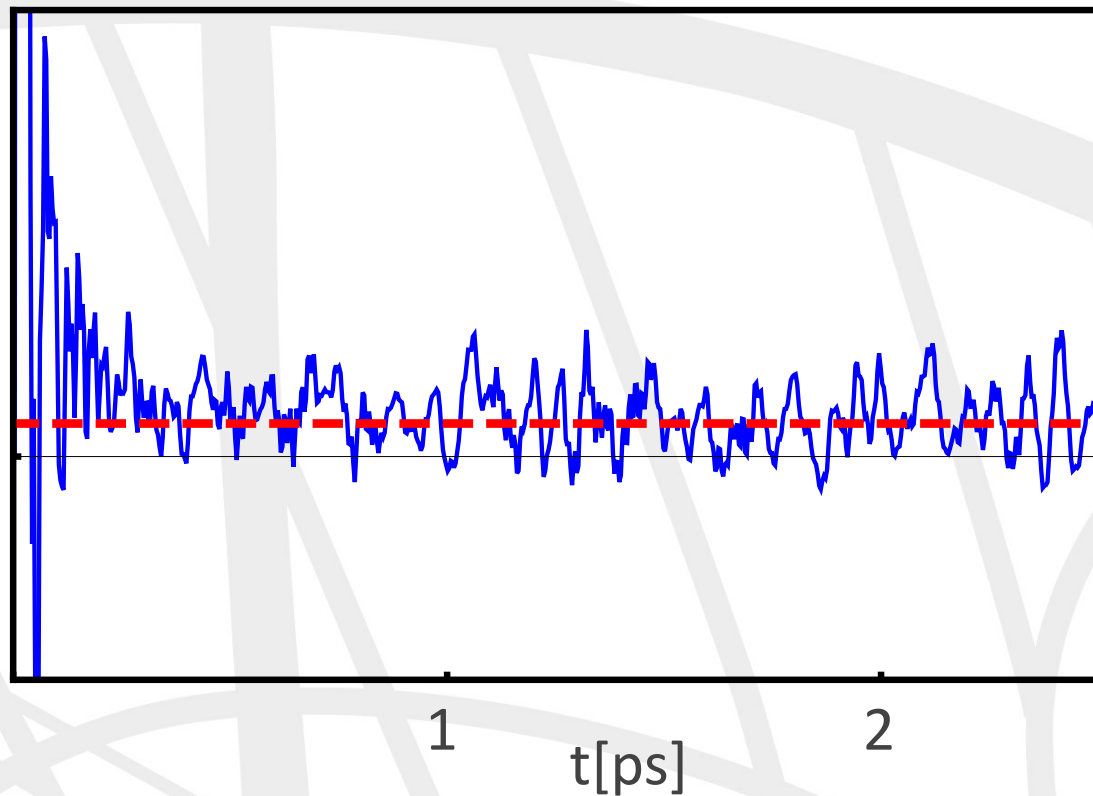
$$\frac{1}{3V k_B T^2} \int_0^t \langle \mathbf{J}(t') \cdot \mathbf{J}(0) \rangle dt'$$

Einstein's relation

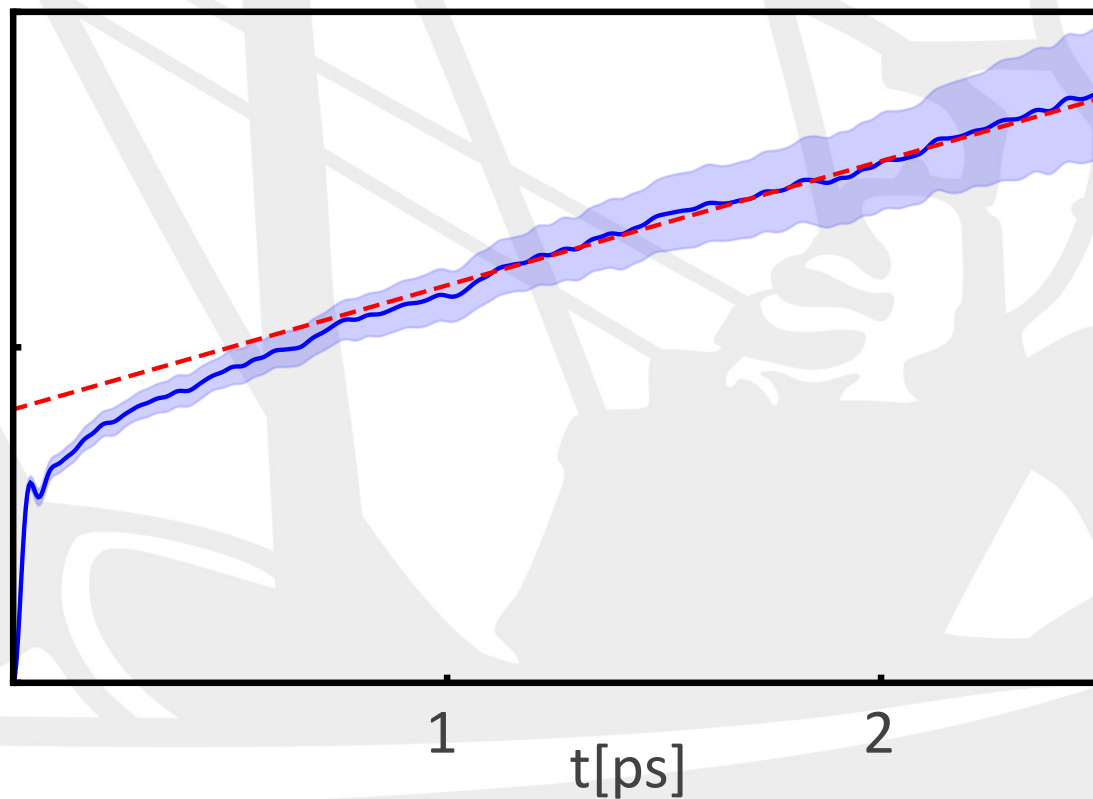
$$\frac{t}{3V k_B T^2} \int_0^t \langle \mathbf{J}(t') \cdot \mathbf{J}(0) \rangle dt' \approx \frac{1}{6V k_B T^2} \left\langle \left| \int_0^t \mathbf{J}(t') dt' \right|^2 \right\rangle$$

liquid (heavy) water

64 molecules, T=385 K
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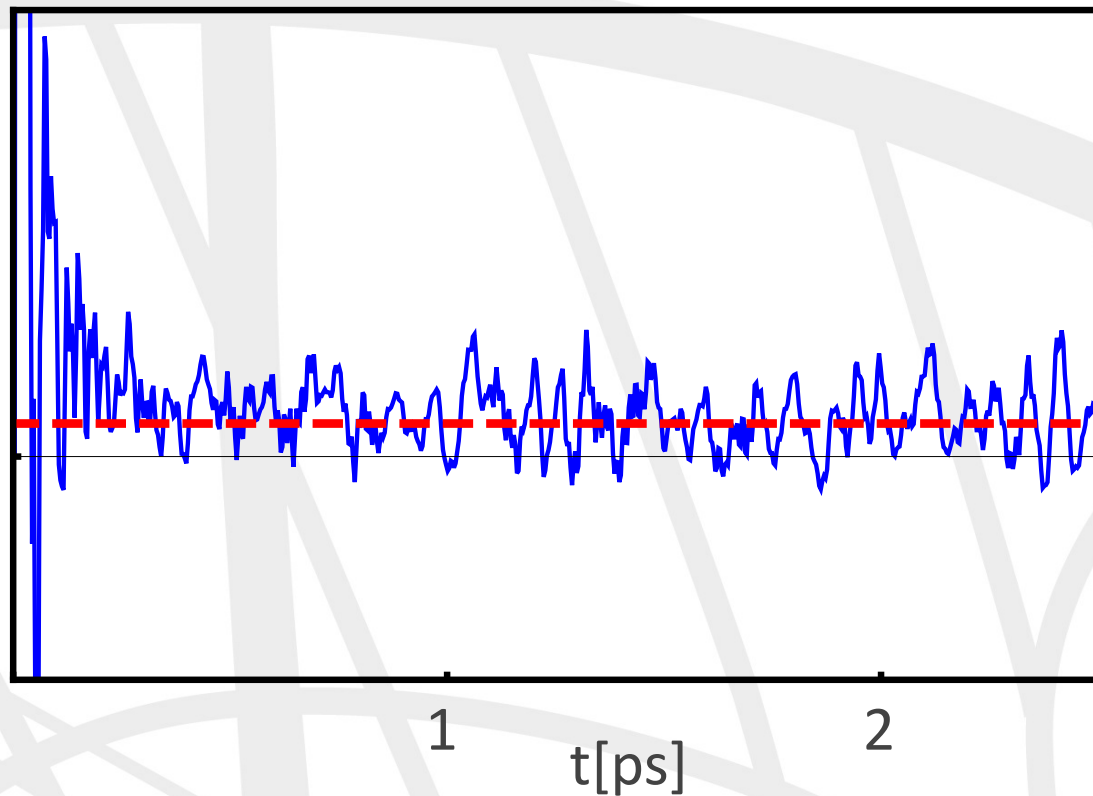
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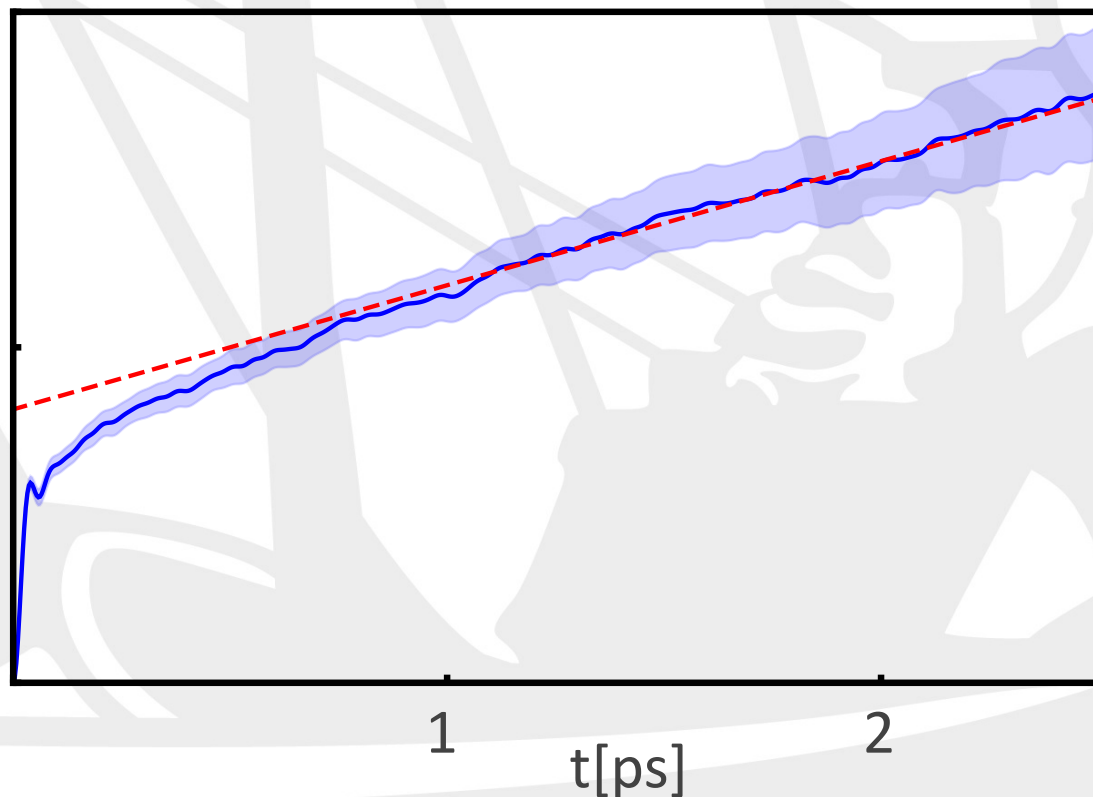
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$$\frac{1}{3V k_B T^2} \int_0^t \langle \mathbf{J}(t') \cdot \mathbf{J}(0) \rangle dt'$$

$$\kappa_{\text{DFT}} = 0.74 \pm 0.12 \text{ W/(mK)}$$

$$\kappa_{\text{expt}} = 0.60$$



$$\frac{1}{6V k_B T^2} \left\langle \left| \int_0^t \mathbf{J}(t') dt' \right|^2 \right\rangle$$

electric transport in ionic fluids

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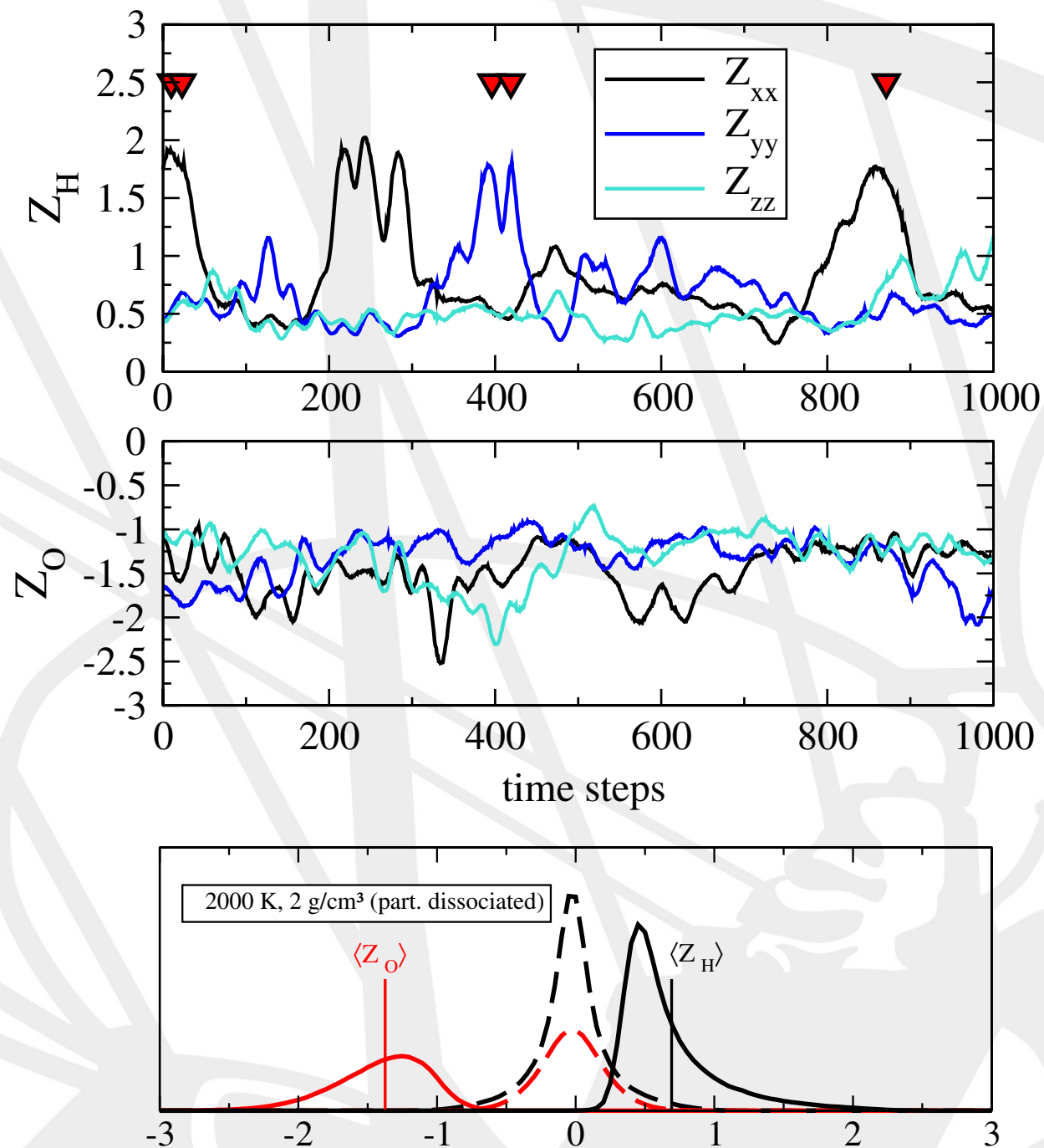
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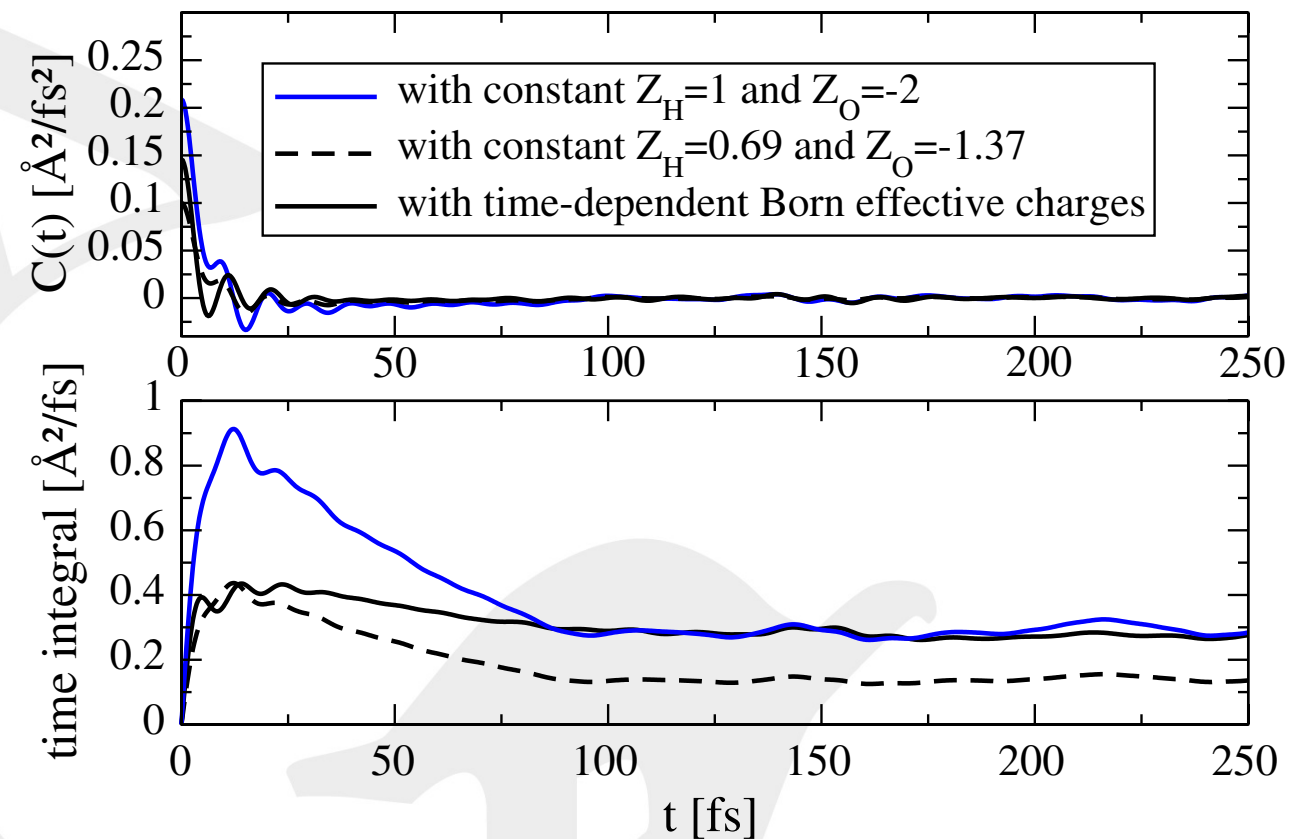
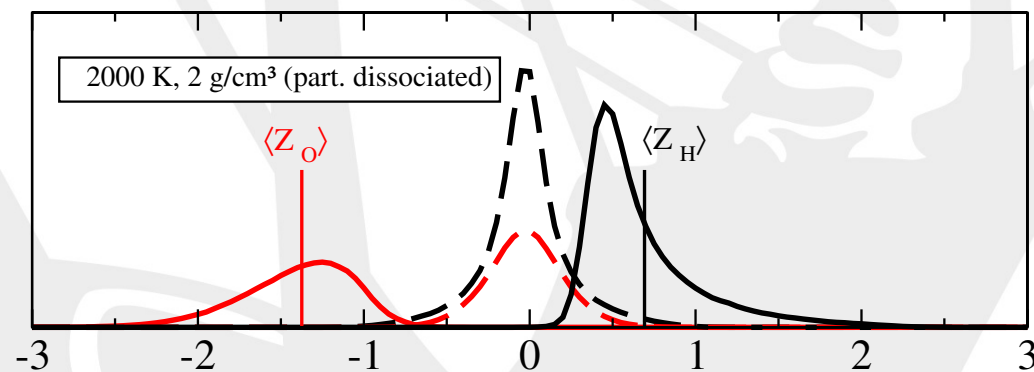
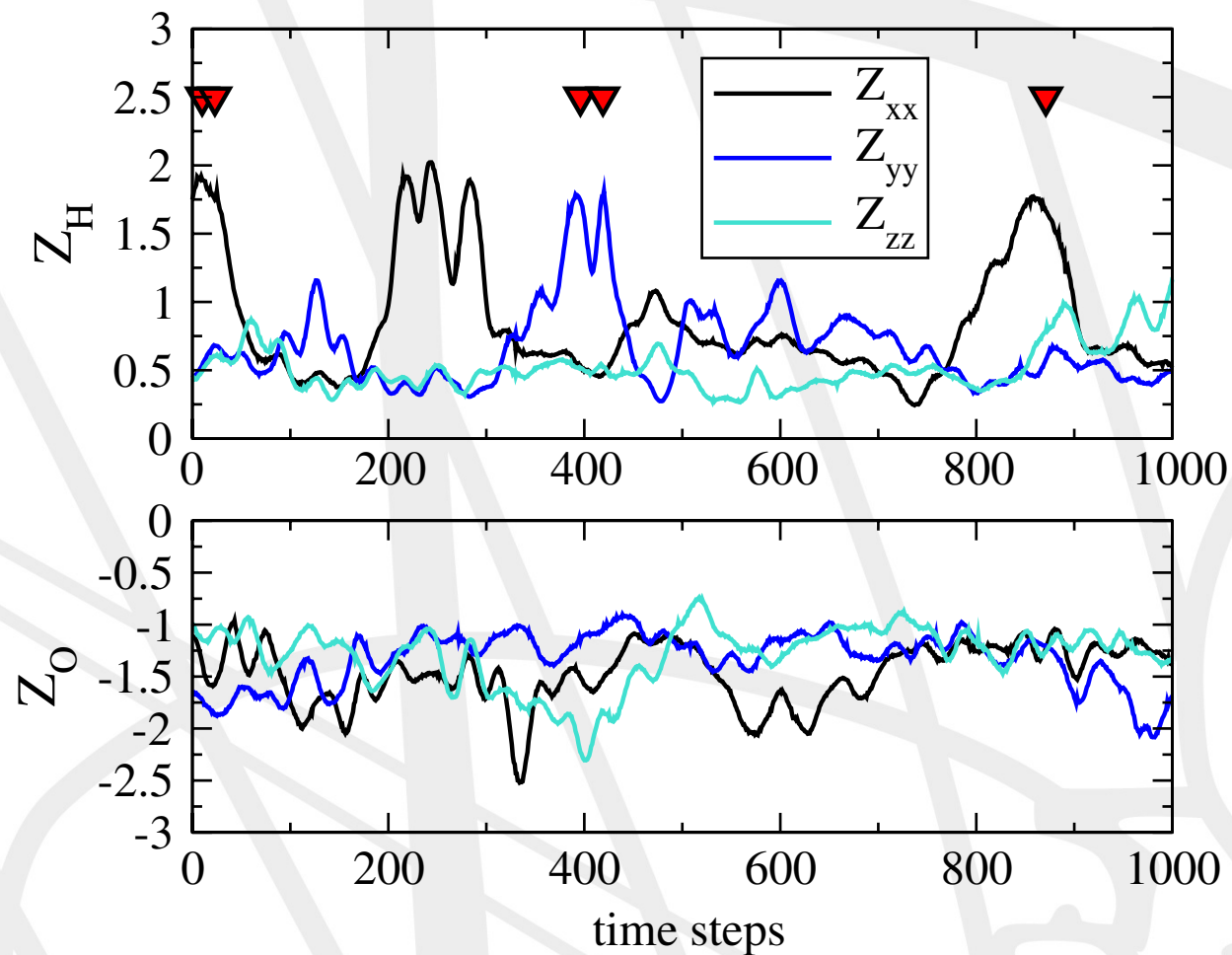
electric transport in ionic water

M. French, S. Hamel, and R. Redmer, Phys. Rev. Lett. **107** (2011)



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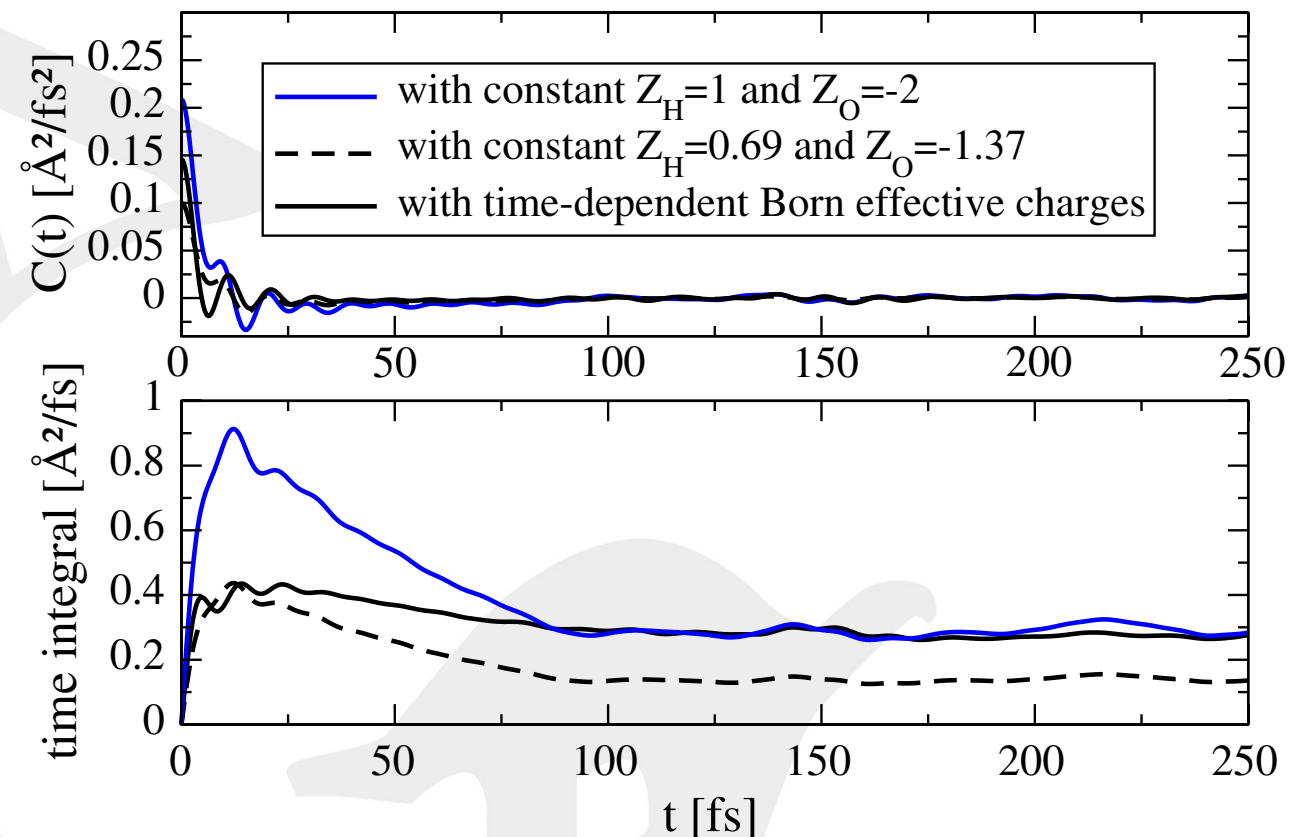
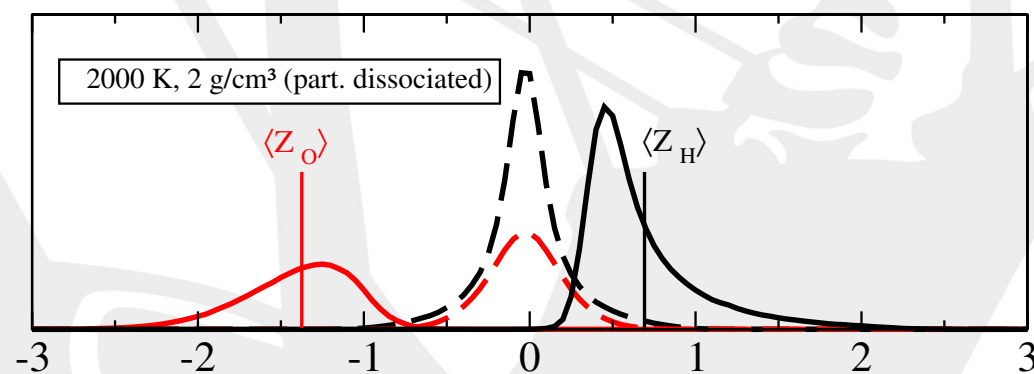
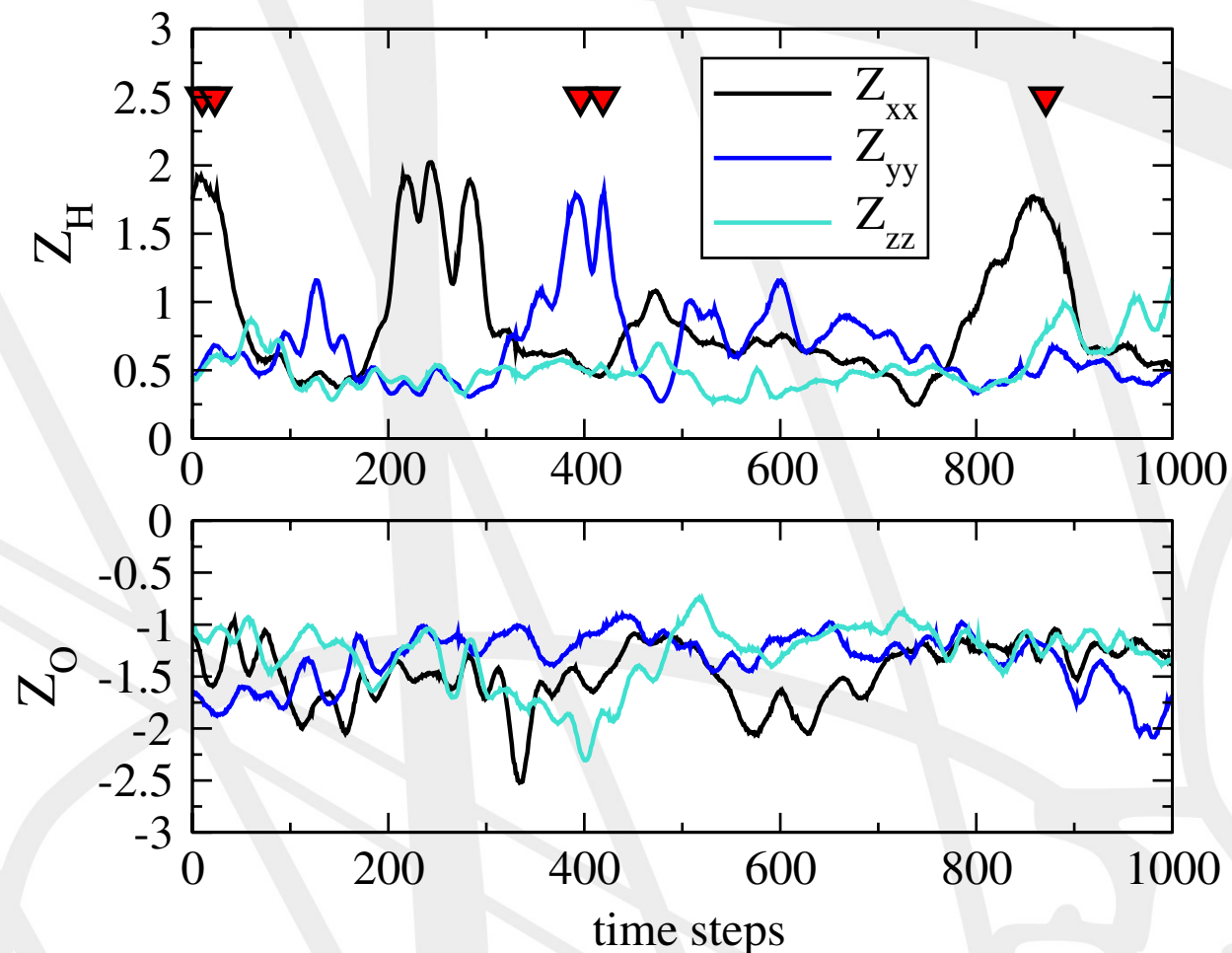
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even more interestingly, these constant charges are integer and coincide with the formal oxidation numbers of the ionic species



how come?

Thouless' quantisation of particle transport

D.J. Thouless, Phys. Rev. B **27**, 6083 (2011)

R. Resta and D. Vanderbilt, Top. Appl. Phys. **105**, 31 (2007)

$$\hat{H}(t + T) = \hat{H}(t)$$

$$Q_{\alpha} = \frac{1}{L_{\alpha}} \int_0^T J_{\alpha}(t) dt \in \mathbb{Z}$$

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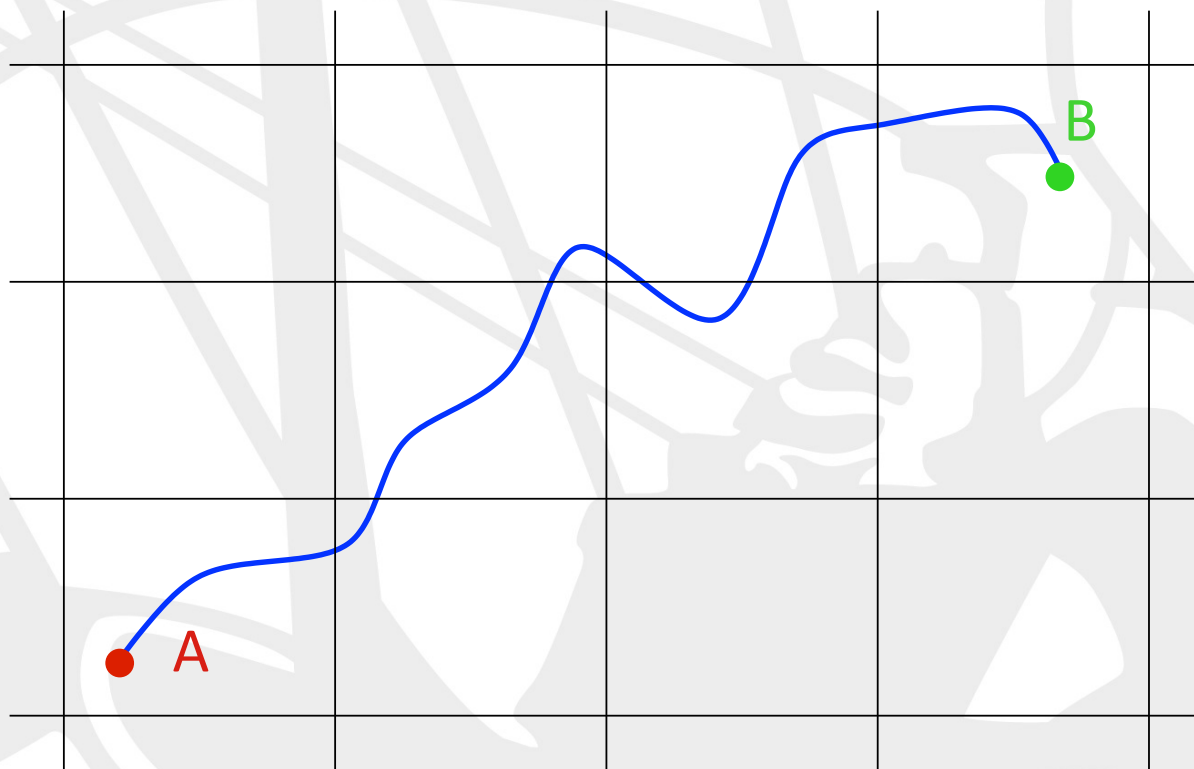
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$$\hat{H}(\textcolor{green}{B}) \neq \hat{H}(\textcolor{red}{A})$$

$$\sigma \propto \frac{1}{T} \left\langle Q(\textcolor{red}{A}(0), \textcolor{green}{B}(T))^2 \right\rangle$$



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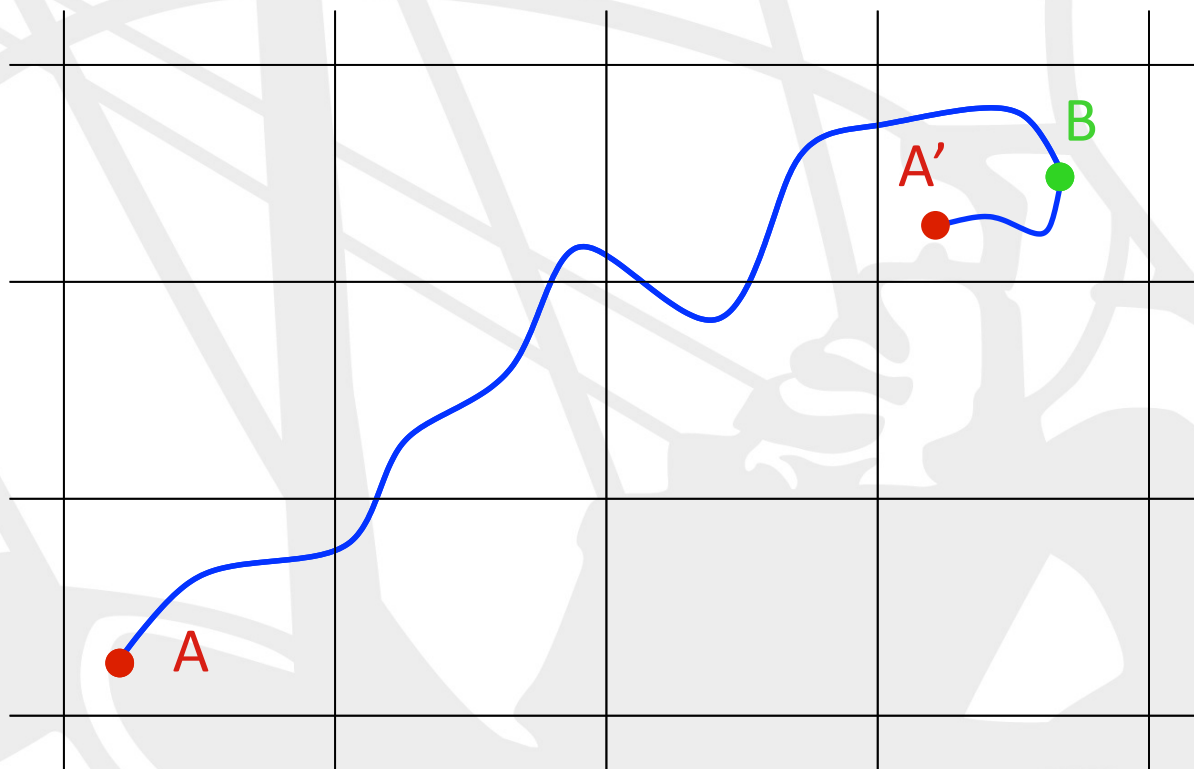
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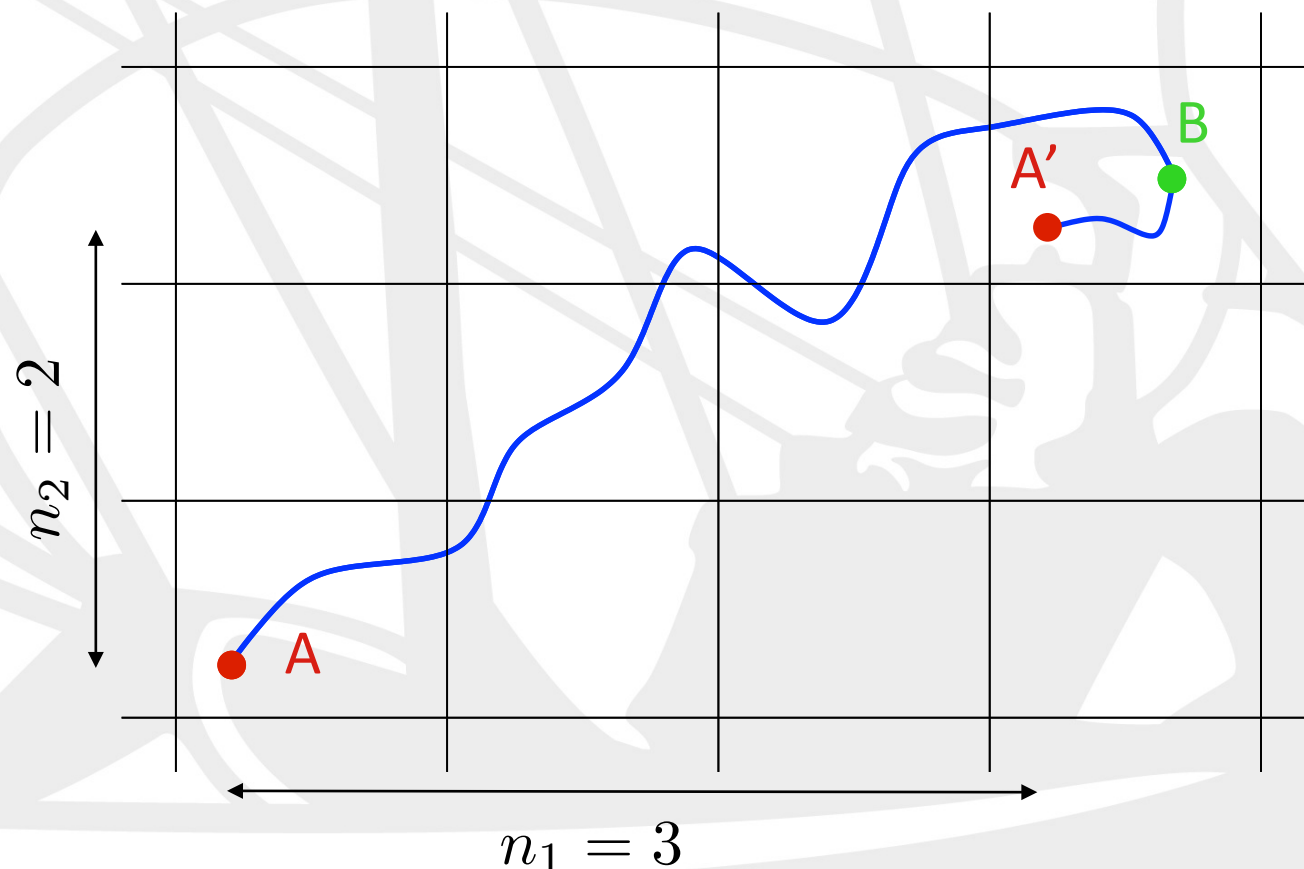
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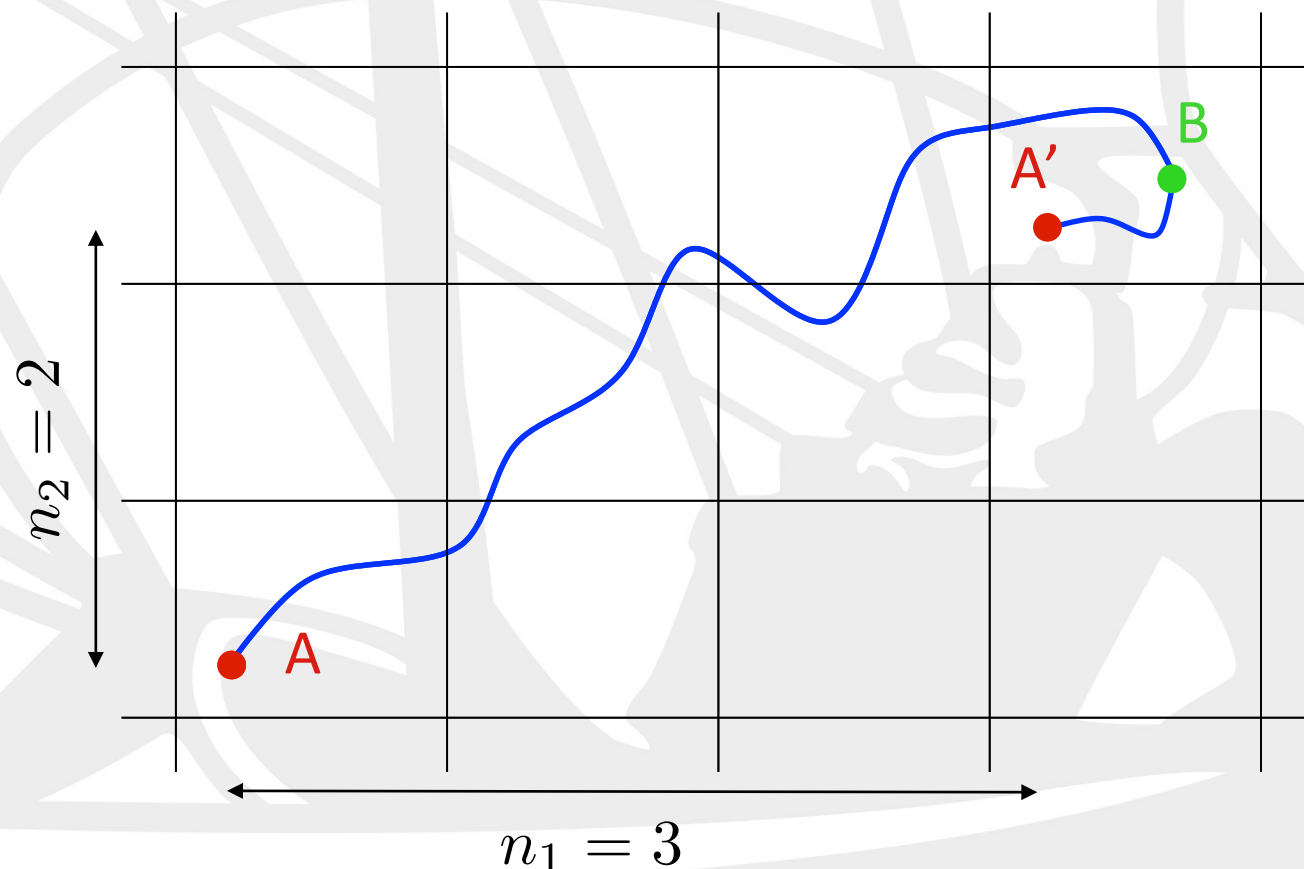
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$$|Q_\alpha(BA')| < K$$

$$n, q \in \mathbb{Z}$$

$$q_{i\alpha} = q_i \quad (\text{space isotropy})$$

Thouless' quantisation of particle transport

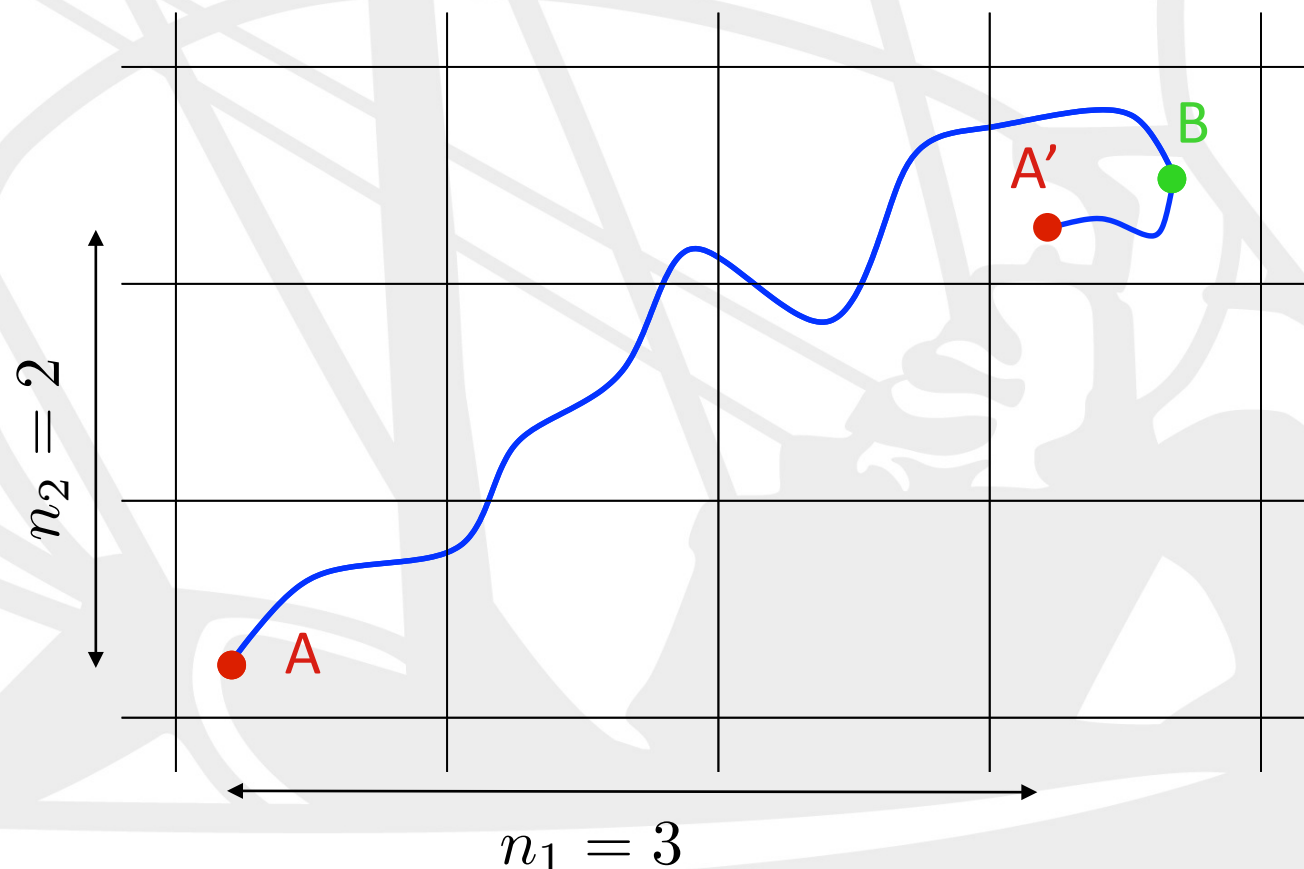
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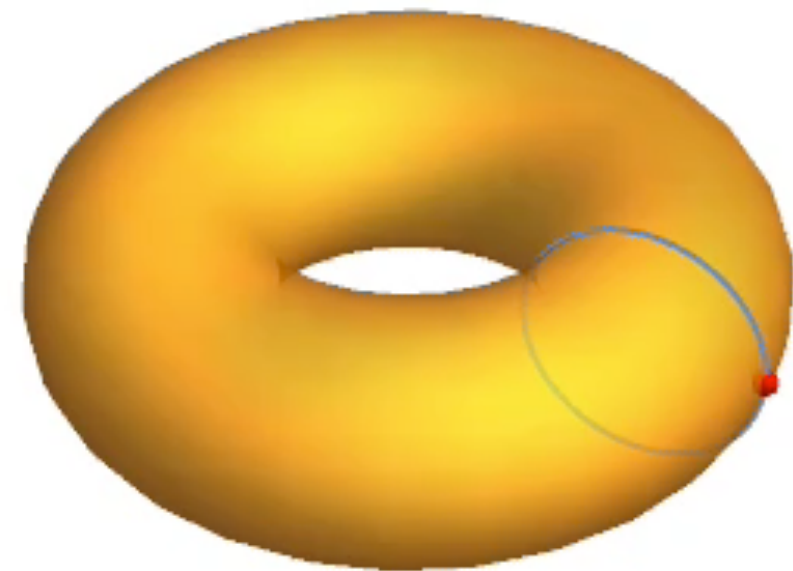
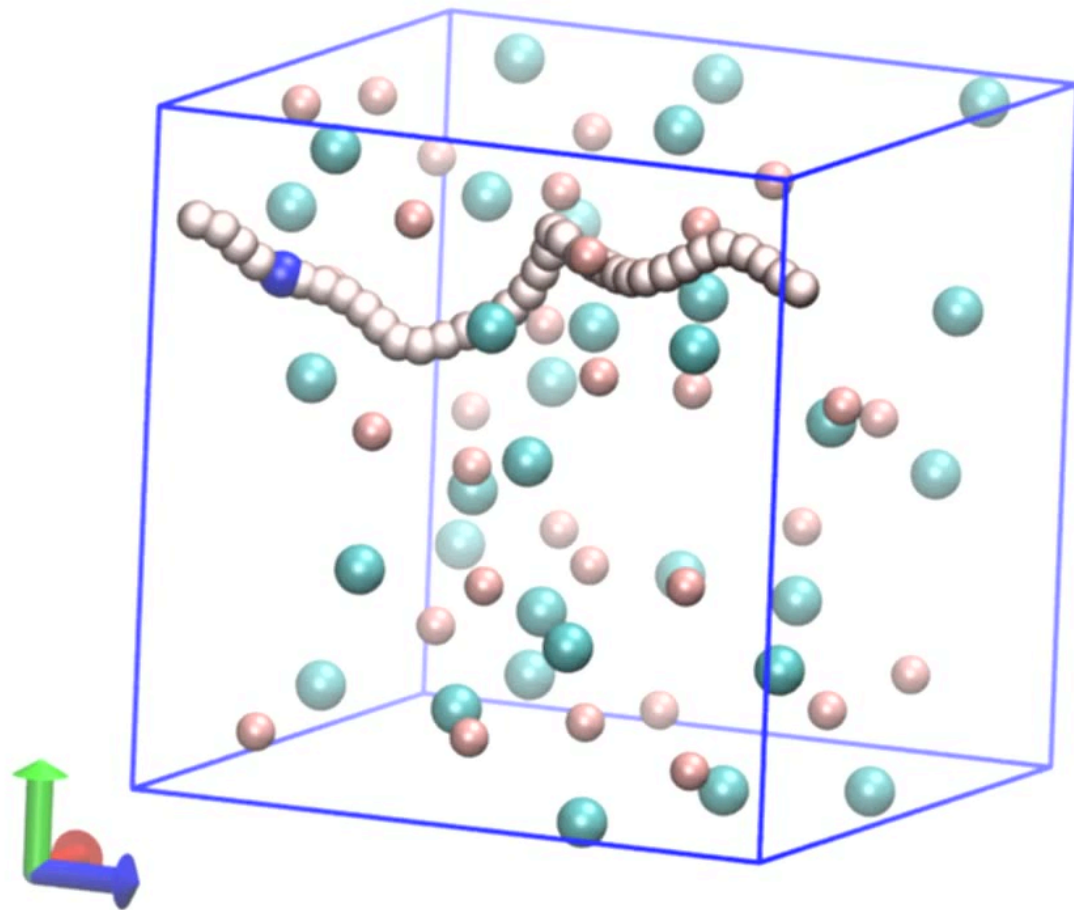
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$$n, q \in \mathbb{Z}$$

$$q_{i\alpha} = q_i \quad (\text{space isotropy})$$

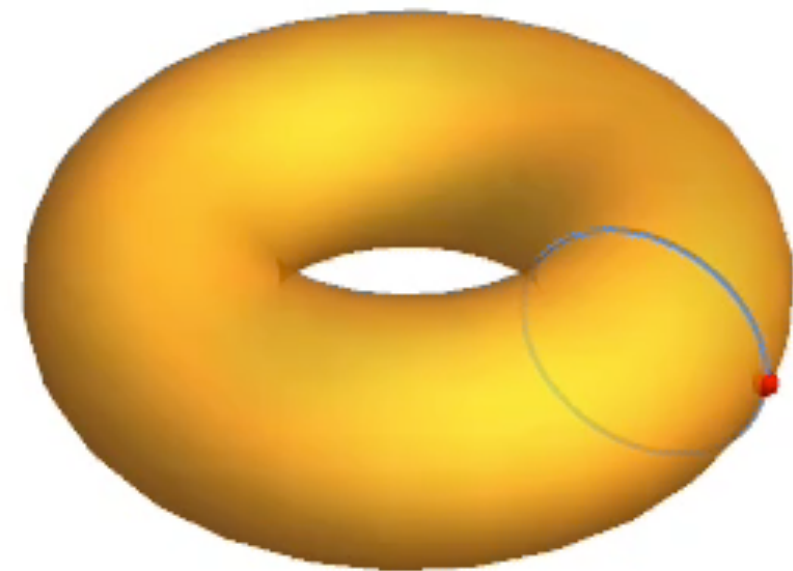
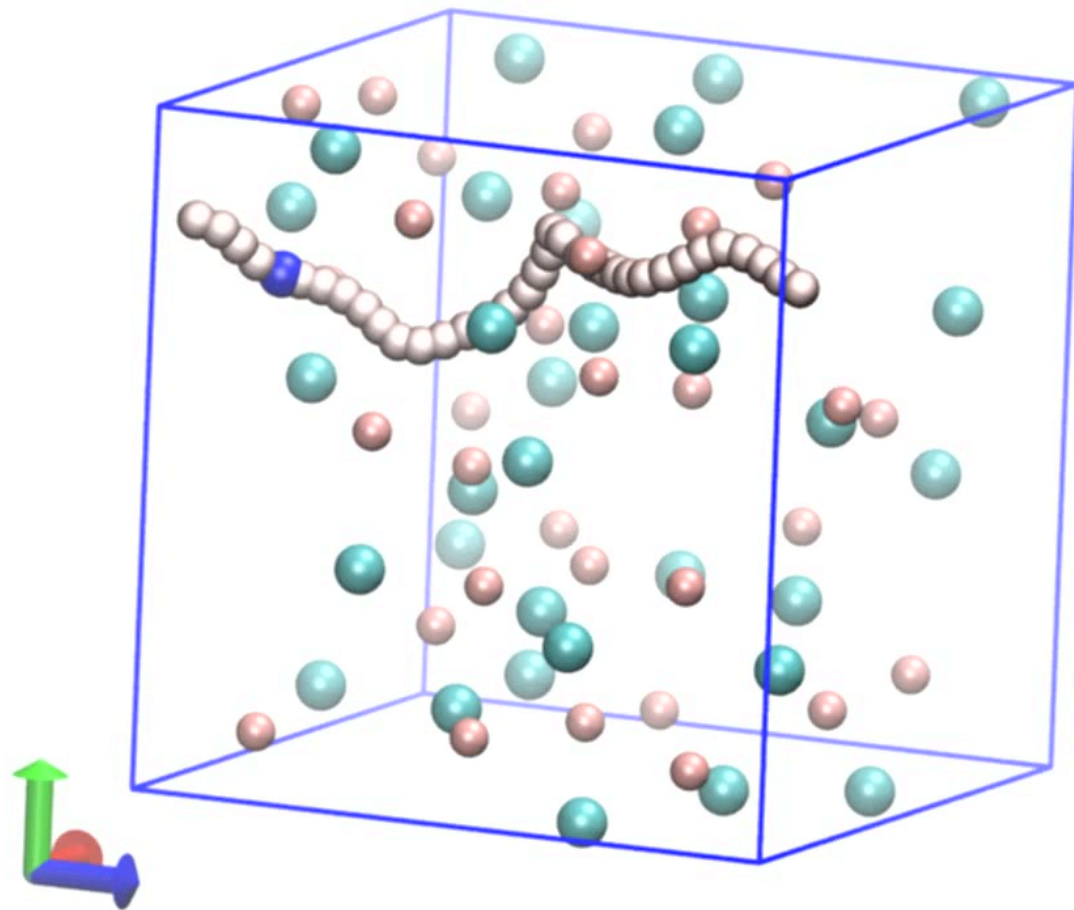
$$\sigma \propto \frac{1}{T} \left\langle Q(A(0), A'(T))^2 \right\rangle$$

a numerical experiment on molten KCl



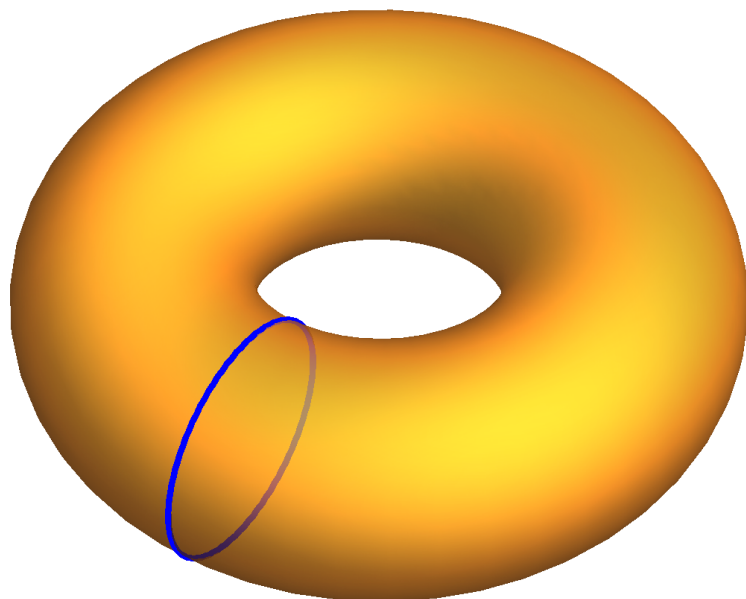
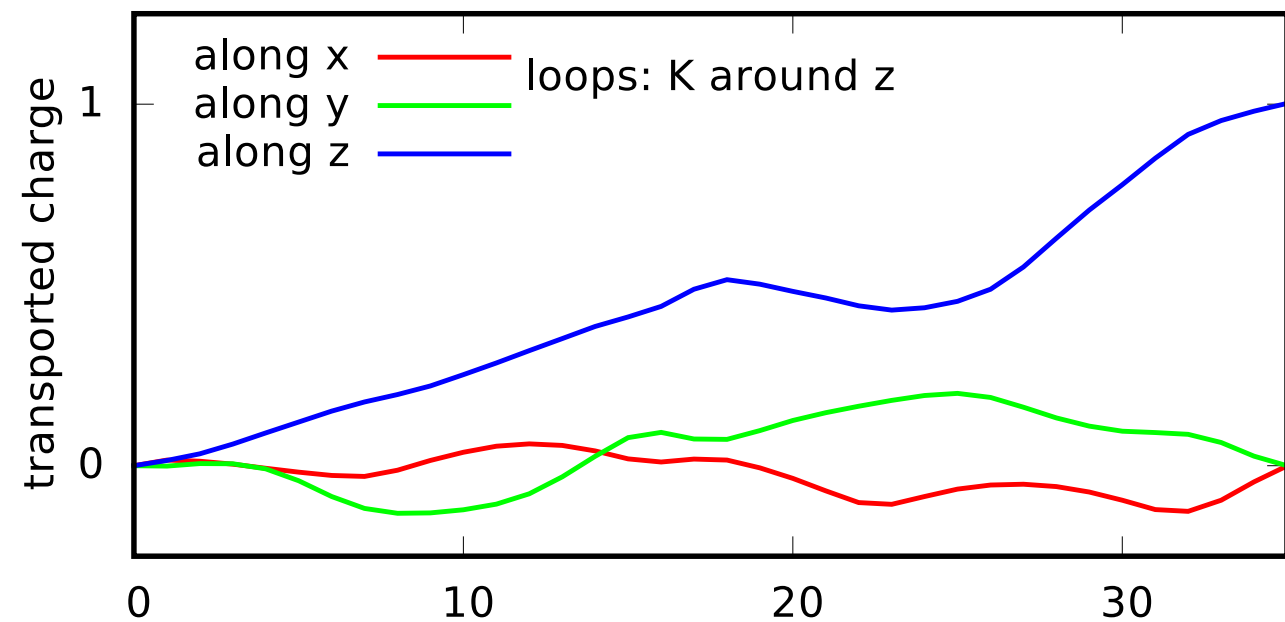
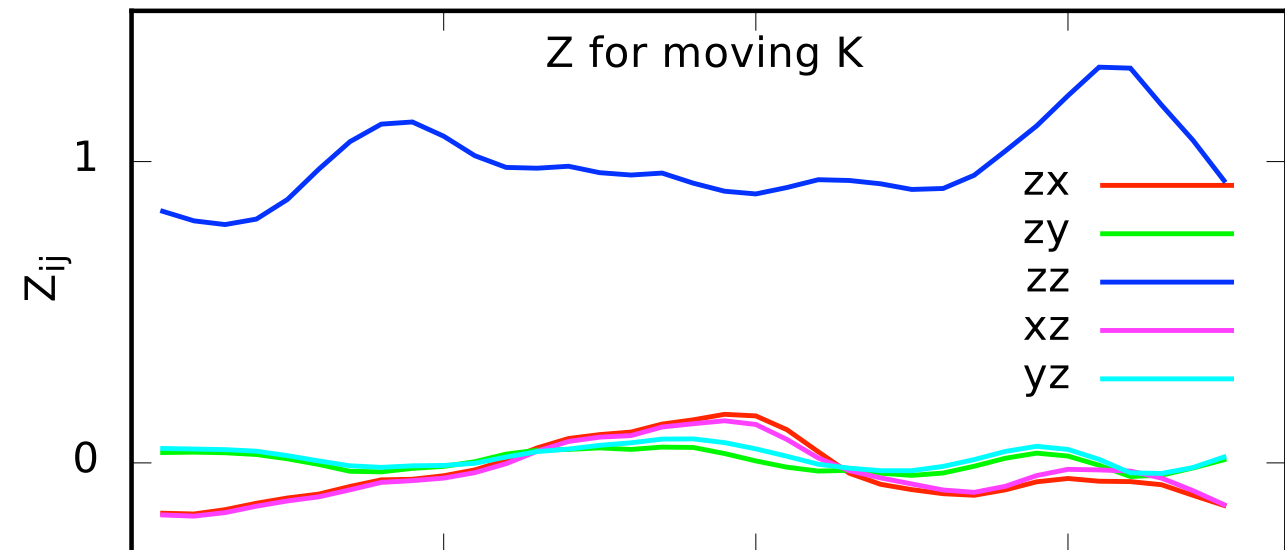
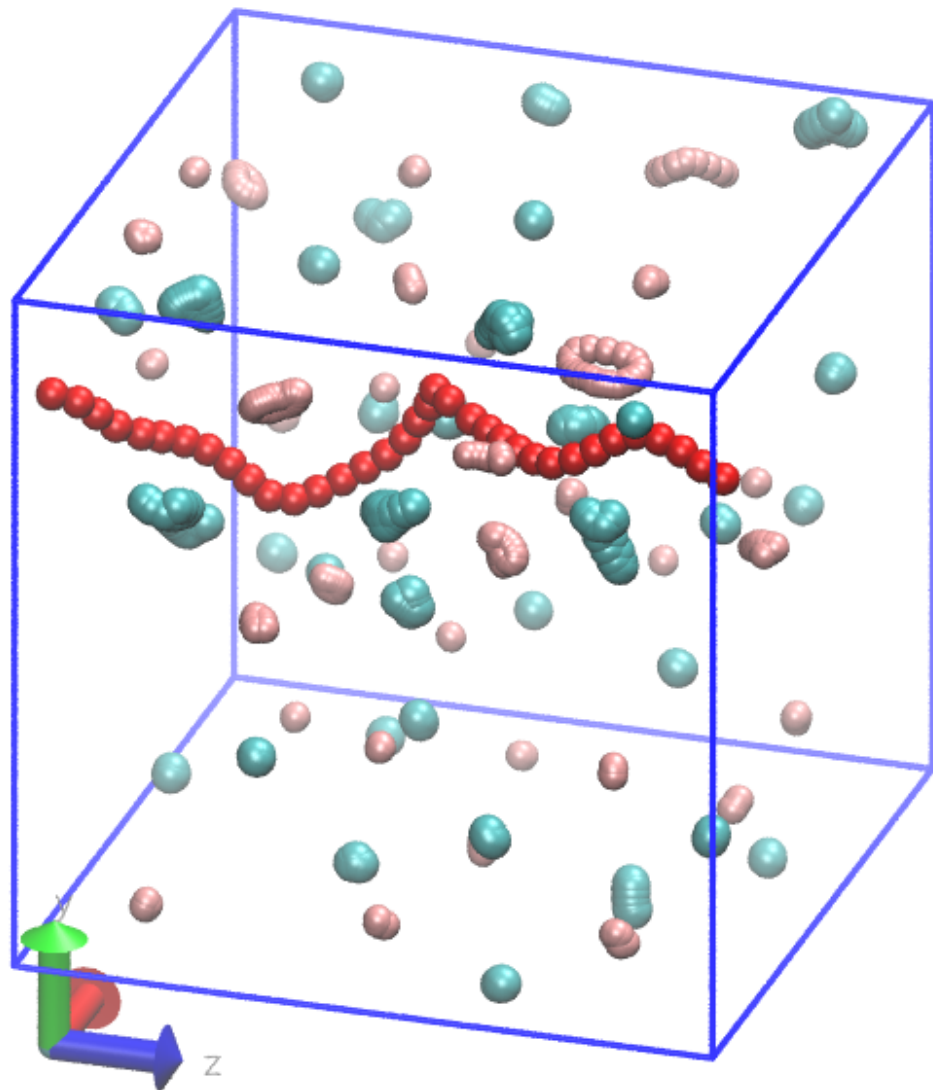
a topologically non-trivial minimum-energy path
connecting two identical configurations of a ionic fluid

a numerical experiment on molten KCl



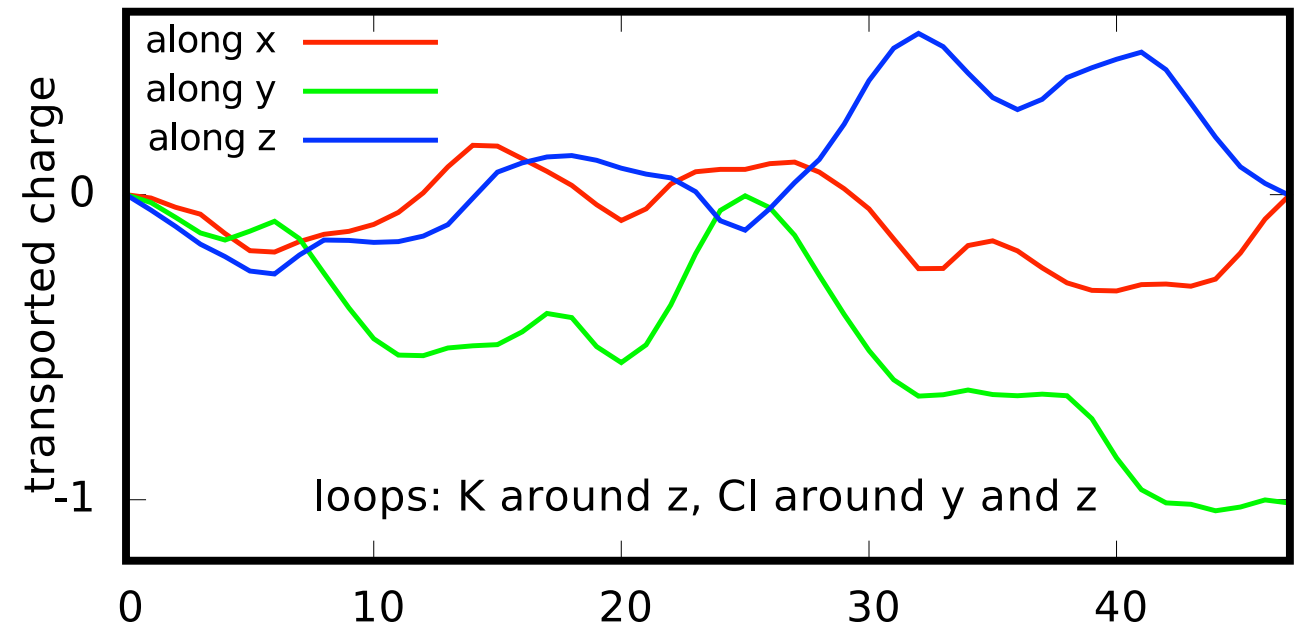
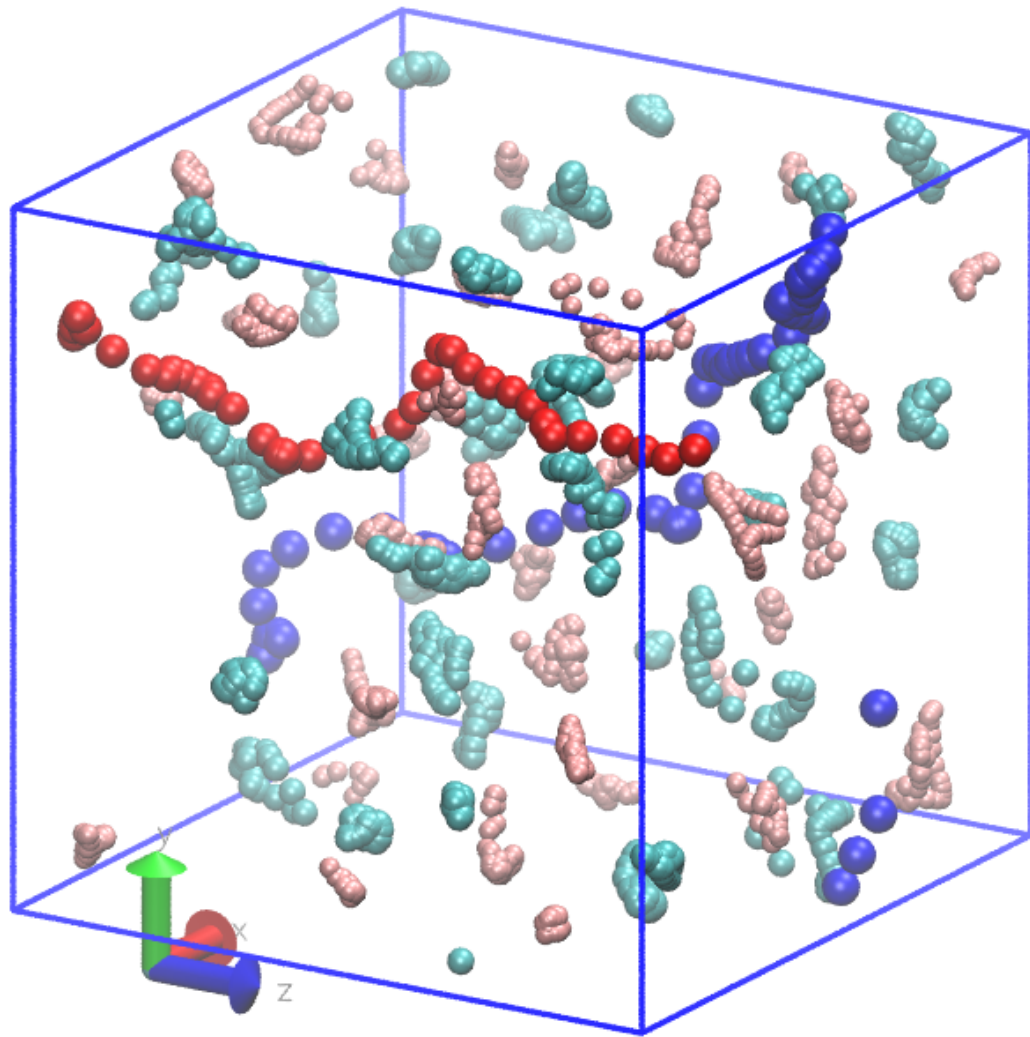
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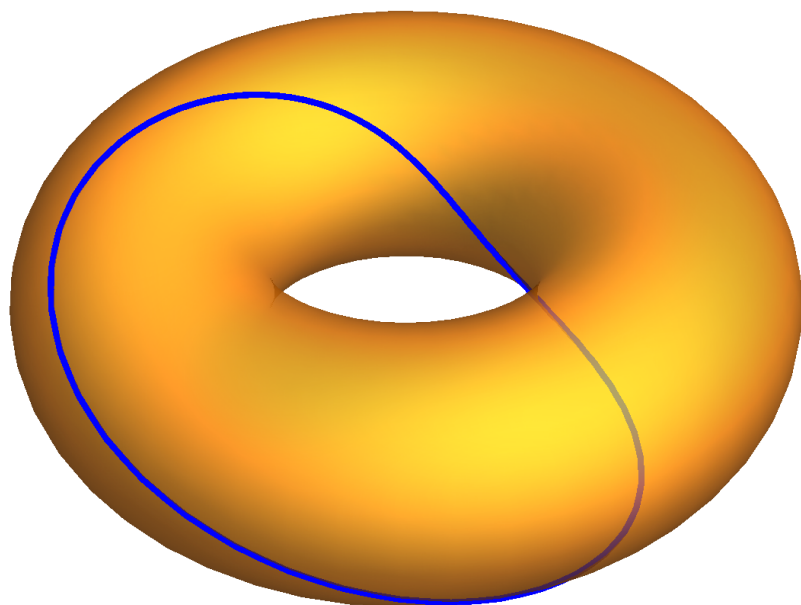


$$q_x = -0.000(6); \quad q_y = 0.000(2); \quad q_z = 1.00(18)$$

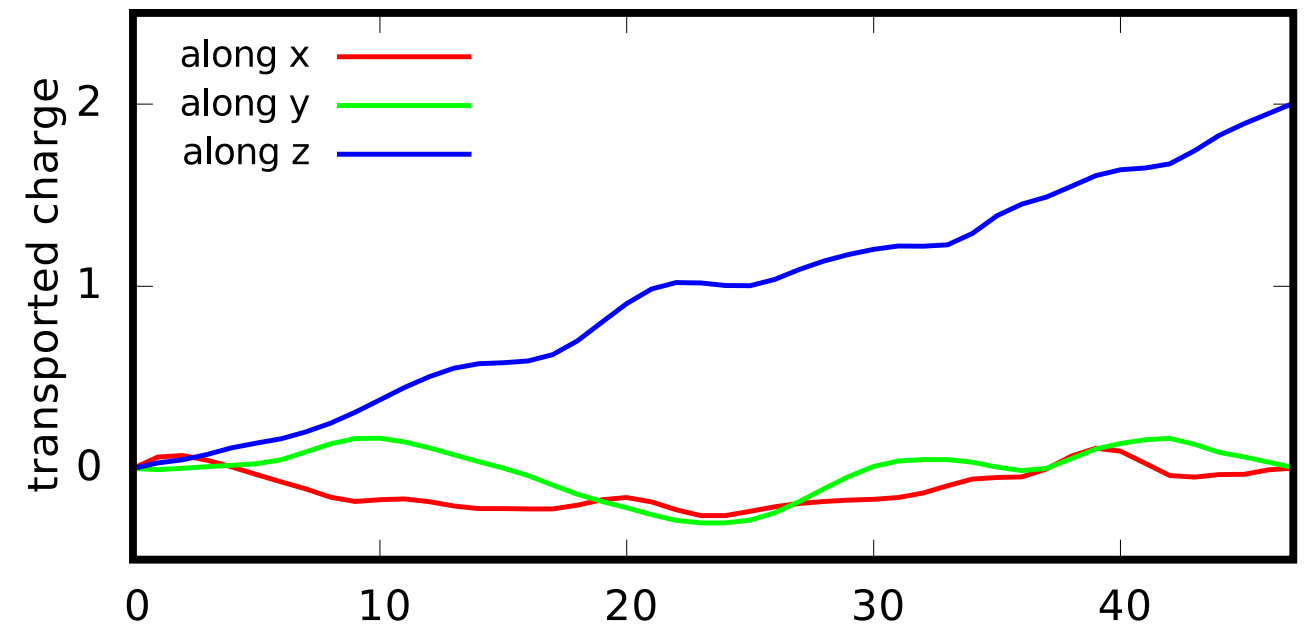
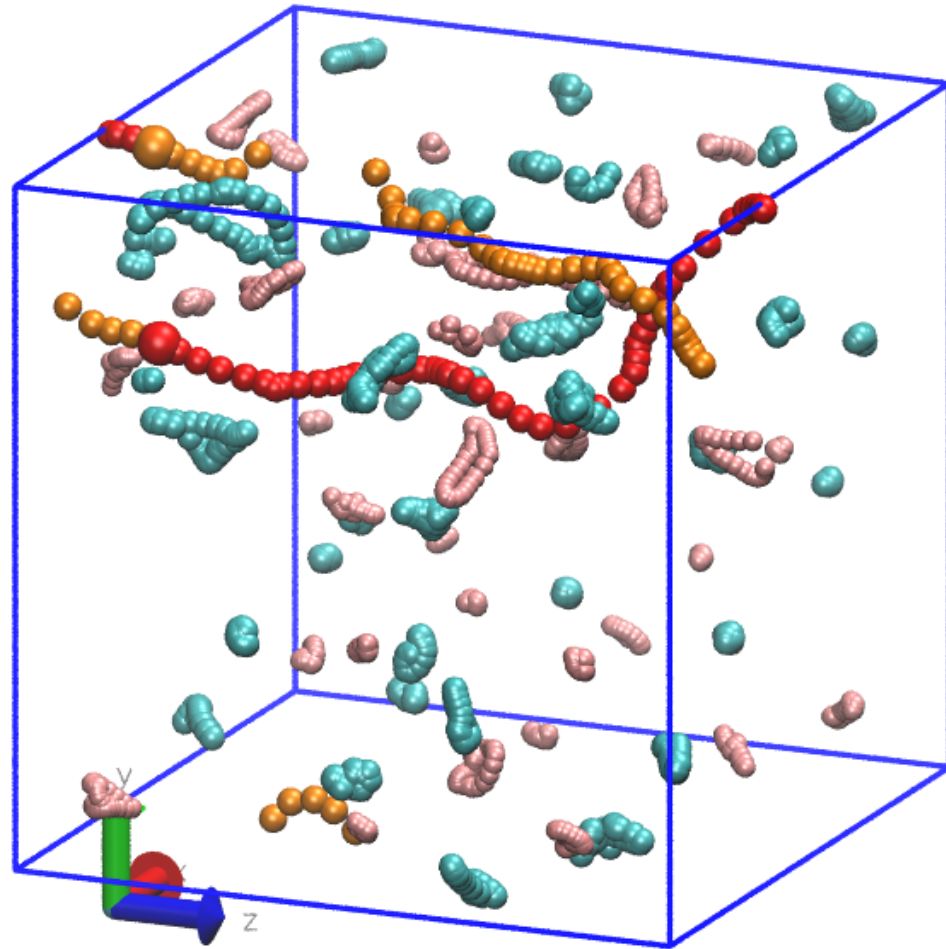
a numerical experiment on molten KCl



the charges transported by K and Cl
around z cancel exactly



a numerical experiment on molten KCl



summary



summary

- heat currents are intrinsically ill-defined at the atomic scale;

summary

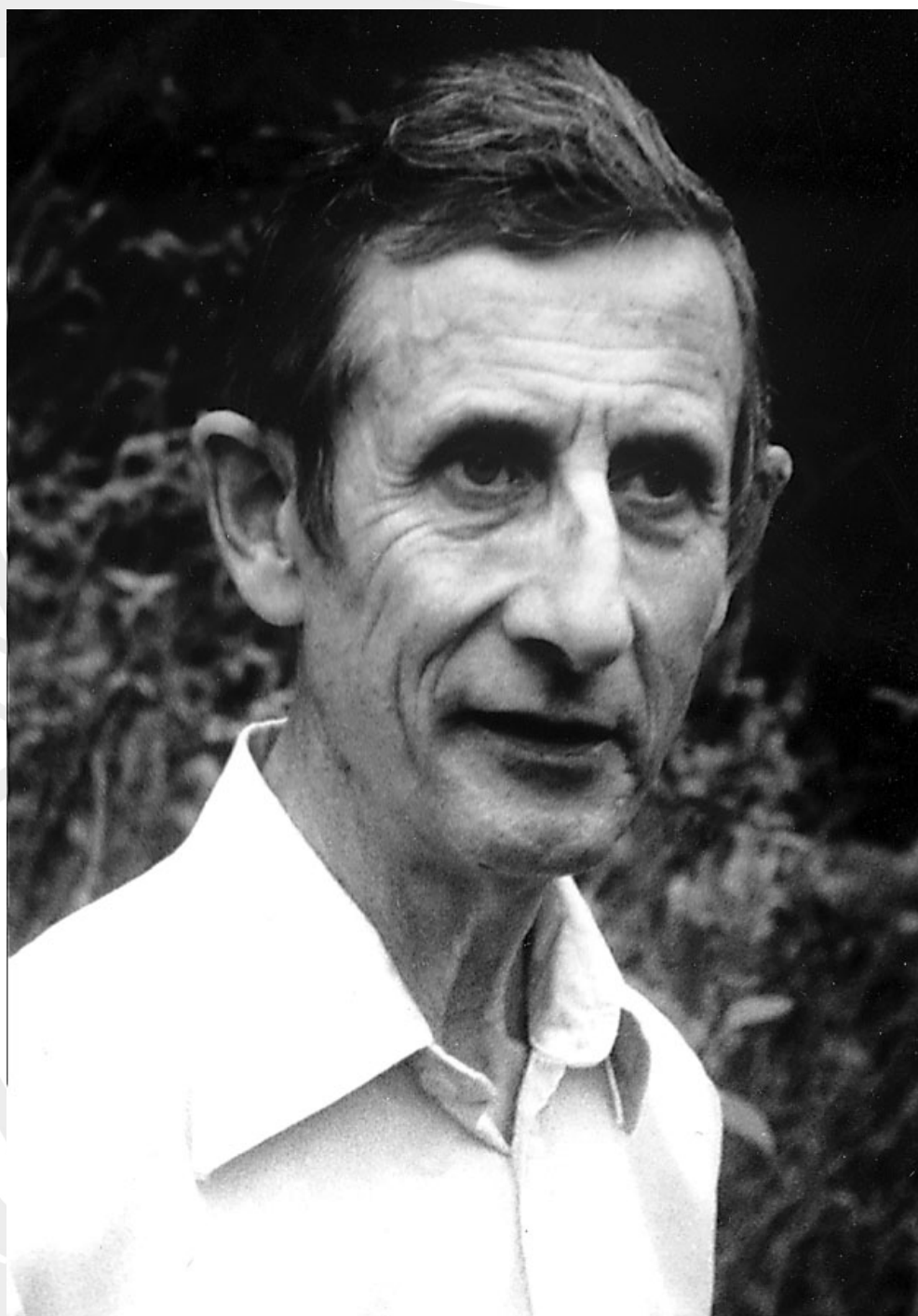
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summary

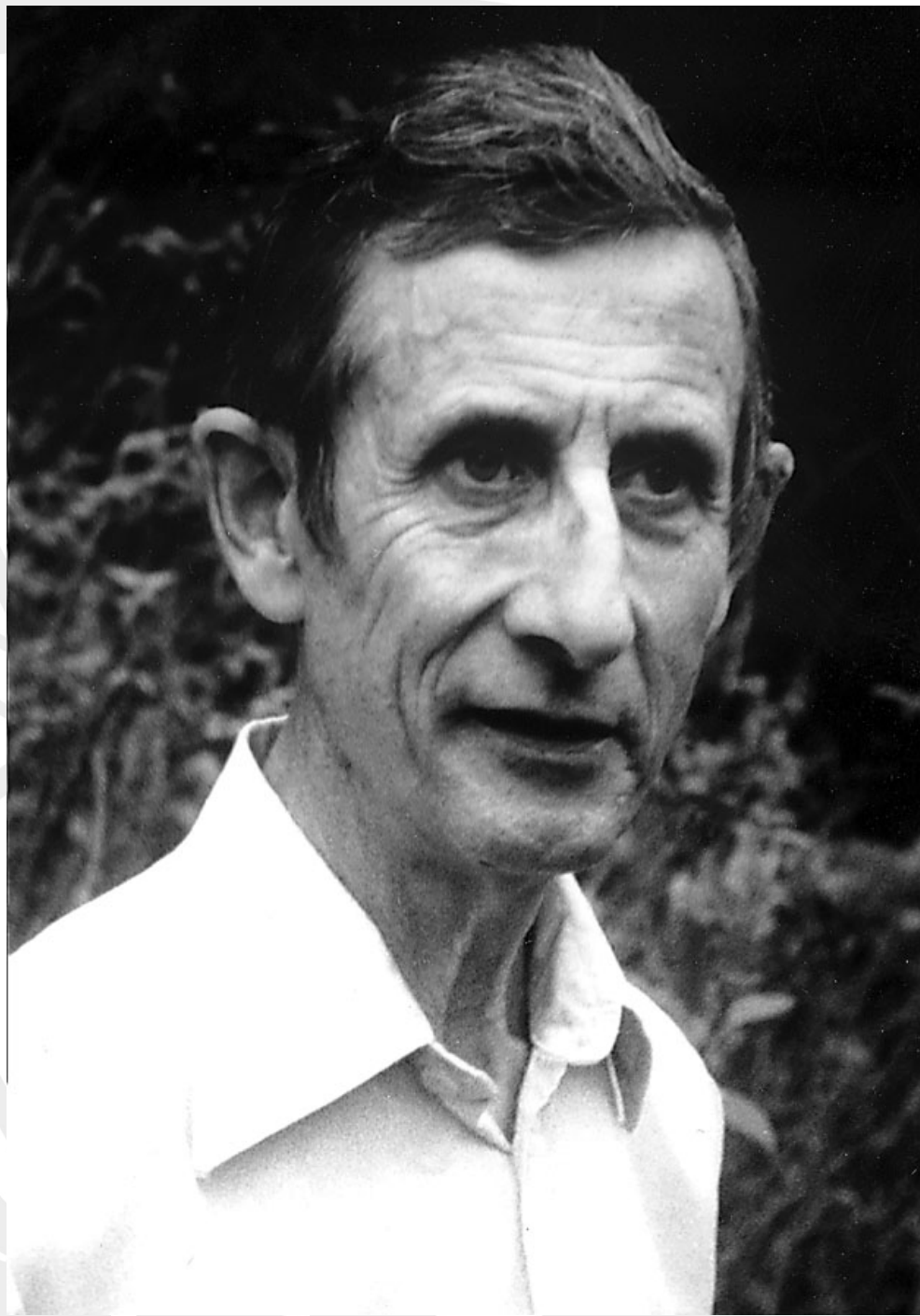
- heat currents are intrinsically ill-defined at the atomic scale;
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- this *gauge invariance* of thermal transport makes it possible to compute thermal transport coefficients from DFT using equilibrium AIMD and the Green-Kubo formalism;

summary

- heat currents are intrinsically ill-defined at the atomic scale;
- energy conservation and extensivity make heat-transport coefficients independent of such an indeterminacy;
- this *gauge invariance* of thermal transport makes it possible to compute thermal transport coefficients from DFT using equilibrium AIMD and the Green-Kubo formalism;
- gauge invariance and topological quantization of charge transport make the electric conductivity of ionic fluids depend on the formal oxidation numbers of the ionic species, via the Green-Kubo formula.



Freeman J. Dyson



*the computer
is a tool for
clear thinking*

Freeman J. Dyson

thanks to:



Loris Ercole, SISSA



Aris Marcolongo, SISSA
now @EPFL



Federico Grasselli, SISSA



Riccardo Bertossa, SISSA

thanks to:





That's all Folks!

thank you for having me with you today
these slides shortly at <http://talks.baroni.me>