

# An introduction to quantum spin liquids: fermions and gauge fields from bosons

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## Standard mean-field approach

Consider the spin-1/2 Heisenberg model on a generic lattice

$$\mathcal{H} = \sum_{ij} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

In a standard mean-field approach, each spin couples to an effective field generated by the surrounding spins:

$$\mathcal{H}_{\text{MF}} = \sum_{ij} J_{ij} \{ \langle \mathbf{S}_i \rangle \cdot \mathbf{S}_j + \mathbf{S}_i \cdot \langle \mathbf{S}_j \rangle - \langle \mathbf{S}_i \rangle \cdot \langle \mathbf{S}_j \rangle \}$$

However, by definition, spin liquids have a zero magnetization:

$$\langle \mathbf{S}_i \rangle = 0$$

How can we construct a mean-field approach for such disordered states?

We need to construct a theory in which all classical order parameters are vanishing

## Halving the spin operator

- The first step is to decompose the spin operator in terms of spin-1/2 quasi-particles creation and annihilation operators.
- One possibility is to write:

$$S_i^\mu = \frac{1}{2} c_{i,\alpha}^\dagger \sigma_{\alpha,\beta}^\mu c_{i,\beta}$$

$\sigma_{\alpha,\beta}^\mu$  are the Pauli matrices

$$\sigma^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma^y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma^z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$c_{i,\alpha}^\dagger$  ( $c_{i,\beta}$ ) creates (destroys) a quasi-particle with spin-1/2

These may have various statistics, e.g., **bosonic** or **fermionic**

At this stage, splitting the original spin operator in two pieces is just a formal trick. Whether or not these quasi-particles are true elementary excitations is THE question

# Fermionic representation of a spin-1/2

- A faithful representation of spin-1/2 is given by:

$$\begin{aligned} S_i^z &= \frac{1}{2} (c_{i,\uparrow}^\dagger c_{i,\uparrow} - c_{i,\downarrow}^\dagger c_{i,\downarrow}) & \{c_{i,\alpha}, c_{j,\beta}^\dagger\} &= \delta_{ij}\delta_{\alpha\beta} \\ S_i^+ &= c_{i,\uparrow}^\dagger c_{i,\downarrow} & \{c_{i,\alpha}, c_{j,\beta}\} &= 0 \\ S_i^- &= c_{i,\downarrow}^\dagger c_{i,\uparrow} & c_{i,\uparrow}^\dagger \text{ (or } c_{i,\downarrow}^\dagger) &\text{ changes } S_i^z \text{ by } 1/2 \text{ (or } -1/2) \\ & & &\text{and creates a "spinon"} \end{aligned}$$

- For a model with one spin per site, we must impose the constraints:

$$c_{i,\uparrow}^\dagger c_{i,\uparrow} + c_{i,\downarrow}^\dagger c_{i,\downarrow} = 1$$

$$c_{i,\uparrow} c_{i,\downarrow} = 0$$

- Compact notation by using a  $2 \times 2$  matrix:

$$\psi_i = \begin{bmatrix} c_{i,\uparrow} & c_{i,\downarrow}^\dagger \\ c_{i,\downarrow} & -c_{i,\uparrow}^\dagger \end{bmatrix} \quad S_i^\mu = -\frac{1}{4} \text{Tr} [\sigma^\mu \psi_i \psi_i^\dagger] \quad G_i^\mu = \frac{1}{4} \text{Tr} [\sigma^\mu \psi_i^\dagger \psi_i] = 0$$

## Local redundancy and “gauge” transformations

$$S_i^\mu = -\frac{1}{4} \text{Tr} \left[ \sigma^\mu \Psi_i \Psi_i^\dagger \right]$$

$$\mathbf{S}_i \cdot \mathbf{S}_j = \frac{1}{16} \sum_{\mu} \text{Tr} \left[ \sigma^\mu \Psi_i \Psi_i^\dagger \right] \text{Tr} \left[ \sigma^\mu \Psi_j \Psi_j^\dagger \right] = \frac{1}{8} \text{Tr} \left[ \Psi_i \Psi_i^\dagger \Psi_j \Psi_j^\dagger \right]$$

- Spin rotations are **left** rotations:

$$\Psi_i \rightarrow R_i \Psi_i$$

The Heisenberg Hamiltonian is invariant under **global** rotations

- The spin operator is invariant upon **local SU(2)** “gauge” transformations, **right** rotations:

$$\Psi_i \rightarrow \Psi_i W_i$$

$$\mathbf{S}_i \rightarrow \mathbf{S}_i$$

There is a huge redundancy in this representation

# Mean-field approximation

- We transformed a spin model into a model of interacting fermions (subject to the constraint of one-fermion per site)
- The first approximation to treat this problem is to consider a mean-field decoupling:

$$\Psi_i^\dagger \Psi_j \Psi_j^\dagger \Psi_i \rightarrow \langle \Psi_i^\dagger \Psi_j \rangle \Psi_j^\dagger \Psi_i + \Psi_i^\dagger \Psi_j \langle \Psi_j^\dagger \Psi_i \rangle - \langle \Psi_i^\dagger \Psi_j \rangle \langle \Psi_j^\dagger \Psi_i \rangle$$

We define the mean-field  $2 \times 2$  matrix

$$U_{ij}^0 = \frac{J_{ij}}{4} \langle \Psi_i^\dagger \Psi_j \rangle = \frac{J_{ij}}{4} \begin{bmatrix} \langle c_{i,\uparrow}^\dagger c_{j,\uparrow} + c_{i,\downarrow}^\dagger c_{j,\downarrow} \rangle & \langle c_{i,\uparrow}^\dagger c_{j,\downarrow} + c_{j,\uparrow}^\dagger c_{i,\downarrow} \rangle \\ \langle c_{i,\downarrow}^\dagger c_{j,\uparrow} + c_{j,\downarrow}^\dagger c_{i,\uparrow} \rangle & -\langle c_{j,\downarrow}^\dagger c_{i,\downarrow} + c_{j,\uparrow}^\dagger c_{i,\downarrow} \rangle \end{bmatrix} = \begin{bmatrix} \chi_{ij} & \eta_{ij}^* \\ \eta_{ij} & -\chi_{ij}^* \end{bmatrix}$$

- $\chi_{ij} = \chi_{ji}^*$  is the **spinon hopping**
- $\eta_{ij} = \eta_{ji}$  is the **spinon pairing**

The mean-field Hamiltonian has a **BCS-like** form:

$$\begin{aligned}\mathcal{H}_{MF} = & \sum_{ij} \chi_{ij} (c_{j,\uparrow}^\dagger c_{i,\uparrow} + c_{j,\downarrow}^\dagger c_{i,\downarrow}) + \eta_{ij} (c_{j,\uparrow}^\dagger c_{i,\downarrow} + c_{i,\uparrow}^\dagger c_{j,\downarrow}) + h.c. \\ & + \sum_i \mu_i (c_{i,\uparrow}^\dagger c_{i,\uparrow} + c_{i,\downarrow}^\dagger c_{i,\downarrow} - 1) + \sum_i \zeta_i c_{i,\uparrow}^\dagger c_{i,\downarrow} + h.c.\end{aligned}$$

- $\{\chi_{ij}, \eta_{ij}, \mu_i, \zeta_i\}$  define the mean-field Ansatz
- At the mean-field level:
  - $\chi_{ij}$  and  $\eta_{ij}$  are **fixed** numbers
  - Constraints are satisfied only in **average**

At the mean-field level, spinons are free.  
Beyond this approximation, they will interact with each other  
Do they remain asymptotically free (at low energies)?



## Redundancy of the mean-field approximation

- Let  $|\Phi_{MF}(U_{ij}^0)\rangle$  be the ground state of the mean-field Hamiltonian (with a given Ansatz for the mean-field  $U_{ij}^0$ )
- $|\Phi_{MF}(U_{ij}^0)\rangle$  **cannot** be a valid wave function for the spin model (its Hilbert space is wrong, it has not one fermion per site!)
- Let us consider an arbitrary *site-dependent*  $SU(2)$  matrix  $W_i$  (gauge transformation)

$$\Psi_i \rightarrow \Psi_i W_i$$

It leaves the spin unchanged  $\mathbf{S}_i \rightarrow \mathbf{S}_i$ .

$$U_{ij}^0 \rightarrow W_i^\dagger U_{ij}^0 W_j$$

- Therefore,  $U_{ij}^0$  and  $W_i^\dagger U_{ij}^0 W_j$  define the **same** physical state (the **same** physical state can be represented by **many** different Ansätze  $U_{ij}^0$ )

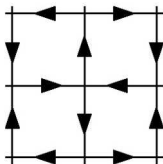
$$\langle 0 | \prod_i c_{i,\alpha_i} | \Phi_{MF}(U_{ij}^0) \rangle = \langle 0 | \prod_i c_{i,\alpha_i} | \Phi_{MF}(W_i^\dagger U_{ij}^0 W_j) \rangle$$

# An example of the redundancy on the square lattice

- The staggered flux state is defined by

Affleck and Marston, Phys. Rev. B **37**, 3774 (1988)

$$j \in A \begin{cases} \chi_{j,j+x} = e^{i\Phi_0/4} \\ \chi_{j,j+y} = e^{-i\Phi_0/4} \end{cases}$$
$$j \in B \begin{cases} \chi_{j,j+x} = e^{-i\Phi_0/4} \\ \chi_{j,j+y} = e^{i\Phi_0/4} \end{cases}$$



- The d-wave “superconductor” state is defined by

Baskaran, Zou, and Anderson, Solid State Commun. **63**, 973 (1987)

$$\begin{cases} \chi_{j,j+x} = 1 \\ \chi_{j,j+y} = 1 \\ \eta_{j,j+x} = \Delta \\ \eta_{j,j+y} = -\Delta \end{cases}$$

- For  $\Delta = \tan(\Phi_0/4)$ , these two mean-field states become the **same state after projection**
- The mean-field spectrum is the same for the two states

## Beyond mean field: “low-energy” gauge fluctuations

- Beyond mean field we can consider fluctuations of  $U_{ij}^0$

$$U_{ij}^0 = \frac{J_{ij}}{4} \langle \Psi_i^\dagger \Psi_j \rangle \implies U_{ij}^0 + \delta U_{ij}$$

- **Wen's conjecture:**

Amplitude fluctuations have a finite energy gap and are not essential

Phase fluctuations instead are important:  $U_{ij}^0 \implies U_{ij}^0 e^{iA_{ij}}$

In particular, all  $A_{ij}$  that leave  $U_{ij}^0$  invariant:  $\mathcal{G}_i^\dagger U_{ij}^0 \mathcal{G}_j = U_{ij}^0$

$A_{ij}$  plays the role of a gauge field coupled to spinons

Wen, Phys. Rev. B **65**, 165113 (2002)

By adding “low-energy” fluctuations on top of the mean field Ansatz, we obtain a theory of matter (spinons) coupled to gauge fields

The structure of the “low-energy” gauge fluctuations may be different from the original “high-energy” one, we can have  $Z_2$ ,  $U(1)$ ,  $SU(2)$ ... spin liquids

# Fluctuations above the mean field and gauge fields

- Some results about lattice gauge theory (coupled to matter, i.e., spinons) may be used to discuss the stability/instability of a given mean-field Ansatz

- What is known about U(1) gauge theories?

Monopoles proliferate → **confinement**

Polyakov, Nucl. Phys. B **120**, 429 (1977)

Spinons are glued in pairs by strong gauge fluctuations and are **not** physical excitations

- Deconfinement may be possible in presence of **gapless** matter field

The so-called U(1) spin liquid

Hermele et al., Phys. Rev. B **70**, 214437 (2004)

- In presence of a charge-2 field (i.e., spinon pairing) the U(1) symmetry can be lowered to  $Z_2$  → **deconfinement**

Fradkin and Shenker, Phys. Rev. D **19**, 3682 (1979)

- For example in D=2:

- $Z_2$  gauge field (gapped) + gapped spinons may be a **stable deconfined** phase short-range RVB physics

Read and Sachdev, Phys. Rev. Lett. **66**, 1773 (1991)

- U(1) gauge field (gapless) + gapped spinons should lead to an instability towards **confinement** and valence-bond order

Read and Sachdev, Phys. Rev. Lett. **62**, 1694 (1989)

# Summary of “low-energy” gauge theories

- The spin operator is written in terms of “more fundamental” objects: **spinons**
- The Hilbert space is artificially enlarged
- A constraint must be introduced to go back to the original Hilbert space of spins  
⇒ A gauge redundancy appears
- At the mean-field level, there are free particles (spinons)
- Beyond mean field, spinons interact with gauge fluctuations
- Is the “low-energy” picture stable and valid to describe the original spin model?  
Arguments suggest that a (gapped)  $Z_2$  gauge field may preserve the mean-field results  
Here, gauge excitations are called **visons**

A vison is a quantized (magnetic) flux threading an elementary plaquette

Senthil and Fisher, Phys. Rev. B **62**, 7850 (2000)

# “To believe or not to believe”

How can a purely bosonic model have an effective theory described by gauge fields and fermions? This is incredible

Wen, *Quantum Field Theory of Many-Body Systems* (Oxford University Press 2004)

- There are many attempts to define *ad hoc* bosonic models having fermions and gauge fields as elementary excitations
- One class of these models are based upon **string-net theories**

Wen, *Phys. Rev. Lett.* **90**, 016803 (2003)

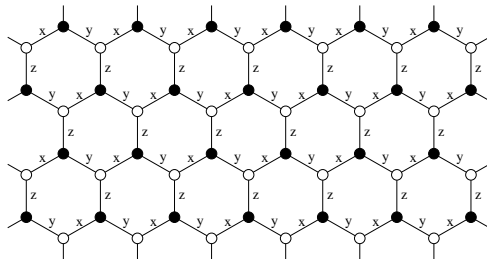
Kitaev, *Ann. Phys.* **303**, 2 (2003)

In the following, I will consider a spin model that is exactly described by fermions and gauge fields

# The Kitaev compass model on the honeycomb lattice

- Rather artificial spin model breaking  $SU(2)$  symmetry
- Possible physical realization in Iridates with strong spin-orbit coupling

Jackeli and Khaliullin, Phys. Rev. Lett. **102**, 017205 (2009)



$$\mathcal{H} = -J_x \sum_{x\text{-links}} \sigma_j^x \sigma_k^x - J_y \sum_{y\text{-links}} \sigma_j^y \sigma_k^y - J_z \sum_{z\text{-links}} \sigma_j^z \sigma_k^z$$

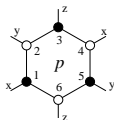
$J_x$ ,  $J_y$ , and  $J_z$  are model parameters

$\sigma_j^x$ ,  $\sigma_j^y$ , and  $\sigma_j^z$  are Pauli matrices on site  $j$

Kitaev, Ann. Phys. **321**, 2 (2006)

# Properties of the Kitaev model

- Take a cluster with  $2N$  sites  $\implies N$  plaquettes
- There are  $N - 1$  integrals of motion  $W_p$ :



$$K_{jk} = \begin{cases} \sigma_j^x \sigma_k^x, & \text{if } (j, k) \text{ is an } x\text{-link;} \\ \sigma_j^x \sigma_k^y, & \text{if } (j, k) \text{ is an } y\text{-link;} \\ \sigma_j^x \sigma_k^z, & \text{if } (j, k) \text{ is an } z\text{-link.} \end{cases}$$

- **All** operators  $K_{jk}$  commute with

$$W_p = \sigma_1^x \sigma_2^y \sigma_3^z \sigma_4^x \sigma_5^y \sigma_6^z = K_{12} K_{23} K_{34} K_{45} K_{56} K_{61}.$$

- **Different** operators  $W_p$  commute with each other
- “Only”  $N - 1$  independent  $W_p$  because  $\prod_p W_p = 1$
- Each operator  $W_p$  has eigenvalues  $+1$  and  $-1$



# Properties of the Kitaev model

- The existence of  $N - 1$  operators commuting with  $\mathcal{H}$  simplifies the problem
- $\implies$  The Hamiltonian can be diagonalized in each sector separately
- The total Hilbert space is  $2^{2N}$
- $\implies$  The dimension of each sector is  $2^{2N}/2^{N-1} = 2^{N+1}$
- **The problem is still exponentially hard**
- However, the degrees of freedom in each sector can be described by free Majorana fermions
- **Solution in terms of free particles in presence of  $Z_2$  magnetic fluxes, i.e., visons** (values of  $W_p$  for each plaquette)

# What is a Majorana fermion?

Let us consider a system with  $L$  fermionic modes

- This is usually described by annihilation and creation operators  $a_k$  and  $a_k^\dagger$  with  $k = 1, \dots, L$

$$\{a_k, a_p\} = \{a_k^\dagger, a_p^\dagger\} = 0 \text{ and } \{a_k, a_p^\dagger\} = \delta_{k,p}$$

- Instead, one can use linear combinations

$$c_{2k-1} = a_k^\dagger + a_k$$

$$c_{2k} = i(a_k^\dagger - a_k)$$

- They are called Majorana operators  
The operators  $c_j$  ( $j = 1, \dots, 2L$ ) are **Hermitian** and obey the following relations:

$$c_j^2 = 1$$

$$c_i c_j = -c_j c_i \quad i \neq j$$

# Representing spin operators by Majorana fermions

- Let us represent the spin operator by 4 Majorana fermions

$$\sigma^x = ib^x c \quad \sigma^y = ib^y c \quad \sigma^z = ib^z c$$



- $\implies$  We enlarge the Hilbert space

2 physical spin states versus 4 unphysical fermionic states

$$\sigma^x \sigma^y \sigma^z = ib^x b^y b^z c = iD$$

- The physical Hilbert space is defined by states  $|\xi\rangle$  that satisfy

$$D|\xi\rangle = |\xi\rangle$$

- The operator  $D$  may be thought of as a **gauge transformation** for the group  $Z_2$

# Representing the Kitaev model with Majorana fermions

$$\mathcal{H} = -J_x \sum_{x\text{-links}} \sigma_j^x \sigma_k^x - J_y \sum_{y\text{-links}} \sigma_j^y \sigma_k^y - J_z \sum_{z\text{-links}} \sigma_j^z \sigma_k^z$$

$$K_{jk} = \begin{cases} \sigma_j^x \sigma_k^x, & \text{if } (j, k) \text{ is an } x\text{-link;} \\ \sigma_j^x \sigma_k^y, & \text{if } (j, k) \text{ is an } y\text{-link;} \\ \sigma_j^x \sigma_k^z, & \text{if } (j, k) \text{ is an } z\text{-link.} \end{cases}$$

- By using the Majorana fermions

$$K_{jk} = (ib_j^\alpha c_j)(ib_k^\alpha c_k) = -i(ib_j^\alpha b_k^\alpha) c_j c_k$$

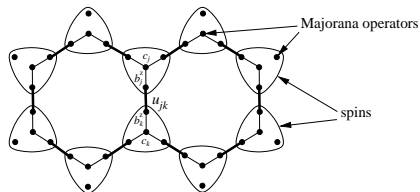
- We define the Hermitian operator  $u_{jk} = ib_j^\alpha b_k^\alpha$ , associated to each link  $(j, k)$   
The index  $\alpha$  takes values  $x, y$  or  $z$  depending on the direction of the link
- The Hamiltonian becomes:

$$\mathcal{H} = \frac{i}{4} \sum_{j,k} A_{jk} c_j c_k, \quad A_{jk} = \begin{cases} 2J_{\alpha jk} u_{jk} & \text{if } j \text{ and } k \text{ are connected} \\ 0 & \text{otherwise} \end{cases}$$

$$u_{jk} = -u_{kj}$$

# Representing the Kitaev model with Majorana fermions

$$\mathcal{H} = \frac{i}{4} \sum_{j,k} A_{jk} c_j c_k, \quad A_{jk} = \begin{cases} 2J_{\alpha_{jk}} u_{jk} & \text{if } j \text{ and } k \text{ are connected} \\ 0 & \text{otherwise} \end{cases}$$

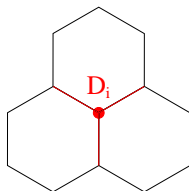


Now, the great simplification!

- All operators  $u_{jk}$  commute with the Hamiltonian and with each other
- $\implies$  The Hilbert space splits into eigenspaces with **fixed  $u_{jk}$**  labeled by the eigenvalues  $u_{jk} = \pm 1$
- $\implies$  The Hamiltonian is quadratic in the  $c$  operators  
The set  $\{u\}$  determine **static magnetic fluxes** through the plaquettes
- $\implies$  **All eigenfunctions  $|\Psi_u\rangle$  with a fixed set  $\{u\}$  can be found exactly**

## Remarks on the new representation

- The Hamiltonian commutes with all operators  $u_{jk}$ :  $[\mathcal{H}, u_{jk}] = 0$
- The Hamiltonian commutes with all constraints  $D_i$ :  $[\mathcal{H}, D_i] = 0$
- However, the link operators  $u_{jk}$  do not commute with the constraints  $D_i$   
In particular,  $D_j u_{jk} = -u_{jk} D_j$   
Applying  $D_j$  changes the values of  $u_{jk}$  on the links connecting  $j$  with the neighbors

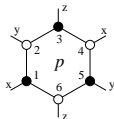


- $\implies$  The subspace with fixed  $u_{jk}$  is not gauge invariant

## Remarks on the new representation

- The gauge-invariant objects are the fluxes through each plaquette

$$W_p = -u_{12} u_{23} u_{34} u_{45} u_{56} u_{61}$$



$D_j$  acts as a gauge transformation:

it changes  $u_{jk}$  but not the fluxes  $W_p$  (every plaquette changes 2 links)

- The eigenfunctions  $|\Psi_u\rangle$  with a fixed set of  $\{u\}$  do not belong to the physical subspace
- To obtain a physical wave function, we must symmetrize over all gauge transformations

$$|\Phi_w\rangle = \mathcal{P}|\Psi_u\rangle = \prod_j \left( \frac{1 + D_j}{2} \right) |\Psi_u\rangle$$

$w$  denotes the equivalence class of  $u$  under the gauge transformations

Since  $[\mathcal{P}, \mathcal{H}] = 0$ ,  $|\Phi_w\rangle$  has the same eigenvalue as  $|\Psi_u\rangle$

# Diagonalizing the Kitaev model

$$\mathcal{H} = \frac{i}{4} \sum_{j,k} A_{jk} c_j c_k, \quad A \text{ is a skew-symmetric matrix of size } 2N$$

- Diagonalize the Hamiltonian by considering the canonical form

$$\mathcal{H}_{\text{canonical}} = \frac{i}{2} \sum_{k=1}^N \epsilon_k b'_k b''_k = \sum_{k=1}^N \epsilon_k \left( a_k^\dagger a_k - \frac{1}{2} \right) \quad \epsilon_k \geq 0$$

where  $b'_k, b''_k$  are normal modes

$$(b'_1, b''_1, \dots, b'_N, b''_N) = (c_1, c_2, \dots, c_{2N-1}, c_{2N}) Q$$

$$A = Q \begin{pmatrix} 0 & \epsilon_1 & & & & & & & \\ -\epsilon_1 & 0 & & & & & & & \\ & & \ddots & & & & & & \\ & & & \ddots & & & & & \\ & & & & 0 & \epsilon_N & & & \\ & & & & -\epsilon_N & 0 & & & \end{pmatrix} Q^T$$

$a_k^\dagger$  and  $a_k$  are the corresponding creation and annihilation operators

$$a_k^\dagger = \frac{1}{2} (b'_k - ib''_k) \quad a_k = \frac{1}{2} (b'_k + ib''_k)$$



# The Vortex-free subspace

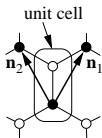
- The energy minimum is obtained by the vortex-free configuration (no visons)

$W_p = 1$  for all plaquettes

- $\implies$  We may assume  $u_{jk} = 1$  for all links  $(j, k)$

- $\implies$  Translational symmetry  $\implies$  the spectrum can be found by the Fourier transform

We take  $\mathbf{n}_1 = (\frac{1}{2}, \frac{\sqrt{3}}{2})$  and  $\mathbf{n}_2 = (-\frac{1}{2}, \frac{\sqrt{3}}{2})$



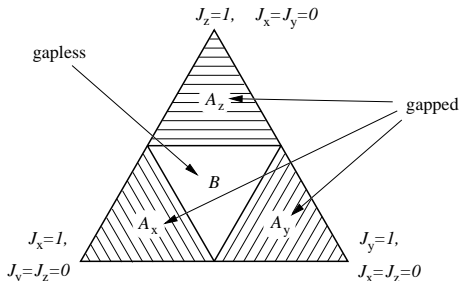
$$iA(\mathbf{q}) = \begin{pmatrix} 0 & if(\mathbf{q}) \\ -if(\mathbf{q})^* & 0 \end{pmatrix} \quad \epsilon(\mathbf{q}) = \pm |f(\mathbf{q})|$$

$$f(\mathbf{q}) = 2(J_x e^{i\mathbf{q} \cdot \mathbf{n}_1} + J_y e^{i\mathbf{q} \cdot \mathbf{n}_2} + J_z)$$

The spectrum may be gapless or gapped

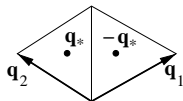
$$f(\mathbf{q}) = 2(J_x e^{i\mathbf{q}\cdot\mathbf{n}_1} + J_y e^{i\mathbf{q}\cdot\mathbf{n}_2} + J_z) = 0$$

has solutions only if  $|J_x| \leq |J_y| + |J_z|$     $|J_y| \leq |J_x| + |J_z|$     $|J_z| \leq |J_x| + |J_y|$



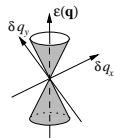
- In the gapless phase  $B$ , there are 2 gapless points at  $\mathbf{q} = \pm\mathbf{q}_*$
- The gapped phases  $A_x, A_y$ , and  $A_z$  are distinct (but related by rotational symmetry)

- In the symmetric case  $J_x = J_y = J_z$  the zeros of the spectrum are given by



$$+\mathbf{q}_* = \frac{1}{3}\mathbf{q}_1 + \frac{2}{3}\mathbf{q}_2$$

$$-\mathbf{q}_* = \frac{2}{3}\mathbf{q}_1 + \frac{1}{3}\mathbf{q}_2$$



- Gapless excitations with relativistic dispersion (Dirac cones)
- If  $|J_x|$  and  $|J_y|$  decrease (with constant  $|J_z|$ ),  $\pm\mathbf{q}_*$  move toward each other until they fuse and disappear

## Gapless $B$ phase

- In presence of a finite number of vortices (visons) the problem is still easy (diagonalization of a  $2N \times 2N$  matrix)
- States with a finite number of visons are gapped  
Remark: In this model **visons are static**
- A full gap opens when adding perturbations that break time reversal symmetry

## Gapped $A$ phase

- The  $A$  phases are gapped but show non-trivial structure
- By using perturbation theory for  $|J_x|, |J_y| \ll |J_z| \implies$  The Toric Code

Kitaev, Ann. Phys. **303**, 2 (2003)

Topological order (four-fold degeneracy of the ground state)

Abelian anyons (non-trivial braiding rules between  $e$  and  $m$  excitations)

A purely bosonic model can have an effective theory described by gauge fields and fermions.  
This is incredible, but it is true