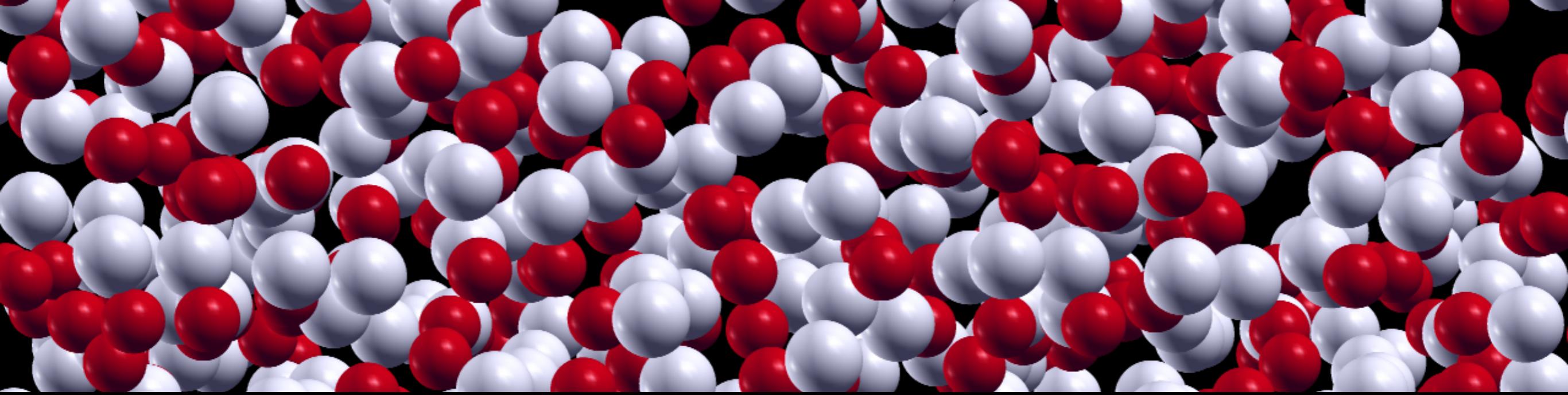
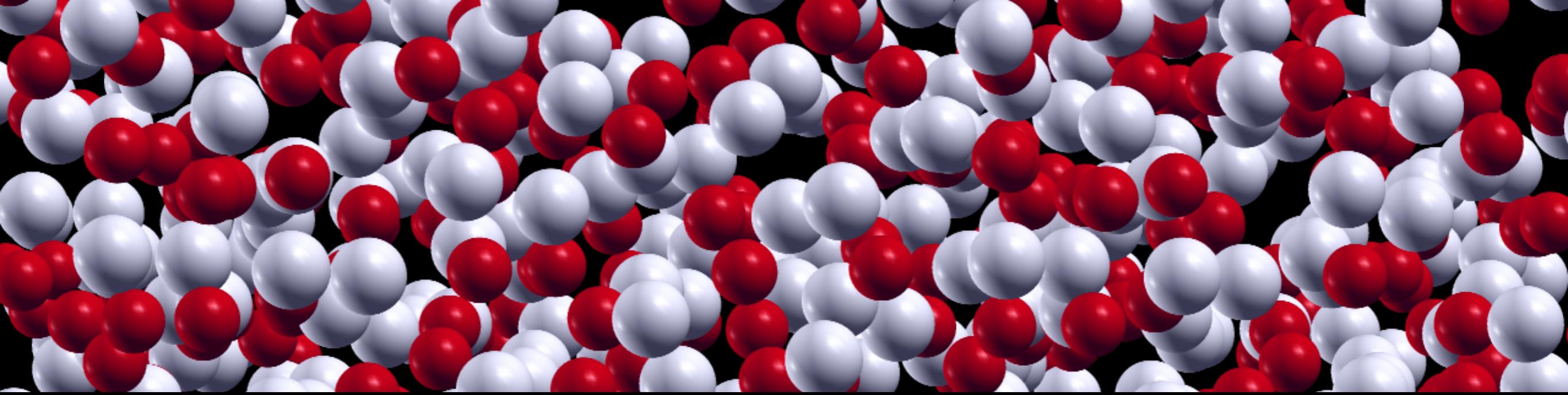




# quantum topological effects in the transport properties of ionic conductors

Stefano Baroni  
Scuola Internazionale Superiore di Studi Avanzati  
Trieste — Italy





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E

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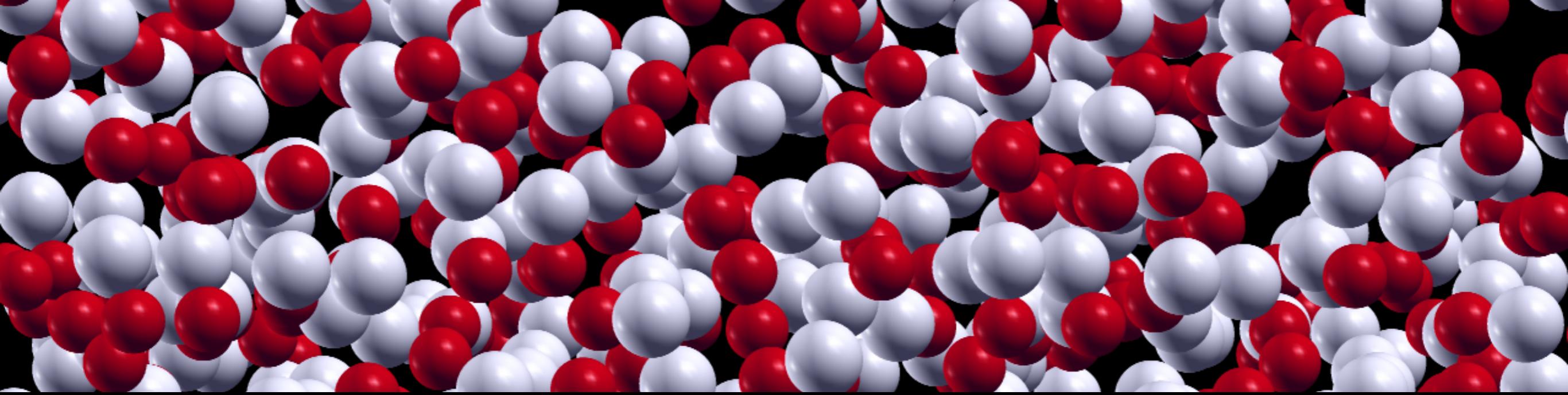
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J

A diagram showing a horizontal arrow pointing to the right, labeled 'E' above it and 'J' below it. To the left of the arrow are three red plus signs ('+') vertically aligned. To the right are three short black horizontal dashes ('—') vertically aligned.

$$J = \sigma E$$





+

+

+

$E$

$\longrightarrow$

$J$

—

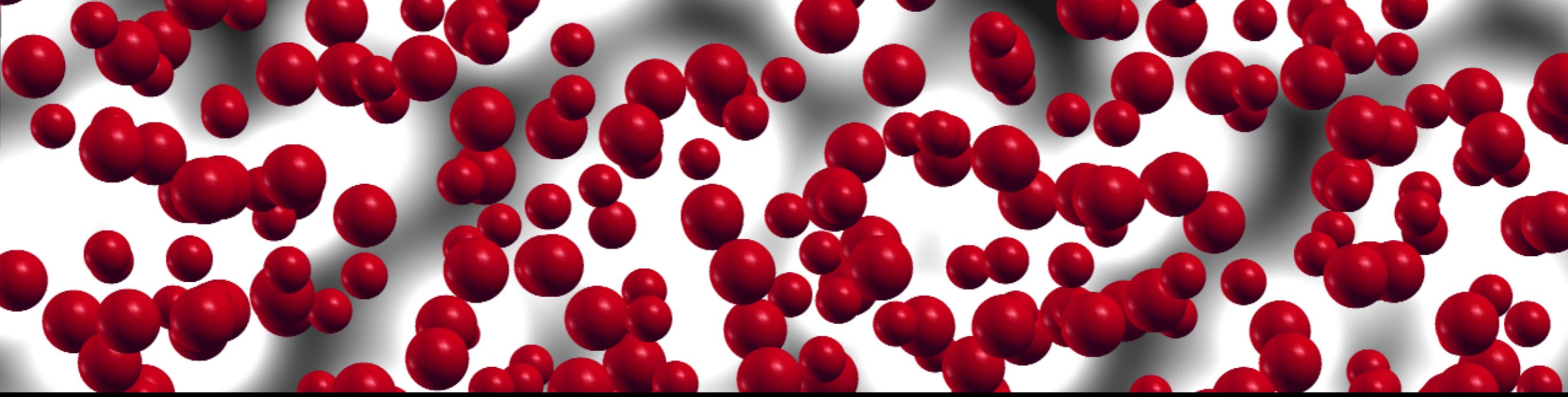
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$$J = \sigma E$$

$$J = \sum_i q_i \mathbf{v}_i$$





$$+\quad\quad\quad E \longrightarrow$$

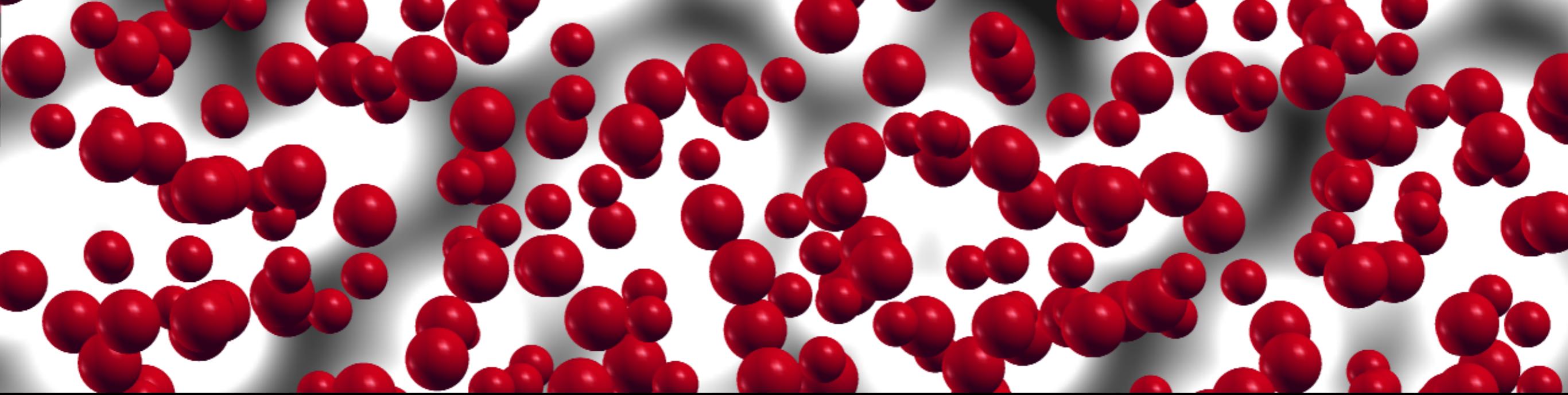
$J$

A diagram showing a horizontal arrow pointing to the right, labeled with the letter 'E' above it and 'J' below it. To the left of the arrow, there are three red plus signs ('+') aligned vertically.

$$J = \sigma E$$

$$J = ???$$





$$J = \sigma E$$

+ + +

$E$

$J$

-

-

-

$$J = P = \frac{1}{\Omega} \dot{\mu}$$
$$= \frac{1}{\Omega} \sum_i Z_i^* \cdot v_i$$

$$Z_{i\alpha\beta}^* = \frac{\partial \mu_\alpha}{x_{i\beta}}$$

# *the conundrum*

$$\mathbf{J} = \frac{1}{\Omega} \sum_i \mathbf{z}_i^* \cdot \mathbf{v}_i$$

$$\sigma = \frac{\Omega}{3k_B T} \int_0^\infty \langle \mathbf{J}(t) \cdot \mathbf{J}(0) \rangle dt$$



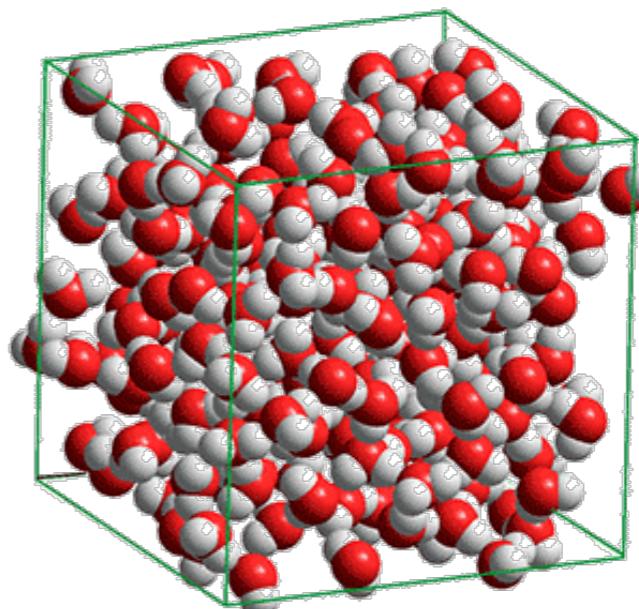
# *the conundrum*

$$\mathbf{J} = \frac{1}{\Omega} \sum_i \mathbf{z}_i^* \cdot \mathbf{v}_i$$

$$\neq 0$$

$$\sigma = \frac{\Omega}{3k_B T} \int_0^\infty \langle \mathbf{J}(t) \cdot \mathbf{J}(0) \rangle dt$$

pure, undissociated  
 $\text{H}_2\text{O}$



# *the conundrum*

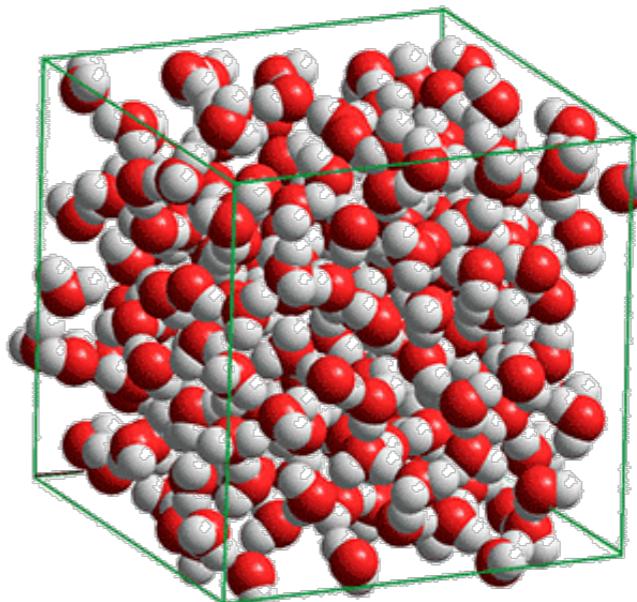
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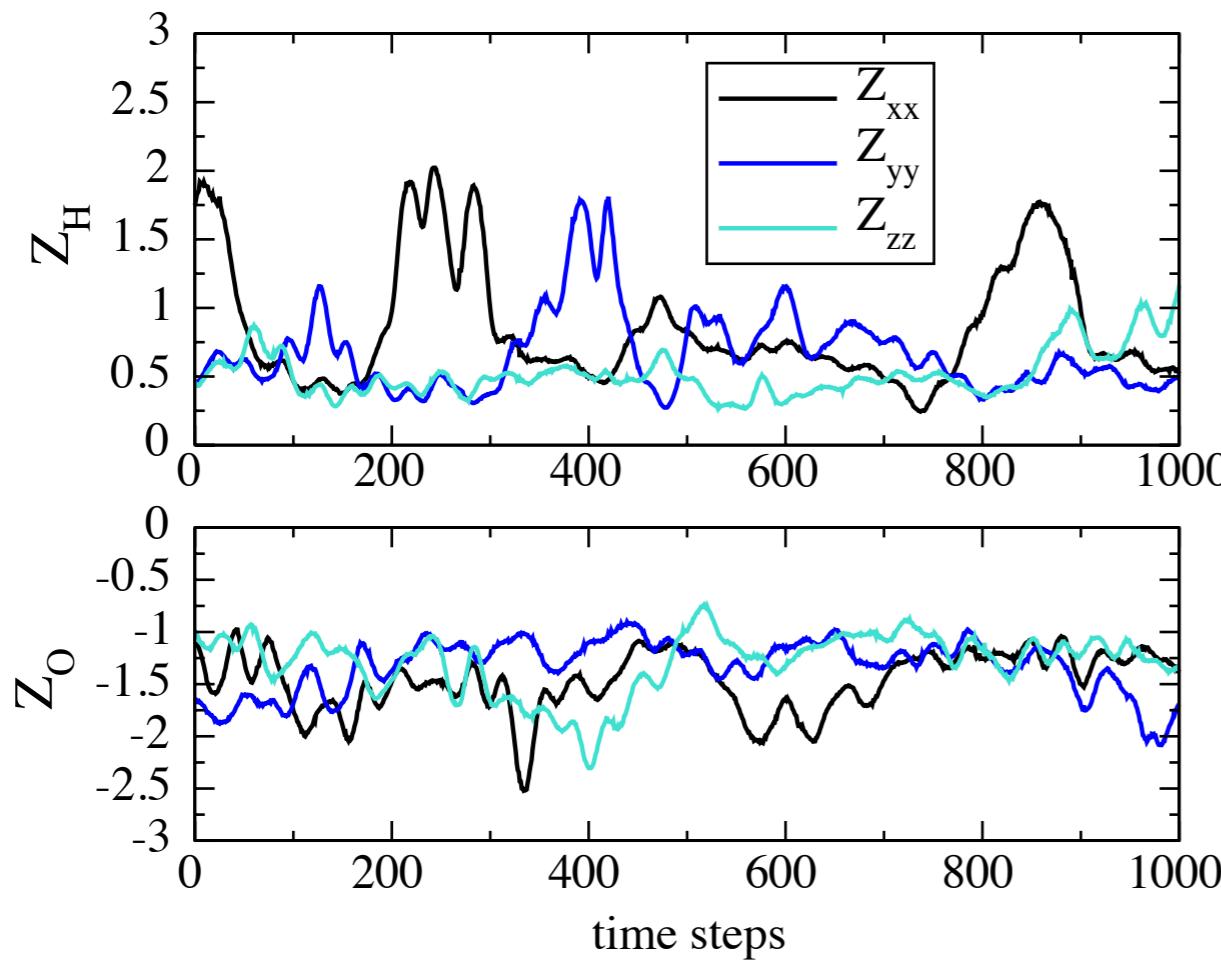
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$$= 0 \quad ???$$

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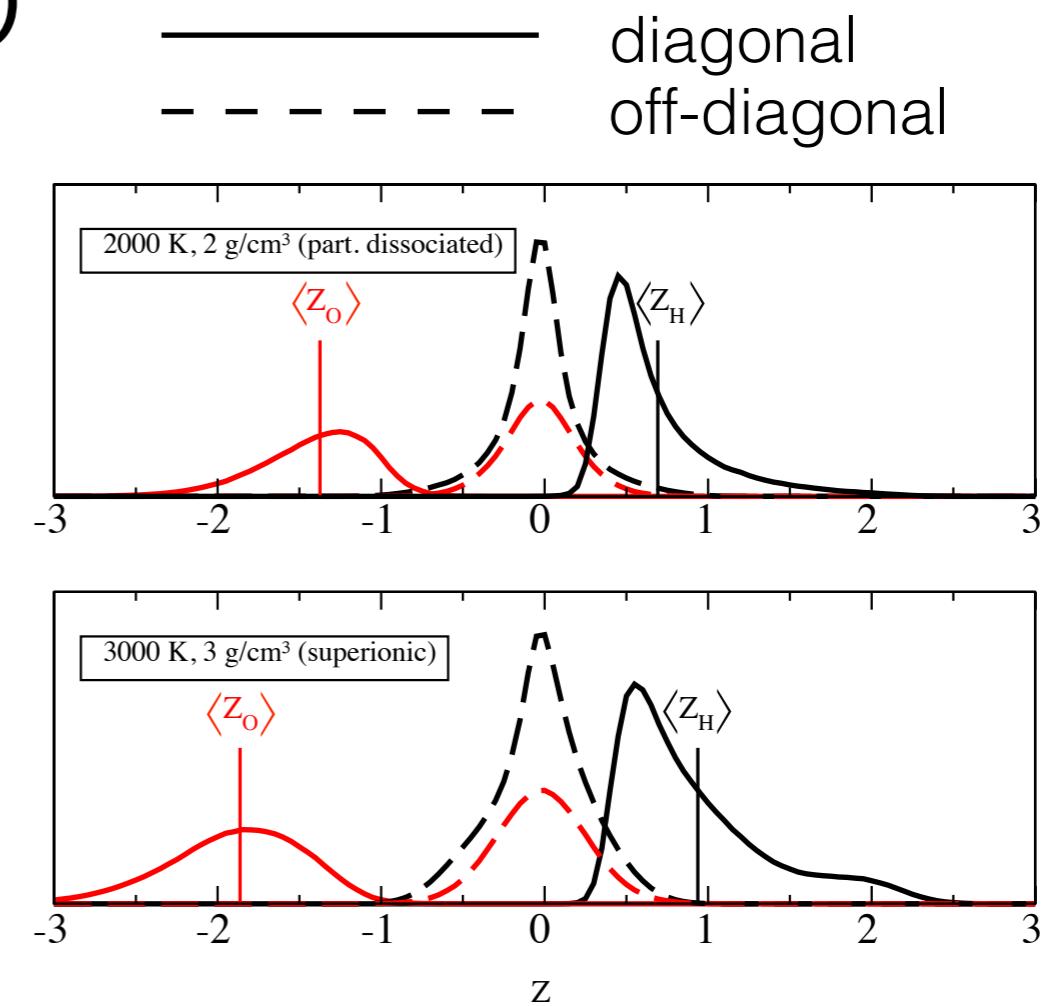
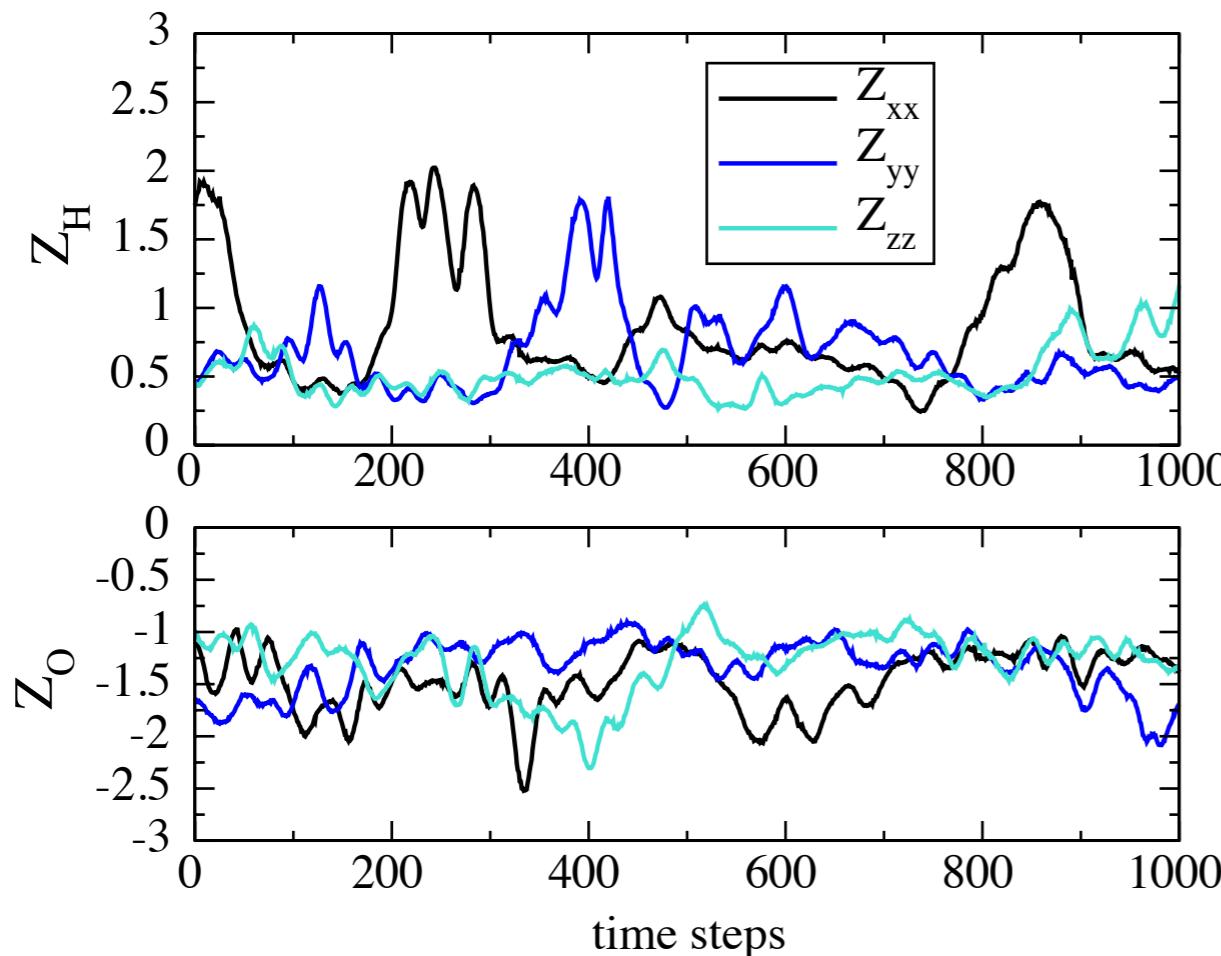
*the conundrum*  
partially dissociated  
 $\text{H}_2\text{O}$



# *the conundrum*

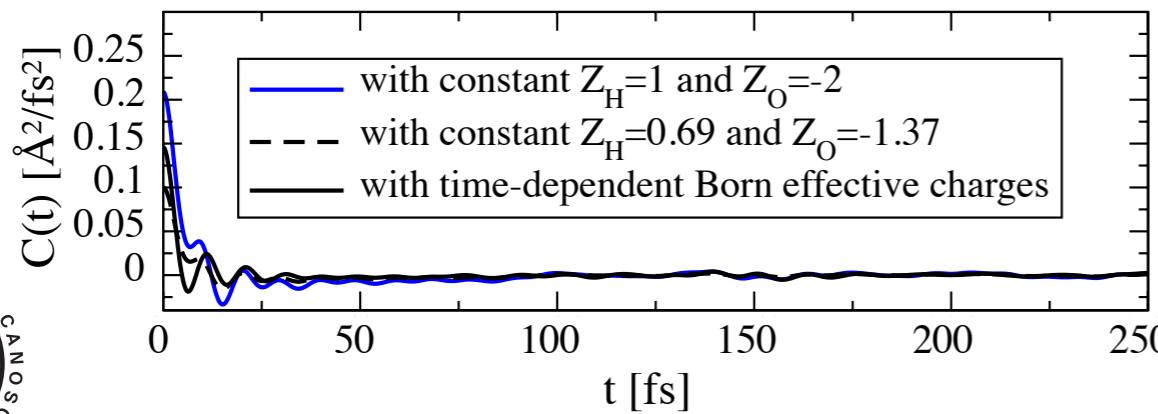
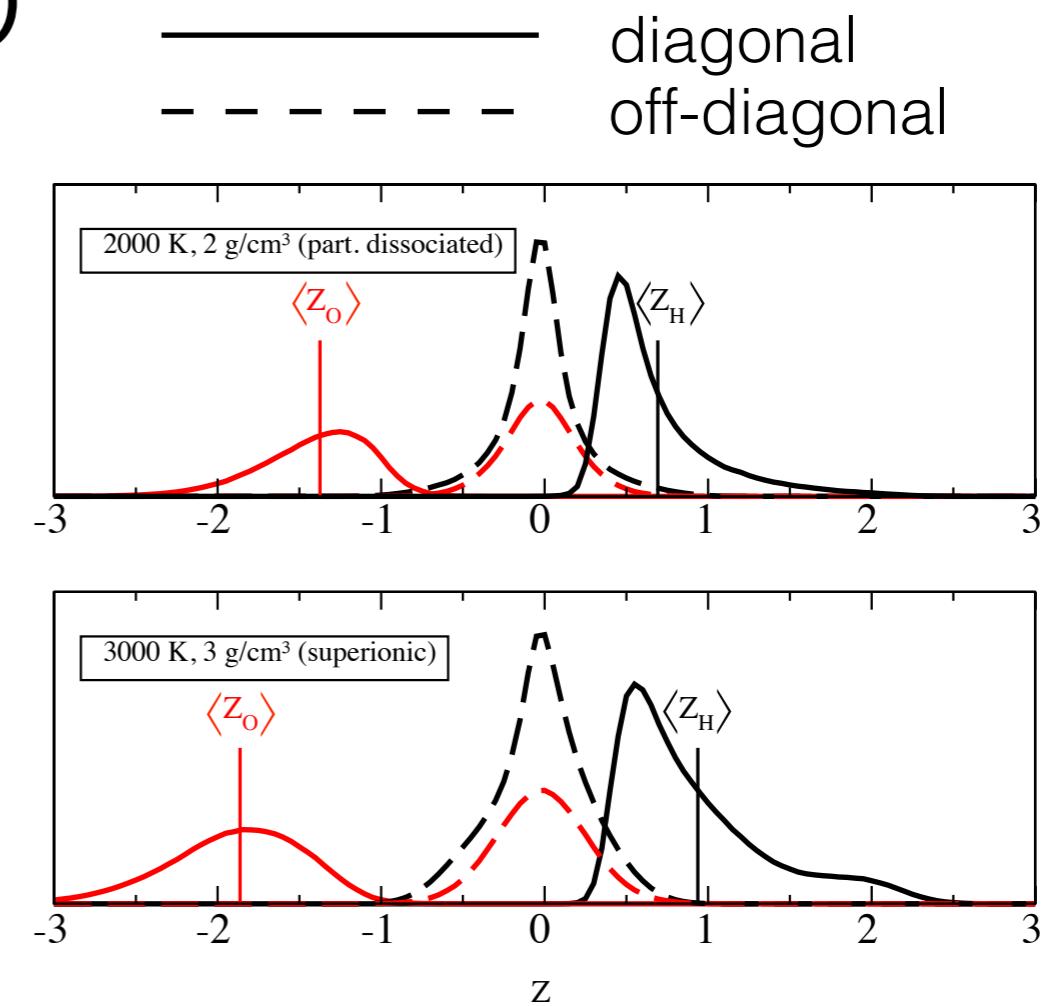
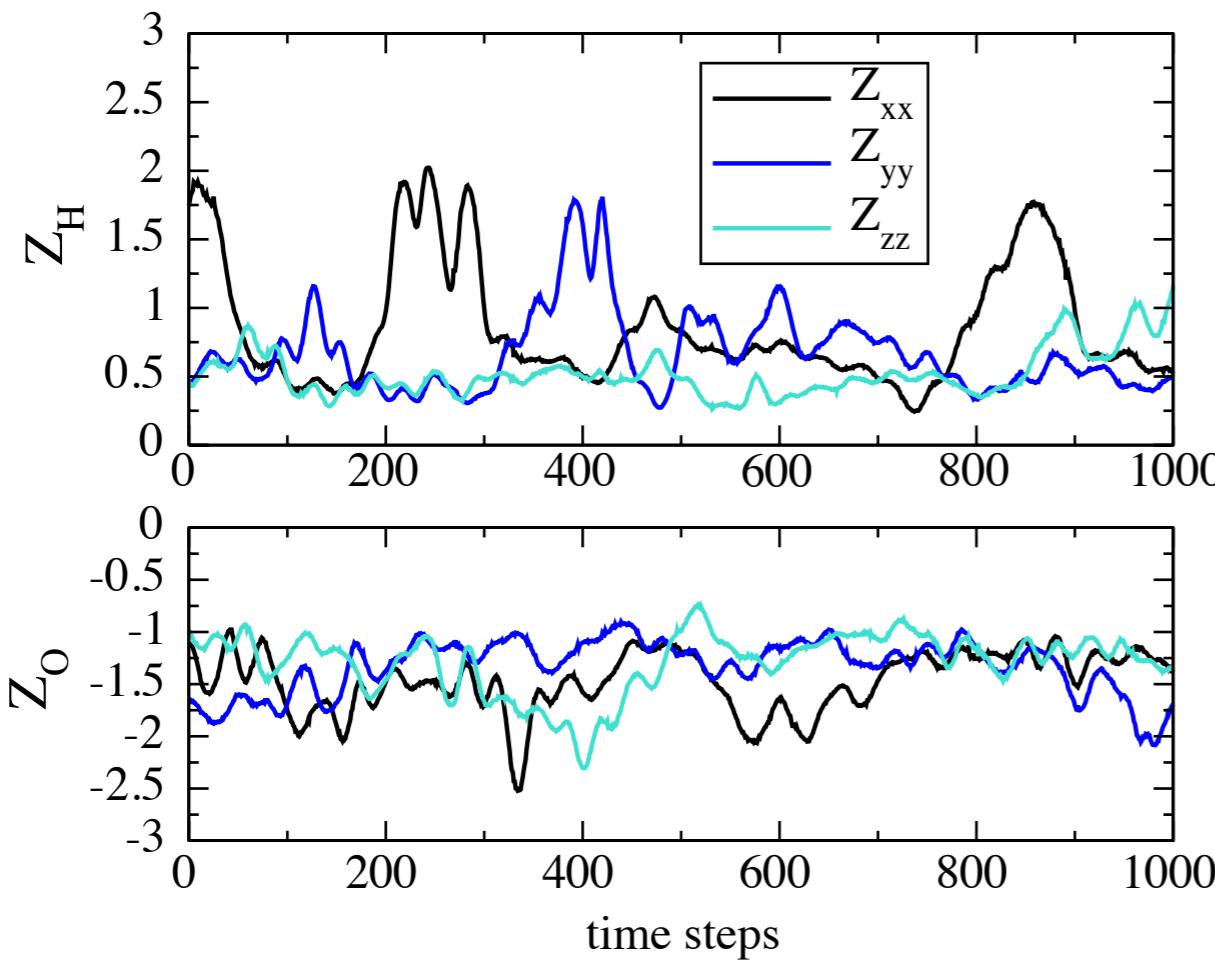
## partially dissociated

## H<sub>2</sub>O



# *the conundrum*

## partially dissociated H<sub>2</sub>O



# the conundrum

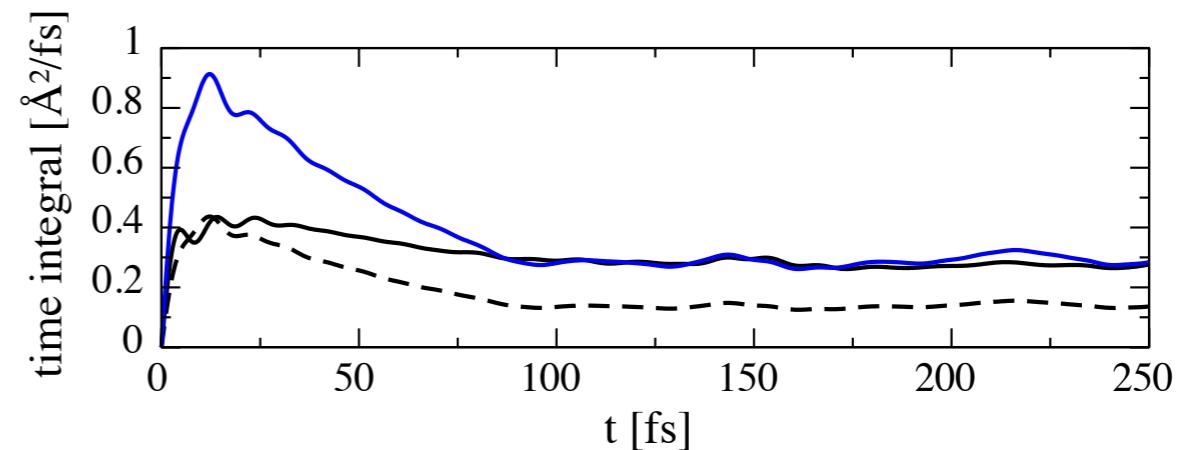
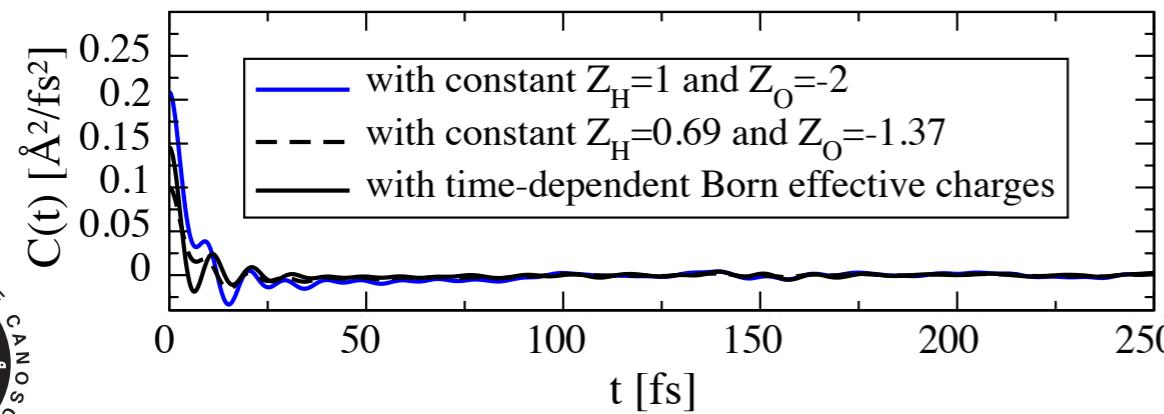
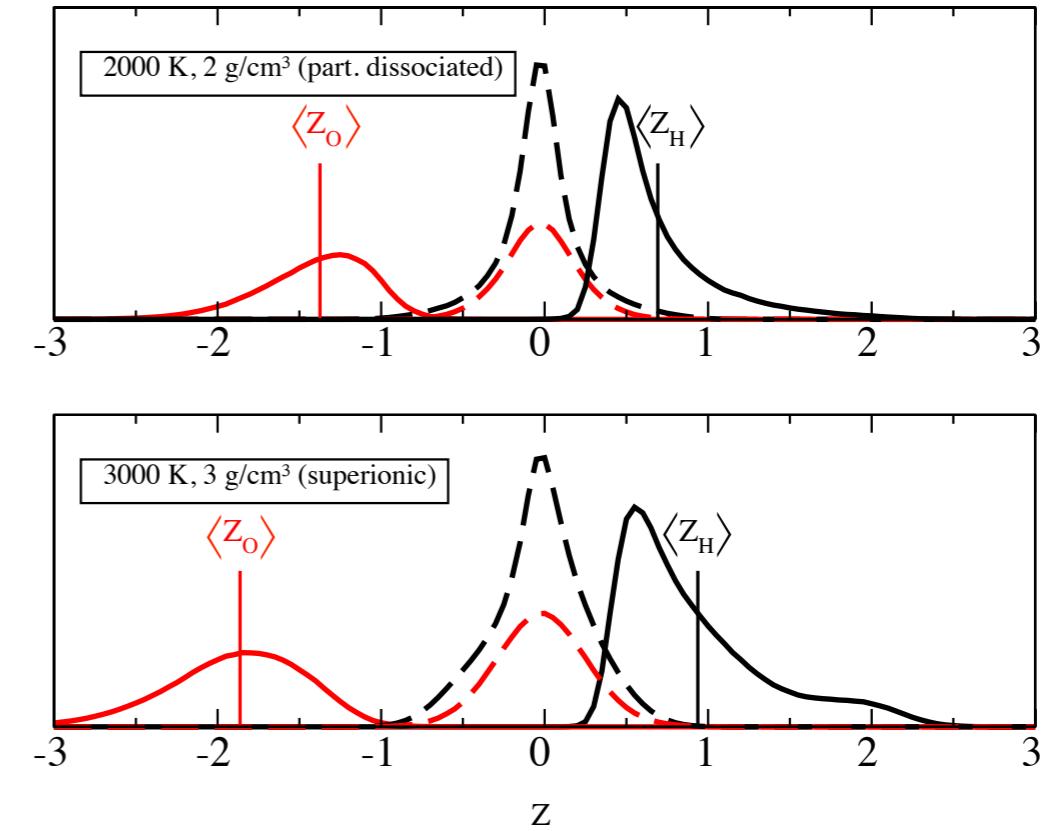
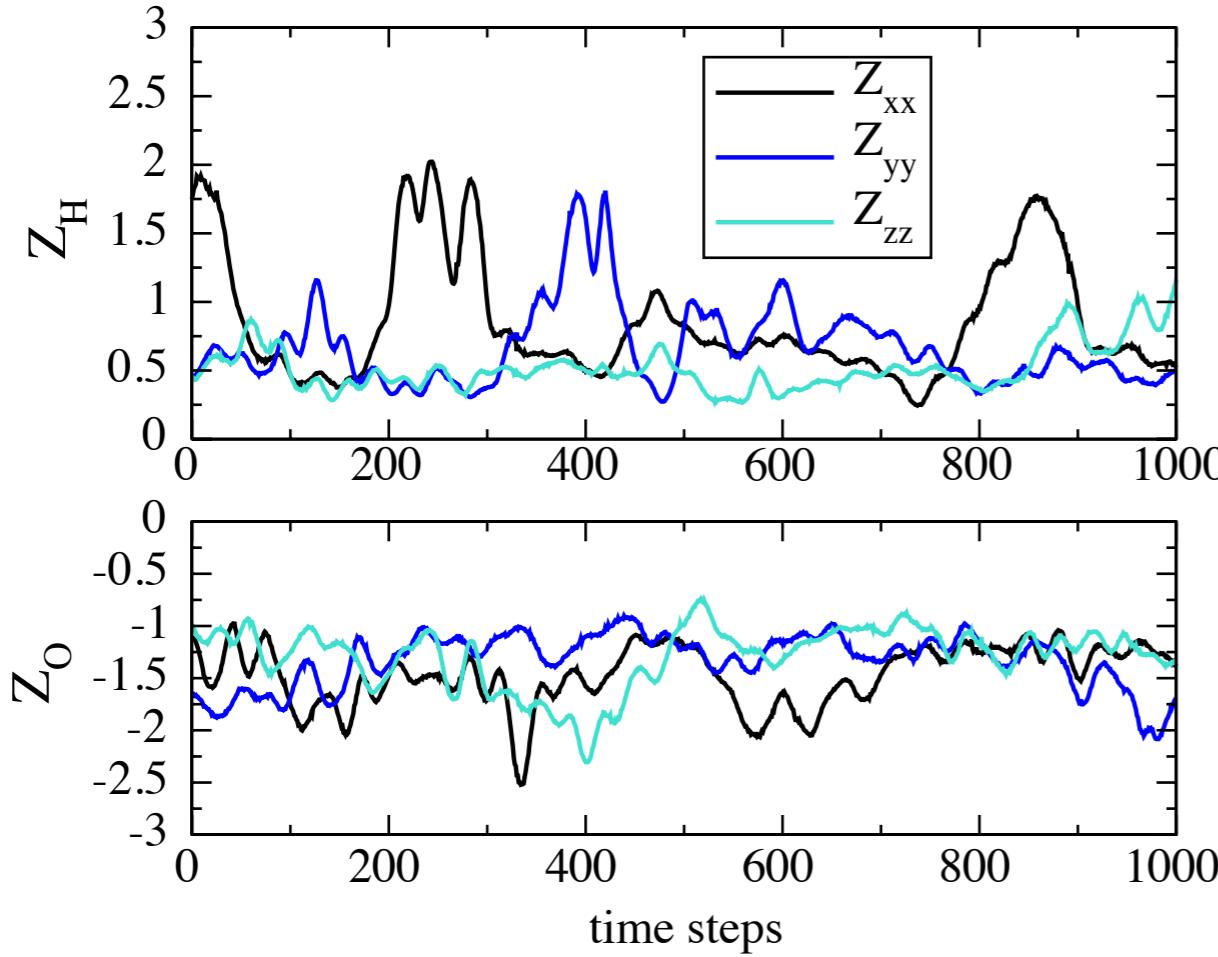
PRL 107, 185901 (2011)

PHYSICAL REVIEW LETTERS

week ending  
28 OCTOBER 2011

## Dynamical Screening and Ionic Conductivity in Water from *Ab Initio* Simulations

Martin French,<sup>1</sup> Sébastien Hamel,<sup>2</sup> and Ronald Redmer<sup>1</sup>



# the conundrum

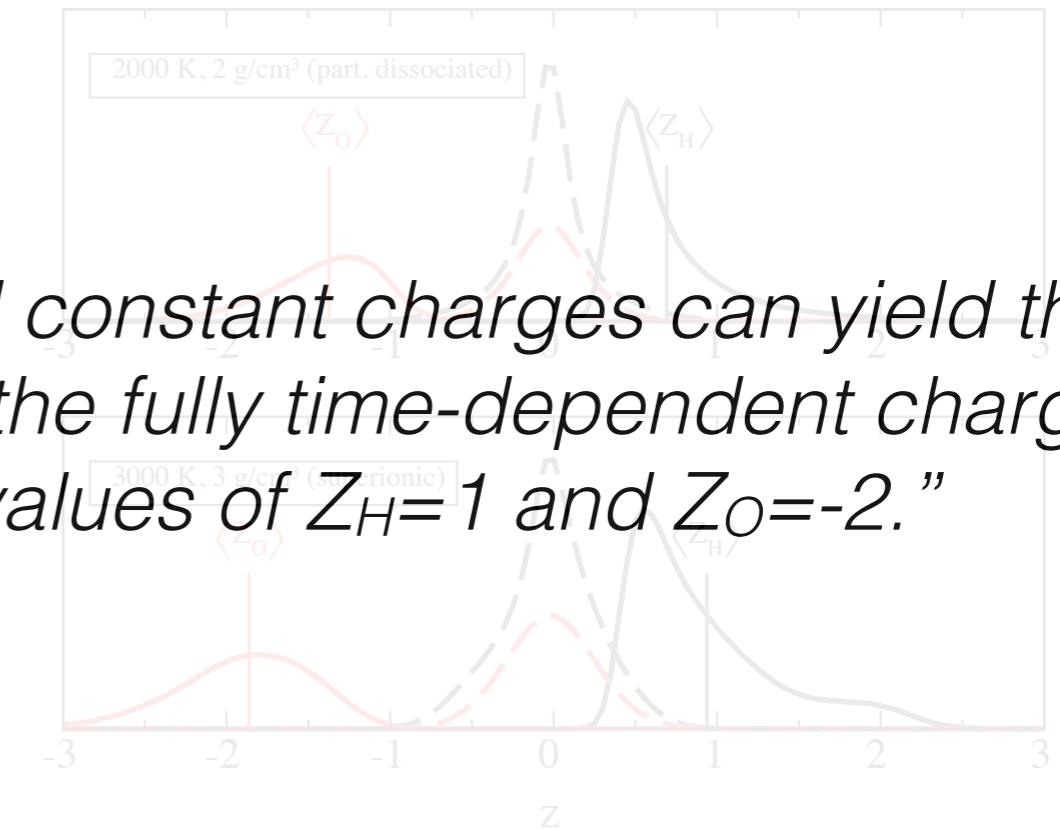
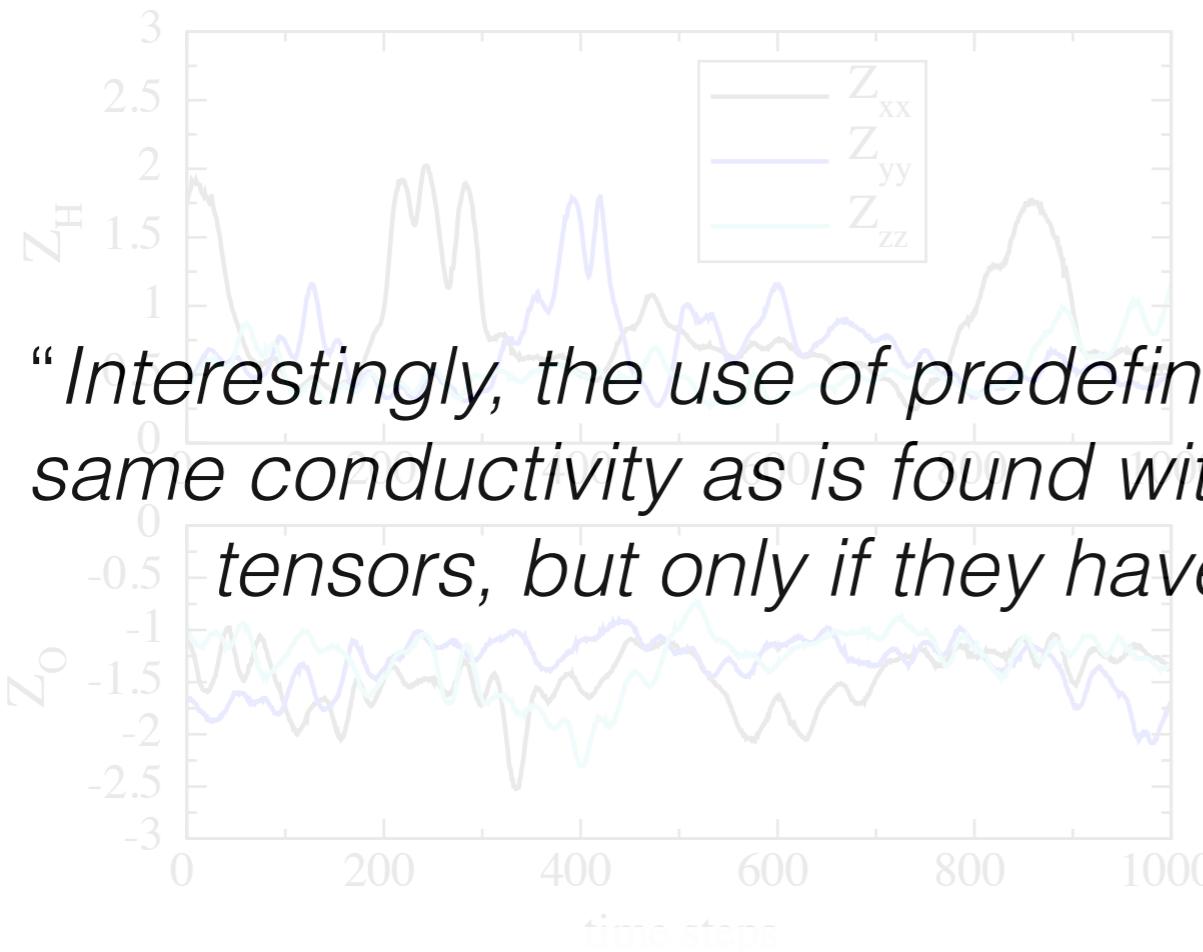
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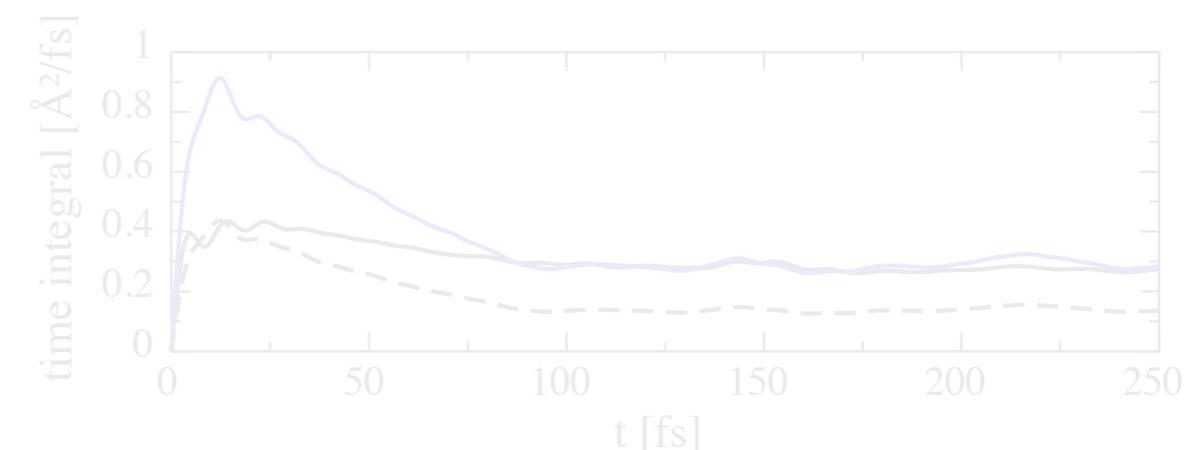
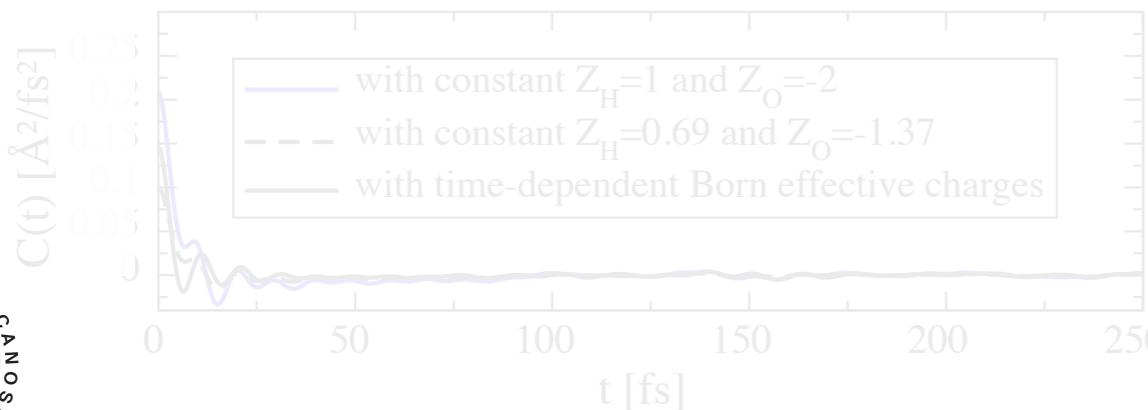
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*"Interestingly, the use of predefined constant charges can yield the same conductivity as is found with the fully time-dependent charge tensors, but only if they have values of  $Z_H=1$  and  $Z_O=-2$ ."*



# the conundrum

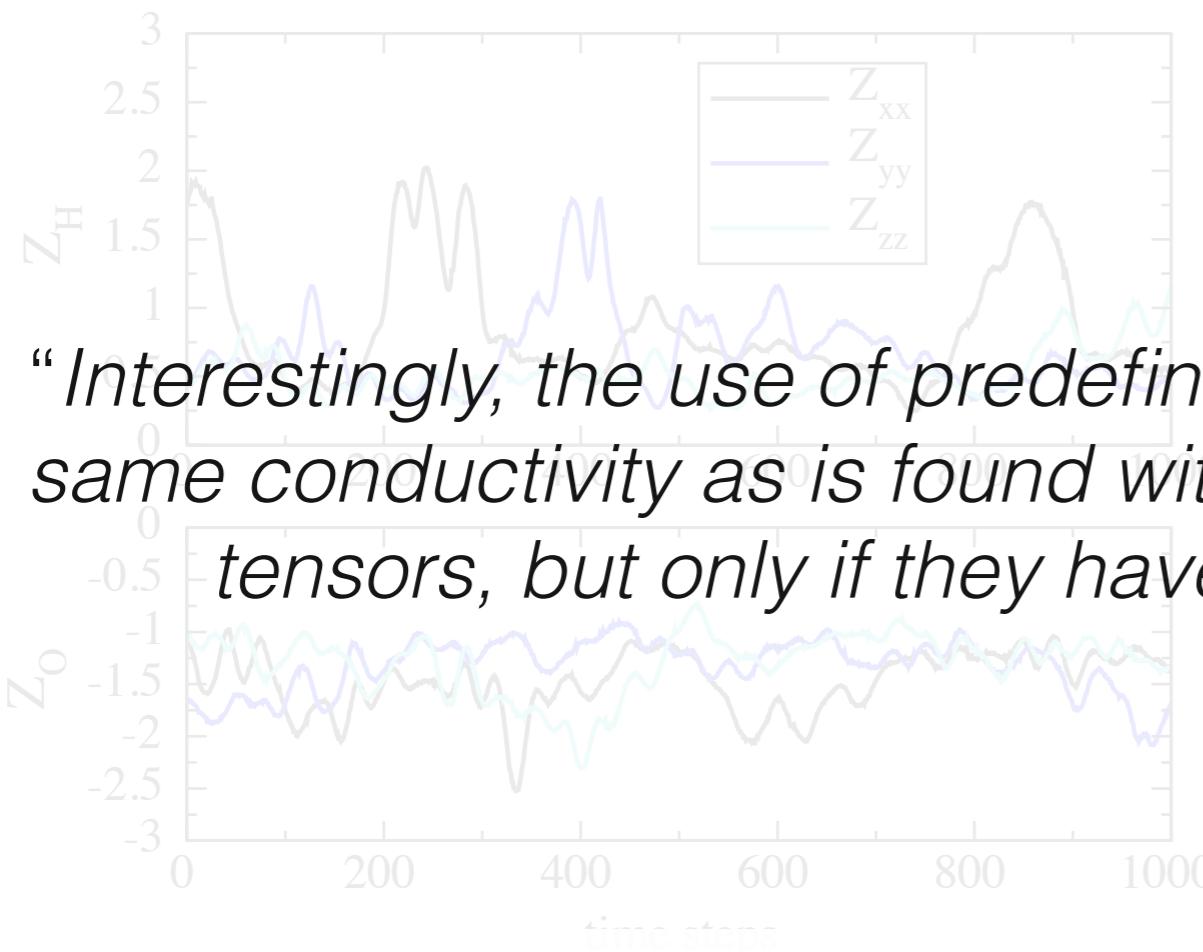
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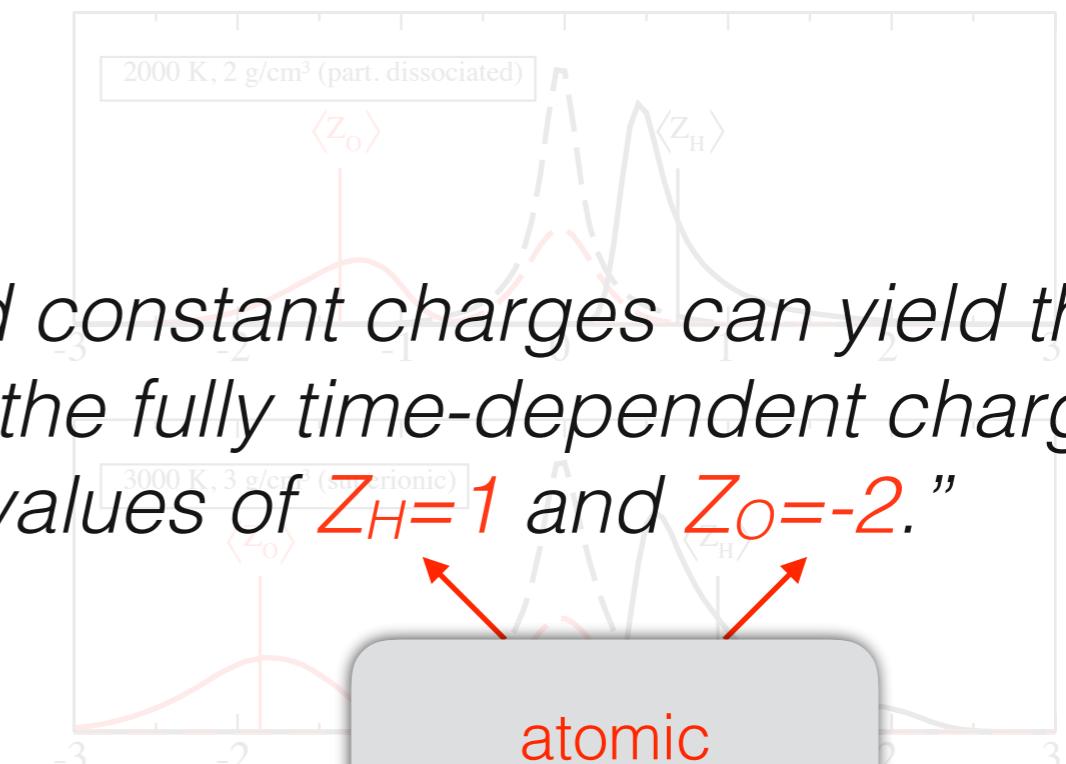
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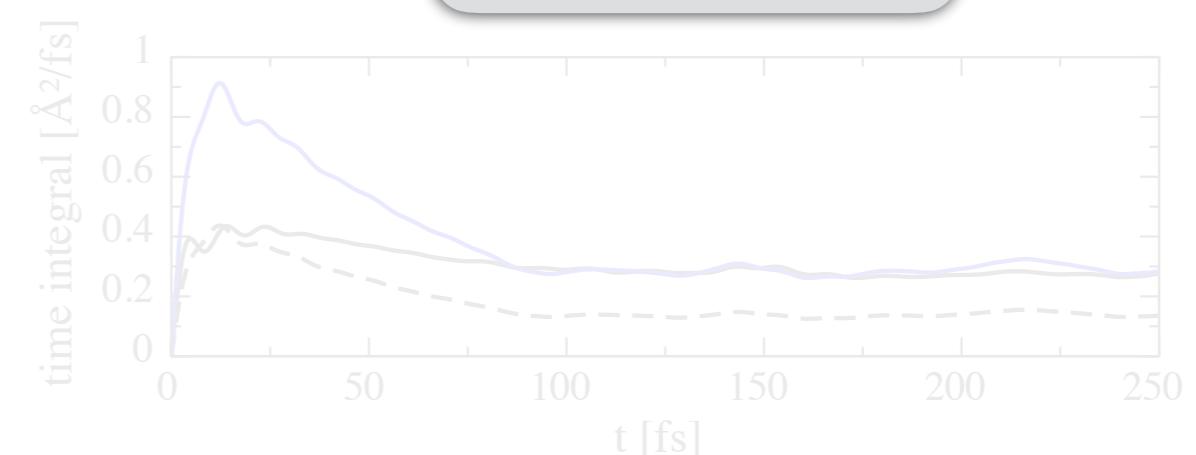
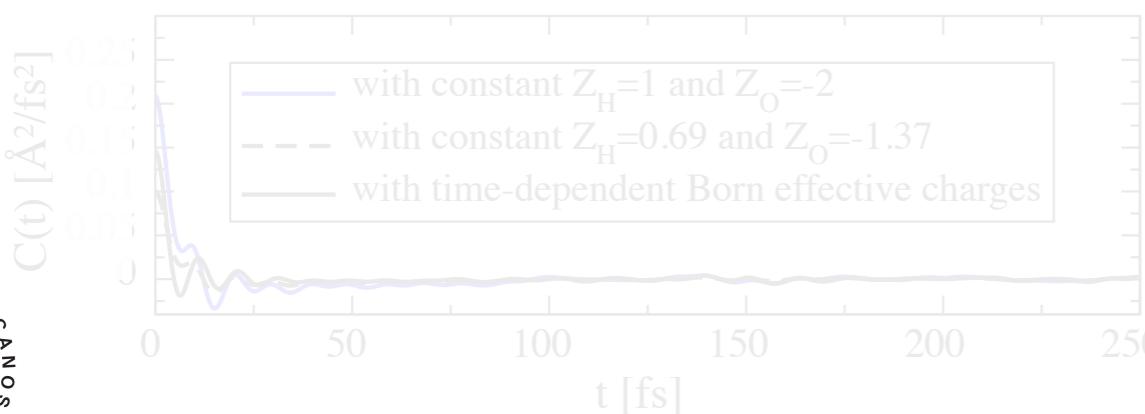
Martin French,<sup>1</sup> Sébastien Hamel,<sup>2</sup> and Ronald Redmer<sup>1</sup>



“Interestingly, the use of predefined constant charges can yield the same conductivity as is found with the fully time-dependent charge tensors, but only if they have values of  $Z_H=1$  and  $Z_O=-2$ .”



atomic  
oxidation states





how come?

# *the Green-Kubo theory of transport*

A is extensive (energy, entropy, mass, ...)

$$A = \int_{\Omega} a(\mathbf{r}) d\mathbf{r}$$



# *the Green-Kubo theory of transport*

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Linear response

$$\mathbf{J} = \lambda \mathbf{F}$$

$$\begin{cases} \mathbf{J} = \frac{1}{\Omega} \int_{\Omega} \mathbf{j}(\mathbf{r}) d\mathbf{r} \\ \mathbf{F} = \frac{1}{\Omega} \int_{\Omega} \nabla x(\mathbf{r}) d\mathbf{r} \\ x = \frac{\partial S}{\partial A} \end{cases}$$



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Green-Kubo

$$\lambda = \frac{\Omega}{k_B T} \int_0^{\infty} \langle J(t) J(0) \rangle dt$$



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$$\lambda = \frac{\Omega}{k_B T} \int_0^\infty \langle J(t)J(0) \rangle dt$$

A = energy

$$J_{\mathcal{E}} = -\kappa \nabla T$$

$$\kappa = \frac{\Omega}{k_B T^2} \int_0^\infty \langle J_{\mathcal{E}}(t)J_{\mathcal{E}}(0) \rangle dt$$



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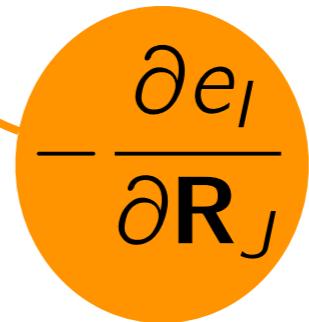


# *adiabatic heat transport*

$$\mathbf{J}_{\mathcal{E}} = \sum_I e_I \mathbf{v}_I + \frac{1}{2} \sum_{I \neq J} (\mathbf{v}_I \cdot \mathbf{F}_{IJ}) (\mathbf{R}_I - \mathbf{R}_J)$$



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$$-\frac{\partial e_I}{\partial \mathbf{R}_J}$$

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PHYSICAL REVIEW LETTERS

week ending  
21 MAY 2010

## Thermal Conductivity of Periclase (MgO) from First Principles

Stephen Stackhouse\*

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Lars Stixrude†

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Bijaya B. Karki‡

*Department of Computer Science, Louisiana State University, Baton Rouge, Louisiana 70803, USA  
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sensitive to the form of the potential. The widely used Green-Kubo relation [14] does not serve our purposes, because in first-principles calculations it is impossible to uniquely decompose the total energy into individual contributions from each atom.



# *the Einstein-Helfand relations*

Einstein (1905)

$$\begin{aligned}\langle |x(t) - x(0)|^2 \rangle &= \left\langle \left| \int_0^t v(t') dt' \right|^2 \right\rangle \\ &\approx 2t \underbrace{\int_0^\infty \langle v(t)v(0) \rangle dt}_D\end{aligned}$$



# *the Einstein-Helfand relations*

Einstein (1905)

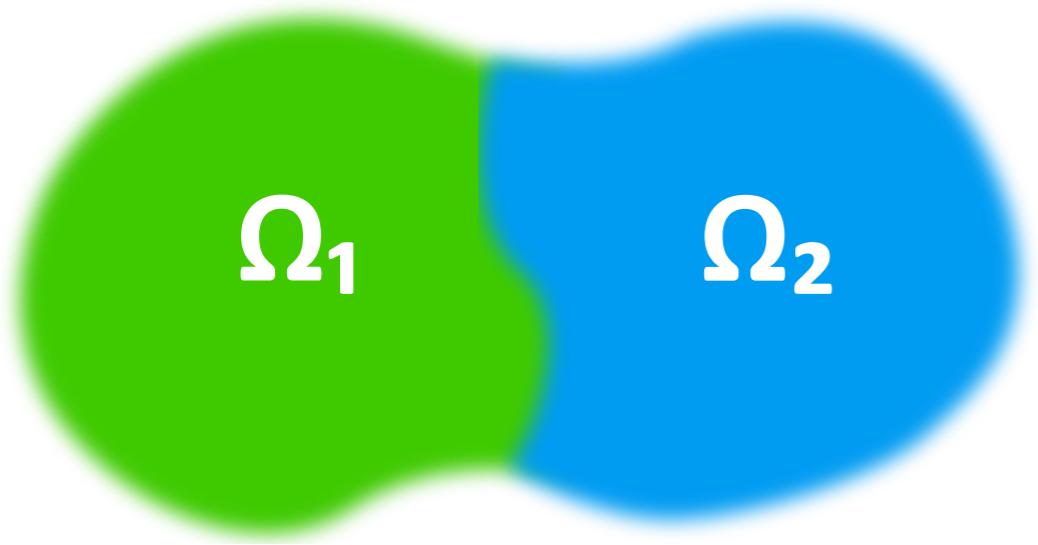
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Helfand (1960)

$$\left\langle \left| \int_0^t J(t') dt' \right|^2 \right\rangle \approx 2t \underbrace{\int_0^\infty \langle J(t)J(0) \rangle dt}_{\frac{k_B T}{\Omega} \lambda}$$



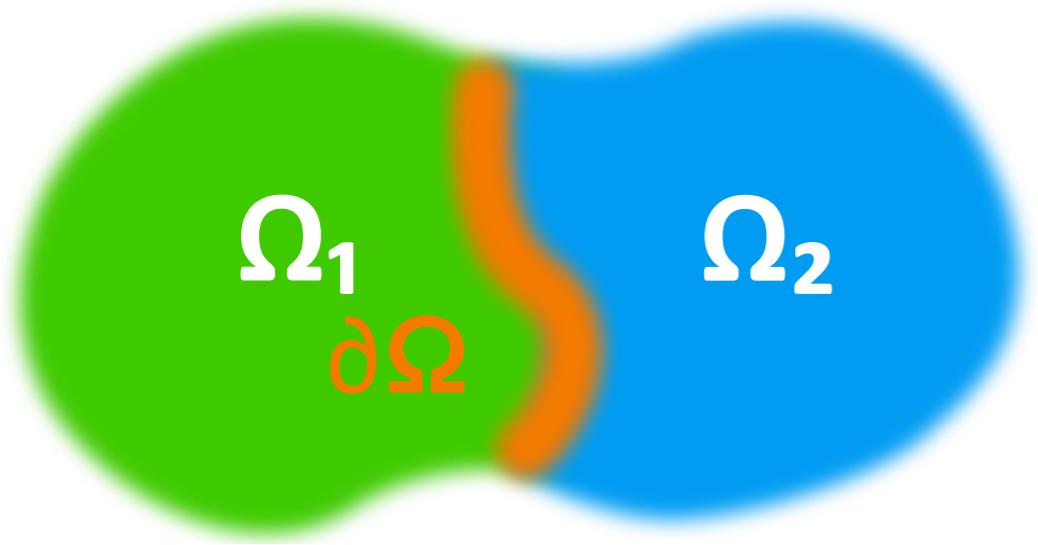
# *gauge invariance of transport coefficients*



$$\mathsf{E}[\Omega_1 \cup \Omega_2] = \mathsf{E}[\Omega_1] + \mathsf{E}[\Omega_2]$$



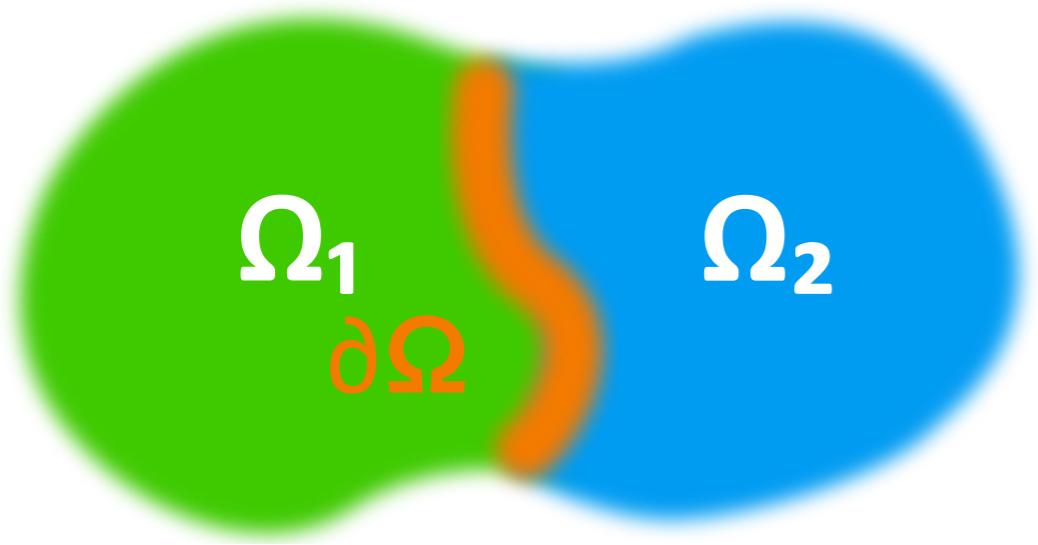
# *gauge invariance of transport coefficients*



$$\begin{aligned} E[\Omega_1 \cup \Omega_2] &= E[\Omega_1] + E[\Omega_2] + W[\partial\Omega] \\ &\stackrel{?}{=} \mathcal{E}[\Omega_1] + \mathcal{E}[\Omega_2] \end{aligned}$$



# *gauge invariance of transport coefficients*



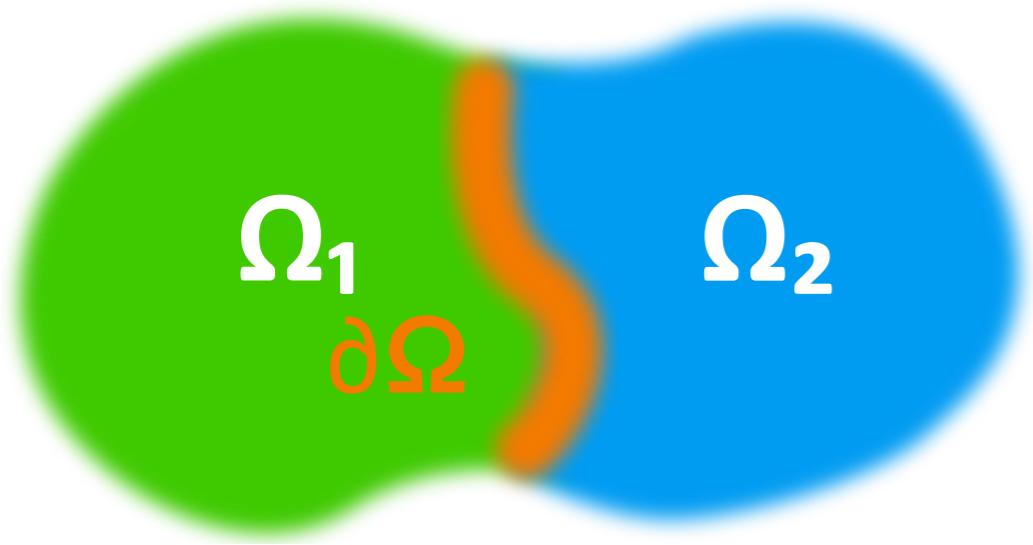
extensiveness

$$\begin{aligned} E[\Omega_1 \cup \Omega_2] &= E[\Omega_1] + E[\Omega_2] + W[\partial\Omega] \\ &\stackrel{?}{=} \mathcal{E}[\Omega_1] + \mathcal{E}[\Omega_2] \end{aligned}$$

$$\mathcal{E}[\Omega] = \int_{\Omega} e(\mathbf{r}) d\mathbf{r}$$



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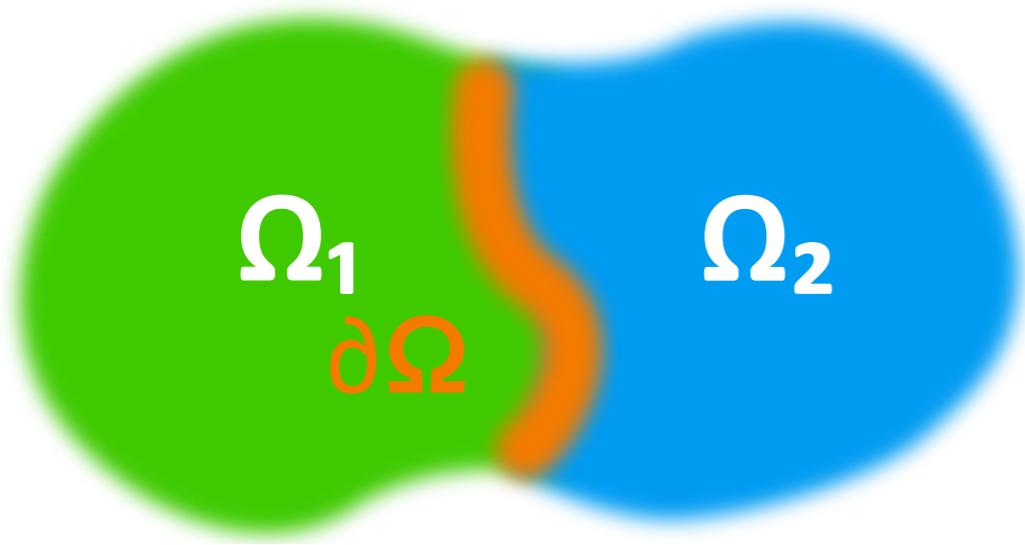
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conservation

$$\dot{e}(\mathbf{r}, t) = -\nabla \cdot \mathbf{j}(\mathbf{r}, t)$$



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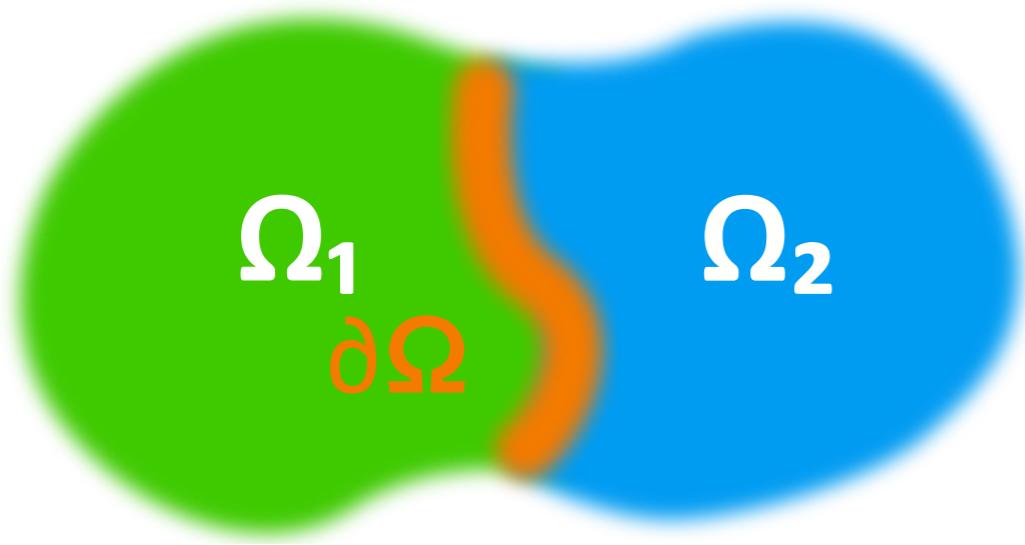
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$$\mathcal{E}'[\Omega] = \mathcal{E}[\Omega] + \mathcal{O}[\partial\Omega]$$



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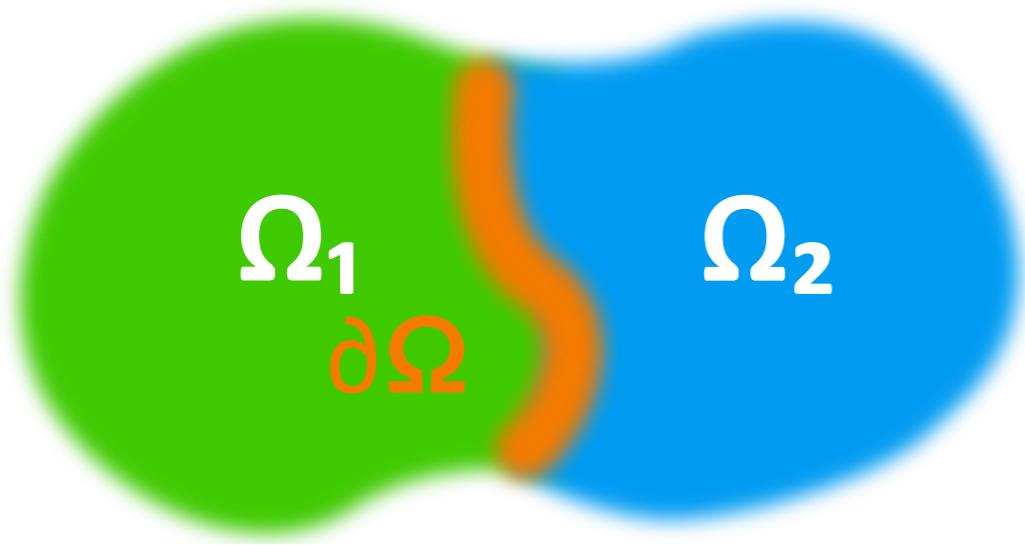
$$\dot{e}(\mathbf{r}, t) = -\nabla \cdot \mathbf{j}(\mathbf{r}, t)$$

gauge invariance

$$\begin{aligned} \mathcal{E}'[\Omega] &= \mathcal{E}[\Omega] + \mathcal{O}[\partial\Omega] \\ e'(\mathbf{r}) &= e(\mathbf{r}) - \nabla \cdot \mathbf{p}(\mathbf{r}) \end{aligned}$$



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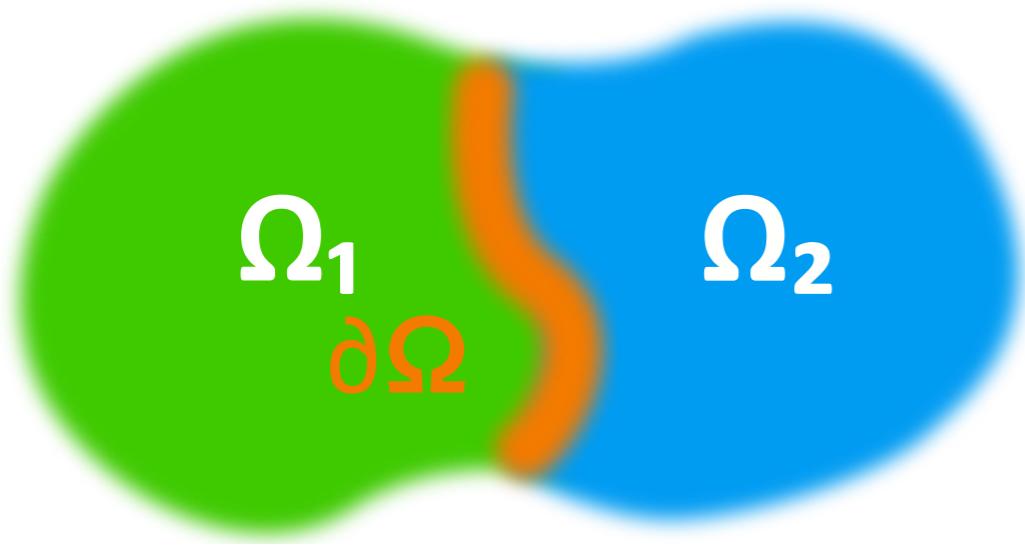
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$$e'(\mathbf{r}) = e(\mathbf{r}) - \nabla \cdot \mathbf{p}(\mathbf{r})$$

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gauge invariance

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*gauge invariance of transport coefficients*

$$J' = J + \dot{P}$$



# *gauge invariance of transport coefficients*

$$J' = J + \dot{P}$$

$$\lambda \sim \frac{1}{2t} \text{var}[D(t)] \quad D(t) = \int_0^t J(t') dt'$$



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$$\text{var}[D'(t)] = \text{var}[D(t)] + \text{var}[\Delta P(t)] + 2\text{cov}[D(t) \cdot \Delta P(t)]$$



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# *gauge invariance of transport coefficients*

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$$\lambda' = \lambda$$

$$\text{var}[D'(t)] = \underbrace{\text{var}[D(t)]}_{\mathcal{O}(t)} + \underbrace{\text{var}[\Delta P(t)]}_{\mathcal{O}(t^{\frac{1}{2}})} + 2\text{cov}[D(t) \cdot \Delta P(t)]$$



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# *gauge invariance of transport coefficients*

any two conserved densities that differ by the divergence of a (bounded) vector field

are physically equivalent

the corresponding conserved fluxes differ by a total time derivative, and the transport coefficients coincide

$$\text{var}[D'(t)] = \underbrace{\text{var}[D(t)]}_{\mathcal{O}(t)} + \cancel{\text{var}[\Delta P(t)]} + 2\text{cov}[D(t) \cdot \cancel{\Delta P(t)}]$$

$\mathcal{O}(t^{\frac{1}{2}})$



# *gauge invariance of heat transport*

$$\mathbf{J}_{\mathcal{E}} = \sum_I e_I \mathbf{v}_I + \frac{1}{2} \sum_{I \neq J} (\mathbf{v}_I \cdot \mathbf{F}_{IJ}) (\mathbf{R}_I - \mathbf{R}_J)$$

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## Thermal Conductivity of Periclase (MgO) from First Principles

Stephen Stackhouse\*

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sensitive to the form of the potential. The widely used Green-Kubo relation [14] does not serve our purposes, because in first-principles calculations it is impossible to uniquely decompose the total energy into individual contributions from each atom.



# *gauge invariance of heat transport*

$$\mathbf{J}_{\mathcal{E}} = \sum_I e_I \mathbf{v}_I + \frac{1}{2} \sum_{I \neq J} (\mathbf{v}_I \cdot \mathbf{F}_{IJ}) (\mathbf{R}_I - \mathbf{R}_J)$$

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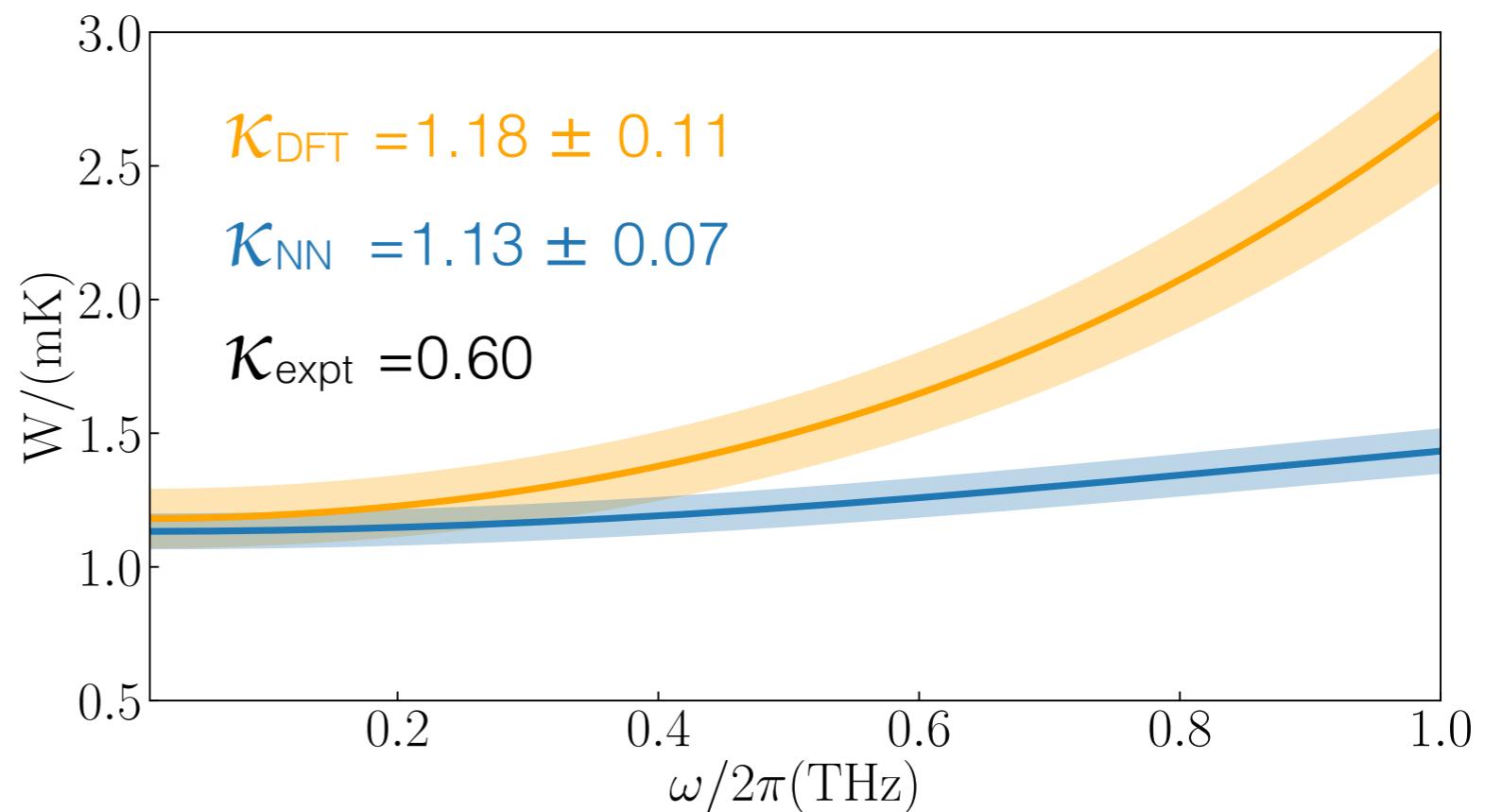
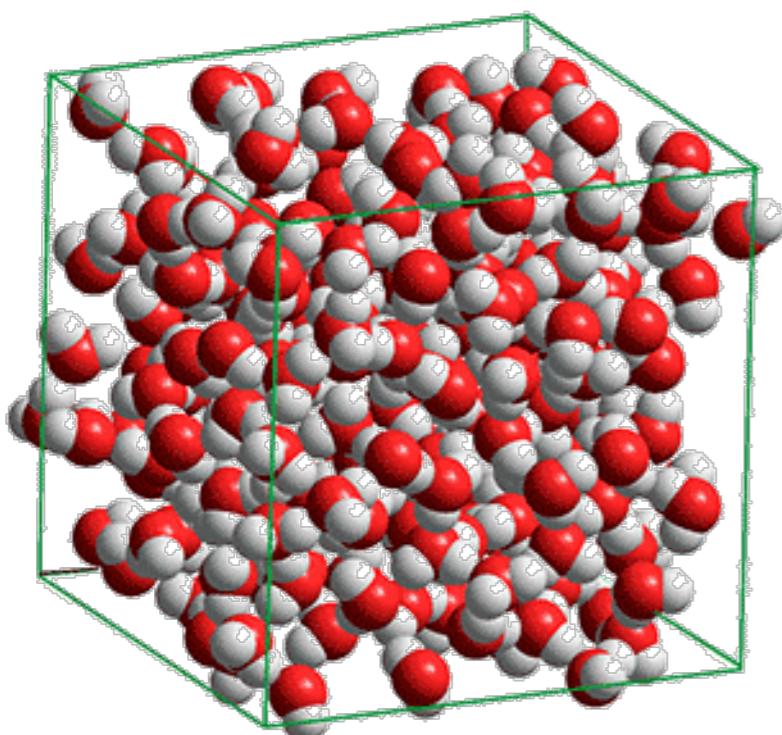
## solution:

choose any local representation of the energy that integrates to the correct value and whose correlations decay at large distance — the conductivity computed from the resulting current will be *independent* of the representation.



# *thermal conductivity of liquid water from DFT*

$\text{H}_2\text{O}$

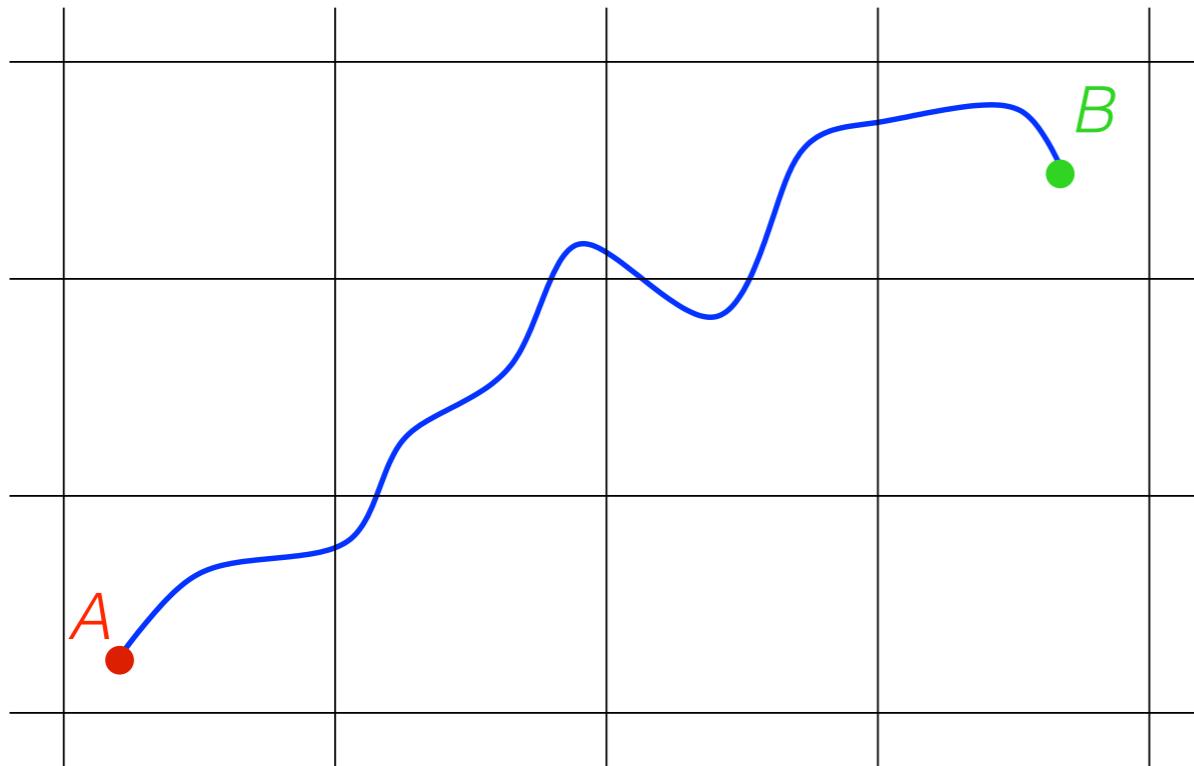


# back to our business

electric conductivities can be  
computed from oxidation states,  
instead of from effective charges



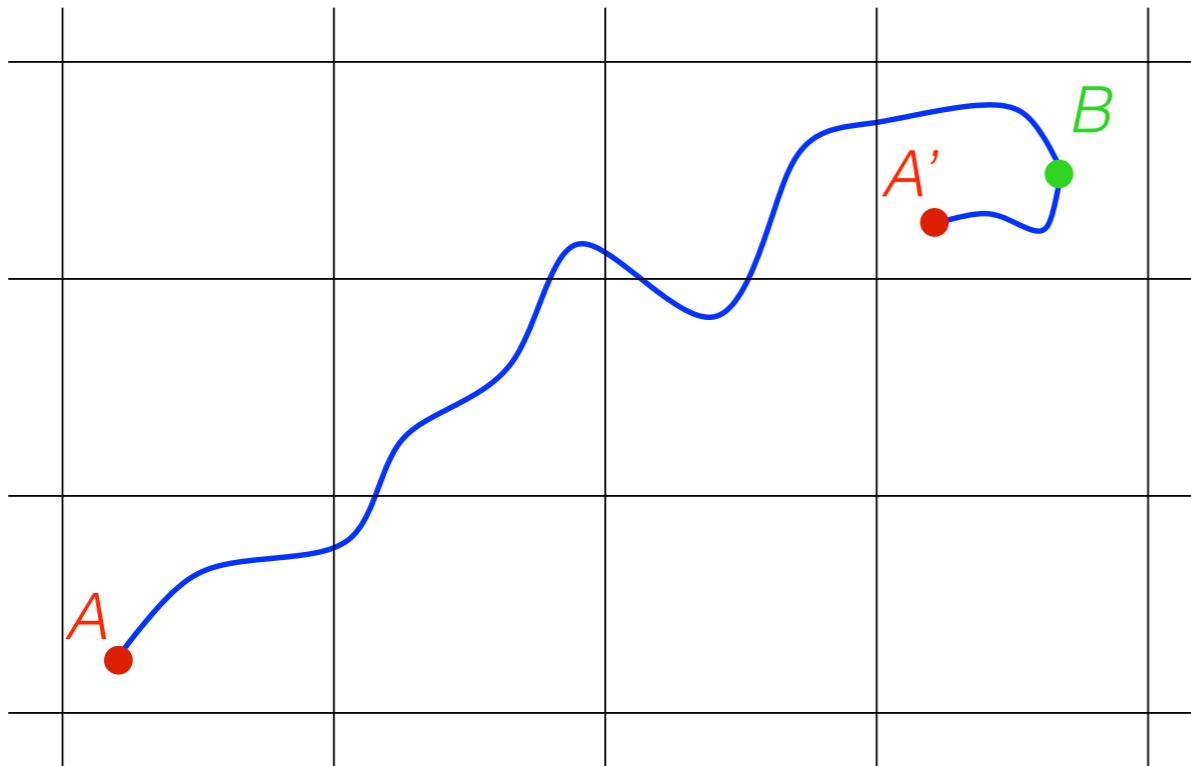
# *gauge invariance of charge transport*



$$\sigma \propto \lim_{t \rightarrow \infty} \frac{1}{2t} \langle |\mu_{AB}|^2 \rangle$$
$$\mu_{AB} = \int_0^t J(t') dt'$$

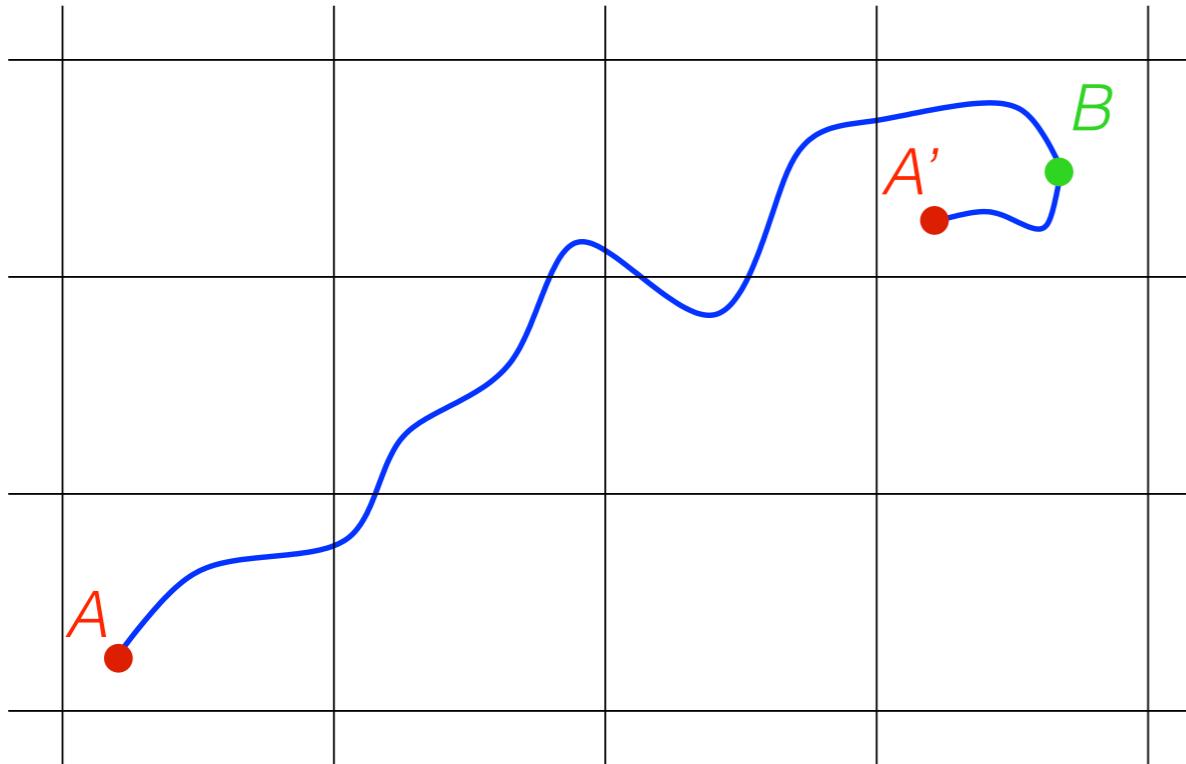


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$$\begin{aligned}\sigma &\propto \lim_{t \rightarrow \infty} \frac{1}{2t} \langle |\mu_{AB}|^2 \rangle \\ \mu_{AB} &= \int_0^t J(t') dt' \\ &= \mu_{AA'} + \mu_{A'B}\end{aligned}$$

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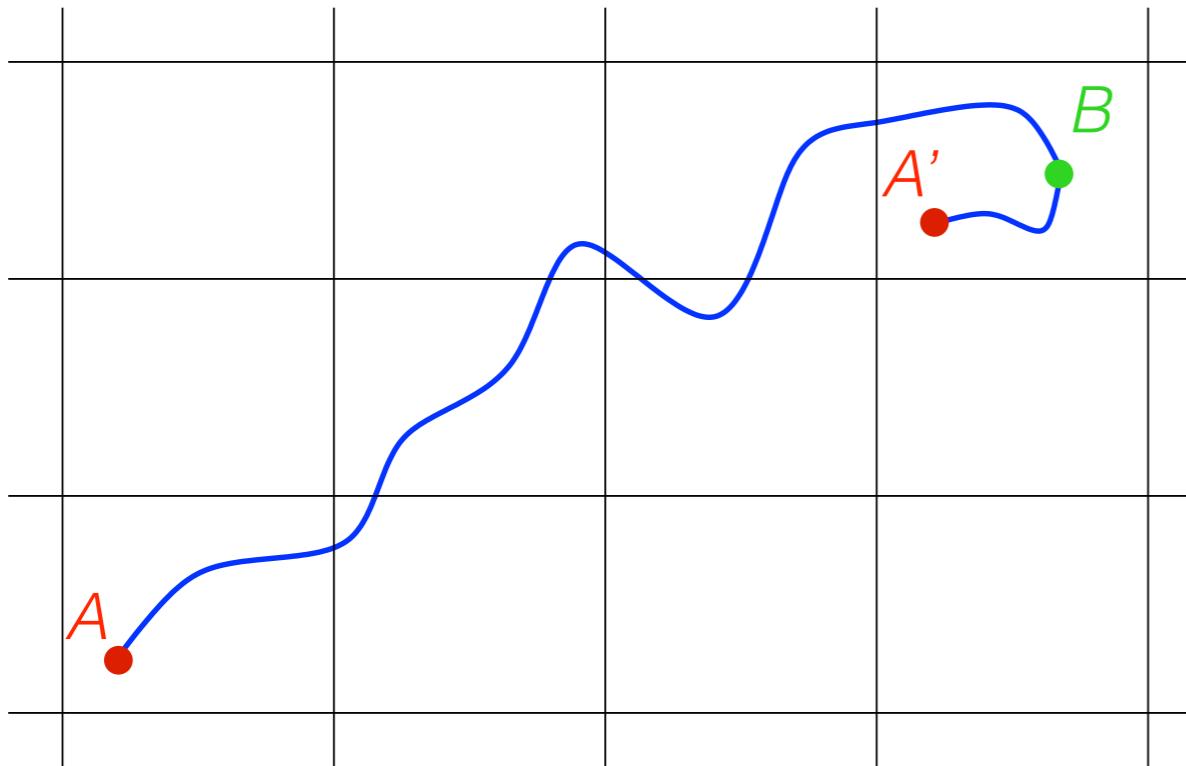
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$$\text{var} [\mu_{AB}] = \text{var} [\mu_{AA'}] + \underbrace{\text{var} [\mu_{A'B}]}_{\text{bounded}} + 2\text{cov} [\mu_{AA'} \cdot \mu_{A'B}]$$



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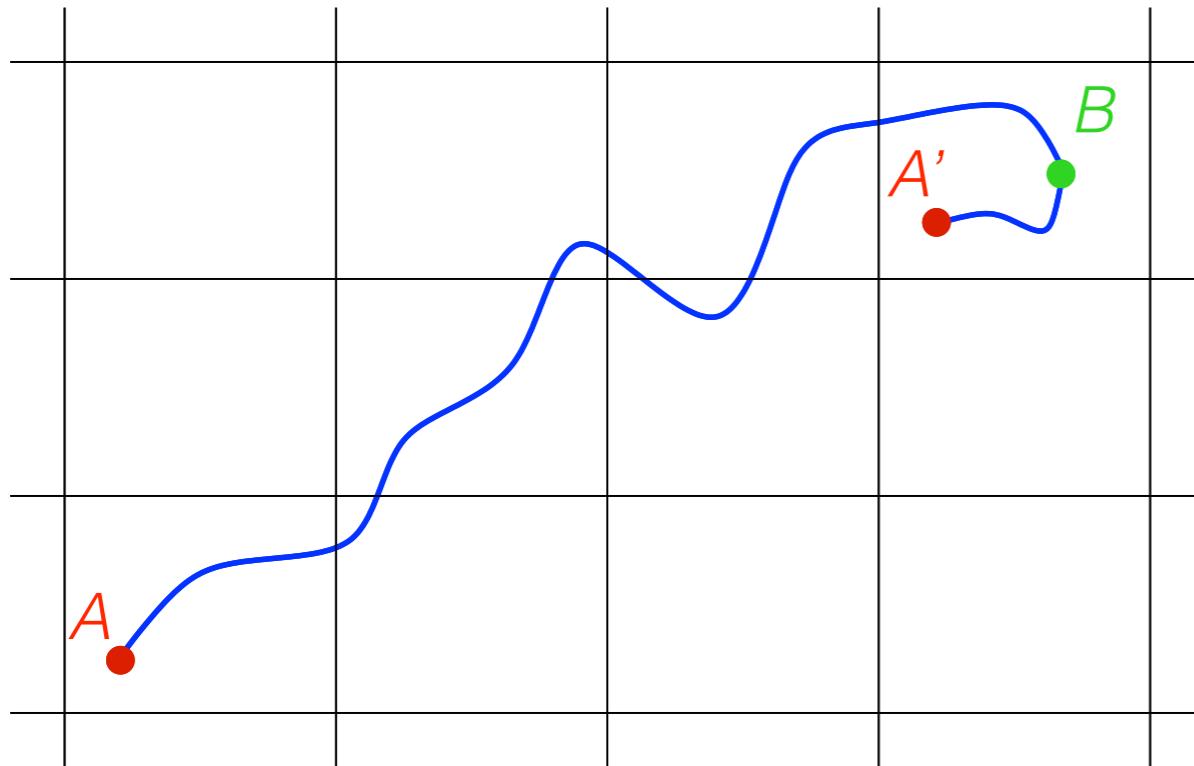
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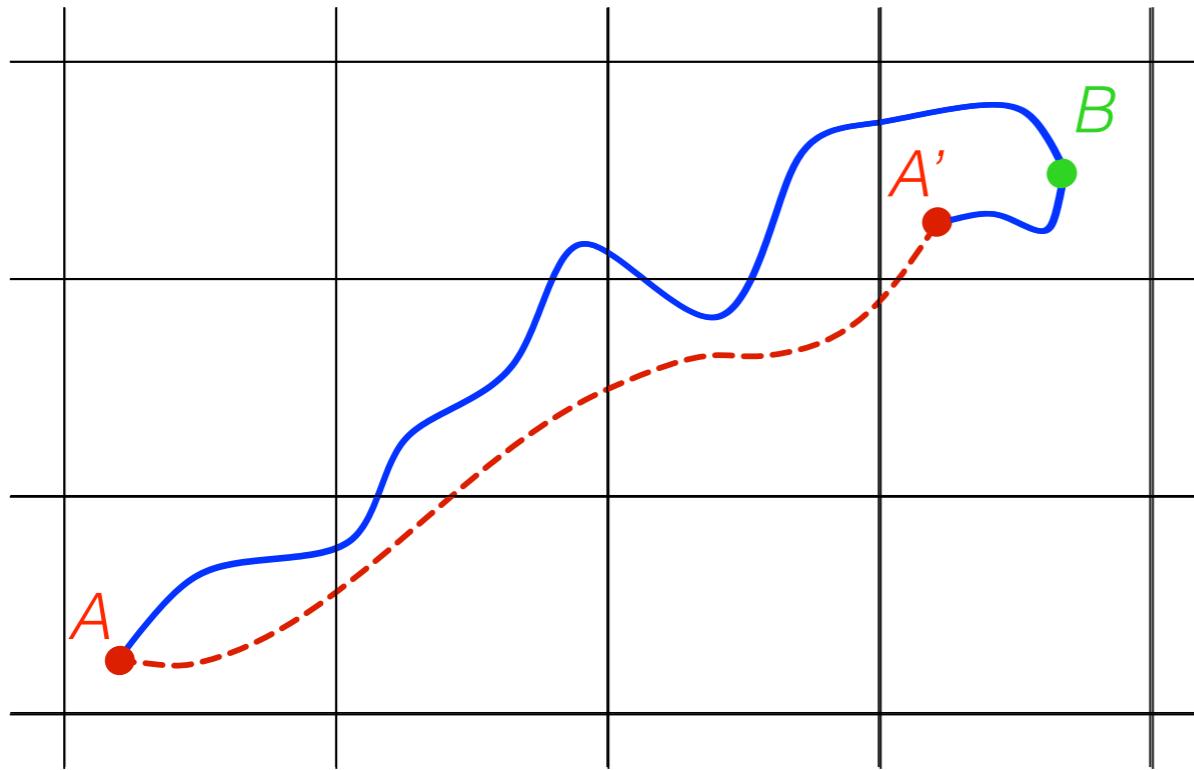


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$$\hat{H}(B) \neq \hat{H}(A)$$

$$\hat{H}(A') = \hat{H}(A)$$

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$$\begin{aligned}\mu_{AA'} &= \int_A^{A'} d\mu(X) \\ &= \ell Q(AA')\end{aligned}$$

$$Q(AA') \in \mathbb{Z}$$

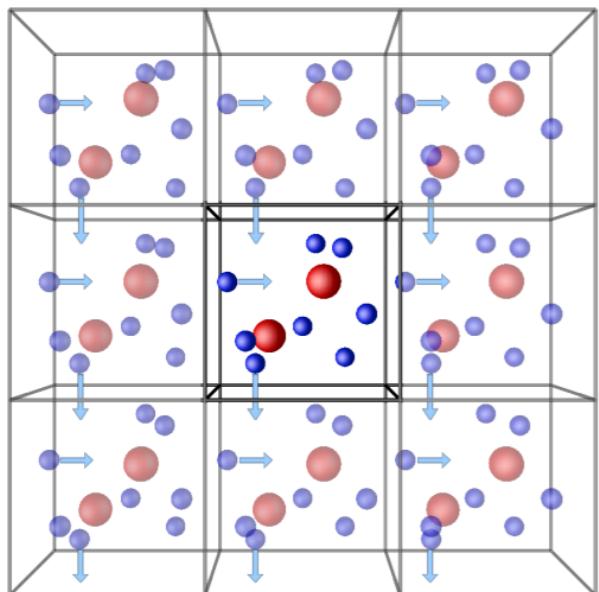
D.J. Thouless, *Quantization of particle transport*, Phys. Rev. B 27, 2083 (1983)



# *quantisation of adiabatic particle transport*

D.J. Thouless, *Quantization of particle transport*, Phys. Rev. B 27, 2083 (1983)

classical PBC



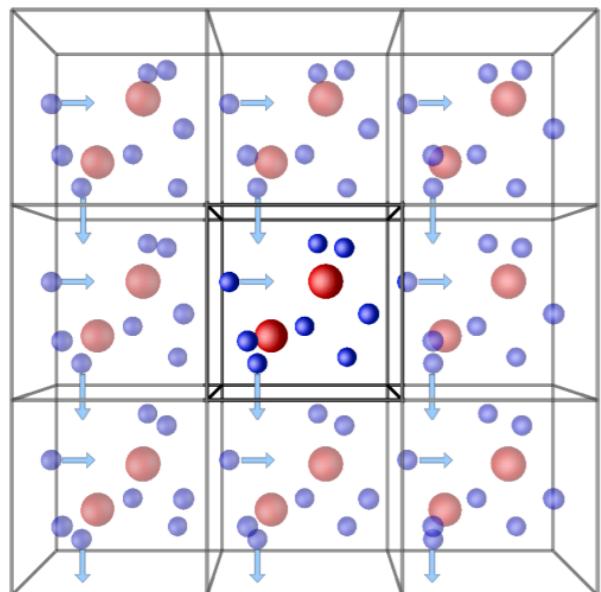
$$V(x + L) = V(x)$$



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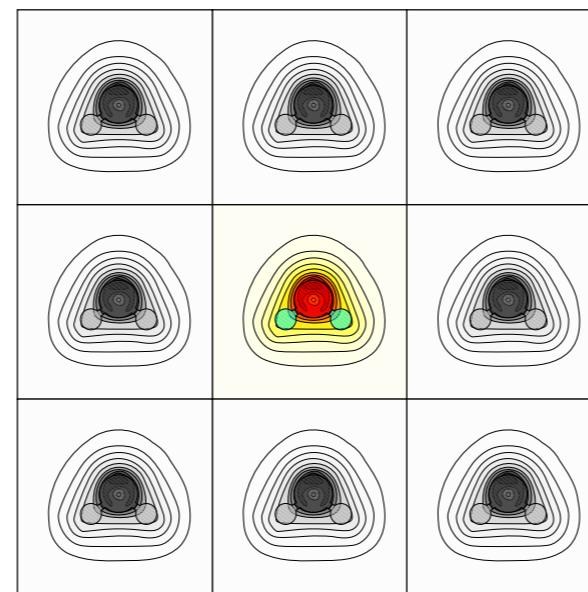
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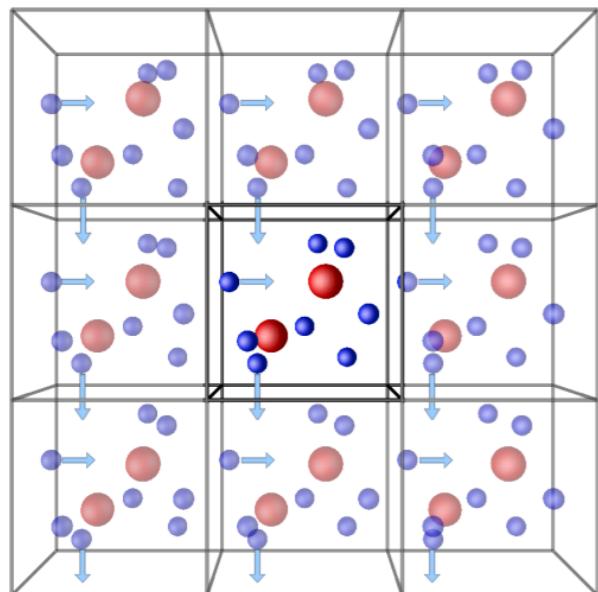
$$\psi(x + L) = \psi(x)$$



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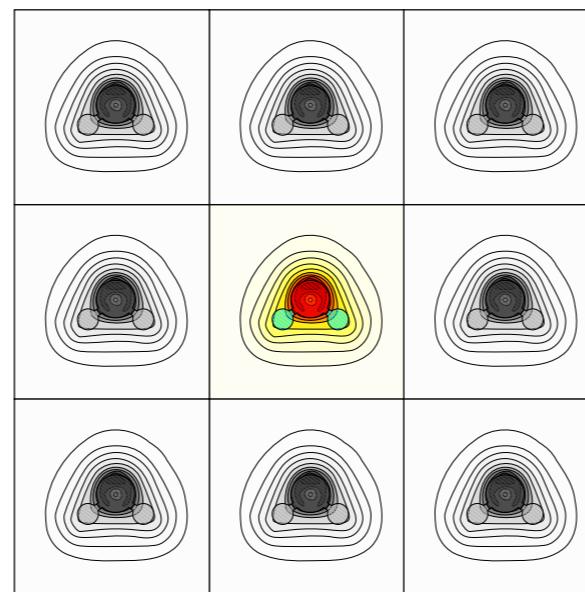
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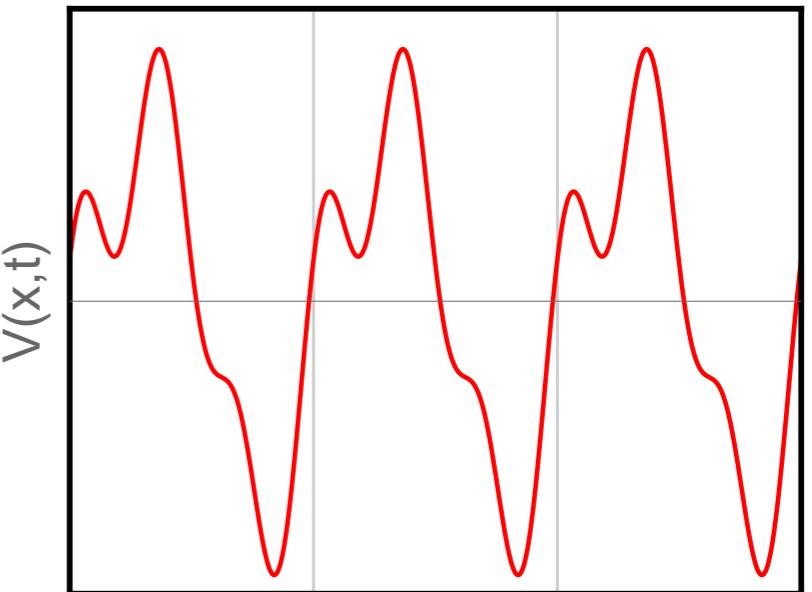
$$V(x + L) = V(x)$$

quantum PBC



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time periodicity



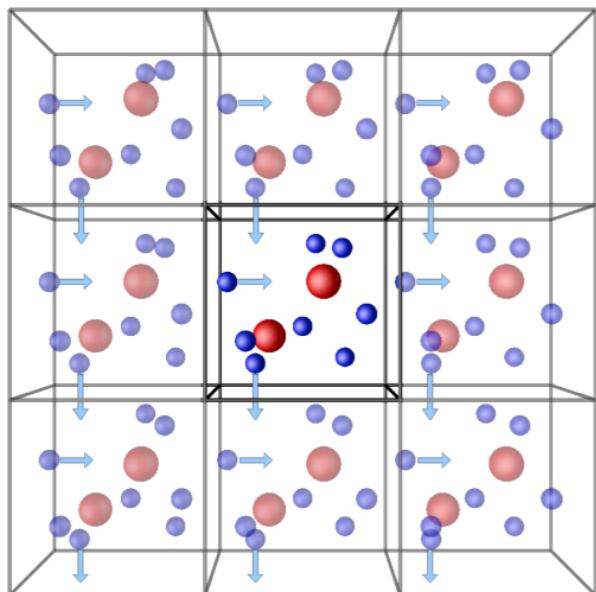
$$V(x, t + T) = V(x, t)$$



# quantisation of adiabatic particle transport

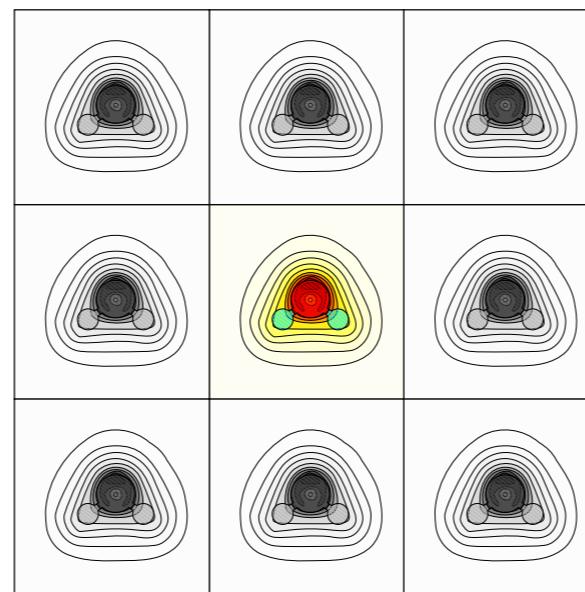
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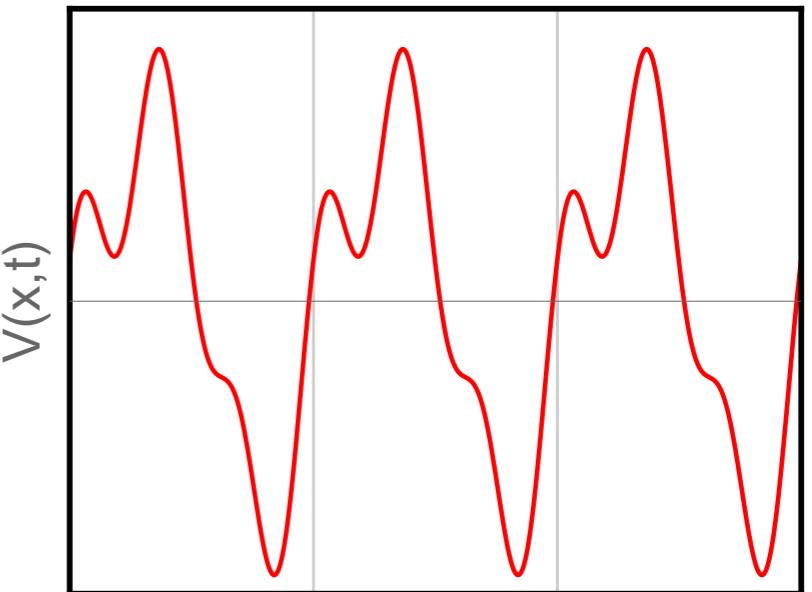
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$$\frac{L^{d-1}}{e} \int_0^T J_\alpha(t) dt = n \in \mathbb{Z}$$



# *quantisation of adiabatic particle transport*

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$$J_\alpha(t) = \frac{e}{L^3} \sum_s Q_s V_{s\alpha}(t) + \frac{e}{2\pi L^2} \frac{d}{dt} \text{Im} \log \overbrace{\langle \Psi(t) | e^{i \frac{2\pi X_\alpha}{L}} | \Psi(t) \rangle}^{\gamma(t)}$$

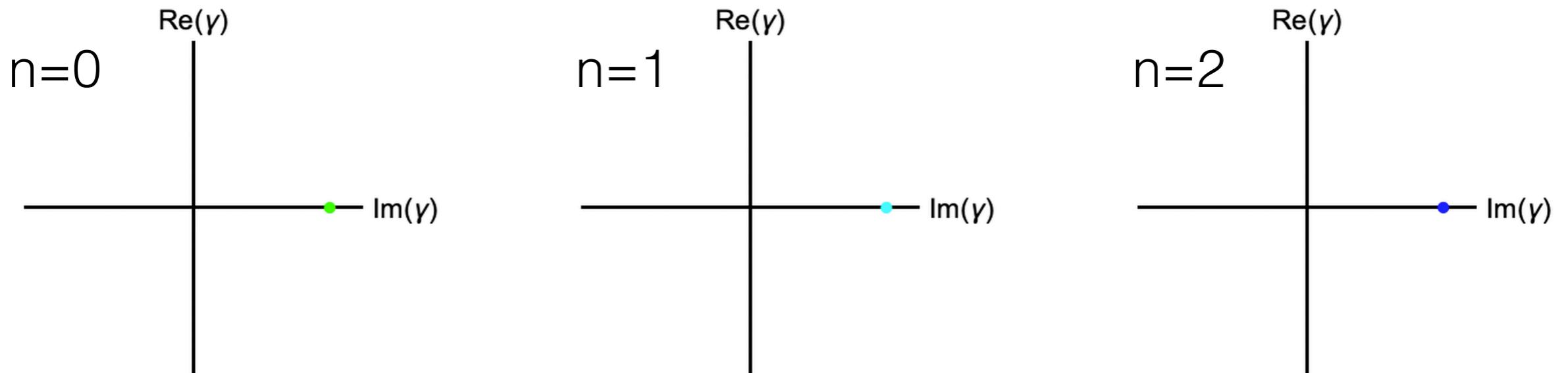
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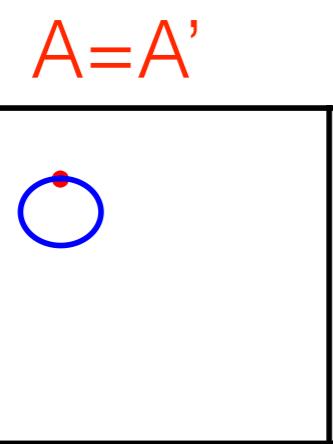
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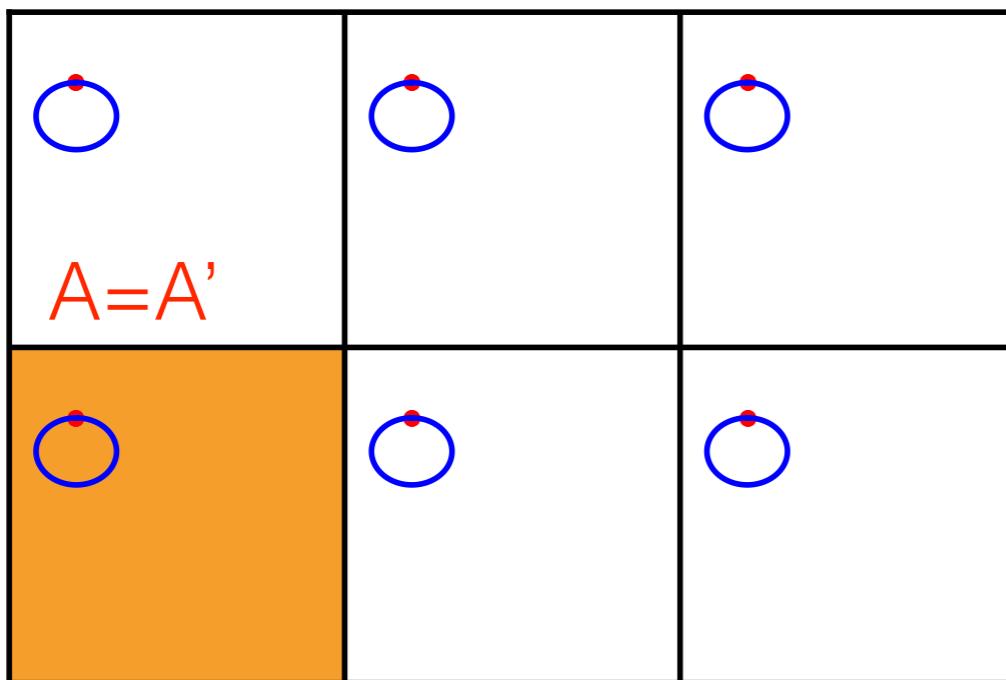
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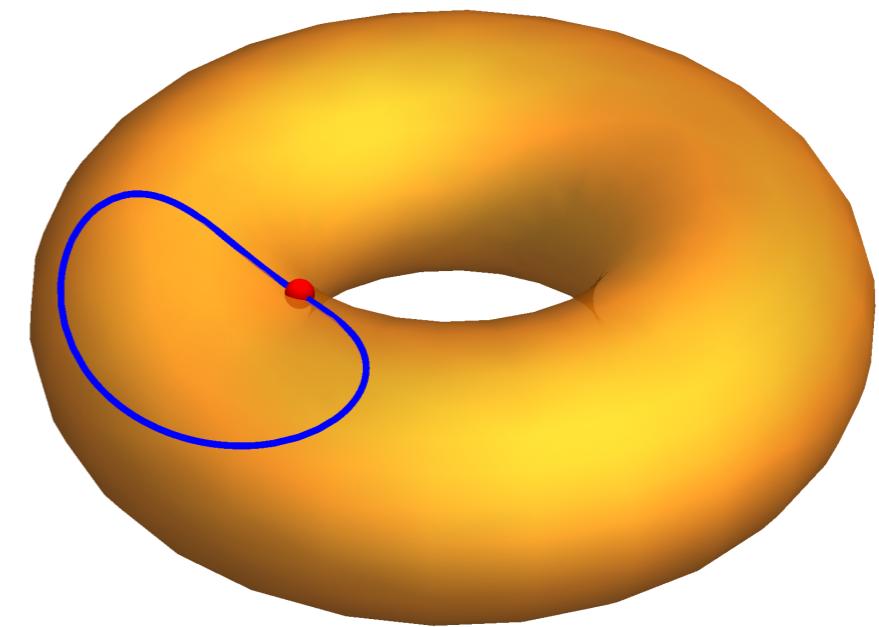
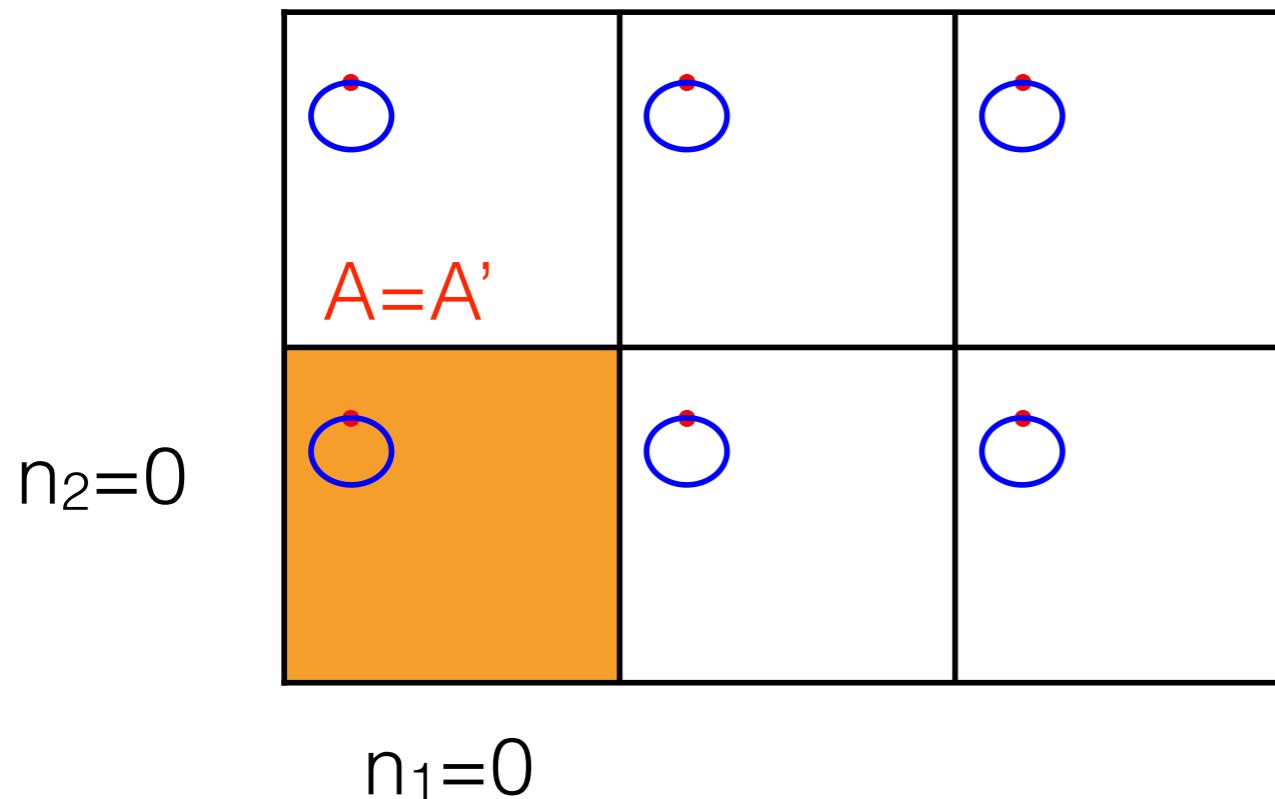
# *topological invariants*



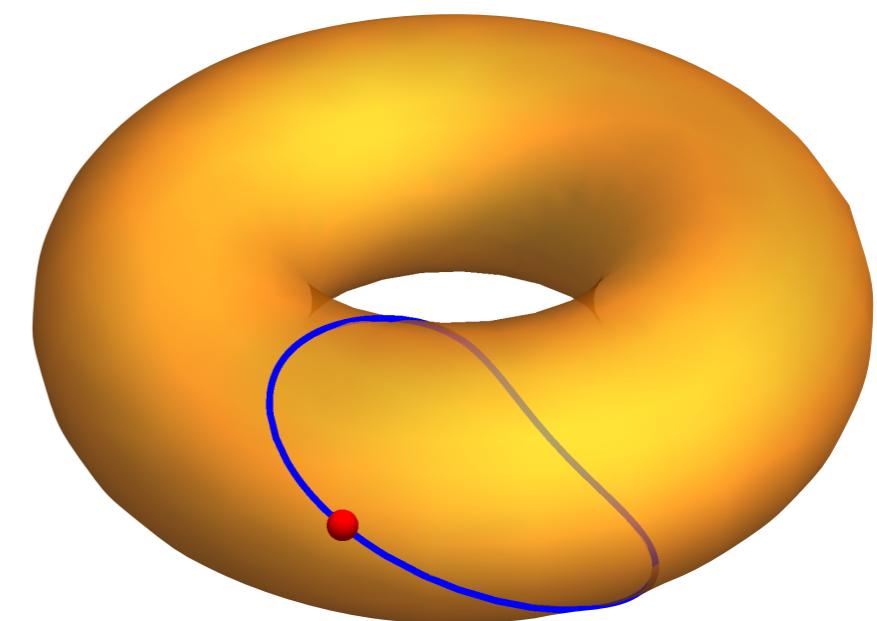
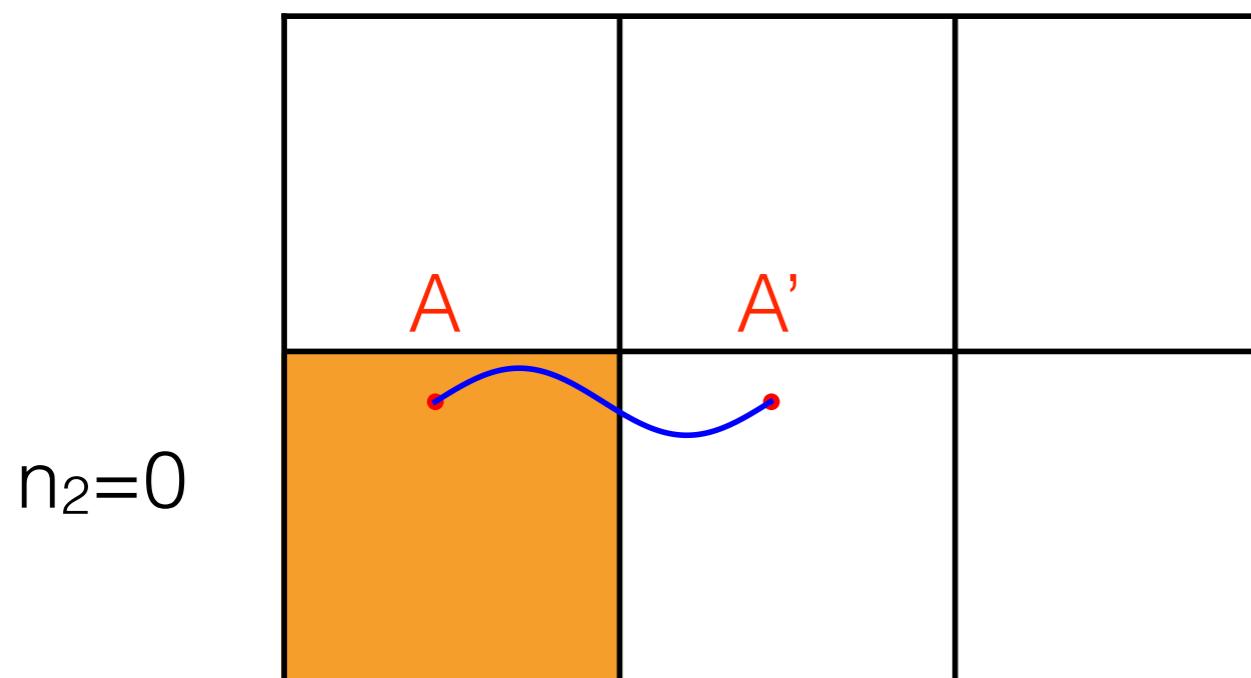
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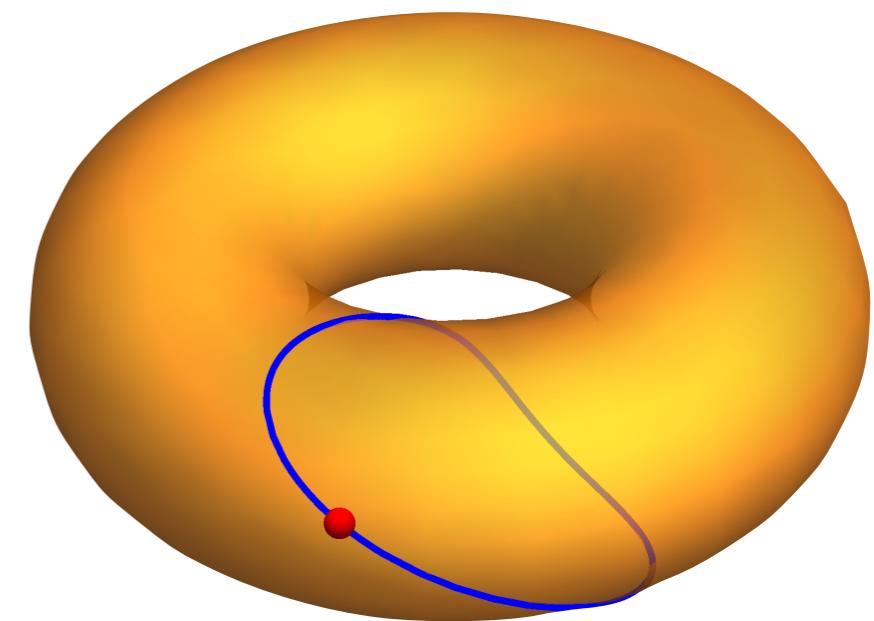
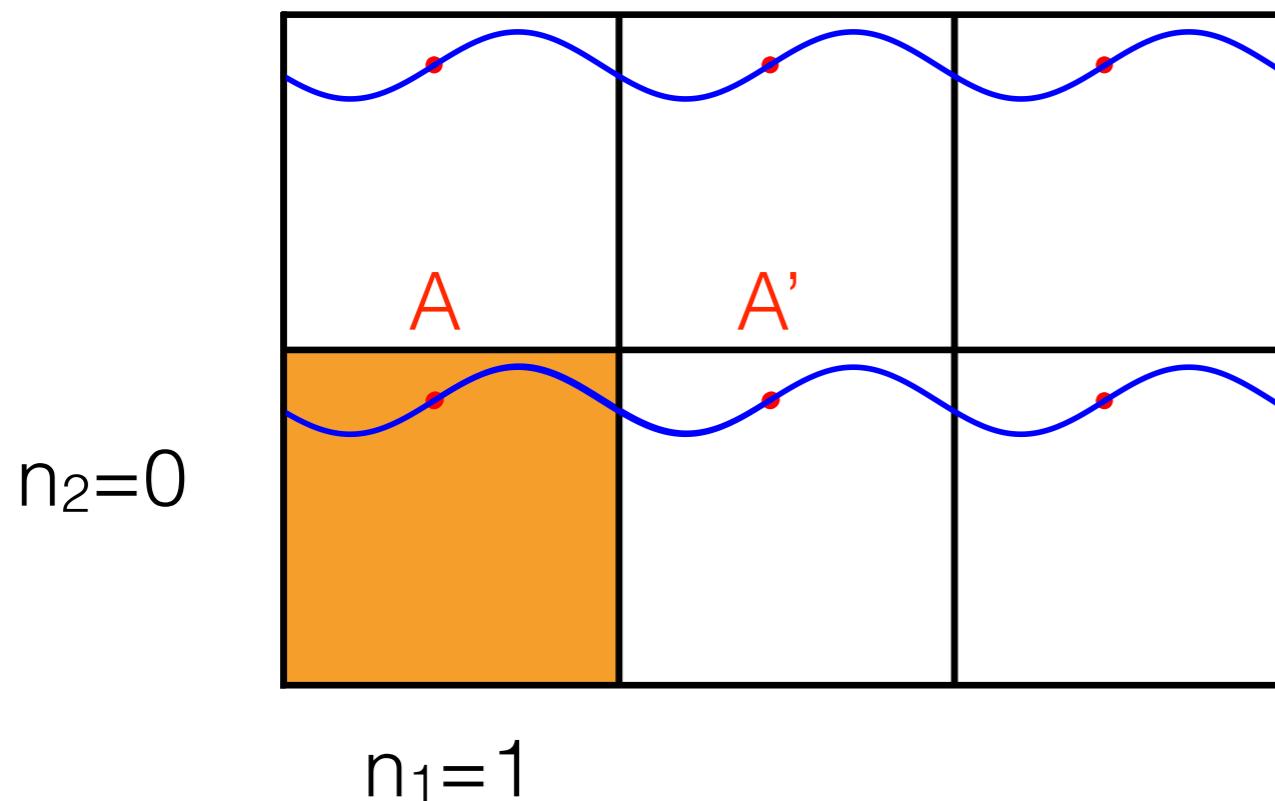
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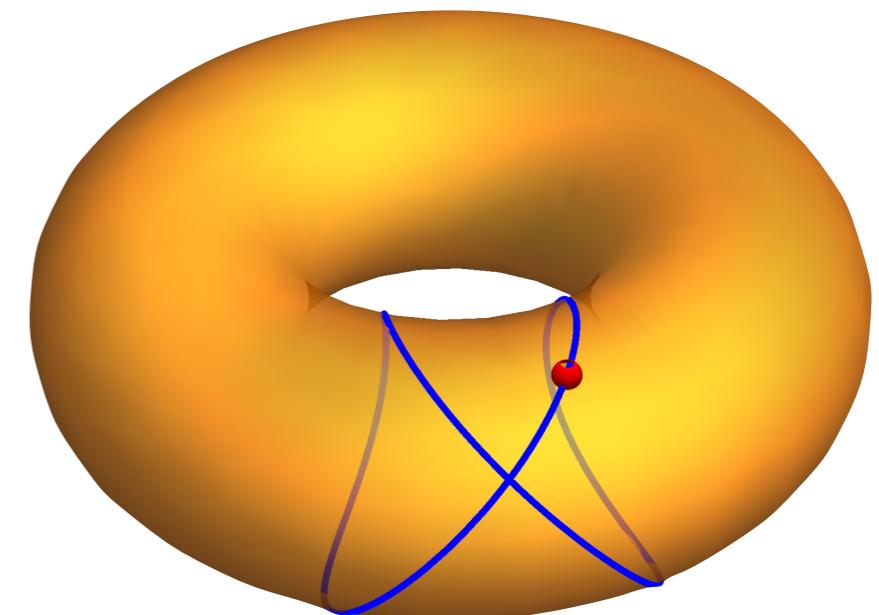
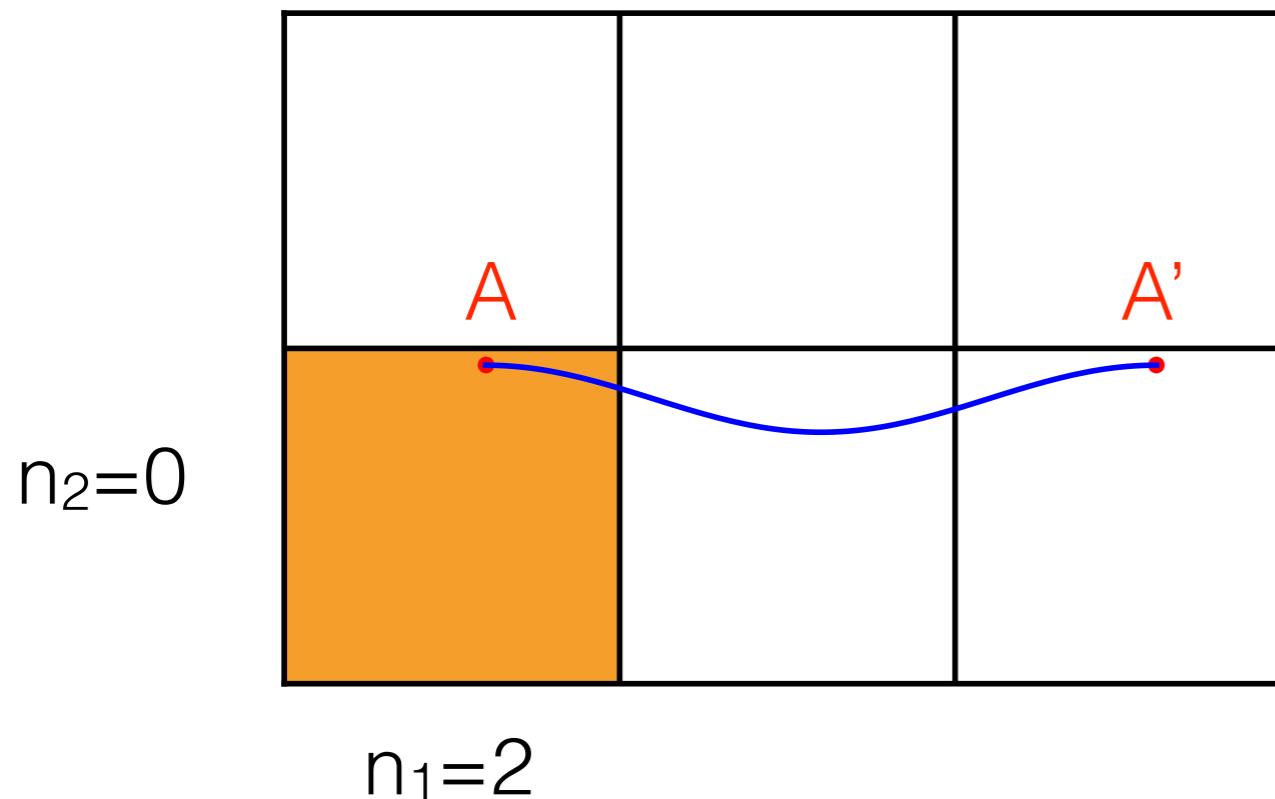
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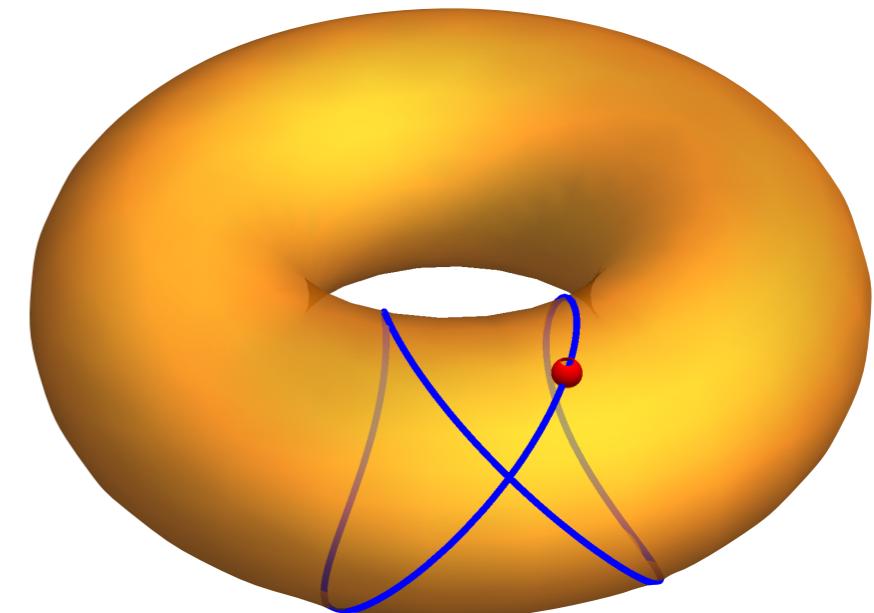
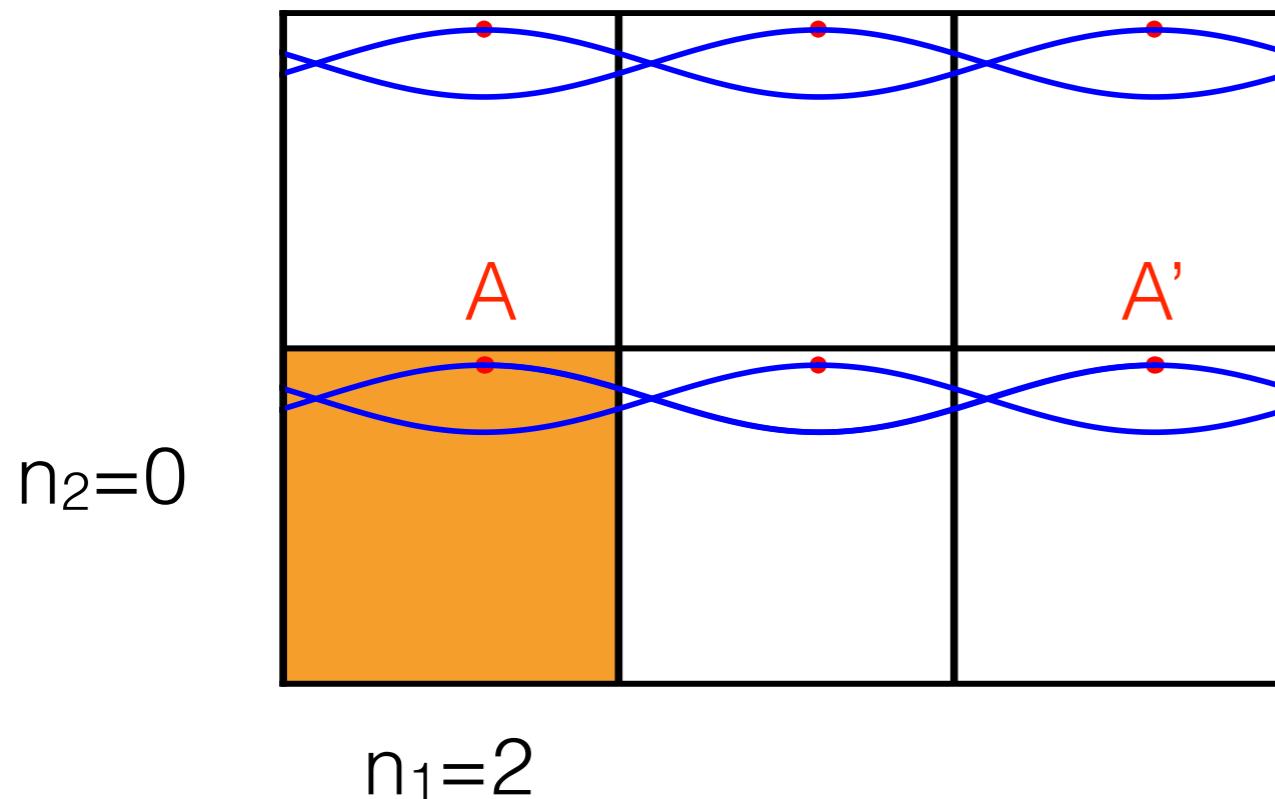
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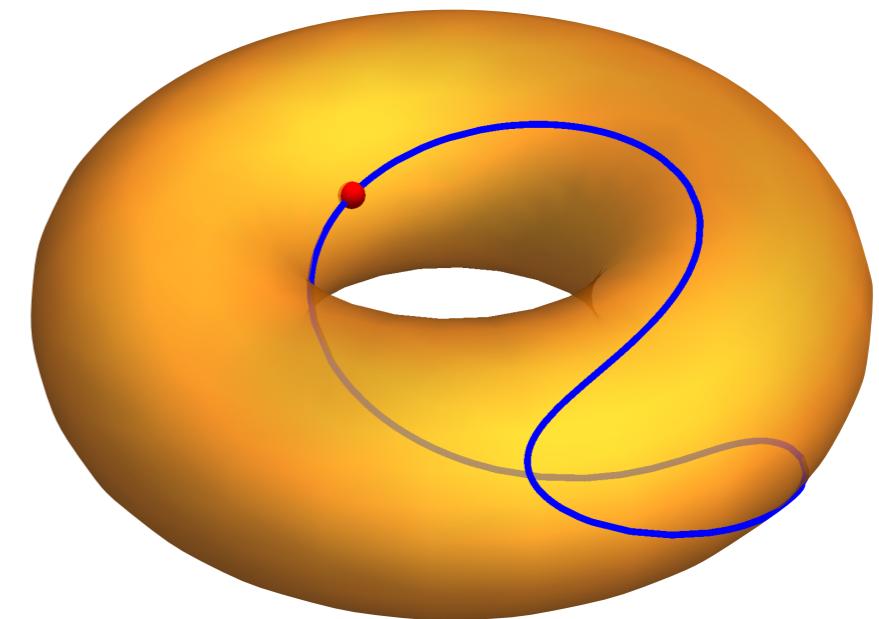
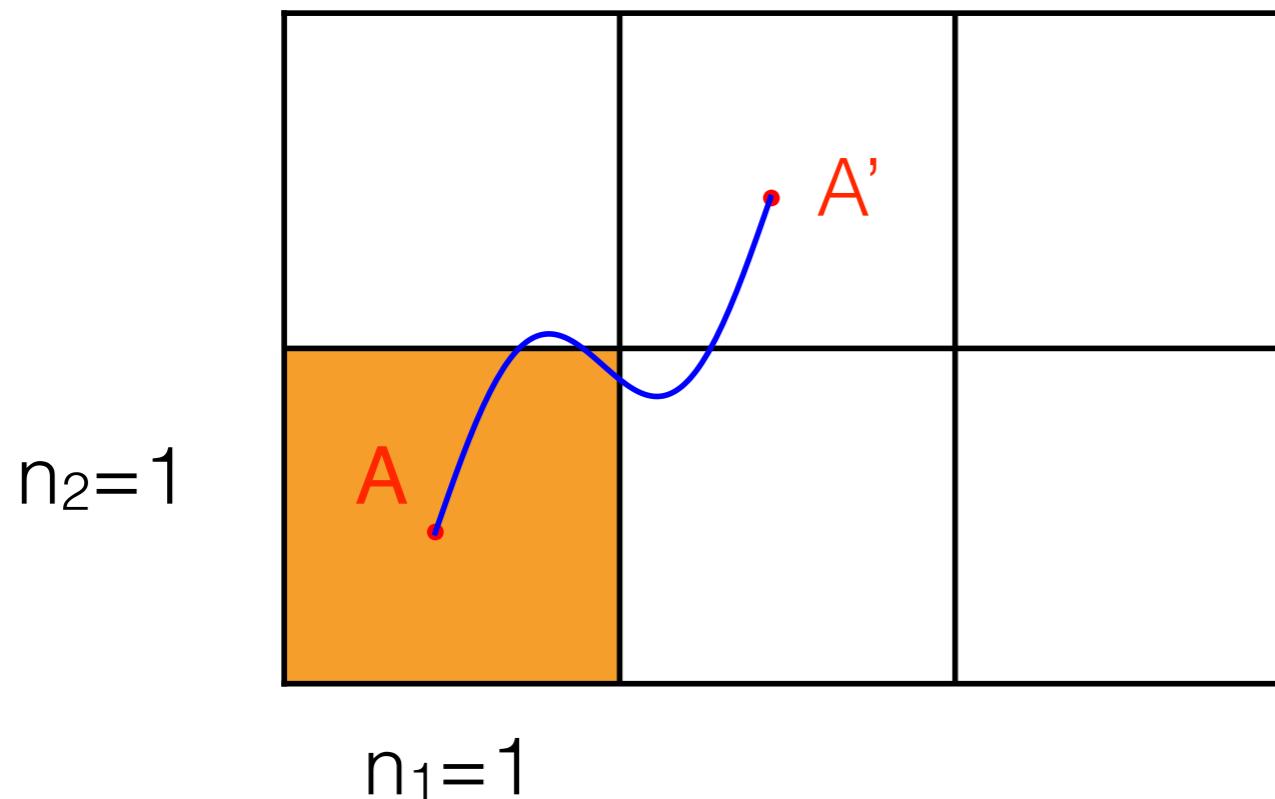
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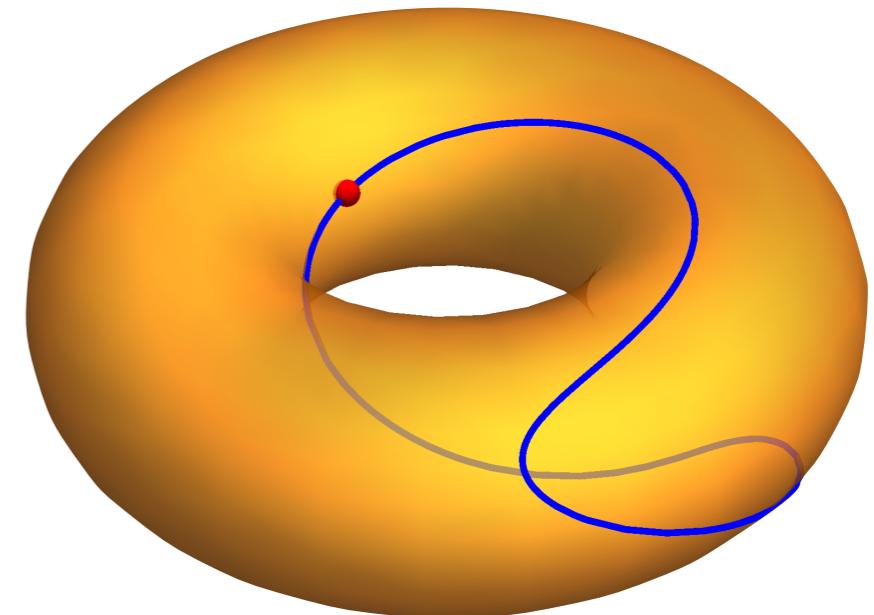
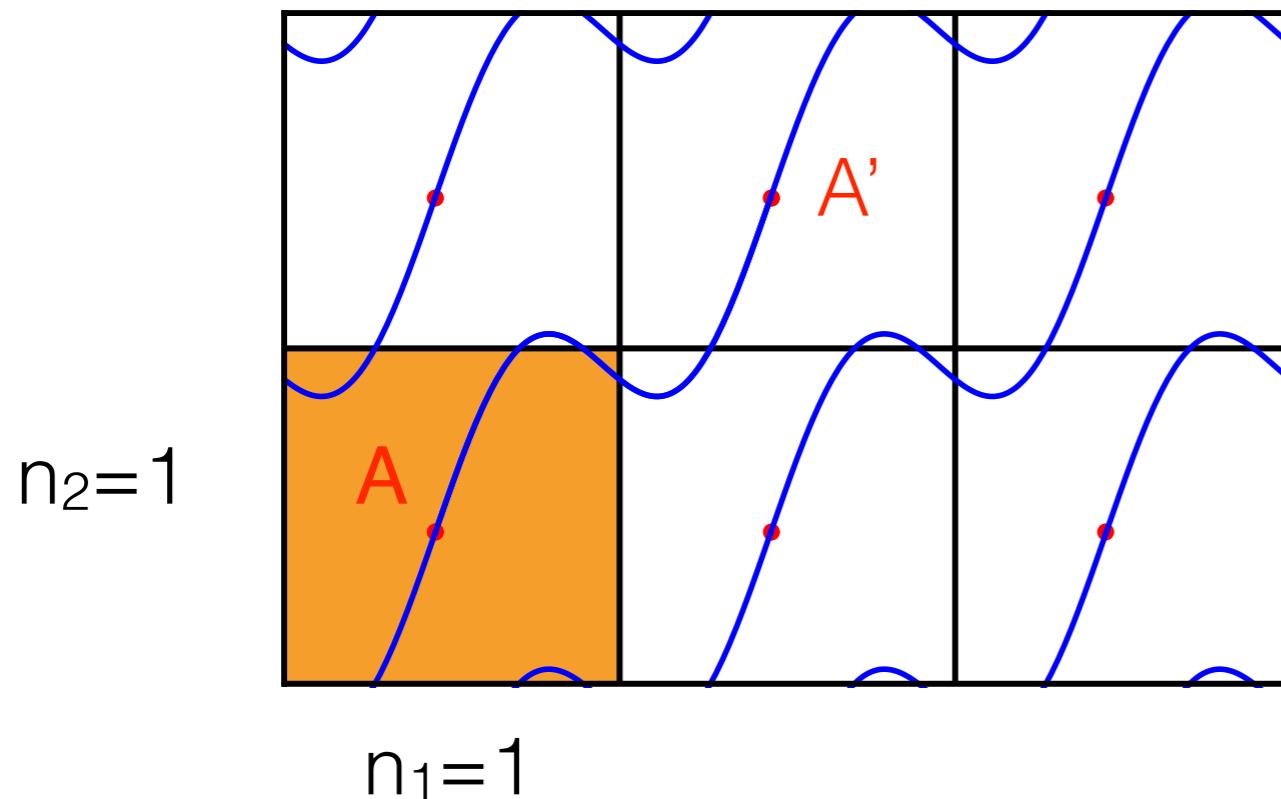
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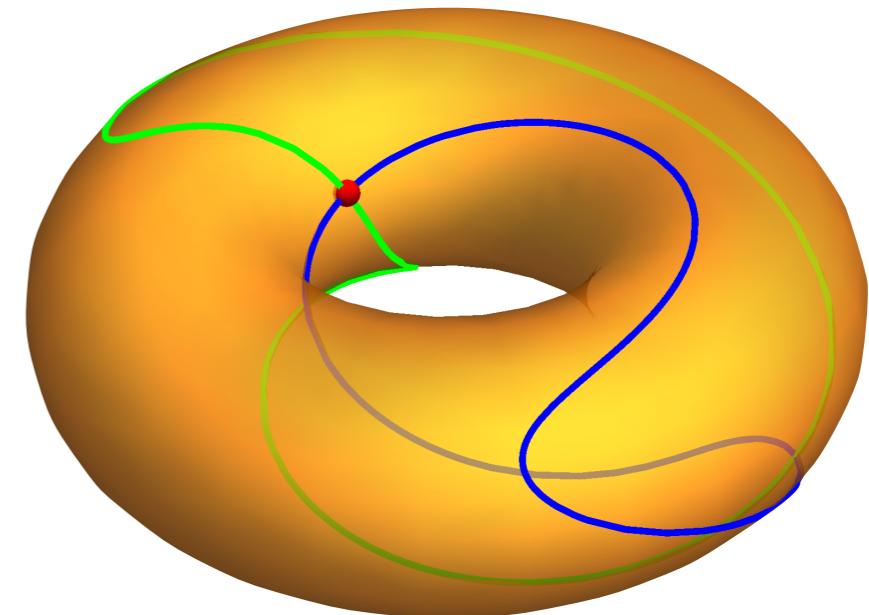
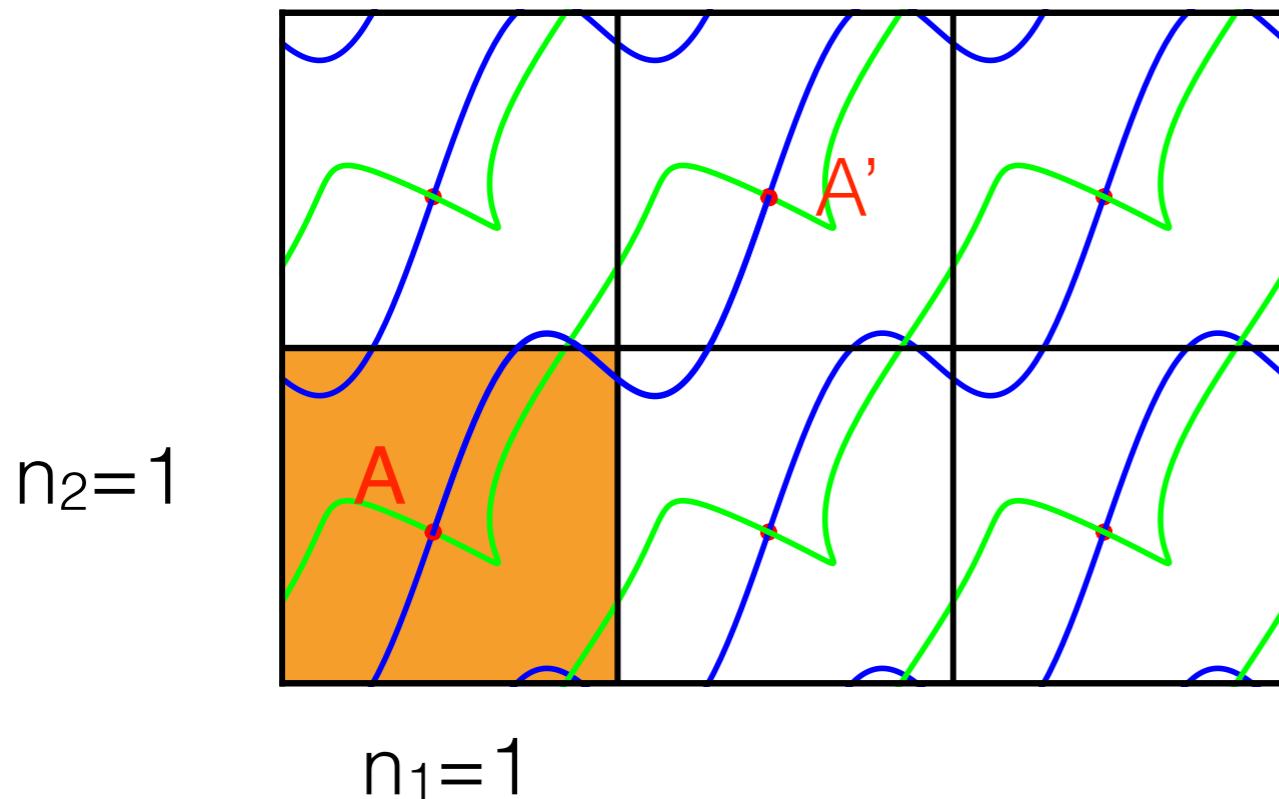
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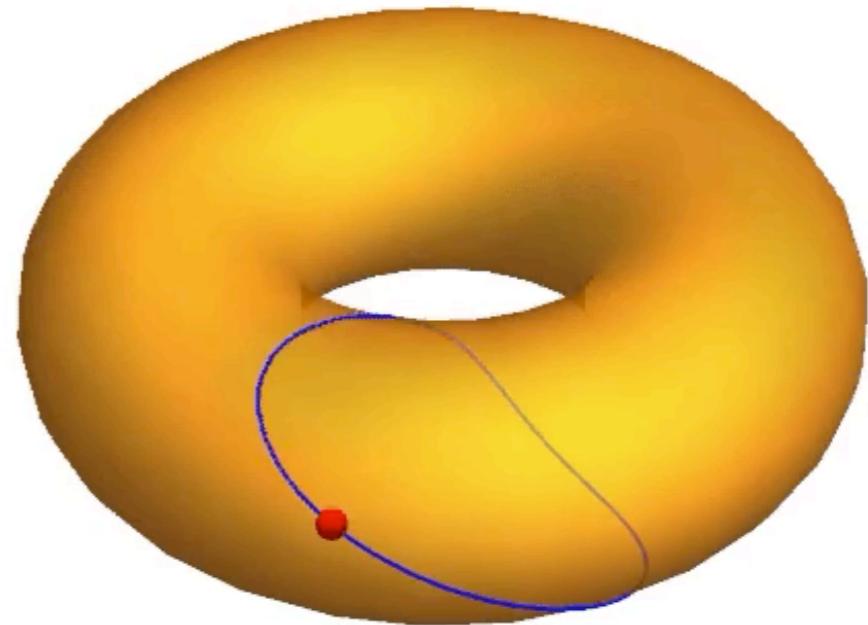
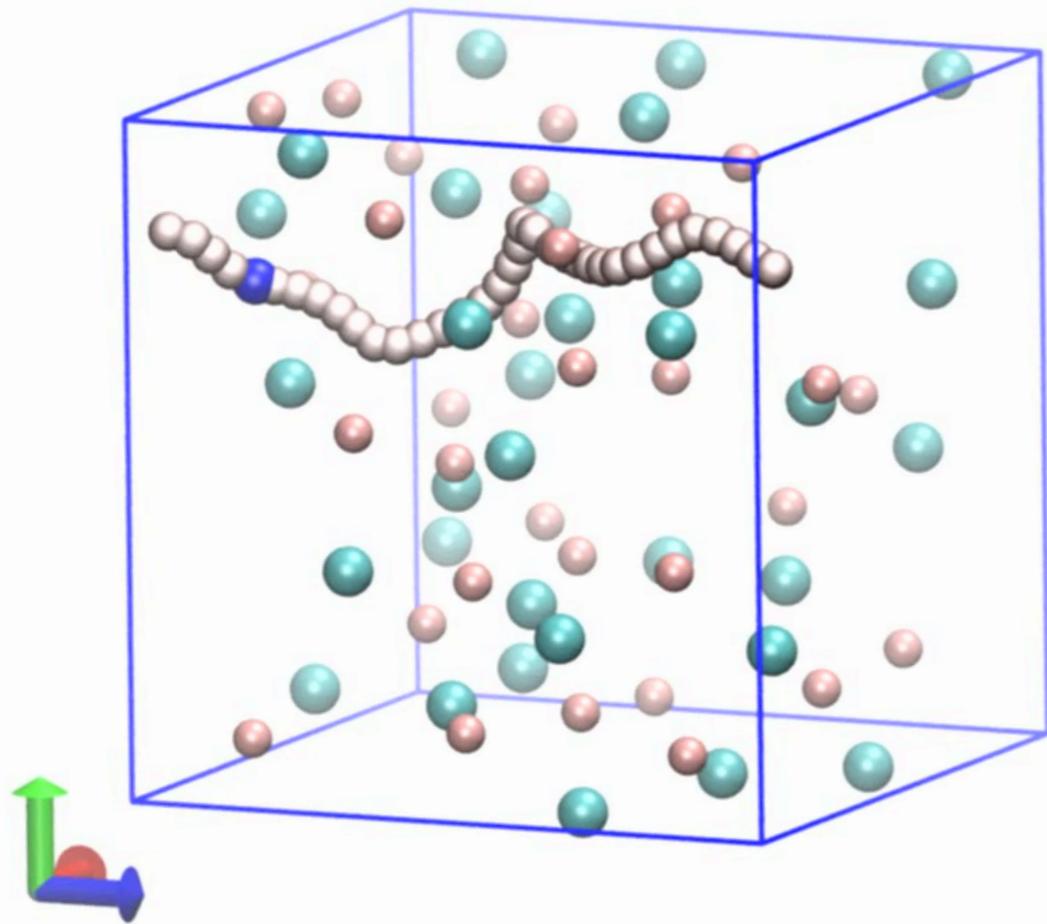


# *topological invariants*



$$Q(AA') = Q(AA') = Q[n_1 = 1, n_2 = 1]$$

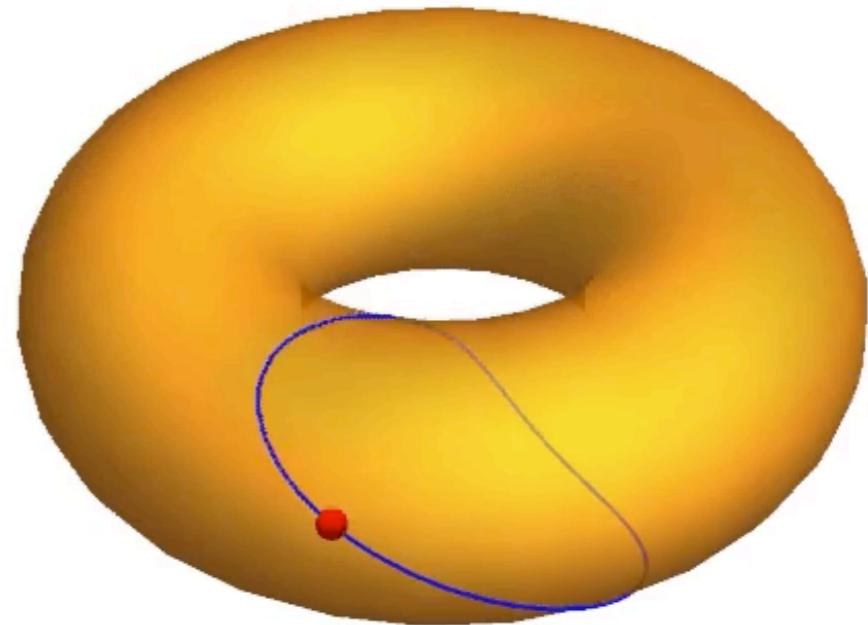
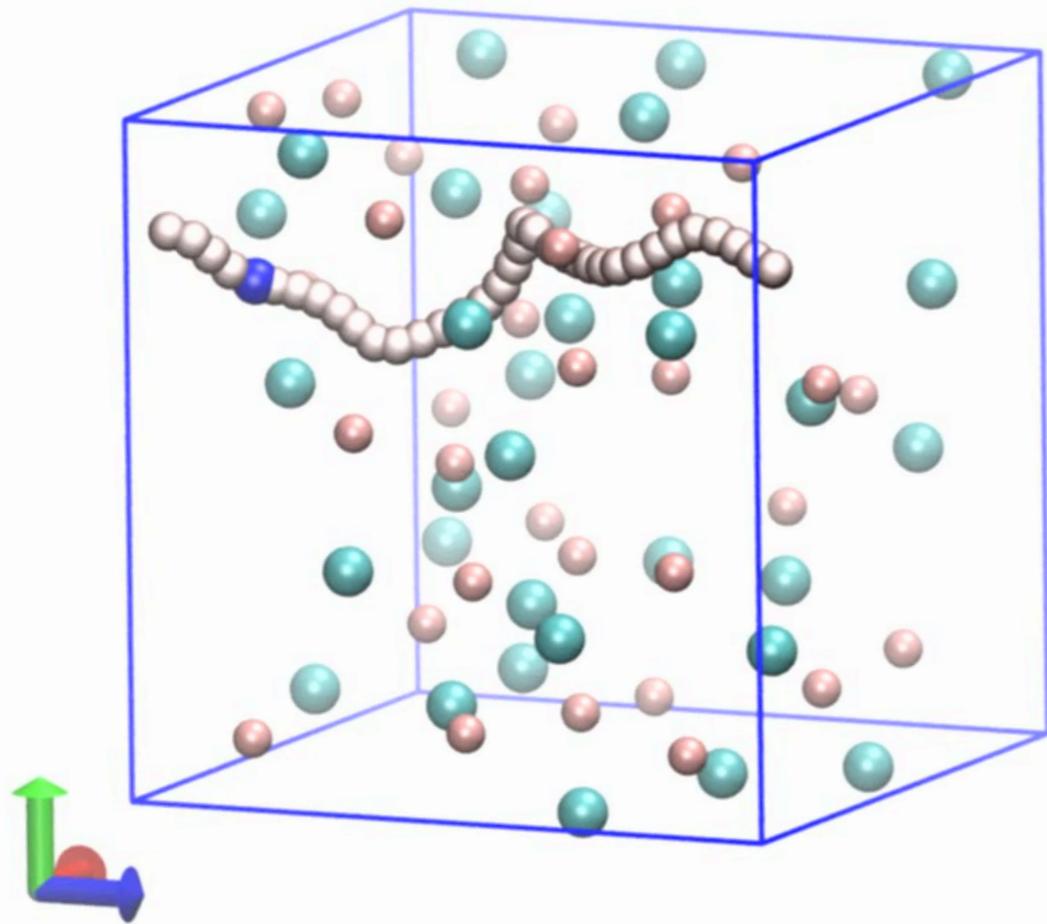
# *a numerical experiment on molten KCl*



a topologically non-trivial minimum-energy path  
connecting two identical configurations of a ionic fluid



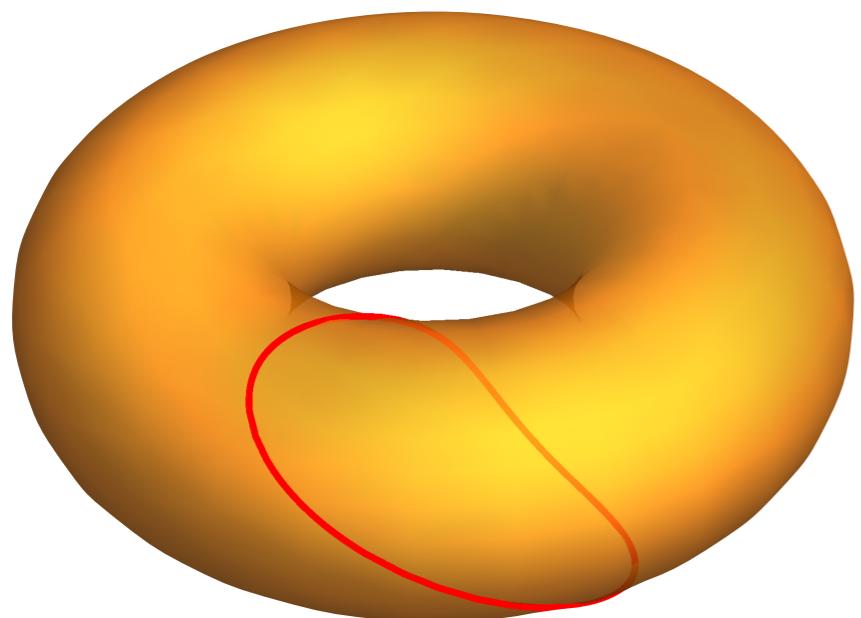
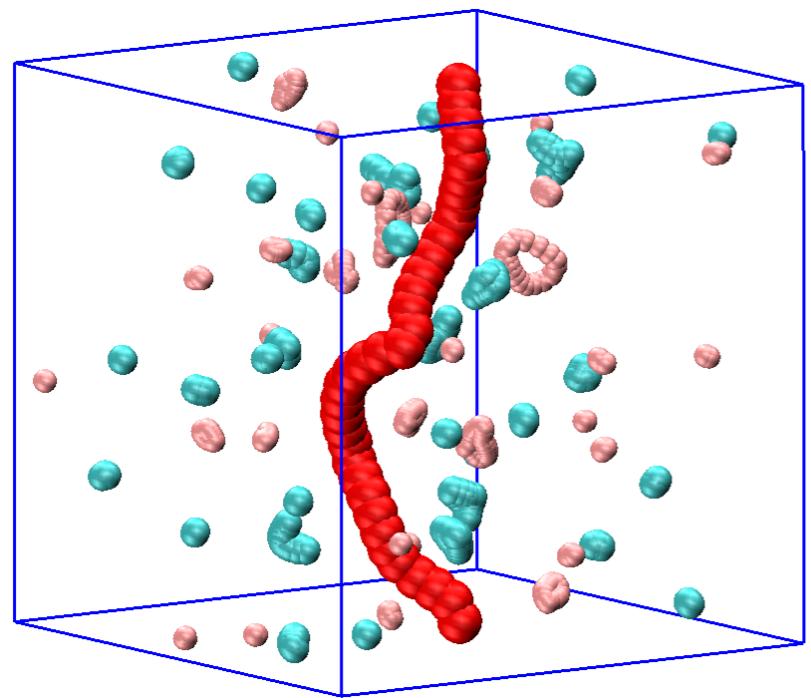
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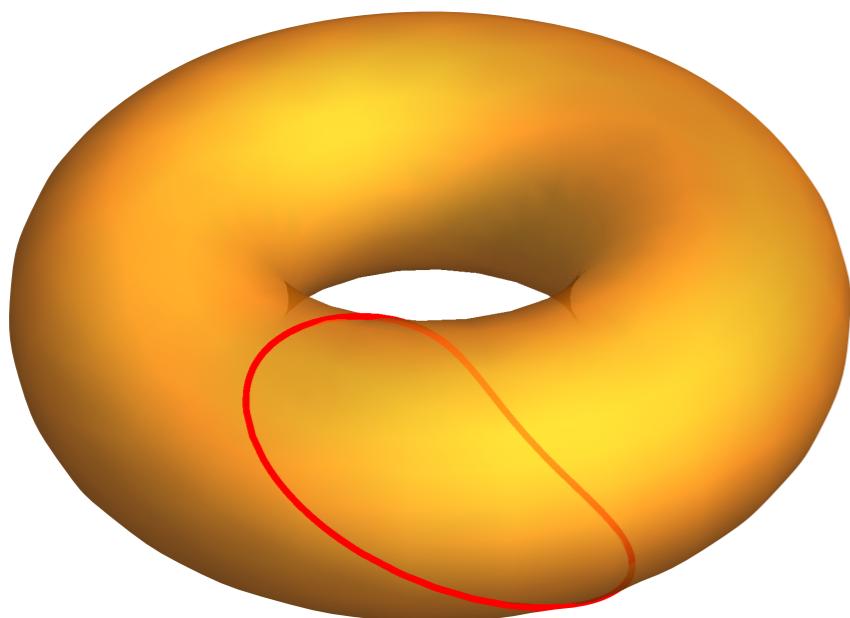
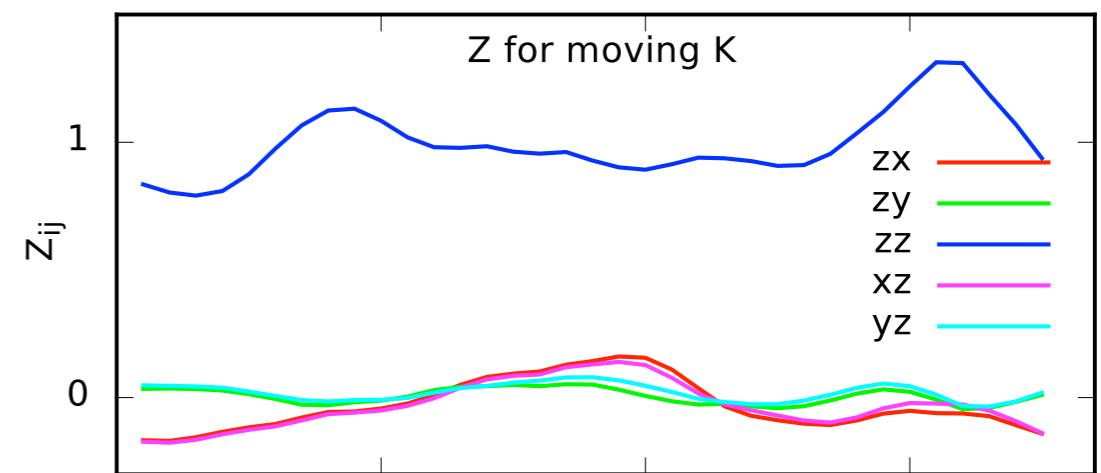
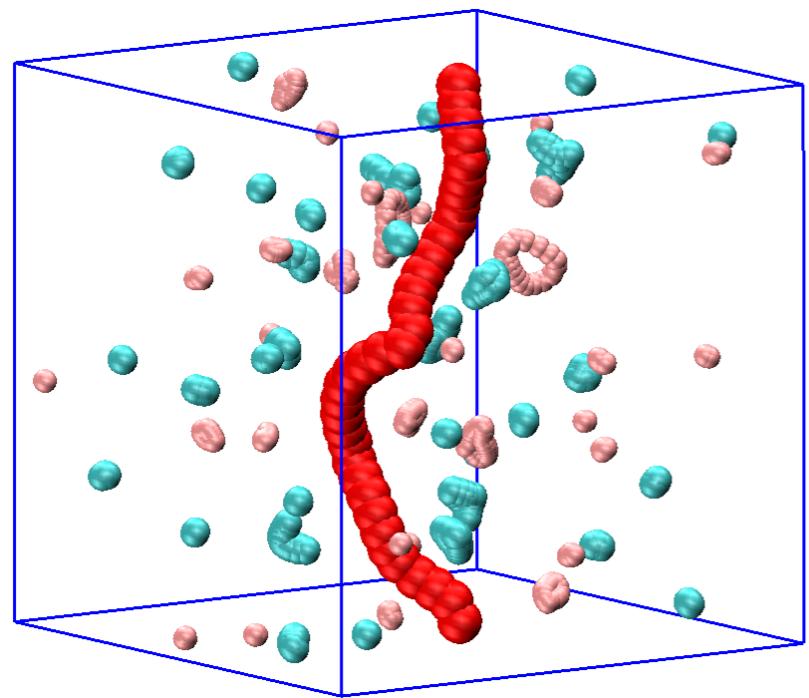
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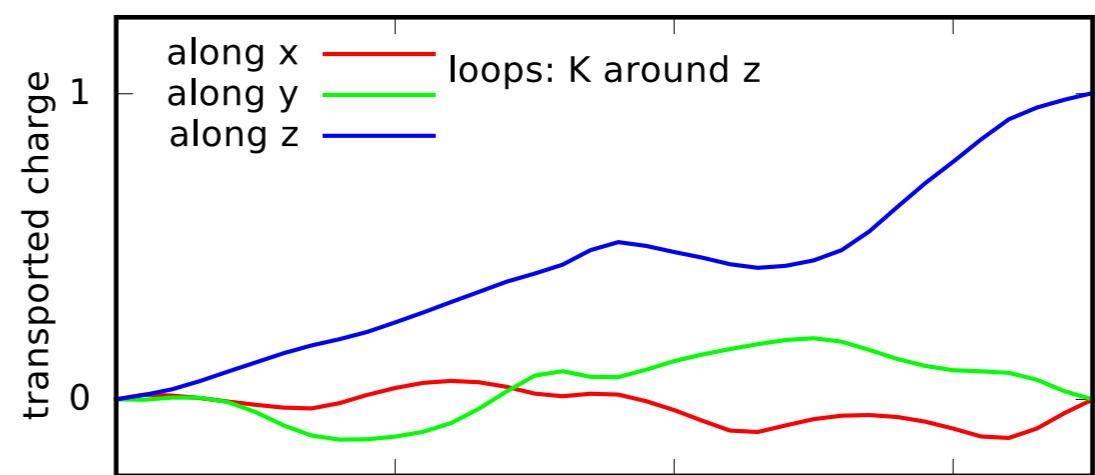
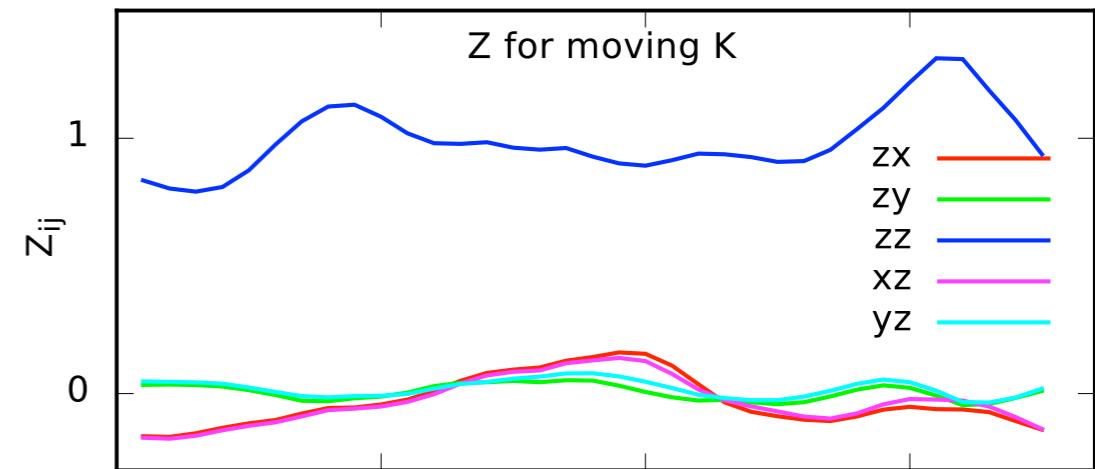
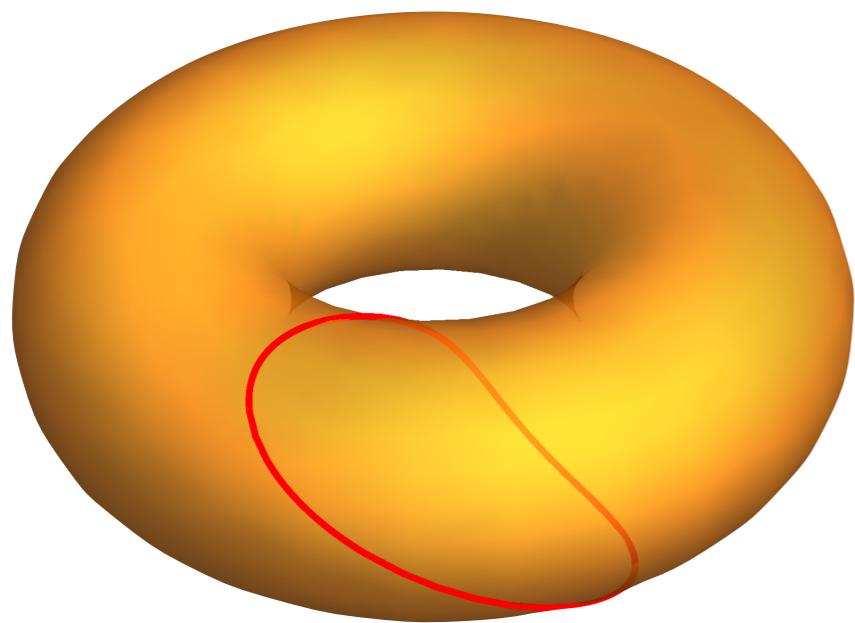
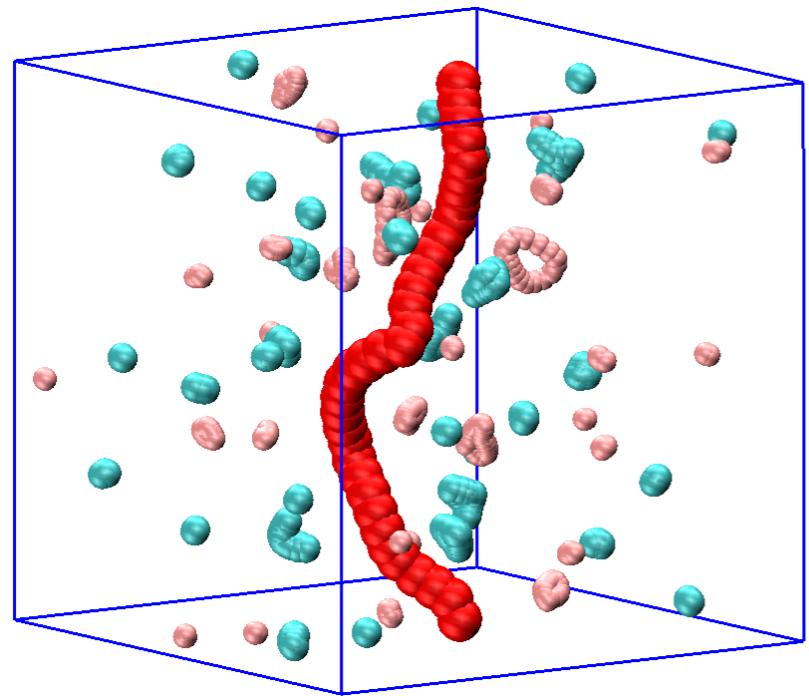
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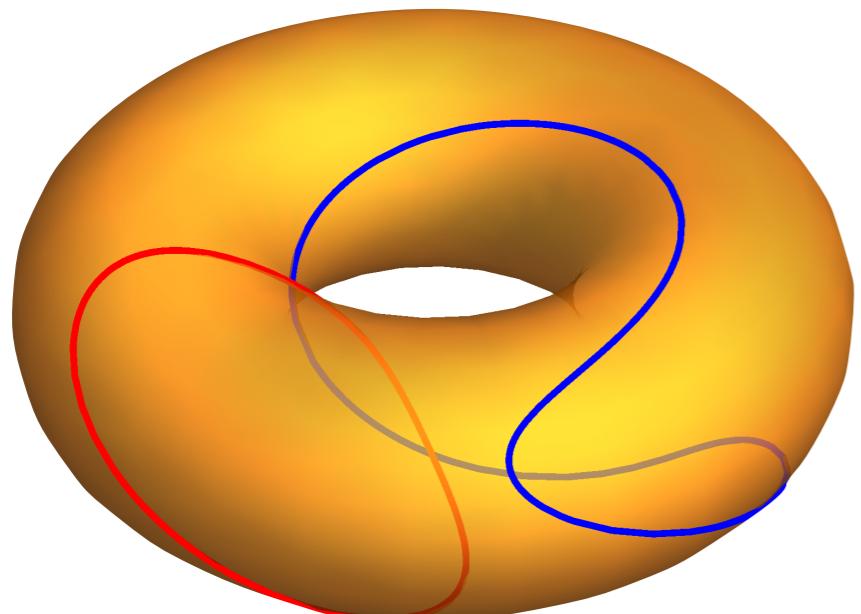
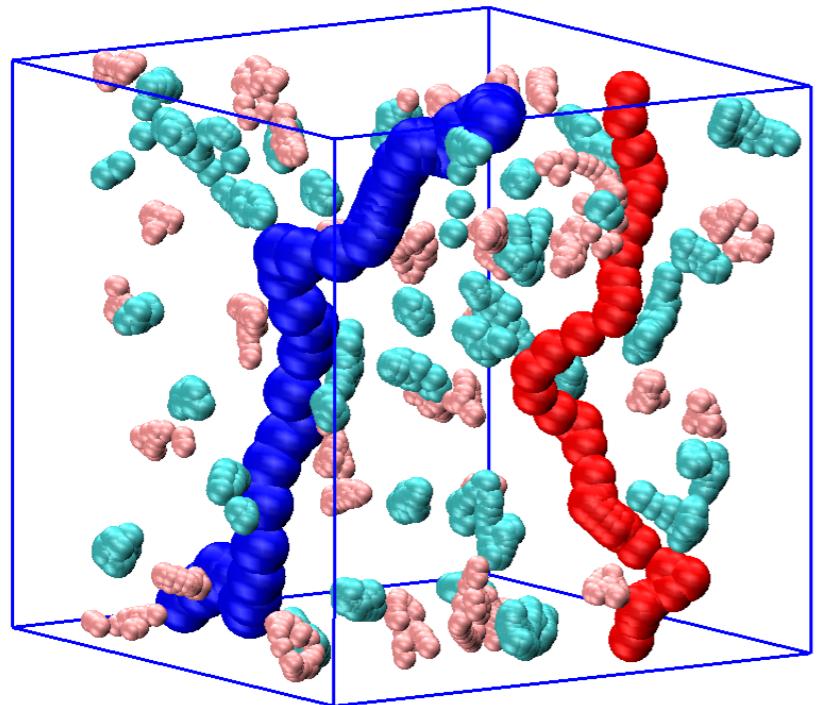
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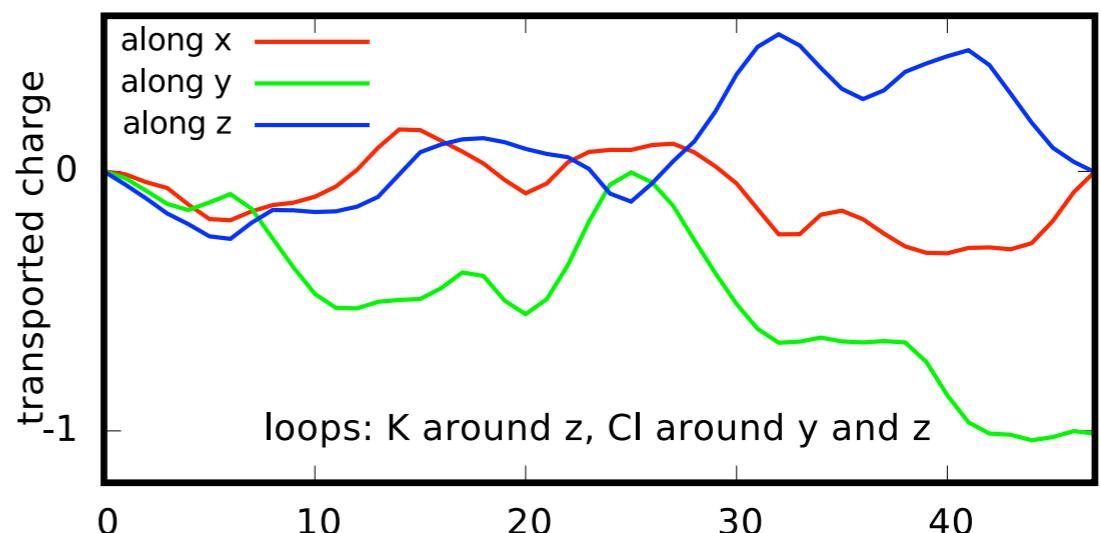
$$qx = -0.000(6); \quad qy = 0.000(2); \quad qz = 1.00(18)$$



# *a numerical experiment on molten KCl*

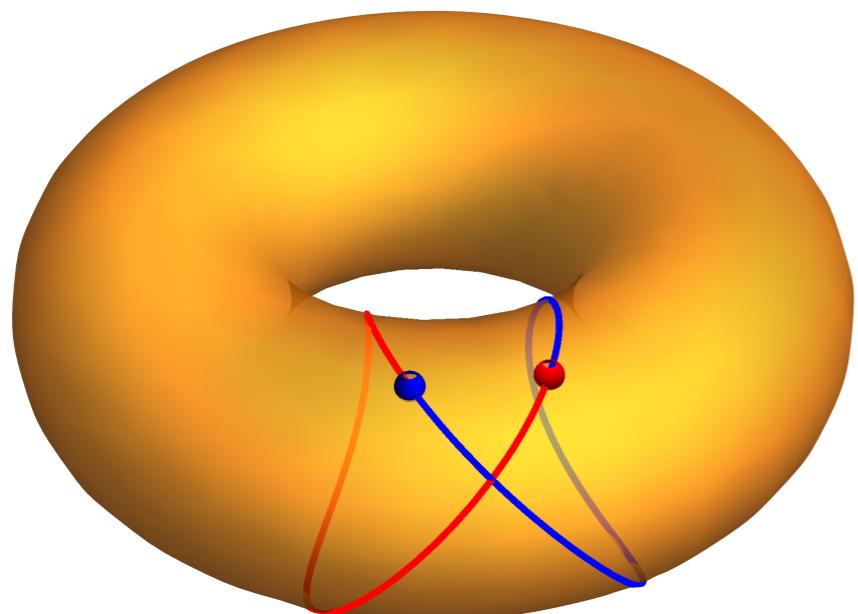
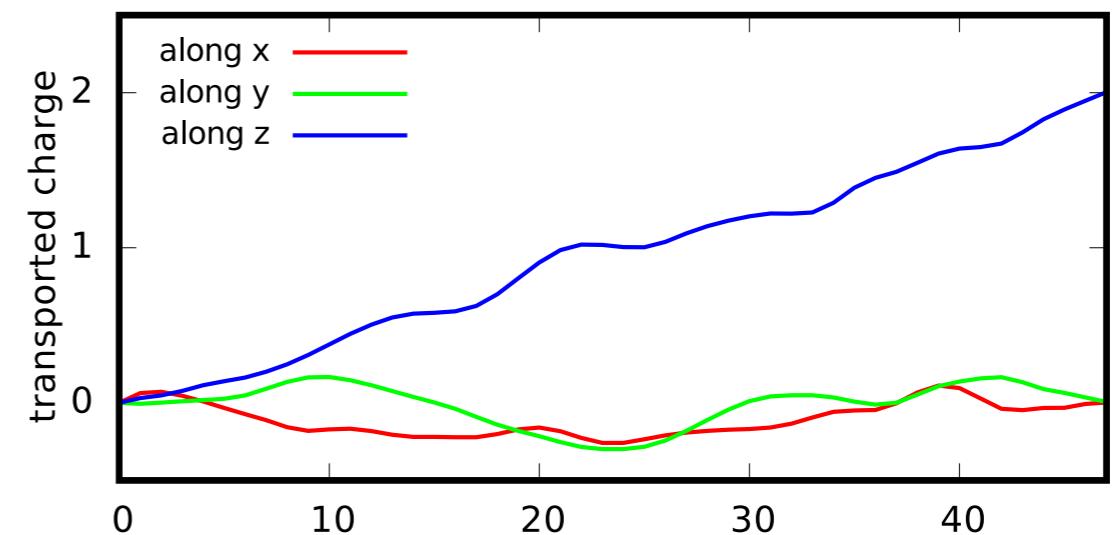
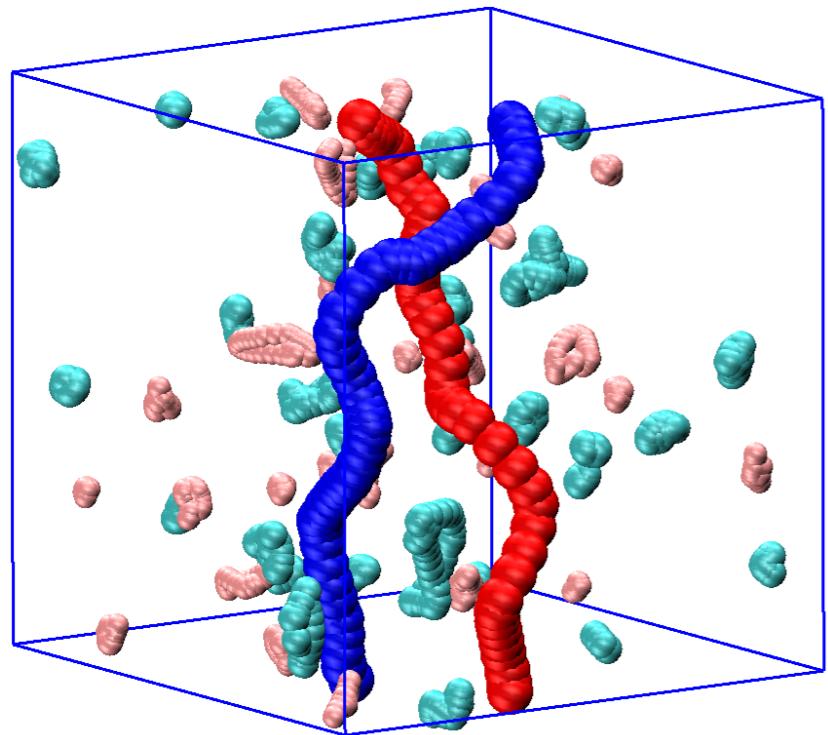


$$\begin{array}{ll} Q_z[\text{Cl}] = -1 & Q_y[\text{Cl}] = -1 \\ Q_z[\text{K}] = 1 & Q_z[\text{K}] = 0 \end{array}$$



the charges transported by K and Cl around z cancel exactly

# *a numerical experiment on molten KCl*



the exchange of two cations  
transports a net charge equal to +2

# *atomic oxidation states*

$$Q_\alpha[\mathcal{C}] = \frac{1}{\ell} \mu_\alpha[\mathcal{C}]$$



# *atomic oxidation states*

$$\begin{aligned} Q_\alpha[\mathcal{C}] &= \frac{1}{\ell} \mu_\alpha[\mathcal{C}] \\ &= Q_\alpha(n_{1x}, n_{1y}, n_{1z}, \dots, n_{Nz}) \end{aligned}$$



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$$Q_\alpha[\mathcal{C}_1 \circ \mathcal{C}_2] = Q_\alpha[\mathcal{C}_1] + Q_\alpha[\mathcal{C}_2]$$



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- All loops can be shrunk to a point without closing the gap (*strong adiabaticity*);
- Any two like atoms can be swapped without closing the gap

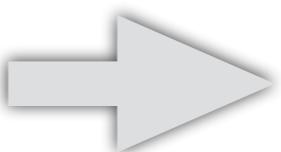


# atomic oxidation states

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$$q_{i\alpha\beta} = q_{S(i)} \delta_{\alpha\beta}$$

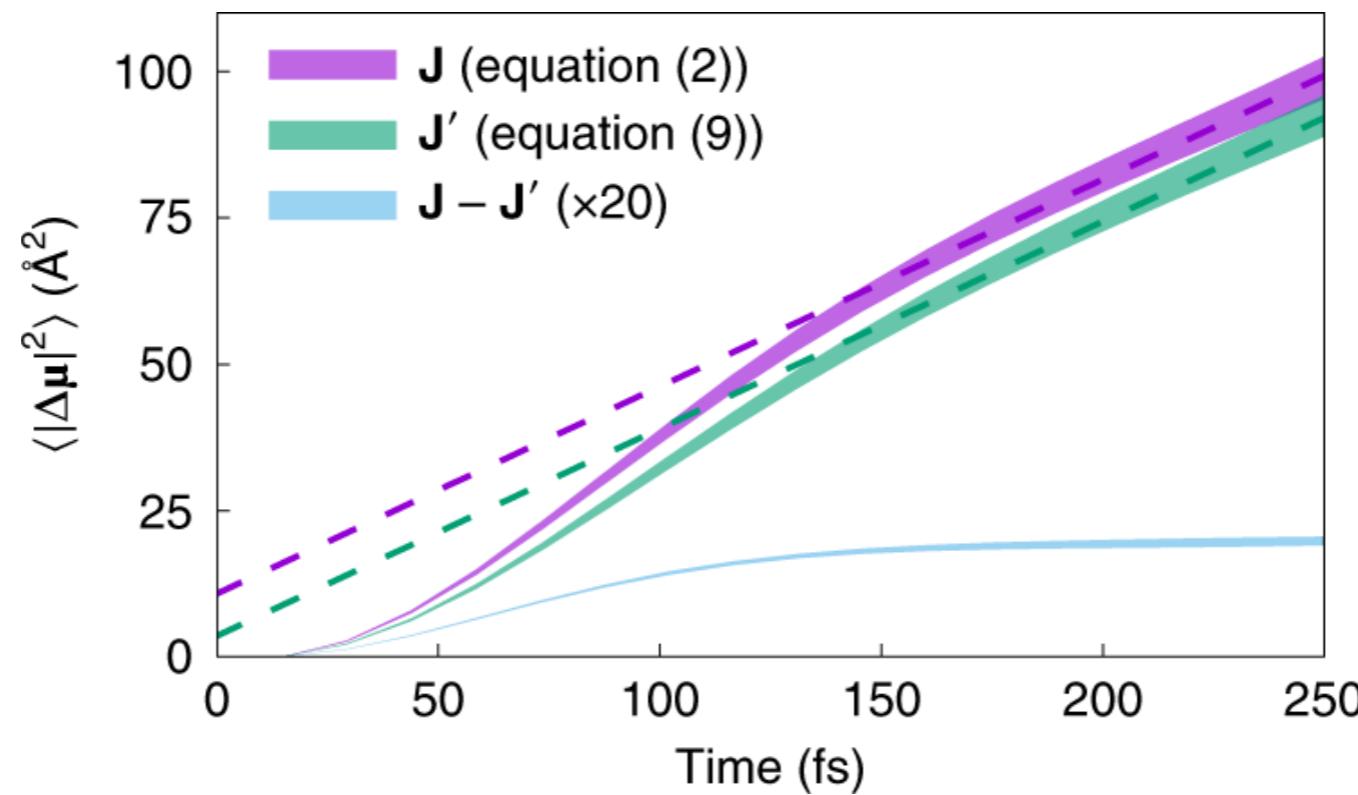
*atomic oxidation state*



# *currents from atomic oxidation numbers*

$$J_\alpha = \sum_{i\beta} Z_{i\alpha\beta}^* v_{i\beta} \quad (2)$$

$$J'_\alpha = \sum_i q_{S(i)} v_{i\alpha} \quad (9)$$



$$\left\langle \left| \int_0^t \mathbf{J}(t') dt' \right|^2 \right\rangle$$



# *conclusions*



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- conserved currents are intrinsically ill-defined at the atomic scale;



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- *gauge invariance* and *topological quantisation* of charge transport make the electric conductivity of ionic fluids depend on the formal oxidation numbers of the ionic species, via the Green-Kubo formula.



## Topological quantization and gauge invariance of charge transport in liquid insulators

Federico Grasselli<sup>1</sup> and Stefano Baroni<sup>1,2\*</sup>

## Microscopic theory and quantum simulation of atomic heat transport

Aris Marcolongo<sup>1</sup>, Paolo Umari<sup>2</sup> and Stefano Baroni<sup>1\*</sup>



Federico Grasselli  
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thanks to:







we are sorry to see you leaving ...  
... arrivederci!