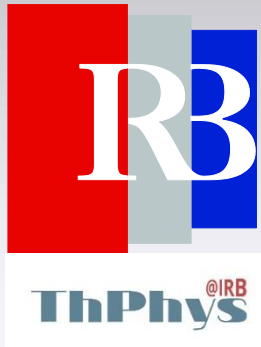


The Frustration of being Odd: boundary conditions and bulk, local order



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What's on today...

- Local order should not depend on boundary conditions
- I will show **old and new** evidence of the contrary
- Possible (legitimate) reactions:
 - 1) There is a mistake
 - 2) These are peculiarities of chosen models
 - 3) The models investigated have some peculiar properties that put them outside of the regime of Landau Theory (not identified yet)
- Let's see what you'll chose...

Outline

1. Frustration: introduction & motivations
2. Simple perturbative picture
3. Exact results on the local order with frustrated boundary conditions
4. Conclusions

Basics on Frustration

- Frustration:
 - competing interactions favoring **different orders**
 - ⇒ impossible to minimize all energy contributions
- Remark: all **genuine** quantum phases are frustrated (non-commuting terms promote diff. arrangements)

- E.g. Ising Chain:
$$H_{\text{Ising}} = \sum_{l=1}^N (\sigma_l^x \sigma_{l+1}^x - h \sigma_l^z)$$

$[\sigma_l^x \sigma_{l+1}^x, \sigma_l^z] \neq 0$: ground state as a trade-off

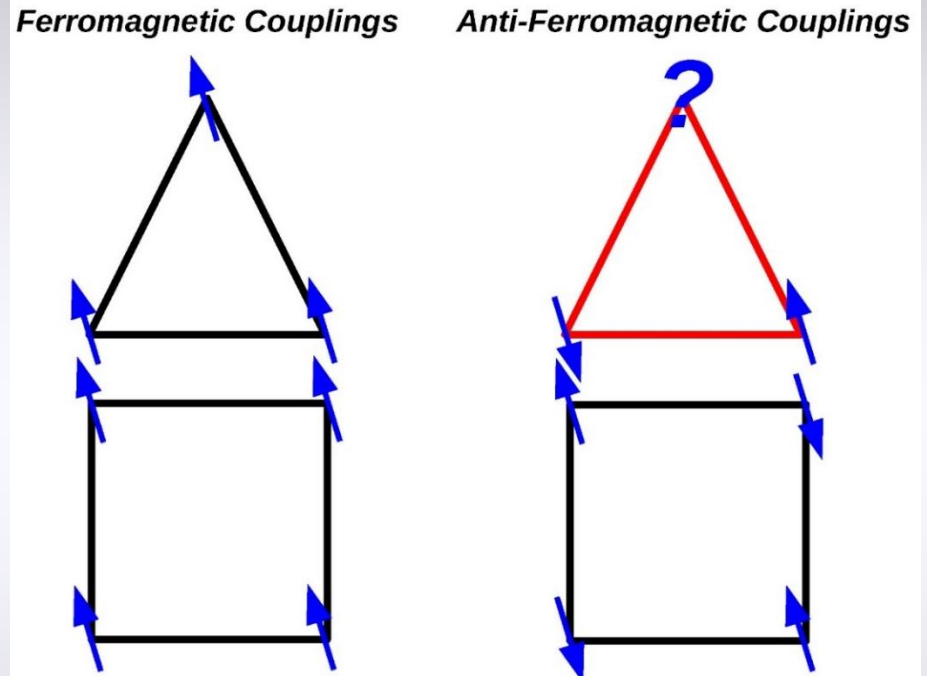
Geometrical Frustration

- Originally, frustration in classical systems:

- Arise from geometry
- Toulouse Criterion:
a classical systems is frustrated if there is a close loop for which

$$-1^{\mathcal{N}_{AFM}} = -1$$

- More loops \Rightarrow more frustration
- Remark: adding one site changes *GS degeneracy* from 2 to $2N$ and vice versa (challenges perturbative picture)



Frustrated Systems

- Certain degree of frustration is very common
- In any dimension, due to closed AFM loops
- Typically: **extensive frustration**
(# loops scale with system size)
 - **Ordered** (ANNNI model, spin-ice...)
 - **Disordered** (Sherrington-Kirkpatrick model, spin glasses...)
- Peculiar physics: residual entropy, local zero-modes, algebraic decay, artificial EM, monopoles, Dirac strings...
- Hard problem

Frustrated Boundary Conditions

- Loop (1D chain, pbc: $\sigma_{l+N}^\alpha = \sigma_l^\alpha$): **non-extensive** frustration

$$H = \frac{1}{2} \sum_{l=1}^{2M+1} \left[\left(\frac{1+\gamma}{2} \right) \sigma_l^x \sigma_{l+1}^x + \left(\frac{1-\gamma}{2} \right) \sigma_l^y \sigma_{l+1}^y + \frac{\Delta}{2} \sigma_l^z \sigma_{l+1}^z - h \sigma_l^z \right]$$

- Subtle **interplay** between **geometrical** frustration and **quantum** interactions

- Old problem, recently reconsidered

- PBC with AFM: 1st order **criticality** between **magnetic** and **kink phase**

Campostrini et al, PRE (2015)

2-point function with algebraic corrections

Dong et al, JSTAT (2016), MPLB (2017), PRE (2018)

1-particle contribution to **GS** entanglement

Giampaolo et al, JPC (2019)

Weakly frustrated XY Chain

$$H = \frac{1}{2} \sum_{l=1}^N \left[\left(\frac{1+\gamma}{2} \right) \sigma_l^x \sigma_{l+1}^x + \left(\frac{1-\gamma}{2} \right) \sigma_l^y \sigma_{l+1}^y \right] - \sum_{l=1}^N h \sigma_l^z$$

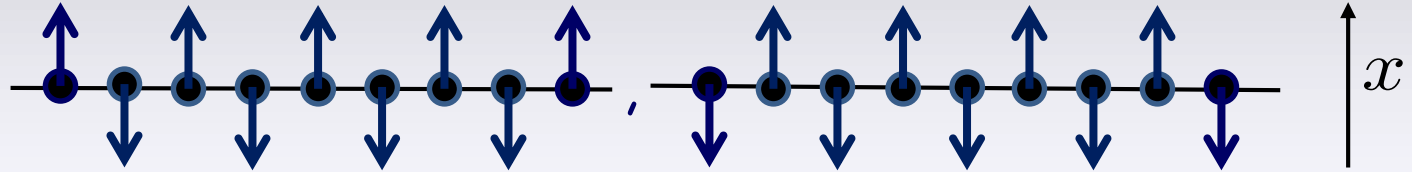
with PBC: $\sigma_{l+N}^\alpha = \sigma_l^\alpha$. For $|h| < 1$:

- $N = 2M$: No frustration \Rightarrow SSB of Z_2 symmetry
 - Gapped
 - Doubly degenerate GS \rightarrow Spontaneous magnetization
 - Exponential decay of correlations
- $N = 2M + 1$: Weak frustration + Z_2 quantum symmetry \Rightarrow
 - Gapless, but not relativistic (Galilean)
 - Non-degenerate GS \rightarrow No order parameter
 - Mixture of exponential and algebraic correlations

Frustrated Classical Ising

$$H_{\text{Ising}} = \frac{1}{2} \sum_{l=1}^{2M+1} \sigma_l^x \sigma_{l+1}^x$$

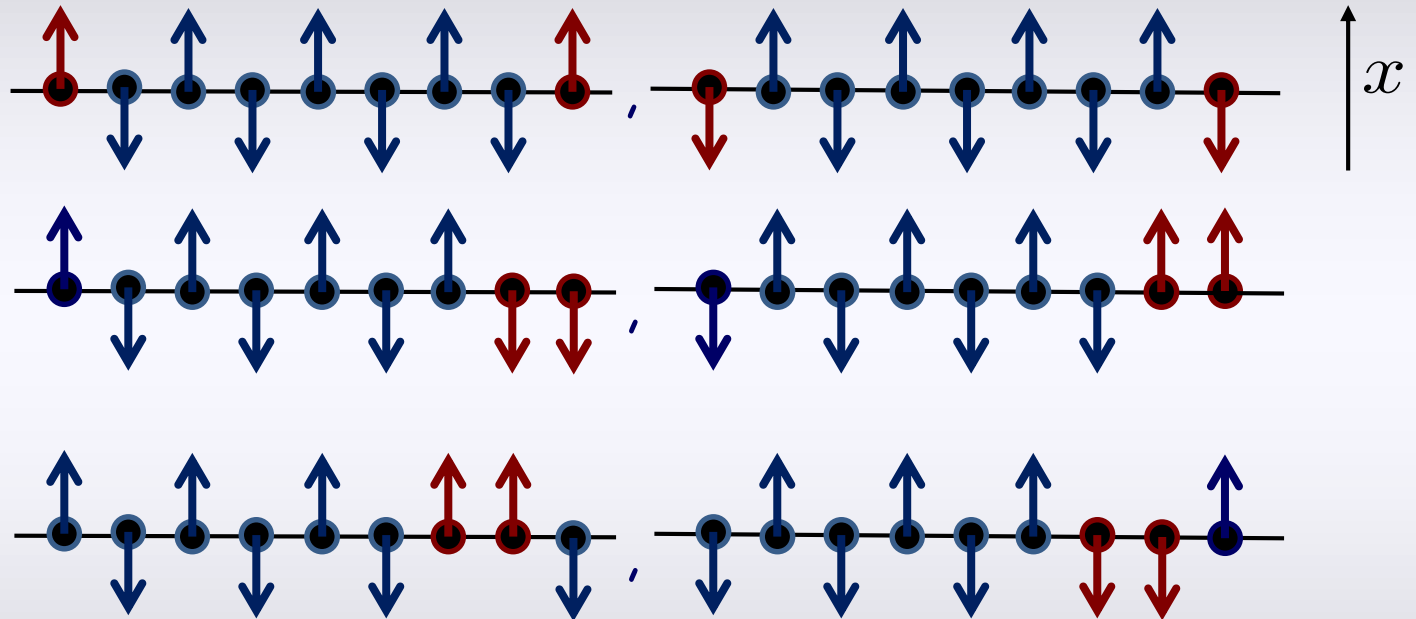
GS (Neel):



Frustrated Classical Ising

$$H_{\text{Ising}} = \frac{1}{2} \sum_{l=1}^{2M+1} \sigma_l^x \sigma_{l+1}^x$$

GS (Neel +
1 Domain wall):



...

2N States!

Perturbative picture

$$H_{\text{Ising}} = \frac{1}{2} \sum_{l=1}^{2M+1} (\sigma_l^x \sigma_{l+1}^x + \lambda \sigma_l^y \sigma_{l+1}^y)$$

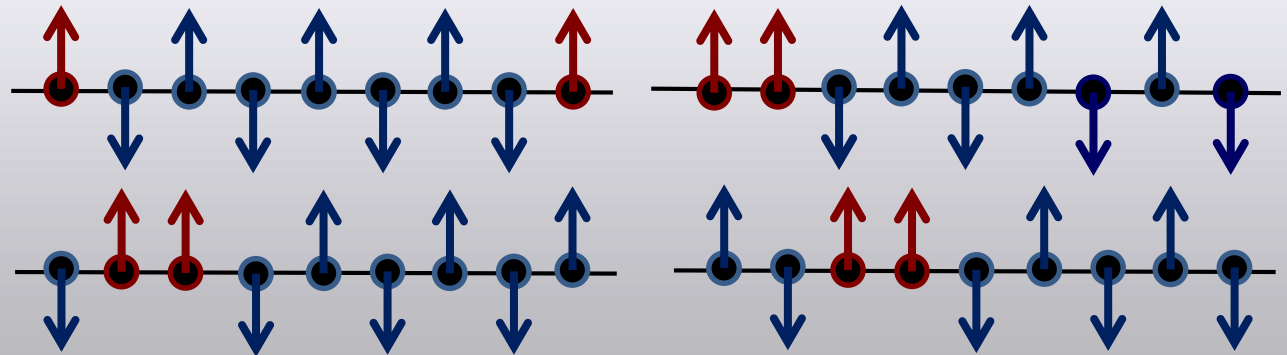
- At $\lambda=0$: **2N-degenerate GS** (2 x Neel with 1 domain wall)
(compare to 2-degenerate for N even, i.e not frustrated)
- Turn on $\lambda \neq 0$: it **does not open a gap** just proportional to λ , but to $\frac{\lambda}{N^2}$
- Perturbative picture: low-energy eigenstates as a **traveling domain wall** with different momenta

Order parameter

$$H_{\text{Ising}} = \frac{1}{2} \sum_{l=1}^{2M+1} (\sigma_l^x \sigma_{l+1}^x + \lambda \sigma_l^y \sigma_{l+1}^y)$$

- Without frustration, Z_2 broken phase: $\langle \sigma_j^x \rangle = \pm (-1)^j m_x$
- Staggered order **not compatible** with pbc and odd # sites
- Perturbative picture: traveling domain wall destroys local

order \rightarrow **vanishing magnetization** $\langle \sigma_j^x \rangle = \pm \frac{\tilde{m}_x}{2M+1}$



Order parameter

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- Without frustration, Z_2 broken phase: $\langle \sigma_j^x \rangle = \pm (-1)^j m_x$
- Staggered order **not compatible** with pbc and odd # sites
- Perturbative picture: traveling domain wall destroys local order \rightarrow **vanishing magnetization** $\langle \sigma_j^x \rangle = \pm \frac{\tilde{m}_x}{2M+1}$
 \Rightarrow **mesoscopic ferromagnetic magnetization**
- **Alternatively: non perfect staggerization (& modulation)**

$$\langle \sigma_j^x \rangle = \text{Re} \left[e^{\pi \left(1 \pm \frac{1}{N}\right) j + \theta} \right] m_x$$

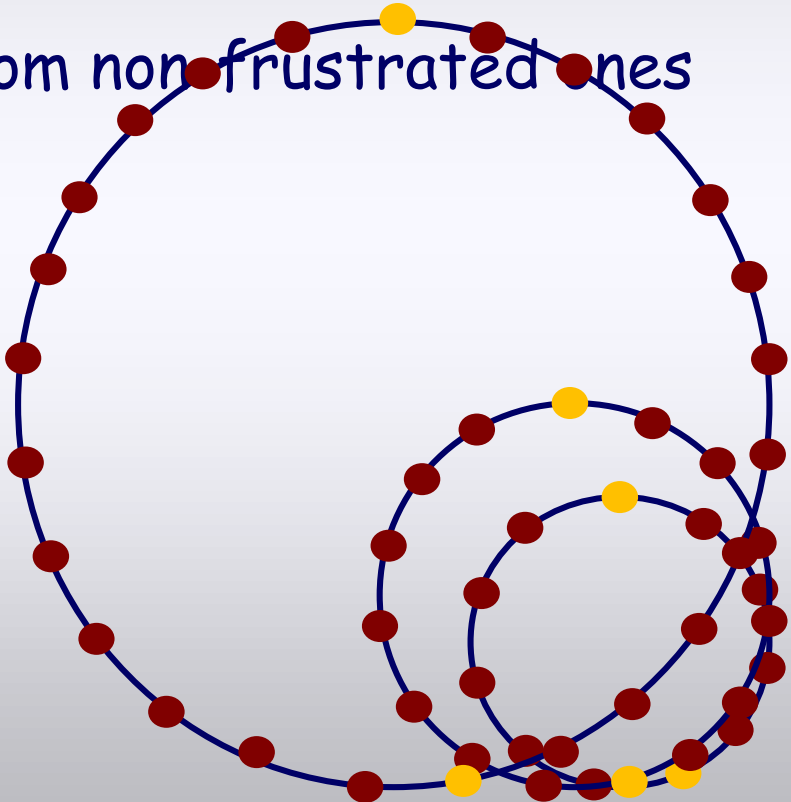
Order Parameter & 2-Point function

- Order parameter from “connected component”:

$$C^{xx}(R) \equiv \langle \sigma_l^x \sigma_{l+R}^x \rangle = (-1)^R m_x^2 \left[1 + c^x \frac{e^{-R/\xi}}{R^2} \right] \left(1 - \frac{2R}{N} \right)$$

- Locally: indistinguishable from non-frustrated ones

- Spontaneous Magnetization from antipodal points



Order Parameter & 2-Point function

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$$C^{xx}(R) \equiv \langle \sigma_l^x \sigma_{l+R}^x \rangle = (-1)^R m_x^2 \left[1 + c^x \frac{e^{-R/\xi}}{R^2} \right] \left(1 - \frac{2R}{N} \right)$$

- Locally: indistinguishable from non-frustrated ones

- Spontaneous Magnetization
from antipodal points

- Order parameter:

$$\langle \sigma^x \rangle = \lim_{N \rightarrow \infty} \sqrt{C^{xx} \left(\frac{N-1}{2} \right)} = 0$$

Order parameter & Frustration

$$H_{\text{Ising}} = \frac{1}{2} \sum_{l=1}^{2M+1} (\sigma_l^x \sigma_{l+1}^x + \lambda \sigma_l^y \sigma_{l+1}^y)$$

- General, **recent & old**, arguments against AFM staggered order: not taken seriously
- Indeed, seemingly contradict Landau Theory
- We develop a **new, exact**, approach to this problem (and learn an interesting **trick** along the way)

XYZ Chain in zero field

$$H = \sum_{j=1}^{2M+1} \left[\cos \delta \left(\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y \right) - \sin \delta \sigma_j^z \sigma_{j+1}^z \right]$$

- In absence of external fields, H commutes with all

3 parities: $\Pi^\alpha \equiv \prod_{j=1}^N \sigma_j^\alpha, \alpha = x, y, z \quad [H, \Pi^\alpha] = 0$

- On odd # sites, parities do not commute: $\{\Pi^\alpha, \Pi^\beta\} = 2\delta_{\alpha,\beta}$

\Rightarrow every states at least 2-fold degenerate

$$\Pi^z |\Psi\rangle = |\Psi\rangle, H|\Psi\rangle = E_\Psi |\Psi\rangle$$



$$|\tilde{\Psi}\rangle \equiv \Pi^x |\Psi\rangle \quad \rightarrow \quad \Pi^z |\tilde{\Psi}\rangle = -\Pi^x |\tilde{\Psi}\rangle, H|\tilde{\Psi}\rangle = E_\Psi |\tilde{\Psi}\rangle$$

- Exact, finite size, degeneracies!

Spontaneous Magnetization

- Usually (finite field along z) unique GS with **fixed z-parity**
 - ⇒ **no finite x/y-magnetization** at finite sizes
 - ⇒ **need thermodynamic limit** to get SSB
- In zero field and $N=2M+1$, GS manifold with **mixed parities**
 - ⇒ can develop **finite magnetizations** $\langle GS | \sigma_j^\alpha | GS \rangle$ at finite N
$$|g_z, \pm\rangle \longrightarrow |GS\rangle \equiv \alpha |g_z, +\rangle + \beta |g_z, -\rangle$$
$$\Pi^z |g_z, \pm\rangle = \pm |g_z, \pm\rangle \qquad \alpha^2 + \beta^2 = 1$$
- Choosing one GS equivalent to switching on a **symmetry breaking field** and following its behavior to $N \rightarrow \infty$

Spontaneous Magnetization

- Use **z-parity** to classify states:

$$|g_z, \pm\rangle : \Pi^z |g_z, \pm\rangle = \pm |g_z, \pm\rangle$$

$$|GS\rangle \equiv \alpha |g_z, +\rangle + \beta |g_z, -\rangle, \quad \alpha^2 + \beta^2 = 1$$

- Normally: $|\langle GS | \sigma_j^x | GS \rangle| = \lim_{r \rightarrow \infty} \sqrt{\langle \sigma_j^x \sigma_{j+r}^x \rangle}$

- Here: $\Pi^x |g_z, +\rangle = |g_z, -\rangle$ (up to a phase)

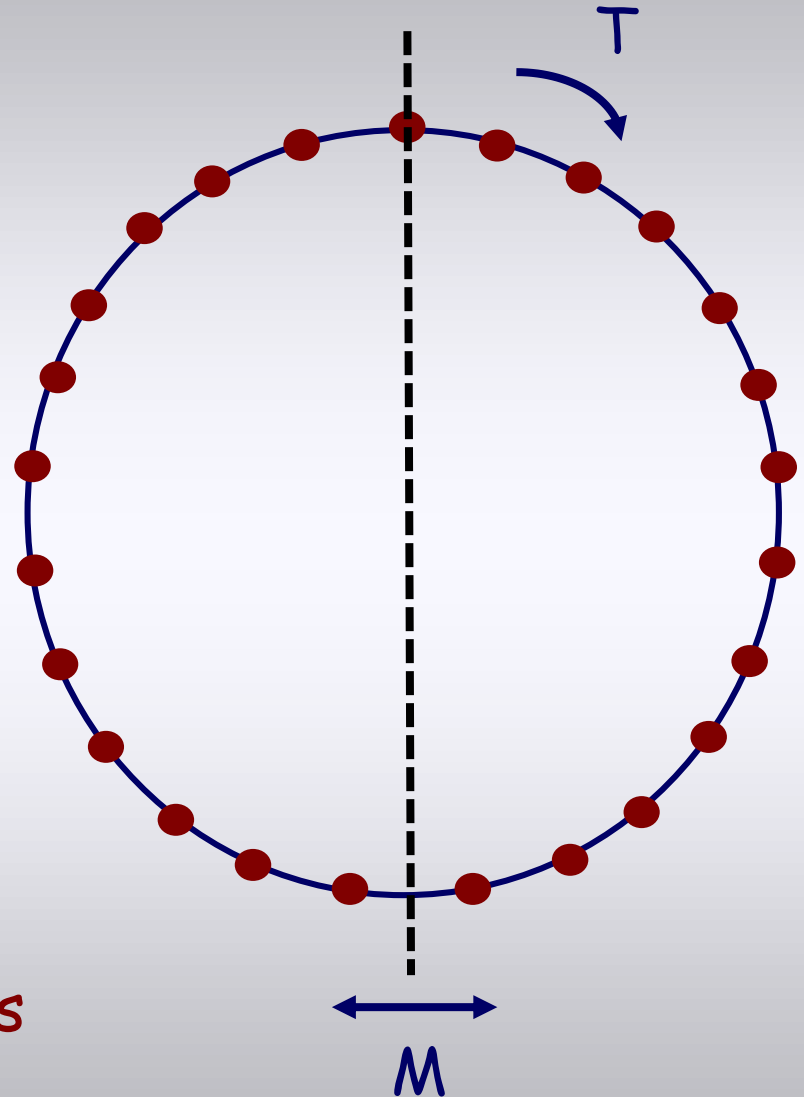
$$\Rightarrow \langle GS | \sigma_j^x | GS \rangle = \alpha \beta^* \langle g_z, + | \sigma_j^x | g_z, - \rangle + \text{c.c.}$$

$$\langle g_z, + | \sigma_j^x | g_z, - \rangle = \langle g_z, + | \prod_{l \neq j}^N \sigma_l^x | g_z, + \rangle$$

- Can **access directly** 1-point function (on mixed states) from a **string** of operators (on single parity state)

More Degeneracies

- On a ring with odd # sites reflection axes cross a vertex and a bond
- ⇒ Only states with 0 or π momentum can be simult. eigenstates M and T
- Other states come in (degenerate) doublets of opposite momentum or mirror
- ⇒ **Exact finite size degeneracies** (for any interaction!)



XYZ Chain in zero field

$$H = \sum_{j=1}^{2M+1} \left[\cos \delta \left(\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y \right) - \sin \delta \sigma_j^z \sigma_{j+1}^z \right]$$

- On odd # sites, H has 2 sets of incompatible global symmetries:

➤ Mirror (M) and lattice translation (T):

$$[H, M] = [H, T] = 0, MT|\Psi\rangle = TM|\Psi\rangle \text{ only if } M|\Psi\rangle = \pm|\Psi\rangle$$

➤ Parity operators: $\Pi^\alpha \equiv \prod_{j=1}^N \sigma_j^\alpha, \alpha = x, y, z$

$$[H, \Pi^\alpha] = 0 \quad \{\Pi^\alpha, \Pi^\beta\} = 2\delta_{\alpha,\beta}$$

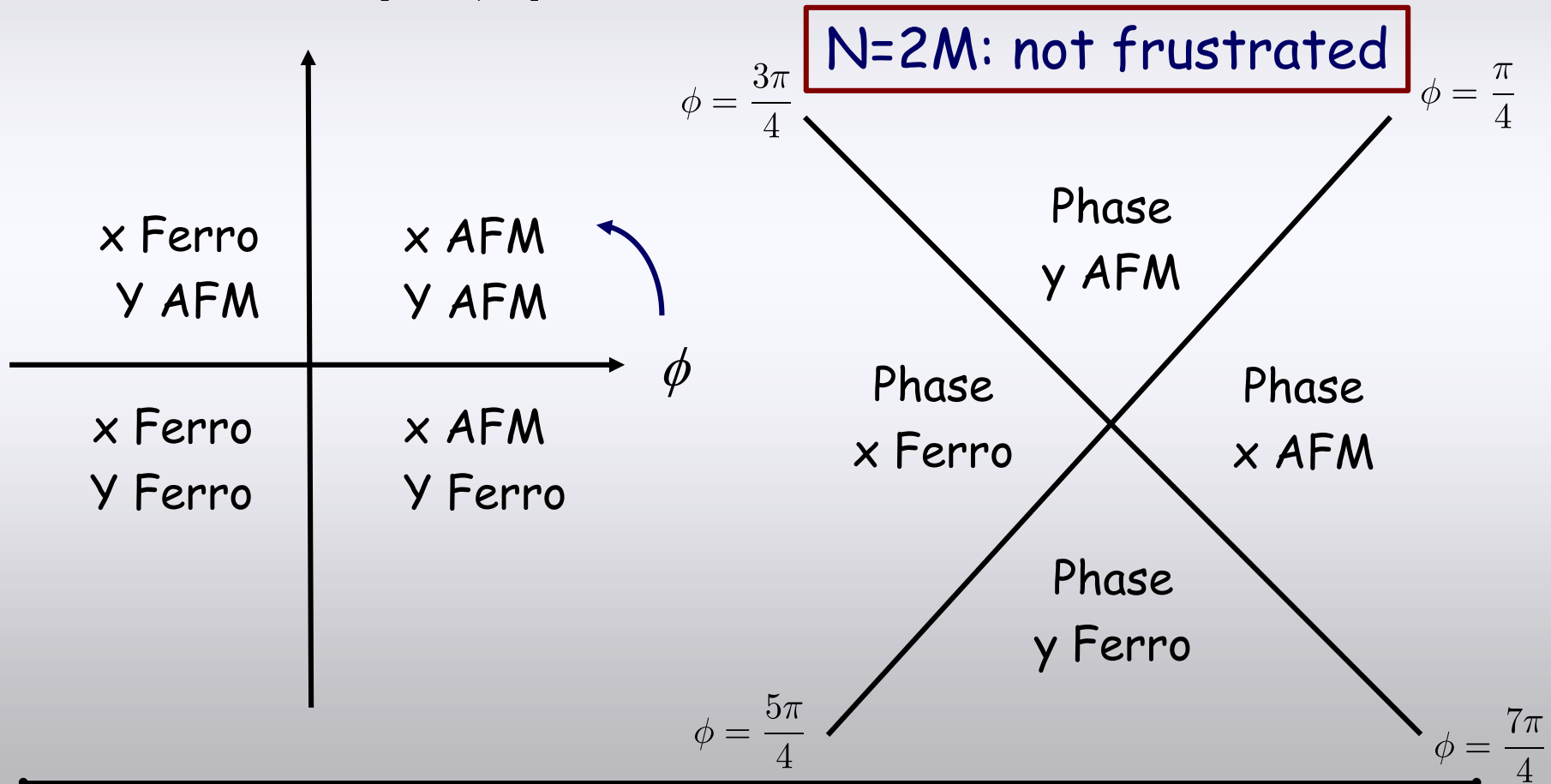
⇒ Exact 2 or 4-fold GS degeneracy at finite N

- Any GS choice necessarily break a symmetry of H

XYZ Chain in zero field

$$H = \sum_{j=1}^N \left[\cos \delta \left(\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y \right) - \sin \delta \sigma_j^z \sigma_{j+1}^z \right]$$

- Assume $\delta \in [0, \pi/2]$ (Ferro zz-interaction)



XYZ Chain in zero field

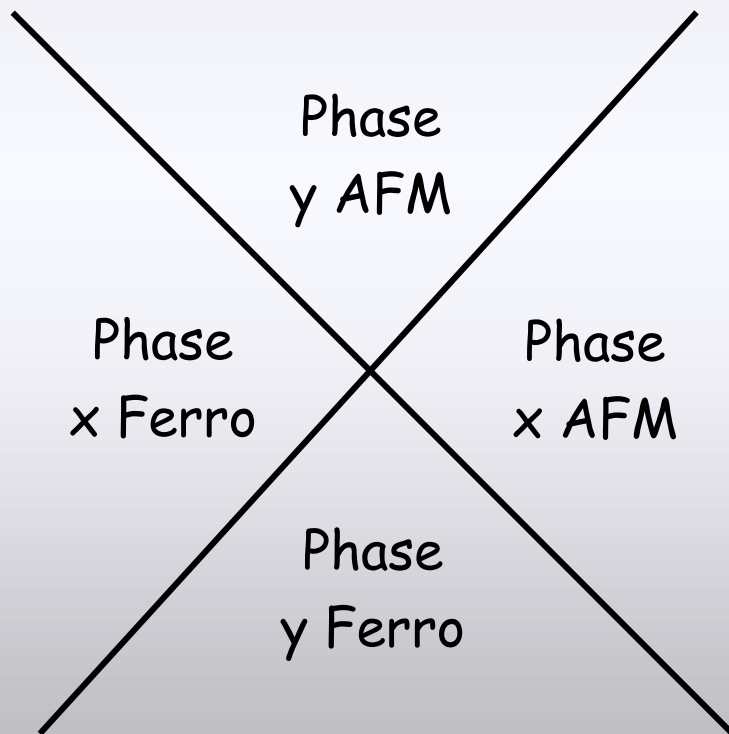
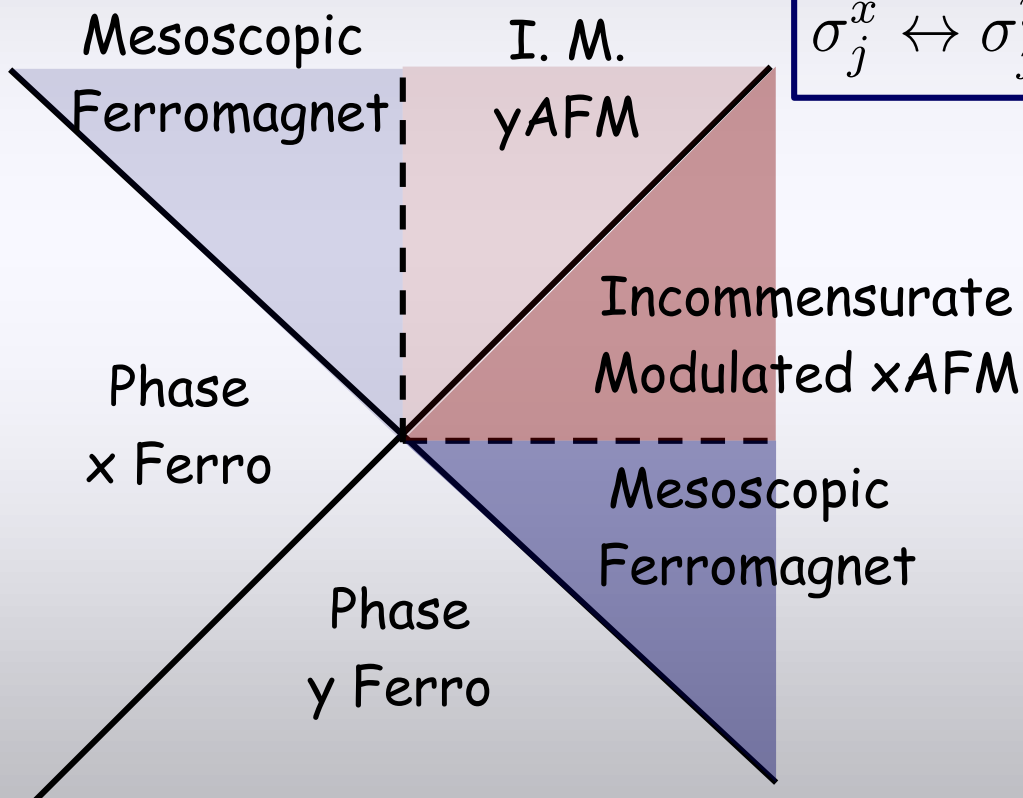
$$H = \sum_{j=1}^N \left[\cos \delta \left(\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y \right) - \sin \delta \sigma_j^z \sigma_{j+1}^z \right]$$

$N=2M+1$

Duality

$$\sigma_j^x \leftrightarrow \sigma_j^y$$

$N=2M$: not frustrated



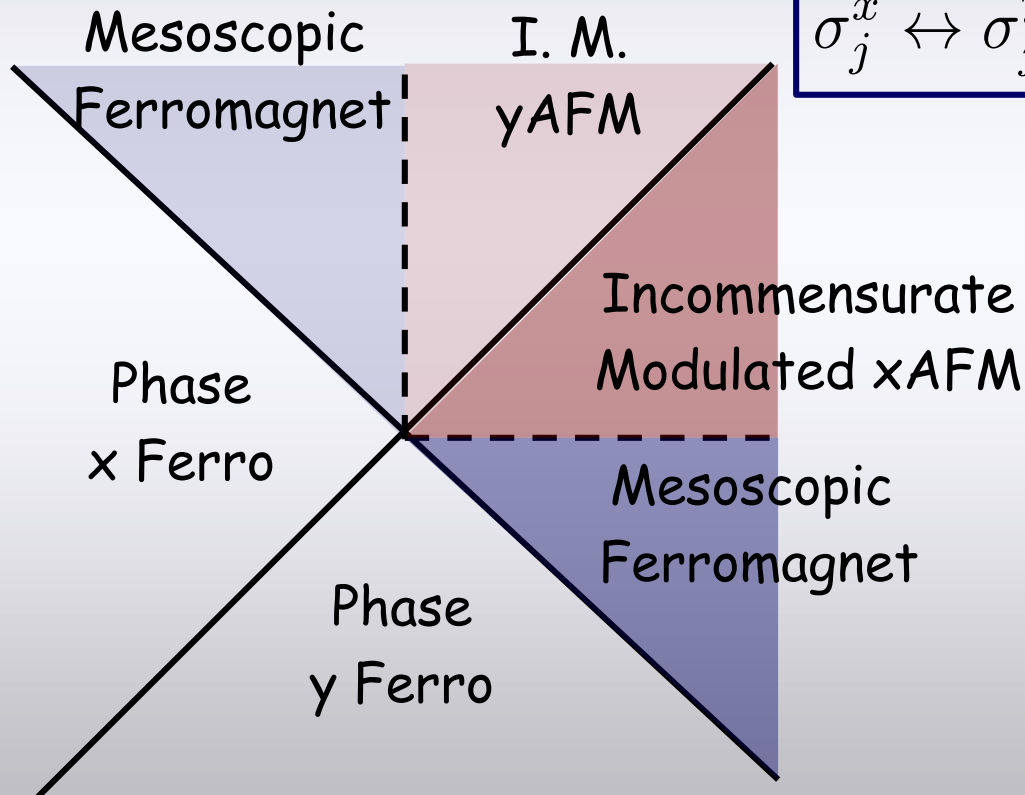
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Duality

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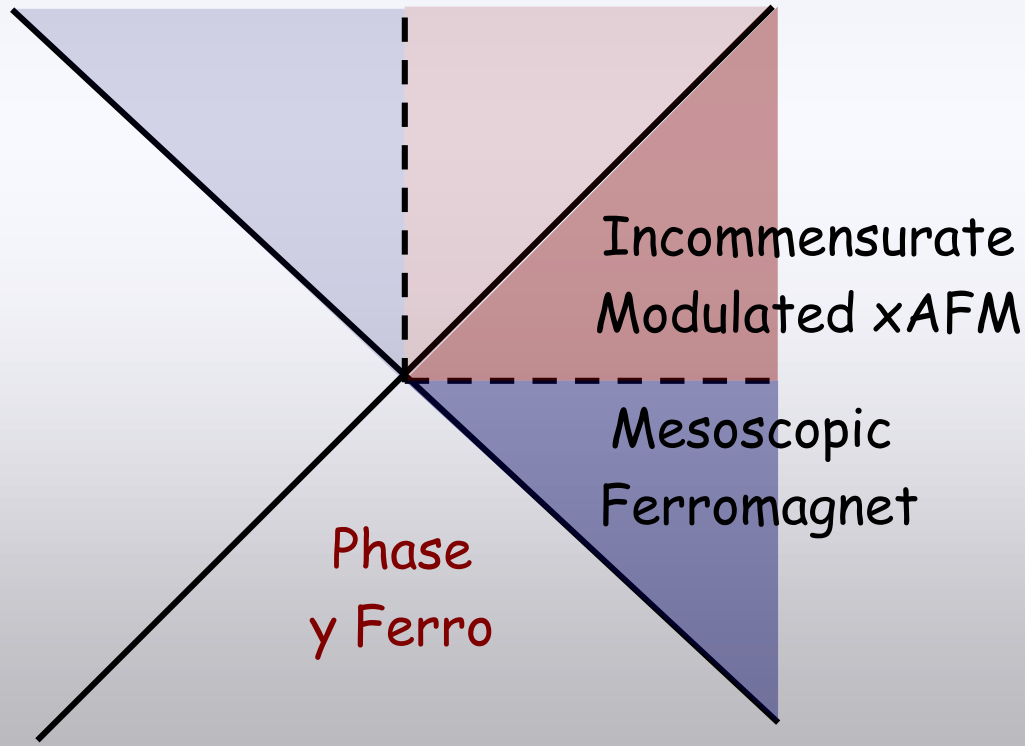


- Different **bc induce changes** in AFM phases
- Thanks to aforementioned symmetries/degeneracies we can calculate **magnetization exactly**
- Order in these regions compatible with perturbative picture

Ferromagnetic Phase

$$H = \sum_{j=1}^{2M+1} \left[\cos \delta \left(\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y \right) - \sin \delta \sigma_j^z \sigma_{j+1}^z \right]$$

- **γ -FM:** $\phi \in [-\pi/2, -\pi/4)$



Ferromagnetic Phase

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- **y-FM:** $\phi \in [-\pi/2, -\pi/4)$

- $\langle \sigma_j^x \sigma_{j+r}^x \rangle \underset{r \rightarrow \infty}{\sim} c_x \frac{\cot^r \phi}{r} + \dots$

$$\langle \sigma_j^y \sigma_{j+r}^y \rangle \underset{r \rightarrow \infty}{\sim} \sqrt{1 - \cot^2 \phi} \left(1 + c_y \frac{\cot^r \phi}{r^2} + \dots \right)$$

$$\langle \sigma_j^z \sigma_{j+r}^z \rangle \underset{r \rightarrow \infty}{\sim} -\frac{2 \cot^r \phi}{\pi r^2} + \dots \quad r = 2n + 1$$

McCoy Phys. Rev. (1968)

$$\Rightarrow m_x = m_z = 0$$

$$m_y = (1 - \cot^2 \phi)^{1/4}$$

in $N \rightarrow \infty$ limit

Ferromagnetic Phase

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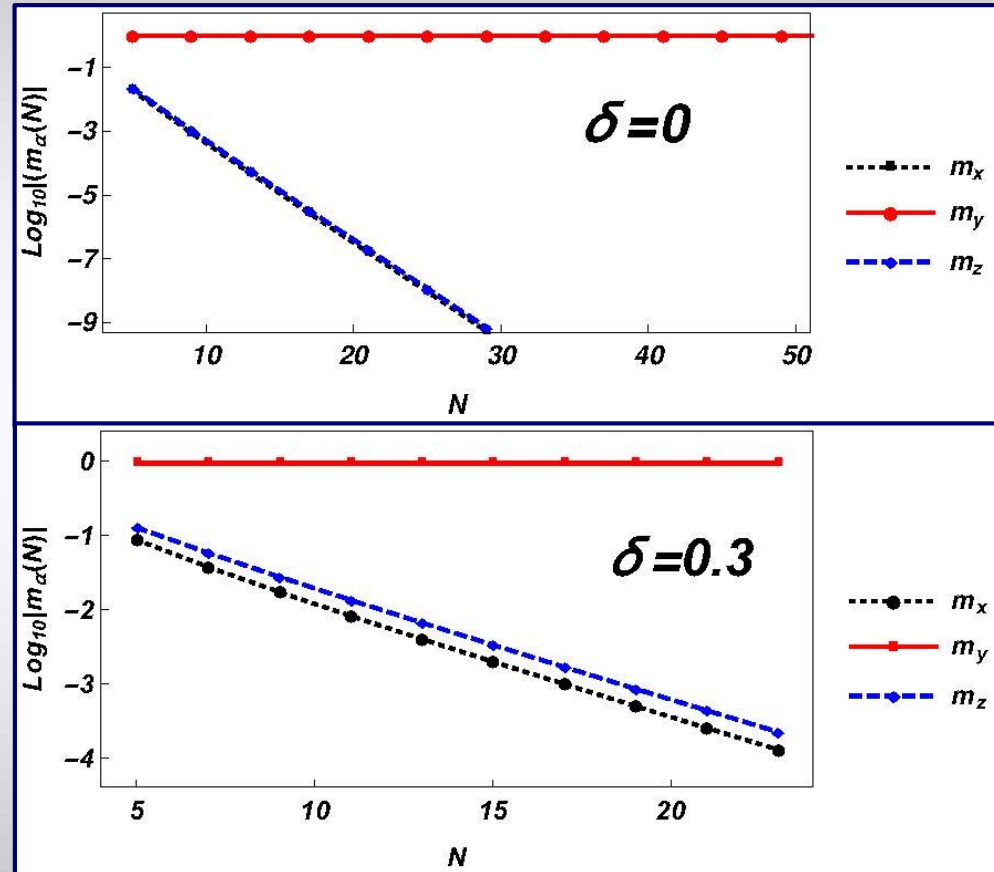
- $|g_\alpha\rangle \equiv \frac{1}{\sqrt{2}} (1 + \Pi^\alpha) |g_z\rangle$

- $m_\alpha \equiv \langle g_\alpha | \sigma_j^\alpha | g_\alpha \rangle$
 $= \langle g_z | \prod_{l \neq j} \sigma_l^\alpha | g_z \rangle$

$$\Rightarrow m_x = m_z = 0$$

$$m_y = (1 - \cot^2 \phi)^{1/4}$$

in $N \rightarrow \infty$ limit

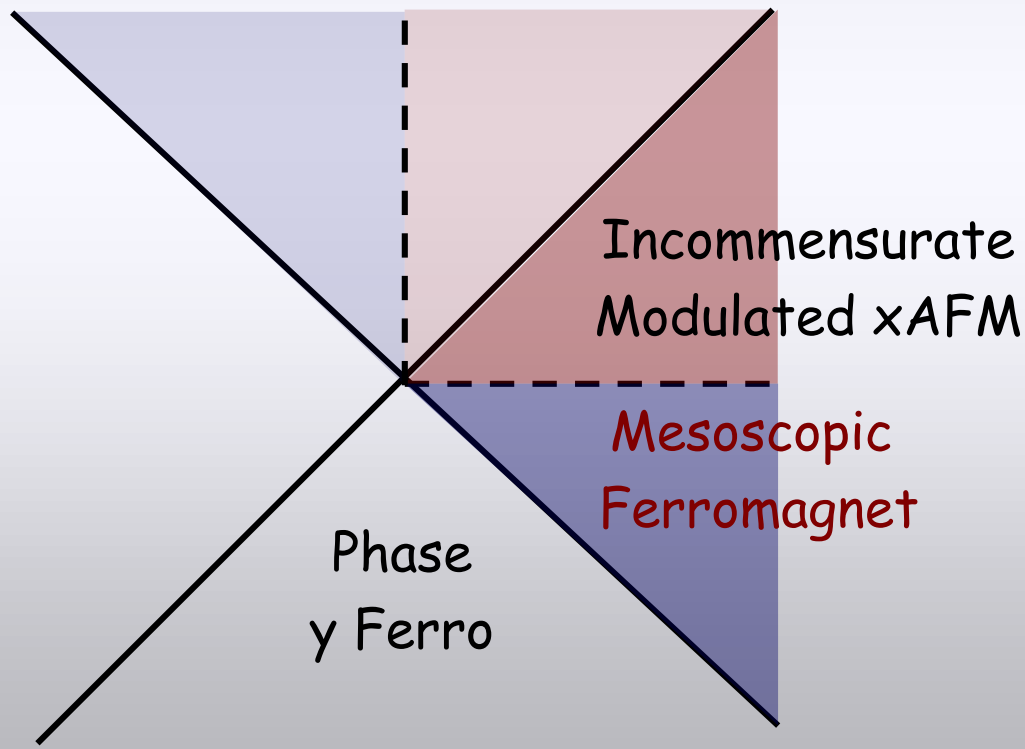


Consistency check on methodology!

Mesoscopic Ferromagnet

$$H = \sum_{j=1}^{2M+1} \left[\cos \delta \left(\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y \right) - \sin \delta \sigma_j^z \sigma_{j+1}^z \right]$$

- **MFM:** $\phi \in (-\pi/4, 0)$



Mesoscopic Ferromagnet

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- **MFM:** $\phi \in (-\pi/4, 0)$

- $\langle \sigma_j^x \sigma_{j+r}^x \rangle \stackrel{r \rightarrow \infty}{\simeq} -\sqrt{1 - \tan^2 \phi} \left(1 - \frac{2r}{N}\right) \left[1 + \tilde{c}_x \frac{\tan^r \phi}{r^2} + \dots\right]$

$$\langle \sigma_j^y \sigma_{j+r}^y \rangle \stackrel{r \rightarrow \infty}{\simeq} \tilde{c}_y \frac{(-\tan \phi)^r}{r} + \tilde{c}_y^{(1)} \frac{(-\tan \phi)^{\frac{r}{2}}}{N\sqrt{\pi r}} + \dots$$

$$\langle \sigma_j^z \sigma_{j+r}^z \rangle \stackrel{r \rightarrow \infty}{\simeq} -\frac{2 \tan^r \phi}{\pi r^2} + \tilde{c}_z^{(1)} \frac{(-\tan \phi)^{\frac{r-1}{2}}}{N\sqrt{\pi r}} \dots \quad r = 2n + 1$$

$$\Rightarrow m_y = m_z = 0$$

$$m_x = (-1)^j (1 - \tan^2 \phi)^{1/4} \text{ or } 0$$

in $N \rightarrow \infty$ limit

Mesoscopic Ferromagnet

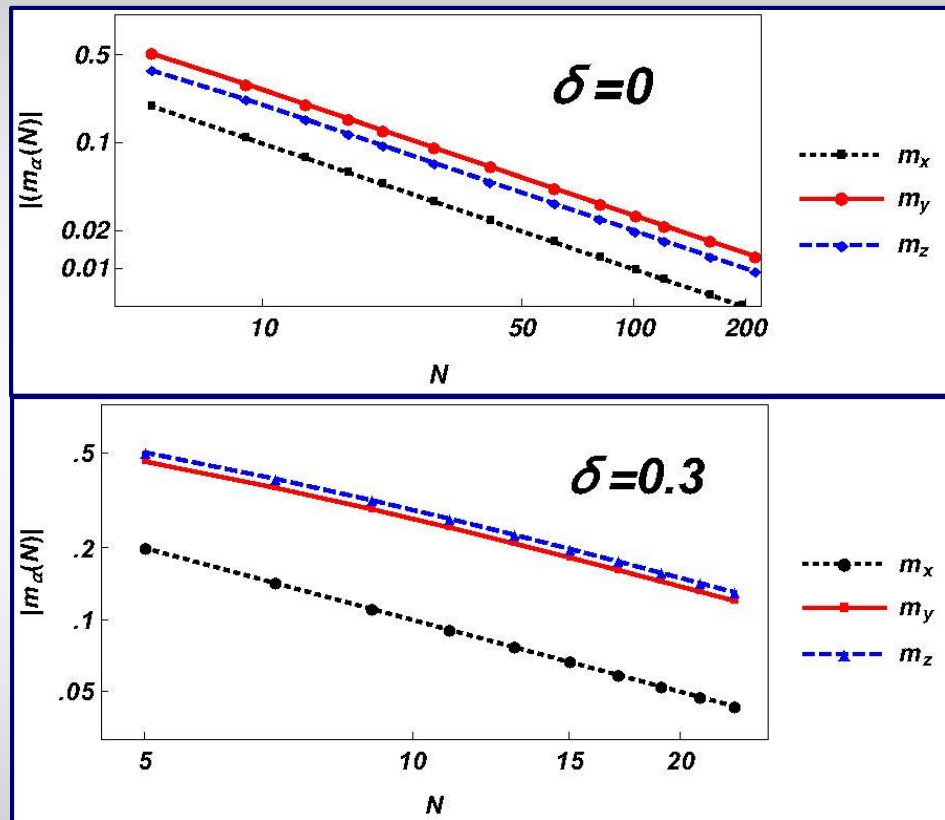
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- **MFM:** $\phi \in (-\pi/4, 0)$
- $|g_\alpha\rangle \equiv \frac{1}{\sqrt{2}} (1 + \Pi^\alpha) |g_z\rangle$

$$m_\alpha \equiv \langle g_\alpha | \sigma_j^\alpha | g_\alpha \rangle$$

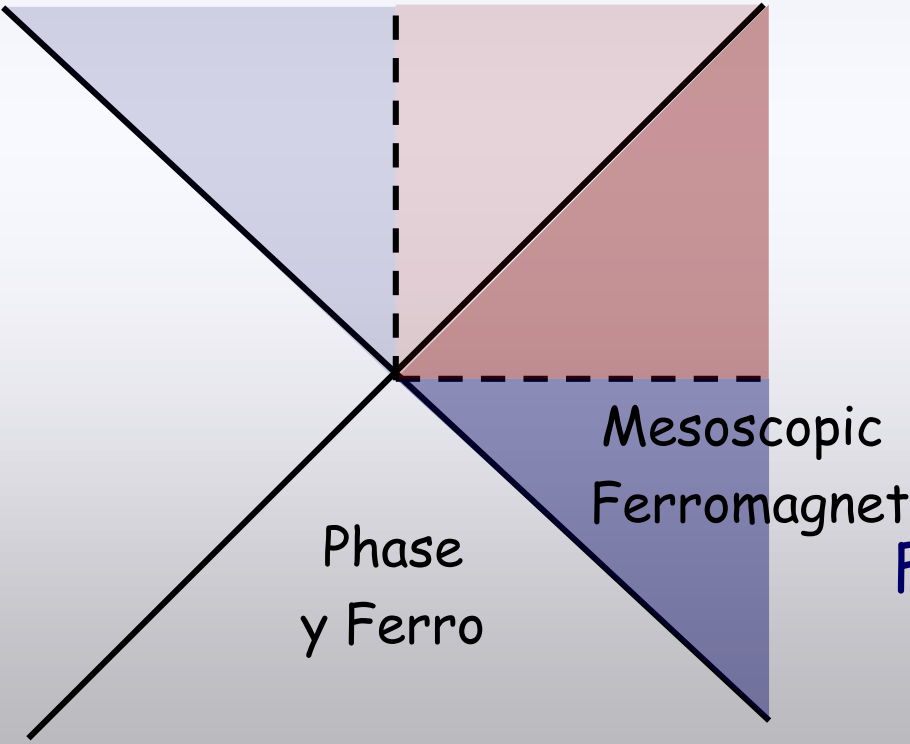
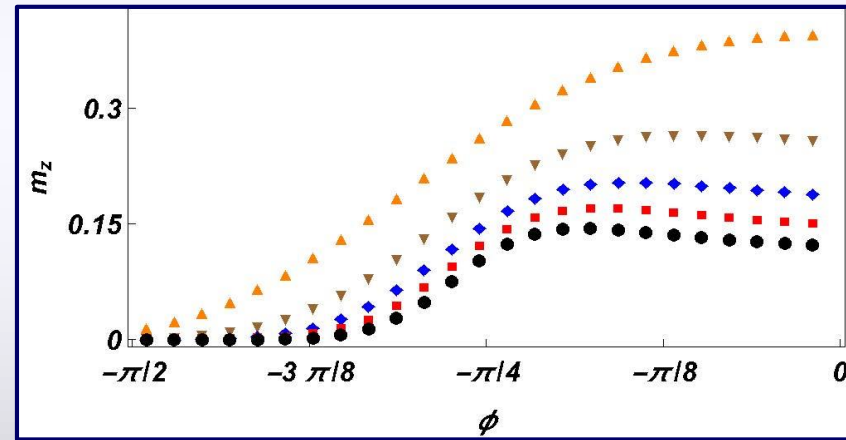
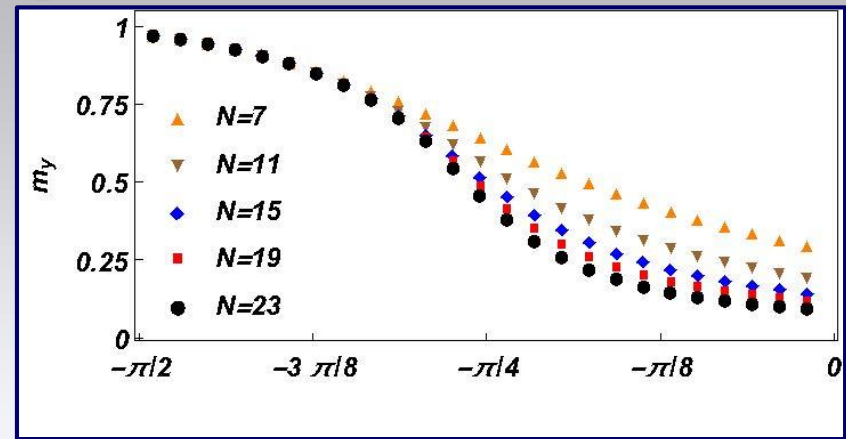
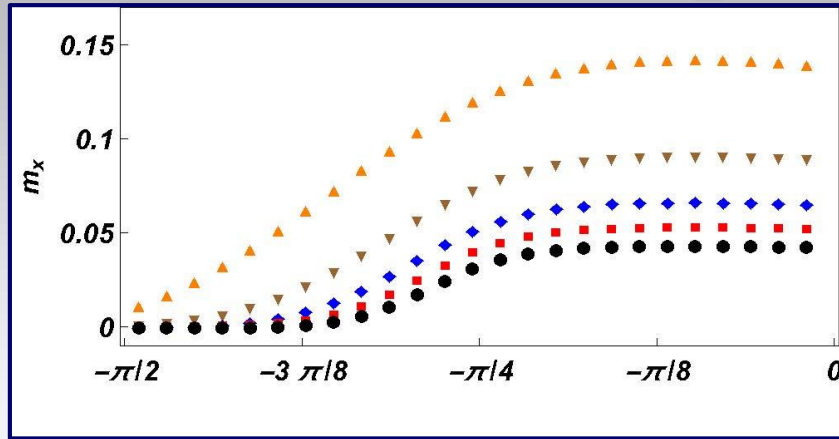
$$= \langle g_z | \prod_{l \neq j} \sigma_l^\alpha | g_z \rangle$$

$$\Rightarrow m_\alpha \simeq \frac{\tilde{m}_\alpha}{N^\gamma} \xrightarrow{N \rightarrow \infty} 0$$



- All magnetizations decay algebraically to zero and are **not staggered!**

Mesoscopic Magnetizations

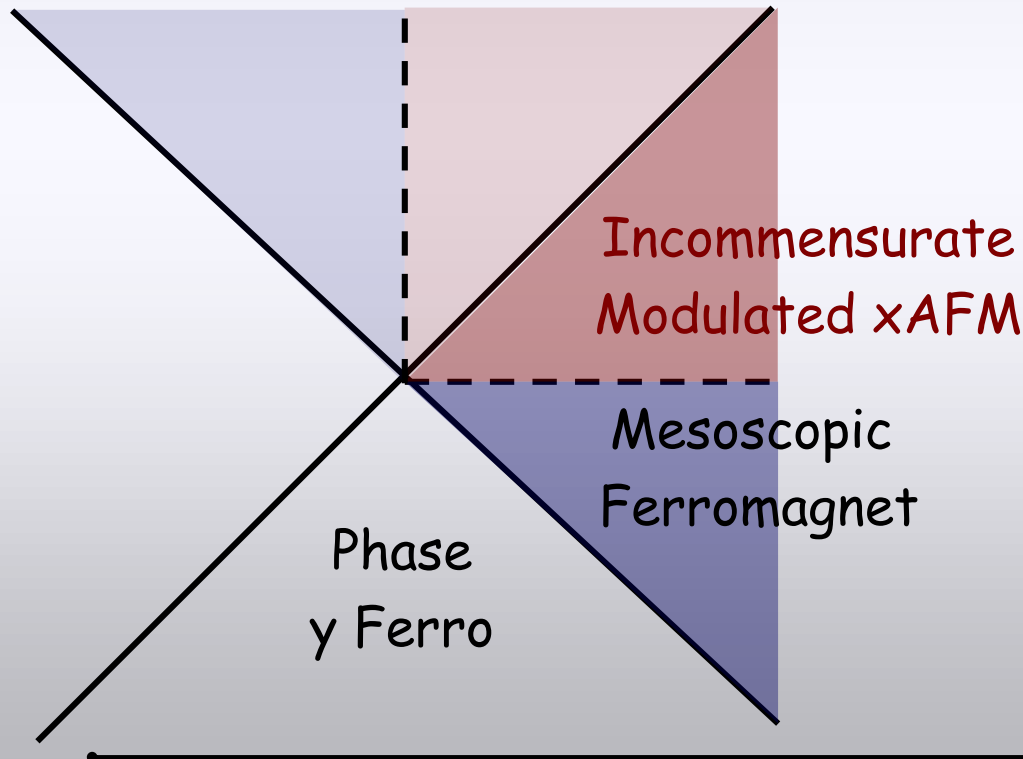


Frustrated boundary conditions
killed the order parameter!

Incommensurate Modulated AFM

$$H = \sum_{j=1}^{2M+1} [\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y]$$

- **IMAFM:** $\phi \in (0, \pi/4)$



- 2 competing (frustrated) AFM interactions
- Lowest energy states have finite momentum $\pm\pi/2$
- 4-fold degenerate GS (2x parities, 2x chiralities)
- GS can break transl. Inv.

Incommensurate Modulated AFM

$$H = \sum_{j=1}^{2M+1} [\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y]$$

- **IMAFM:** $\phi \in (0, \pi/4)$ $p \equiv \frac{\pi}{2} \left(1 + \frac{1}{N}\right)$

- Chose GS: $\frac{1}{\sqrt{2}} [|\pm p, +\rangle + e^{i\theta} |\pm p, -\rangle] \Rightarrow$ **Mesoscopic FM**

- Chose GS: $|\tilde{g}\rangle \equiv \frac{1}{\sqrt{2}} [|\pm p, +\rangle + e^{i\theta} |\mp p, -\rangle]$

$$\Rightarrow \langle \tilde{g} | \sigma_j^\alpha | \tilde{g} \rangle = \frac{1}{2} \left[e^{i\pi(1+\frac{1}{N})j+\theta} \langle \pm p, + | \sigma_N^\alpha | \mp p, - \rangle + \text{c.c.} \right]$$

Use Transl. Inv.

$$f_\alpha \equiv |\langle \pm p, + | \sigma_N^\alpha | \mp p, - \rangle|$$

$\alpha=x$: purely real

$\alpha=y$: purely imaginary

Computable as a
string as before

Incommensurate Modulated AFM

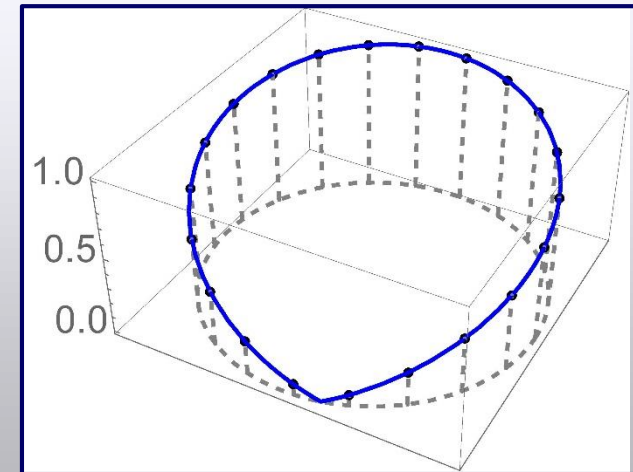
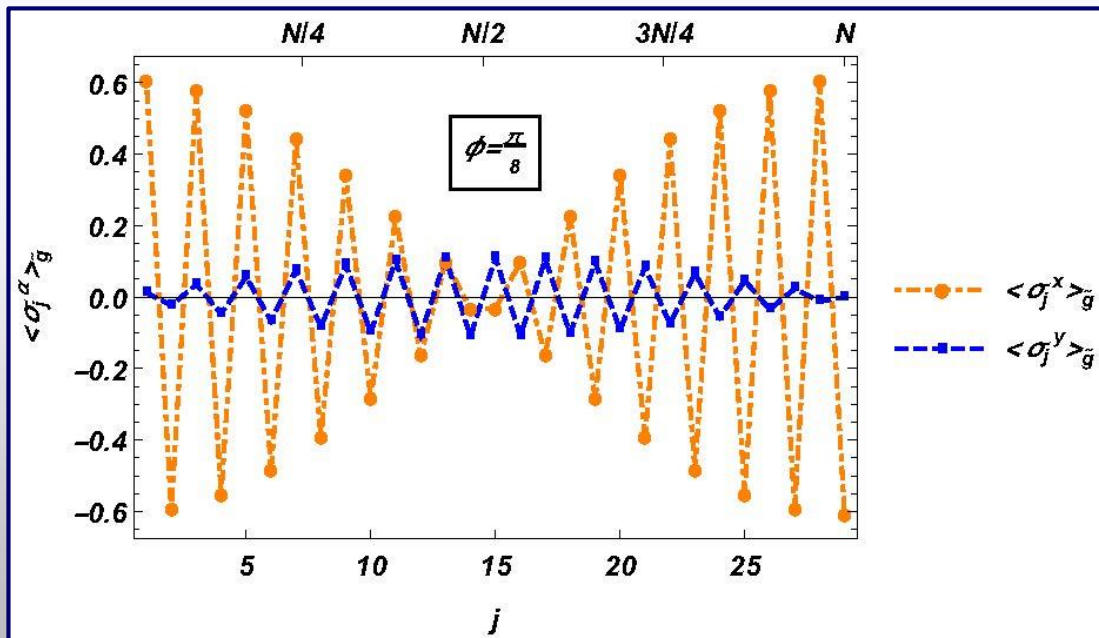
$$H = \sum_{j=1}^{2M+1} \left[\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y \right]$$

- **IMAFM:** $\phi \in (0, \pi/4)$

$$p \equiv \frac{\pi}{2} \left(1 + \frac{1}{N} \right)$$

$$\langle \tilde{g} | \sigma_j^\alpha | \tilde{g} \rangle = (-1)^j \cos \left(\pi \frac{j}{N} + \tilde{\theta}_\alpha \right) f_\alpha$$

$$|\tilde{g}\rangle \equiv \frac{1}{\sqrt{2}} \left[|\pm p, +\rangle + e^{i\theta} |\mp p, -\rangle \right]$$



Incommensurate Modulated AFM

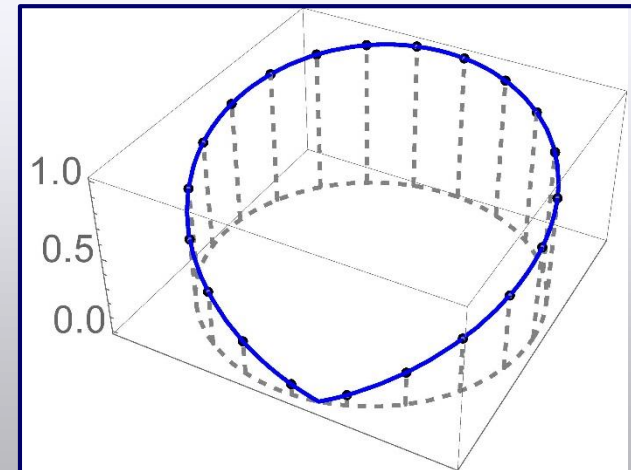
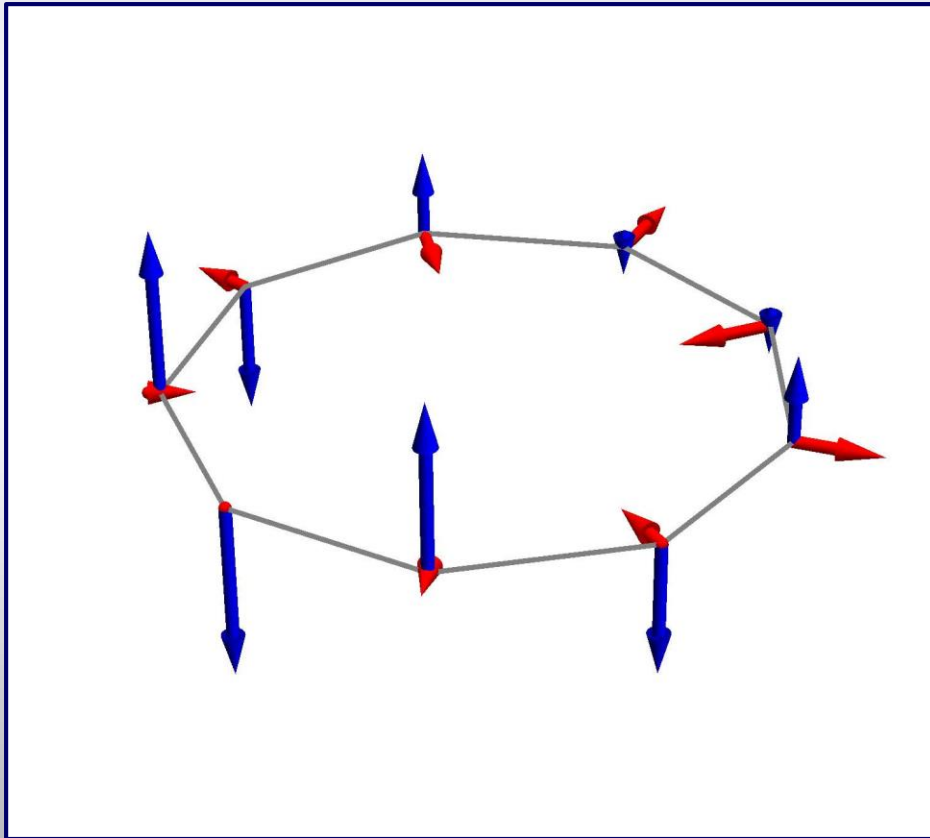
$$H = \sum_{j=1}^{2M+1} \left[\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y \right]$$

- **IMAFM:** $\phi \in (0, \pi/4)$

$$p \equiv \frac{\pi}{2} \left(1 + \frac{1}{N} \right)$$

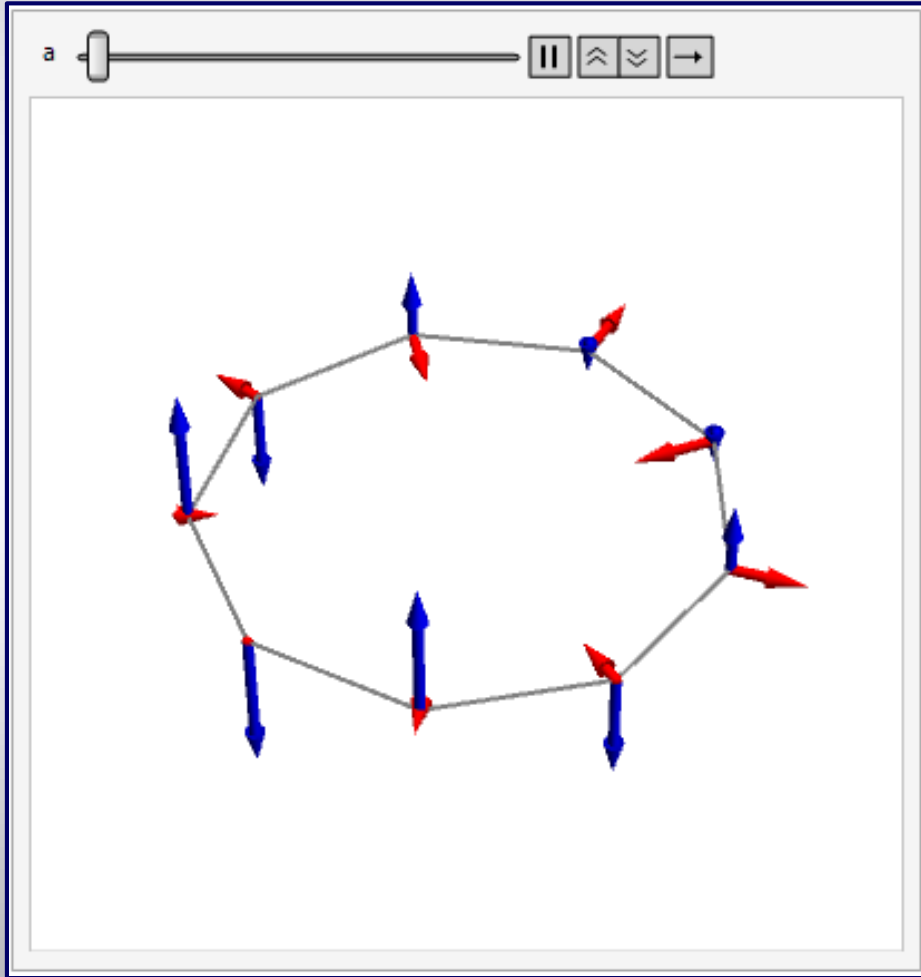
$$|\tilde{g}\rangle \equiv \frac{1}{\sqrt{2}} \left[|\pm p, +\rangle + e^{i\theta} |\mp p, -\rangle \right]$$

$$\langle \tilde{g} | \sigma_j^\alpha | \tilde{g} \rangle = (-1)^j \cos \left(\pi \frac{j}{N} + \tilde{\theta}_\alpha \right) f_\alpha$$



Incommensurate Modulated AFM

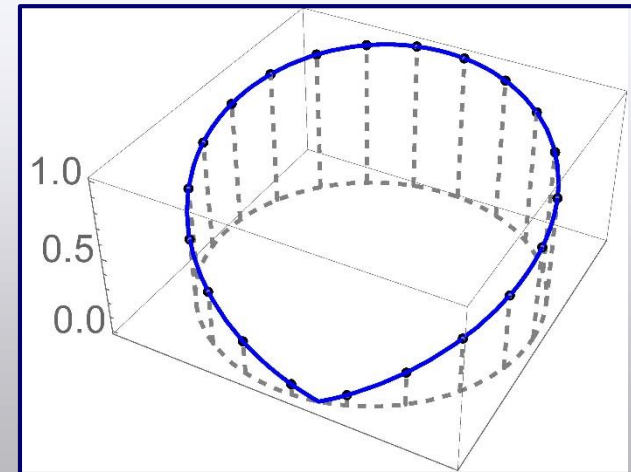
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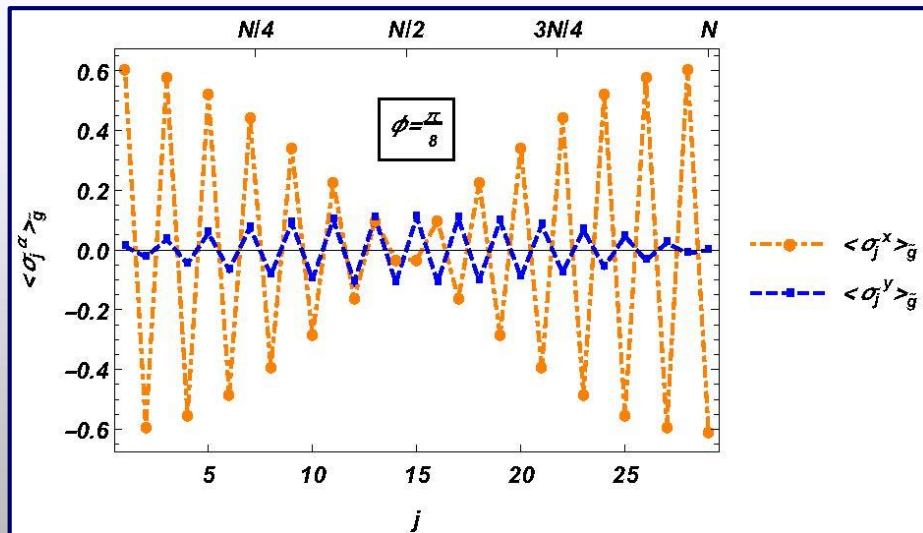
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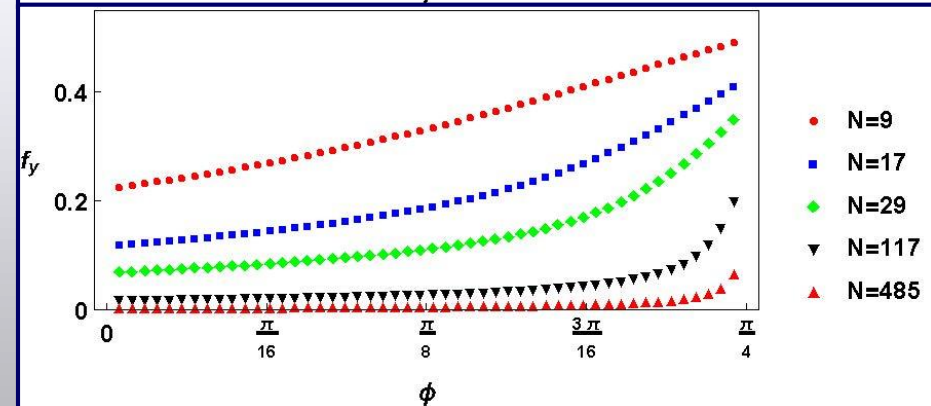
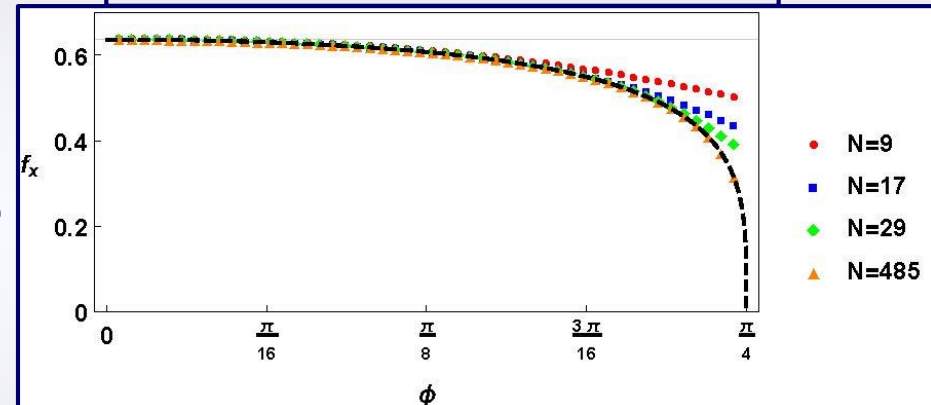
- **IMAFM:** $\phi \in (0, \pi/4)$

$$\langle \tilde{g} | \sigma_j^\alpha | \tilde{g} \rangle = (-1)^j \cos \left(\pi \frac{j}{N} + \tilde{\theta}_\alpha \right) f_\alpha$$

- Finite N: squeezed helical order



$$f_\alpha \equiv |\langle \pm p, + | \sigma_N^\alpha | \mp p, - \rangle|$$



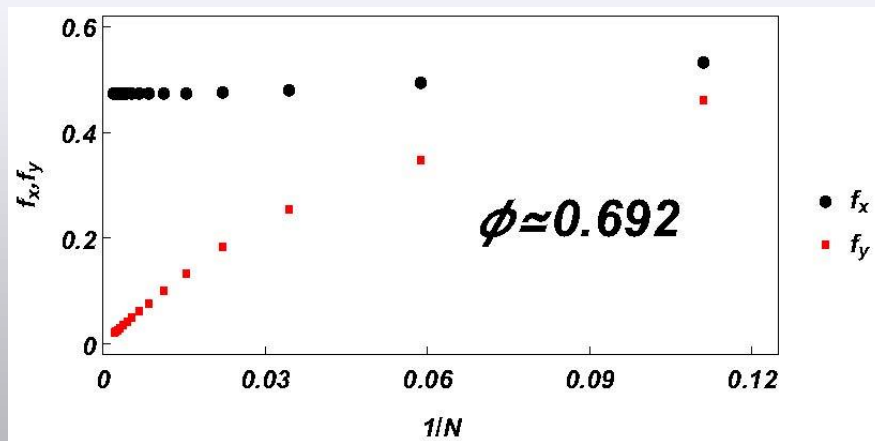
Incommensurate Modulated AFM

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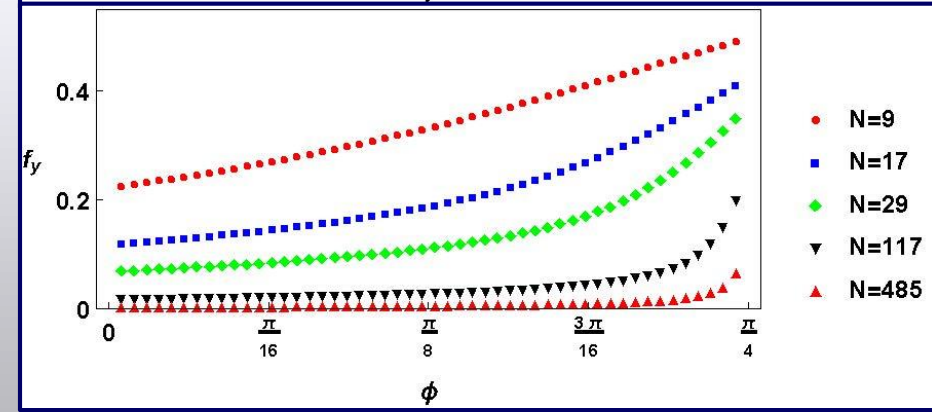
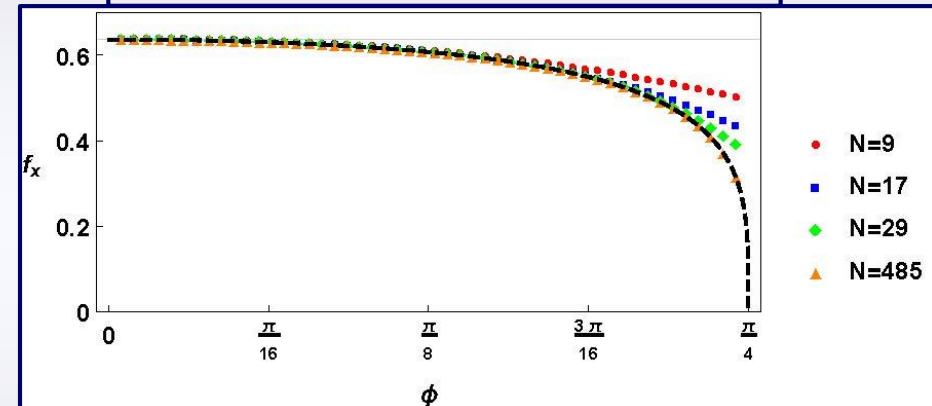
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$$\langle \tilde{g} | \sigma_j^\alpha | \tilde{g} \rangle = (-1)^j \cos \left(\pi \frac{j}{N} + \tilde{\theta}_\alpha \right) f_\alpha$$

- y magn. suppressed in therm. limit but x remains finite!



$$f_\alpha \equiv |\langle \pm p, + | \sigma_N^\alpha | \mp p, - \rangle|$$

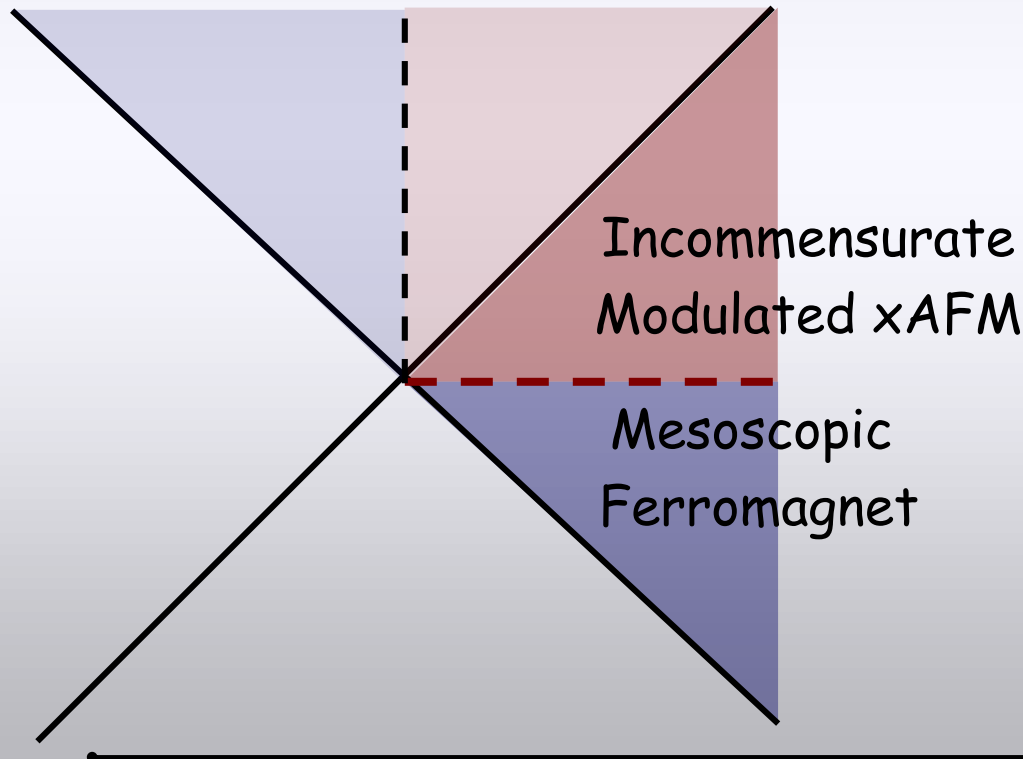


Quantum phase transition?

$$H = \sum_{j=1}^{2M+1} [\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y]$$

$$\left. \frac{dE_g}{d\phi} \right|_{\phi \rightarrow 0^-} - \left. \frac{dE_g}{d\phi} \right|_{\phi \rightarrow 0^+} = 2 \left(1 + \cos \frac{\pi}{N} \right)$$

- $\phi=0$ (classical Ising)
 - **Level crossing** (change in GS degeneracy: $2 \leftrightarrow 4$)
 - **Finite** discontinuity in 1^o derivative of GS energy
 - Akin to a 1^o order bQPT
- \Rightarrow **Boundary-less Wetting Transition (BWT)**

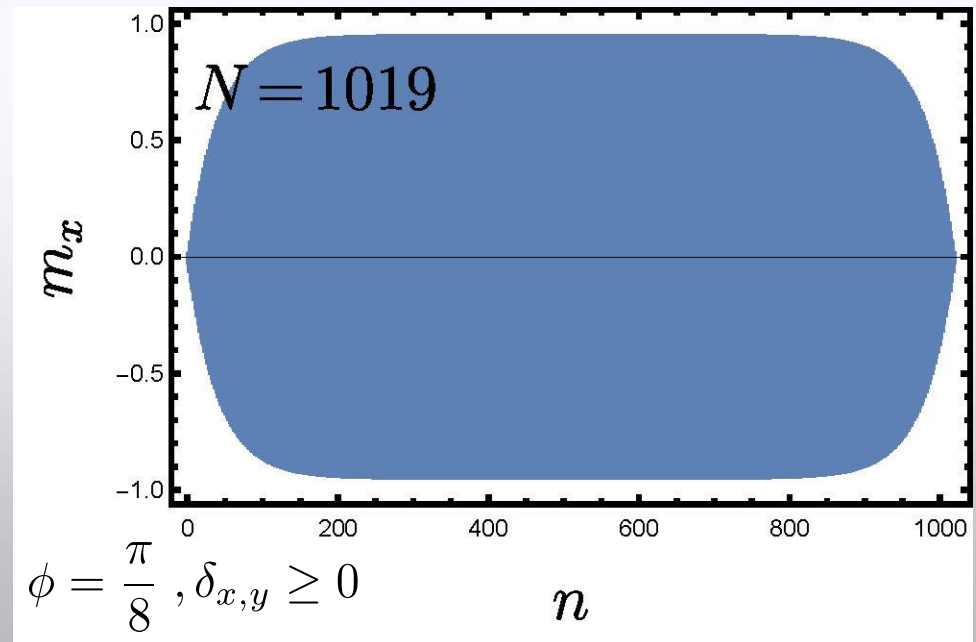


Defects

$$H = \sum_{j=1}^{2M} [\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y] + \cos(\phi + \delta_x) \sigma_{2M+1}^x \sigma_1^x + \sin(\phi + \delta_y) \sigma_{2M+1}^y \sigma_1^y$$

- Physics discussed so far often dismissed as fragile
- Indeed a ferromagnetic defect simply pins one domain wall
 - split classical point degeneracy and select one state

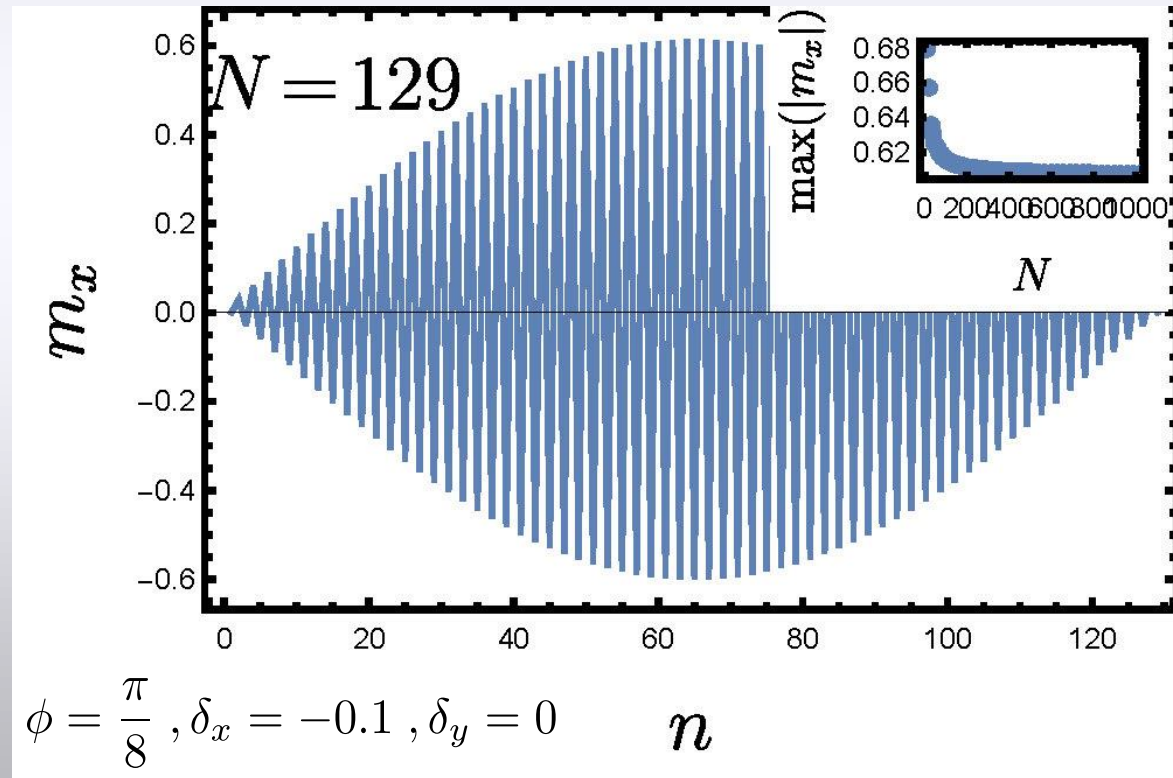
→ far from defect
standard AFM order
is recovered



Defects

$$H = \sum_{j=1}^{2M} [\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y] + \cos(\phi + \delta_x) \sigma_{2M+1}^x \sigma_1^x + \sin(\phi + \delta_y) \sigma_{2M+1}^y \sigma_1^y$$

- Physics discussed so far often dismissed as fragile
- A single AFM defect **stabilizes** the incommensurate AFM order!

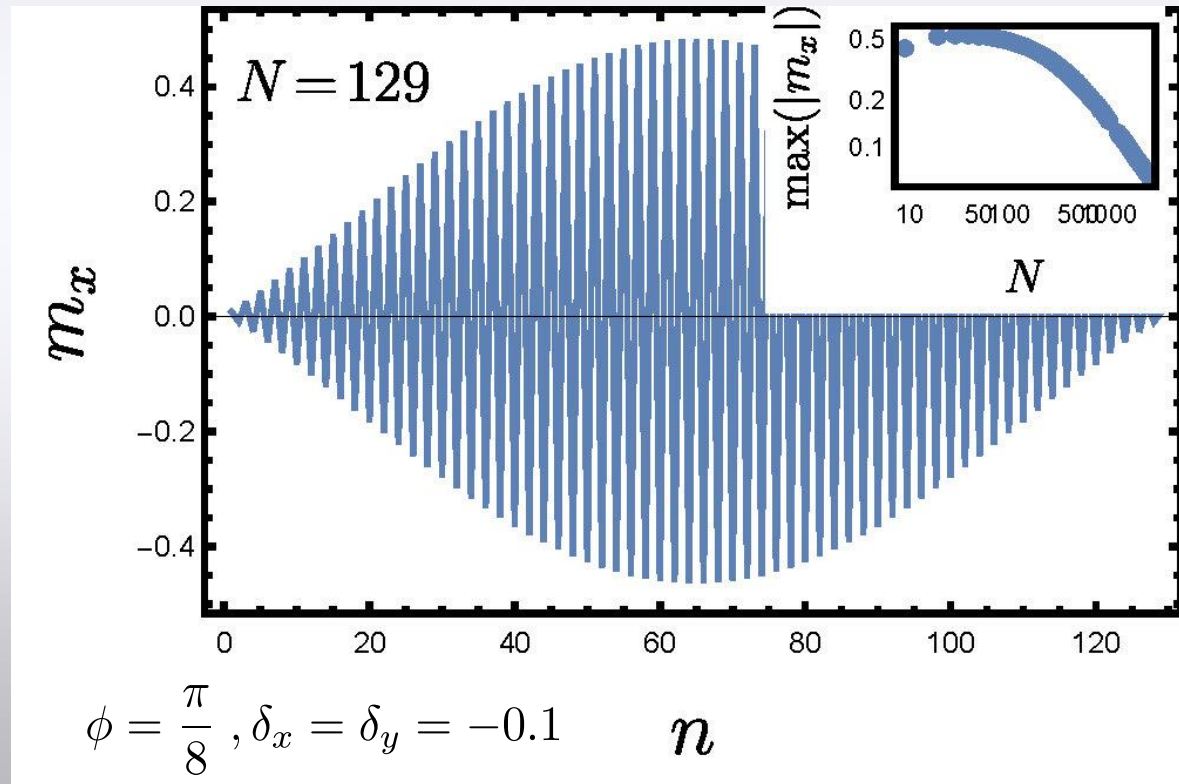


Defects

$$H = \sum_{j=1}^{2M} [\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y] + \cos(\phi + \delta_x) \sigma_{2M+1}^x \sigma_1^x + \sin(\phi + \delta_y) \sigma_{2M+1}^y \sigma_1^y$$

- Physics discussed so far often dismissed as fragile
- However, other defects give rise to ever different orders

⇒ with FBC, usual
AFM order
becomes **fragile!**



Conclusions

- We studied the effect of **frustrated boundary conditions** on the local order of quantum spin chains
- Frustration knoww to give **new physics** in quantum systems
- FBC destroy perfect AFM order and replace it with:
 - **Mesoscopic Ferromagnetic order** for 1 AFM interaction
 - **Incommensurate Modulated AFM** order for 2 AFM int.
- **Boundary-less Wetting Transition** between the two
- Boundary conditions influence bulk properties: why?

Thank you!

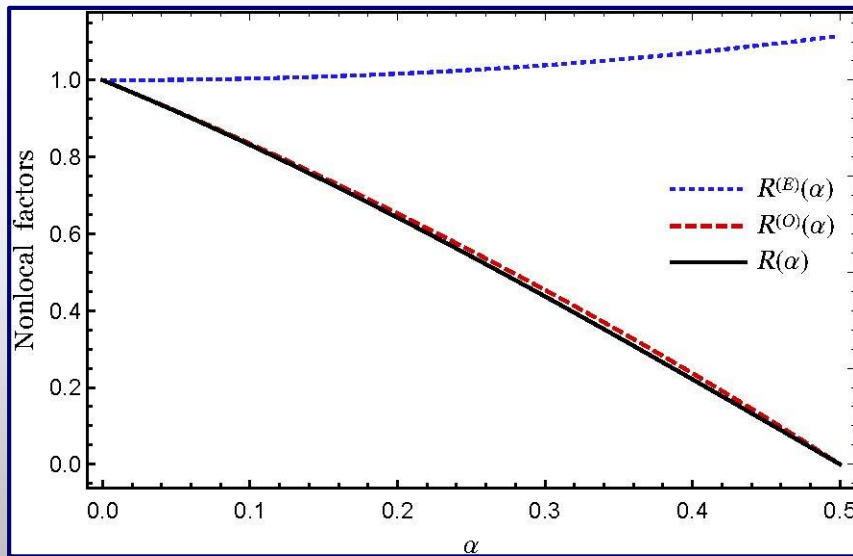
FBC at criticality

Li & He, PRE (2019)

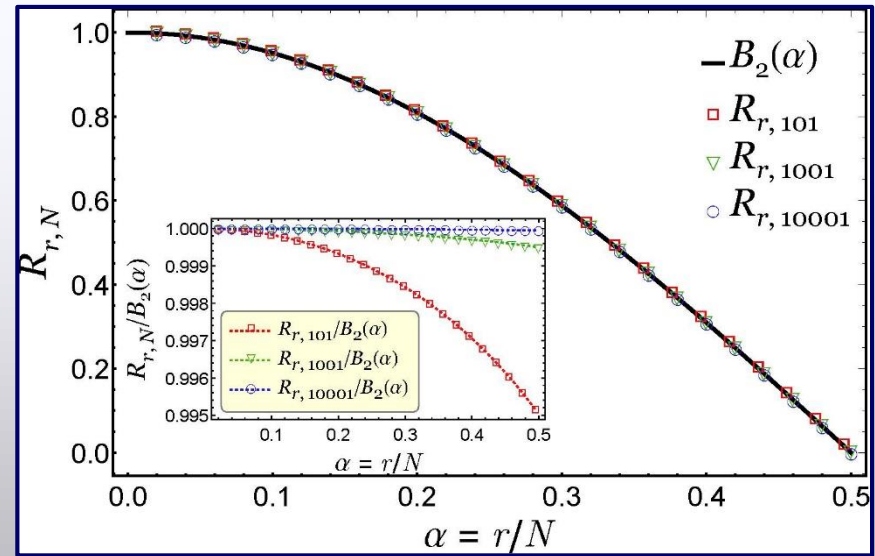
- Consider 2-point function of chains at criticality

$$C_{R,N} \equiv \langle \sigma_j^x \sigma_{j+R}^x \rangle \begin{cases} C_{R,\infty} \equiv C_{R,\lim_{N \rightarrow \infty} N} & \text{Usual} \\ C^{(O)}(\alpha) \equiv \lim_{L \rightarrow \infty} C_{\alpha(2L+1),2L+1} & \text{PBC on odd \# (frustrated)} \\ C^{(E)}(\alpha) \equiv \lim_{L \rightarrow \infty} C_{\alpha 2L,2L} & \text{PBC on even \#} \end{cases}$$

$$R^{(O)}(\alpha) \equiv C^{(O)}(\alpha)/C_{R,\infty} \quad R^{(E)}(\alpha) \equiv C^{(E)}(\alpha)/C_{R,\infty} \quad R(\alpha) \equiv C^{(O)}(\alpha)/C^{(E)}(\alpha)$$



Ising



XX

XY Chain

$$H = \sum_{j=1}^{2M+1} [\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y]$$

- Jordan-Wigner transformation turns spins into spinless fermions:

$$\sigma_l^+ = e^{i\pi \sum_{j<l} \psi_j^\dagger \psi_j} \psi_l, \quad \sigma_l^z = 1 - 2\psi_l^\dagger \psi_l$$

- Separate Hilbert space according to z-parity:

$$H = \frac{1 + \Pi^z}{2} H^+ \frac{1 + \Pi^z}{2} + \frac{1 - \Pi^z}{2} H^- \frac{1 - \Pi^z}{2} \quad \Pi^z \equiv \prod_{l=1}^N \sigma_l^z$$

- Rotation in Fourier space (Bogoliubov rotation) to get:

$$H^\pm = \sum_{q \in \Gamma_\pm} \varepsilon \left(\frac{2\pi}{N} q \right) \left\{ \chi_q^\dagger \chi_q - \frac{1}{2} \right\}, \quad \Gamma_P = \left\{ n + \frac{1 + \Pi^z}{4} \right\}_{n=0}^{N-1}$$

$$\varepsilon(\alpha) \equiv 2 \left| \cos \phi e^{i2q} + \sin \phi \right|, \quad \varepsilon(0) = -\varepsilon(\pi) = 2 (\cos \phi + \sin \phi)$$

can be negative!

XY Chain: FM phase

$$H = \sum_{j=1}^{2M+1} [\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y]$$

$$\epsilon(\alpha) \equiv 2 |\cos \phi e^{i2q} + \sin \phi|$$

- **FM phase:** $\phi \in [-\pi/2, -\pi/4)$

$$\epsilon(0) = -\epsilon(\pi) = 2 (\cos \phi + \sin \phi)$$

- $\epsilon(0) < 0$: belongs to **odd** parity sector

Bogoliubov vacuum

$\Rightarrow |0\rangle$: lowest energy state in **even** parity sector

$\chi_0^\dagger |0'\rangle$: lowest energy state in **odd** parity sector

- Energy gap exponentially small in M (zero for $h=0$)
- Finite gap with other states

XY Chain: AFM phases

$$H = \sum_{j=1}^{2M+1} [\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y]$$

$$\epsilon(\alpha) \equiv 2 |\cos \phi e^{i2q} + \sin \phi|$$

- **FM phase:** $\phi \in (-\pi/4, \pi/4)$

$$\epsilon(0) = -\epsilon(\pi) = 2 (\cos \phi + \sin \phi)$$

- $\epsilon(\pi) < 0$: belongs to **even** parity sector

Bogoliubov vacuum

$\Rightarrow \chi_{\pi}^{\dagger} |0\rangle$: lowest energy state in even parity sector

$|0'\rangle$: ~~lowest energy state in odd parity sector~~
~~Not compatible with parity constraint~~

XY Chain: MFM

$$H = \sum_{j=1}^{2M+1} [\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y]$$

$$\epsilon(\alpha) \equiv 2 |\cos \phi e^{i2q} + \sin \phi|$$

- **FM phase:** $\phi \in (-\pi/4, 0)$

$$\epsilon(0) = -\epsilon(\pi) = 2 (\cos \phi + \sin \phi)$$

- $\epsilon(\pi) < 0$: belongs to **even** parity sector

Bogoliubov vacuum

$\Rightarrow |0\rangle$: lowest **allowed** en. state in **even** parity sector

$\chi_0^\dagger |0'\rangle$: lowest **allowed** en. state in **odd** parity sector

- Energy gap algebraically small in M (zero for $h=0$)

- $\frac{1}{(2M+1)^2}$ closing gap with other states

XY Chain: IMAFM

$$H = \sum_{j=1}^{2M+1} \left[\cos \phi \sigma_j^x \sigma_{j+1}^x + \sin \phi \sigma_j^y \sigma_{j+1}^y \right]$$

$$\varepsilon(\alpha) \equiv 2 \left| \cos \phi e^{i2q} + \sin \phi \right|$$

- **FM phase:** $\phi \in (0, \pi/4)$

$$\varepsilon(0) = -\varepsilon(\pi) = 2 (\cos \phi + \sin \phi)$$

- $\varepsilon(\pi) < 0$: belongs to **even** parity sector

Bogoliubov vacuum

$$\Rightarrow \chi_{\pm \frac{\pi}{2}}^{\dagger} \left(1 + \frac{1}{N}\right) \chi_{\pi}^{\dagger} |0\rangle : 2 \text{ deg. GS in } \mathbf{even} \text{ parity sector}$$

$$\chi_{\pm \frac{\pi}{2}}^{\dagger} \left(1 - \frac{1}{N}\right) |0'\rangle : 2 \text{ deg GS in } \mathbf{odd} \text{ parity sector}$$

- Energy gap algebraically small in M (zero for $h=0$)

- $\frac{1}{(2M+1)^2}$ closing gap with other states

Even Parity

- Absolute GS \rightarrow Bogoliubov vacuum: $\chi_q|GS\rangle = 0, \forall q \in \mathbb{N} + \frac{1}{2}$
lowest energy allowed stat in even parity sector (P=1):

- For $h < 1$, occupation of π -mode lowers the energy

$$|GS\rangle \rightarrow E_0 = -\frac{1}{2} \sum_{q=0}^{2M} \varepsilon \left[\frac{2\pi}{N} \left(q + \frac{1}{2} \right) \right] + 1 - h$$

excited states with P=1 lie arbitrarily close in energy to GS,
forming a band with quadratic dispersion:

$$\chi_{M+1/2}^\dagger \chi_{p+1/2}^\dagger |GS\rangle \rightarrow E_p = -\frac{1}{2} \sum_{q=0}^{2M} \varepsilon \left[\frac{2\pi}{N} \left(q + \frac{1}{2} \right) \right] + \varepsilon \left[\frac{2\pi}{N} \left(p + \frac{1}{2} \right) \right]$$

$$E(k) \simeq E_0 + \frac{1}{2} \left(\frac{h}{1-h} \right) (k - \pi)^2 + \dots$$

Odd Parity

- Vacuum does not belong to odd parity sector (P=-1):

$$\chi_q|0'\rangle = 0, \forall q \in \mathbb{N}$$

- Low energy states have one excitation: $\chi_p^\dagger|0'\rangle$
- Lowest energy state(s) for p=M/M+1:

$$\chi_{M,M+1}^\dagger|0'\rangle = |GS'\rangle \rightarrow E'_0 = -\frac{1}{2} \sum_{q=0}^{2M} \varepsilon \left[\frac{2\pi}{N} \left(q + \frac{1}{2} \right) \right] + \varepsilon \left(\pi \pm \frac{\pi}{N} \right)$$

which is bigger than E_0 , closing in polynomially!

- Low energy states also form a **band** above $|GS'\rangle$ with quadratic dispersion, **intertwining** with that of the even parity sector
- In total: Even + Odd produce a gapless **band of 2N** states



Frustrated Ising Chain: Hilbert Space

- Ising Hilbert space exactly mappable into a FF Fock space
- In each parity sector: lowest energy state surmounted by $N-1$ state separated by a gap proportional to N^{-2}
- States in the two sectors intertwined with a similar energy splitting
 - ⇒ GS part of a band of $2N$ gapless states in $N \rightarrow \infty$ limit
 - ⇒ polynomial (not exp. !) energy split between parities
 - ⇒ no SSB!



Order Parameter

$$H_{\text{Ising}} = \frac{1}{2} \sum_{l=1}^{2M+1} (\sigma_l^x \sigma_{l+1}^x - h \sigma_l^z)$$

$$P \equiv \prod_{l=1}^N \sigma_l^z, [H, P] = 0$$

- Parity eigenstates have **vanishing order parameter**:

$$\langle \sigma^x \rangle = \langle \sigma^+ + \sigma^- \rangle = 0$$

- Non-zero magnetization only for **degenerate GS** of mixed parities: **impossible** at finite N

- Spontaneous Symmetry breaking by

➤ Symmetry breaking field (not possible for gapless phases)

➤ Long-range order in 2-point function:

$$C^{xx}(R) \equiv \langle \sigma_l^x \sigma_{l+R}^x \rangle$$

$$\lim_{R \rightarrow \infty} C^{xx}(R) = \langle \sigma^x \rangle^2$$

Correlation functions

$$H_{\text{Ising}} = \frac{1}{2} \sum_{l=1}^{2M+1} (\sigma_l^x \sigma_{l+1}^x - h \sigma_l^z)$$

$$\sigma_l^+ = e^{i\pi \sum_{j<l} \psi_j^\dagger \psi_j} \psi_l$$

$$\sigma_l^z = 1 - 2\psi_l^\dagger \psi_l$$

- Correlation functions can be calculated starting from FF picture

- Introduce **Majorana Fermions**: $A_l \equiv \psi_l^\dagger + \psi_l$, $B_l \equiv i(\psi_l - \psi_l^\dagger)$

$$\langle A_l A_m \rangle = \langle B_l B_m \rangle = \delta_{l,m},$$

$$\langle A_{l+R} B_l \rangle = iG(R, J, h), \quad \nu(h, R) = \begin{cases} (-1)^R & h > 0 \\ -1 & h < 0 \end{cases}$$

$$G(R, J = 1, h) = -G(R, J = -1, -h) + \frac{2}{N} \nu(h, R)$$

- Compared to the standard case, the frustrated GS correlators have **1 additional contribution** as for **1 (π -)mode excited state**

Local and Quasi-Local Correlators

$$\langle A_{l+R} B_l \rangle = iG(R, J, h), \quad G(R, 1, h) = -G(R, -1, -h) + \frac{2}{N} \nu(h, R)$$

- “Local” Correlation functions have a finite number of Majoranas

$$\begin{aligned} \langle \sigma_{l+R}^z \sigma_l^z \rangle &= \langle A_{l+R} B_{l+R} A_l B_l \rangle \\ &= m_z^2 - \frac{c_1^z(h)}{R^2} \left(\frac{h^2}{J^2} \right)^R + \frac{4m_z}{N} \left[1 + c_2^z(h) (-1)^R \left| \frac{h}{J} \right|^R \right] \end{aligned}$$

- “Quasi-local” one have # of Majorana growing with distances

$$\begin{aligned} \langle \sigma_{l+R}^x \sigma_l^x \rangle &= \langle B_{l+R} A_{l+R-1} B_{l+R-1} \dots A_{l-1} B_{l-1} A_l \rangle \\ &= (-1)^R \left(1 - \frac{h^2}{J^2} \right)^{1/4} \left[1 + \frac{c^x(h)}{R^2} \left(\frac{h^2}{J^2} \right)^R \right] \left(1 - \frac{2R}{N} \right) \end{aligned}$$

(Dong et al. JSTAT '16)

- The $\frac{1}{N}$ contributions add up to be finite at large distances
- Locality w.r.t Jordan-Wigner fermions

Correlation Functions

- “Local” correlators:

$$C^{zz}(R) \equiv \langle \sigma_l^z \sigma_{l+R}^z \rangle = m_z^2 - \frac{c_1^z(h)}{R^2} \left(\frac{h^2}{J^2} \right)^R + \frac{4m_z}{N} \left[1 + c_2^z(h)(-1)^R \left| \frac{h}{J} \right|^R \right]$$

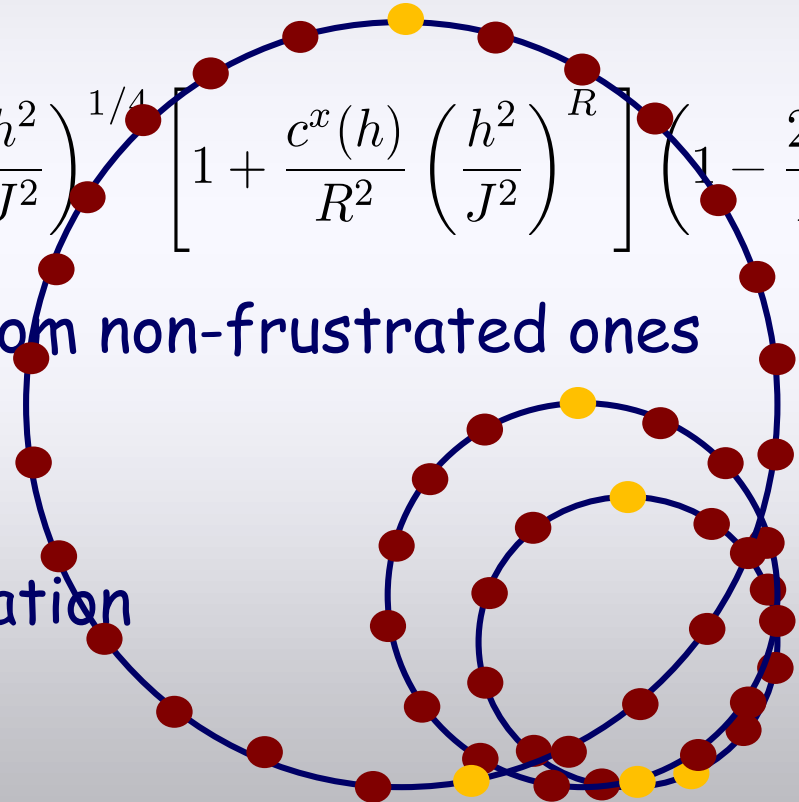
- “Quasi-Local” correlators

$$C^{xx}(R) \equiv \langle \sigma_l^x \sigma_{l+R}^x \rangle = (-1)^R \left(1 - \frac{h^2}{J^2} \right)^{1/4} \left[1 + \frac{c^x(h)}{R^2} \left(\frac{h^2}{J^2} \right)^R \right] \left(1 - \frac{2R}{N} \right)$$

- Locally: indistinguishable from non-frustrated ones

- Order parameter/

Spontaneous Magnetization



Correlation Functions

- “Local” correlators:

$$C^{zz}(R) \equiv \langle \sigma_l^z \sigma_{l+R}^z \rangle = m_z^2 - \frac{c_1^z(h)}{R^2} \left(\frac{h^2}{J^2} \right)^R + \frac{4m_z}{N} \left[1 + c_2^z(h)(-1)^R \left| \frac{h}{J} \right|^R \right]$$

- “Quasi-Local” correlators

$$C^{xx}(R) \equiv \langle \sigma_l^x \sigma_{l+R}^x \rangle = (-1)^R \left(1 - \frac{h^2}{J^2} \right)^{1/4} \left[1 + \frac{c^x(h)}{R^2} \left(\frac{h^2}{J^2} \right)^R \right] \left(1 - \frac{2R}{N} \right)$$

- Locally: indistinguishable from non-frustrated ones

- Order parameter:

$$\langle \sigma^x \rangle = \lim_{N \rightarrow \infty} \sqrt{C^{xx} \left(\frac{N-1}{2} \right)} = 0$$

- Inconsistent with thermodynamic Limit ($N \rightarrow \infty$)

Scaling Thermodynamic Limit

$$C^{xx}(R) \equiv \langle \sigma_i^x \sigma_{i+R}^x \rangle = (-1)^R \left(1 - \frac{h^2}{J^2}\right)^{1/4} \left[1 + \frac{c^x(h)}{R^2} \left(\frac{h^2}{J^2}\right)^R\right] \left(1 - \frac{2R}{N}\right)$$

- Local behavior **cannot** depend on even/oddness of large chain

- Yet, the **order parameter does**

$$\langle \sigma^x \rangle = \lim_{N \rightarrow \infty} \sqrt{C^{xx} \left(\frac{N-1}{2}\right)} = 0$$

- Traditional therm. limit restores finite order parameter

- To account for the frustrated behavior we consider a

Scaling Thermodynamic Limit: $N \rightarrow \infty$ as

$$r \equiv \frac{R}{N} = \text{const}$$

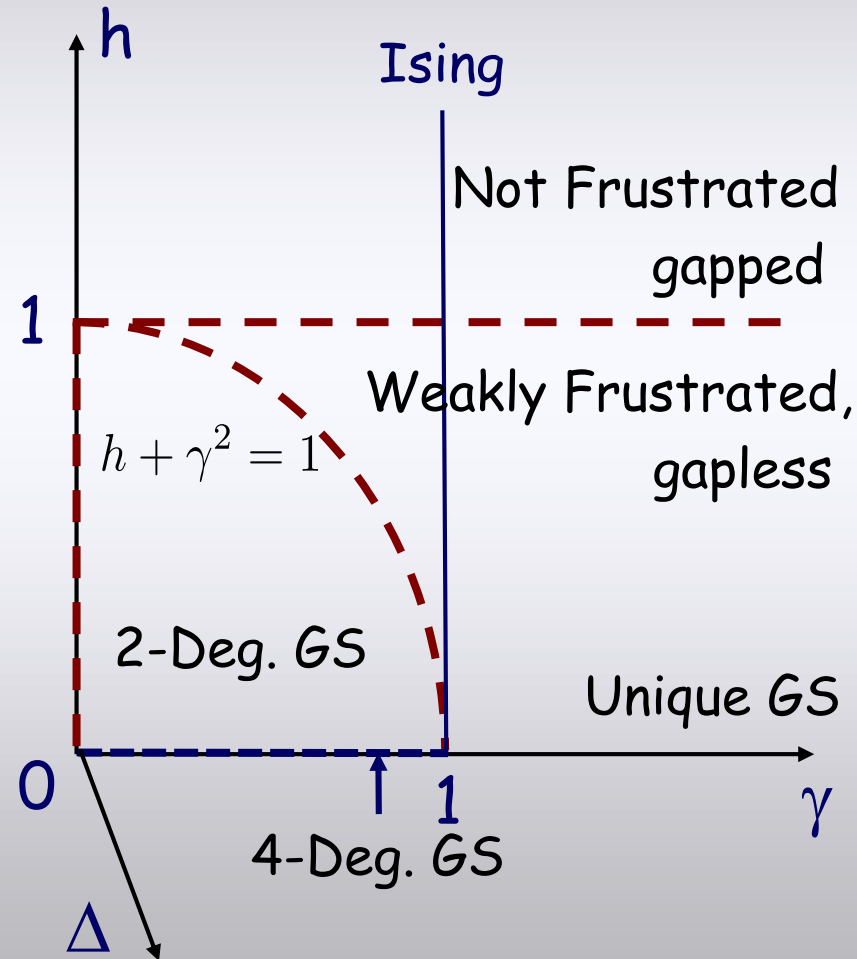
- In this way, signatures of a new “**pseudo-phase**”

- Let us look at the **entanglement entropy** in the STL

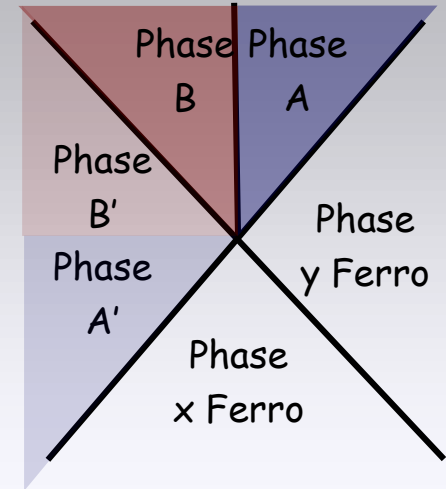
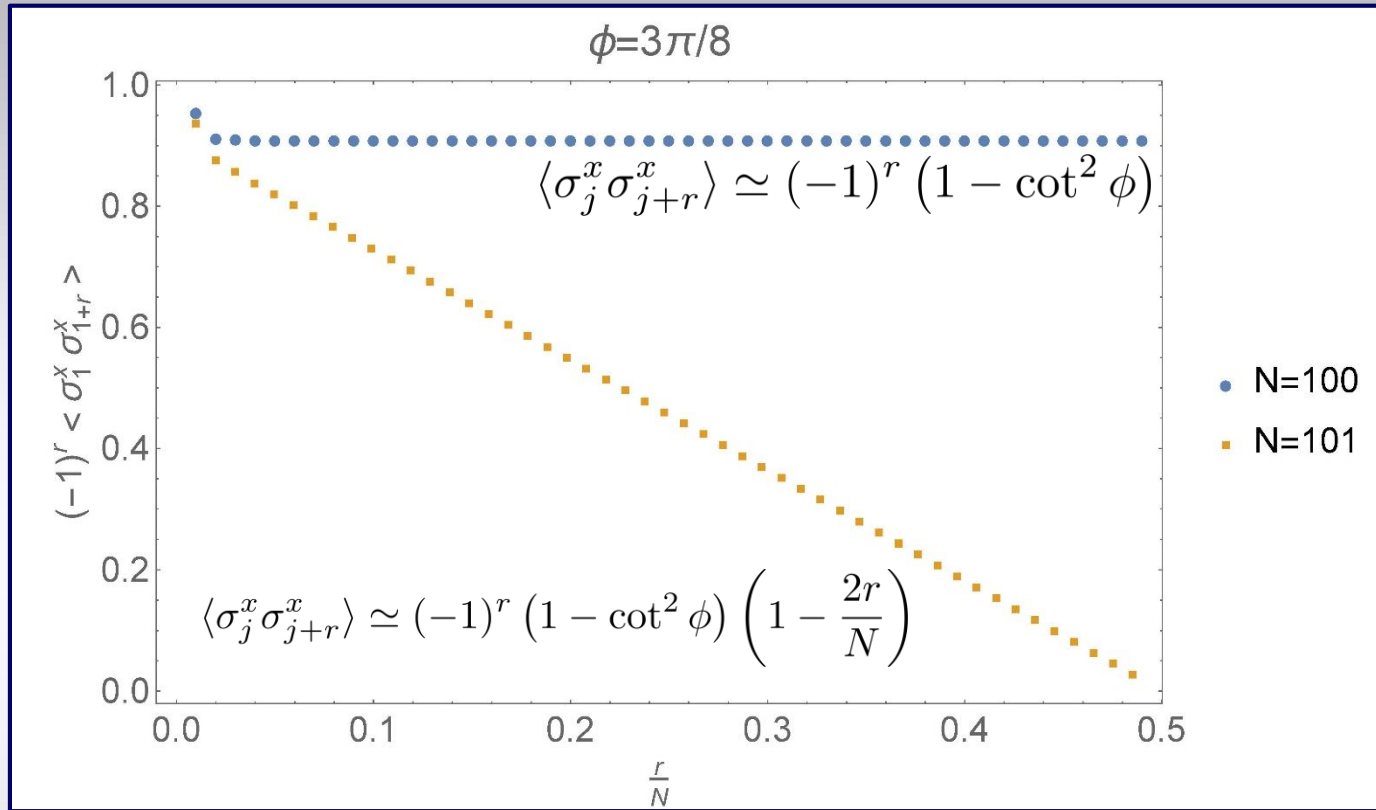
Weak Frustration's Phase Diagram

$$H = \frac{1}{2} \sum_{l=1}^N \left[\left(\frac{1+\gamma}{2} \right) \sigma_l^x \sigma_{l+1}^x + \left(\frac{1-\gamma}{2} \right) \sigma_l^y \sigma_{l+1}^y \right] + \frac{\Delta}{2} \sum_{l=1}^N \sigma_l^z \sigma_{l+1}^z - \sum_{l=1}^N h \sigma_l^z$$

- Frustration can give GS a finite momentum
- ⇒ GS 2-fold degenerate
- Exact, geometrical, finite size degeneracy, for **any interaction**
- Spontaneous **breaking** of translational invariance



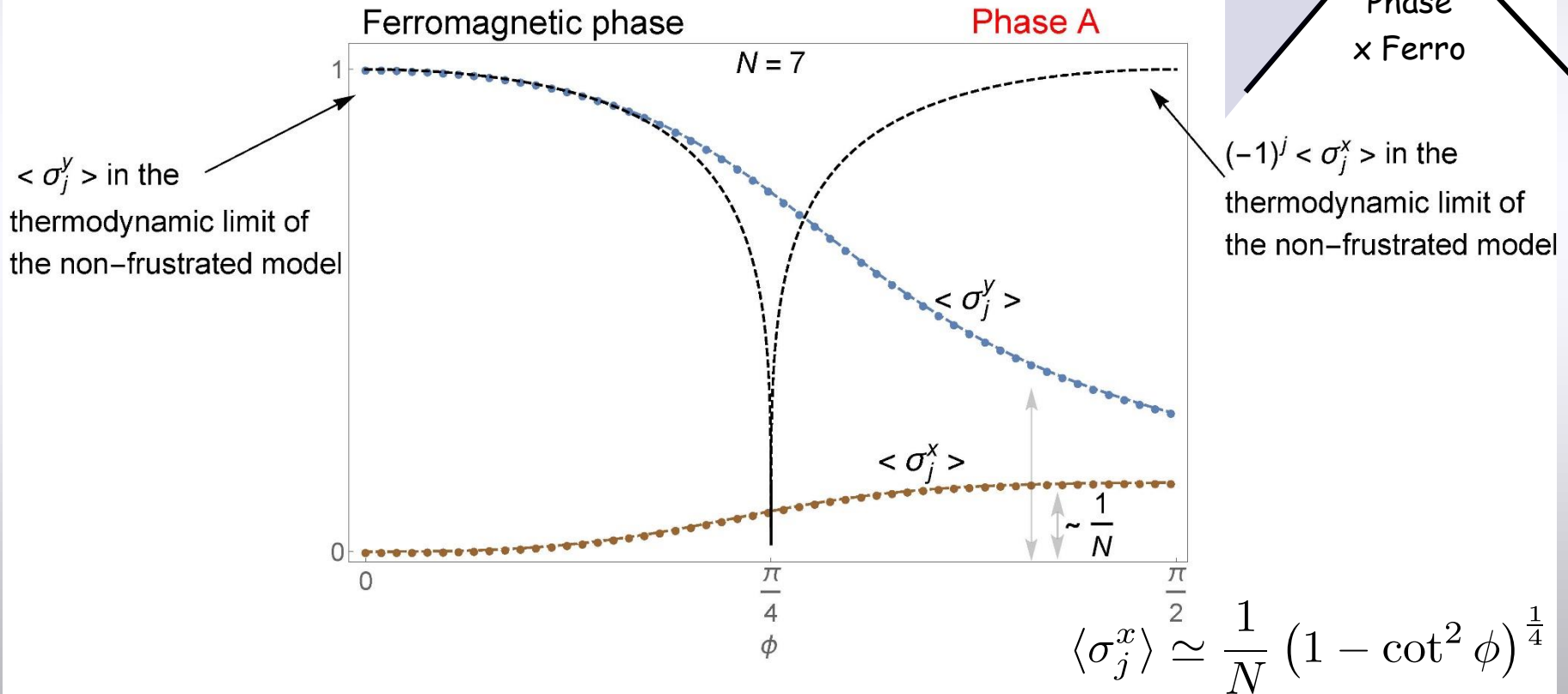
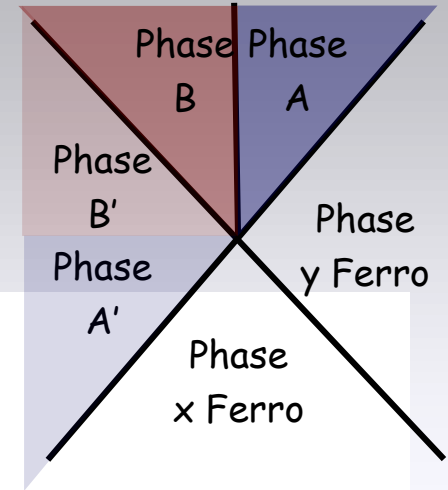
2-point function



- Behavior of 2-point function in regions A & B analogue to Ising

Phase A: mesoscopic magnetization

- Finite magnetization in finite system
- Clearly different from non-frustrated



$\langle \sigma_j^y \rangle$ in the thermodynamic limit of the non-frustrated model

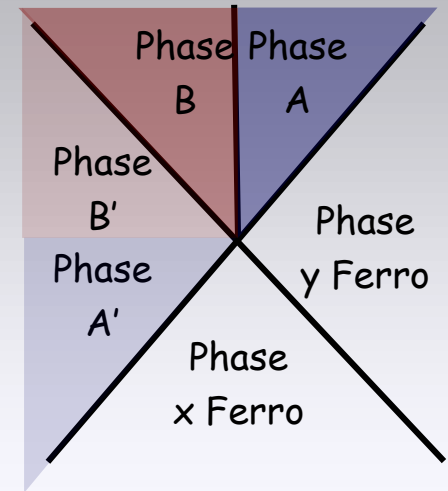
$(-1)^j \langle \sigma_j^x \rangle$ in the thermodynamic limit of the non-frustrated model

Phase B: Lost Translational Inv.

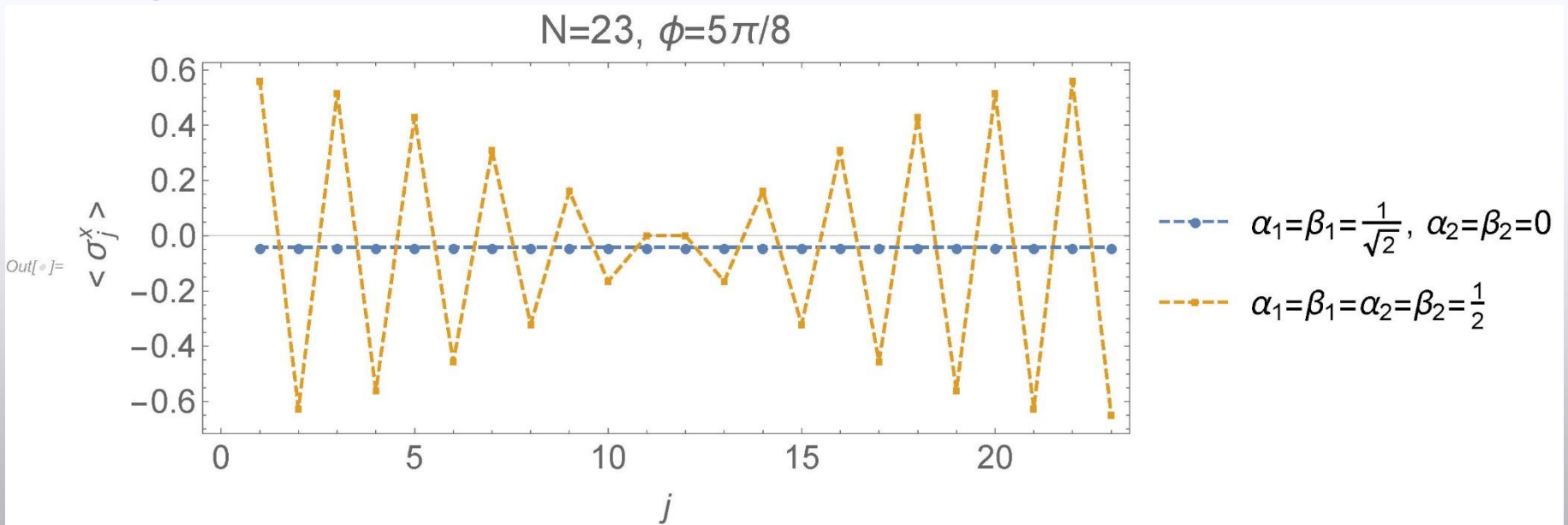
- 4-fold deg. GS:

$$|GS\rangle \equiv \sum_{l=1}^2 \alpha_l |GS_l, +\rangle + \beta_l |GS_l, -\rangle, \quad \sum_{l=1}^2 \alpha_l^2 + \beta_l^2 = 1$$

$$P|GS_l, \pm\rangle = e^{\pm \frac{i\pi}{2} (1 \pm \frac{1}{N})} |GS_l, \pm\rangle$$

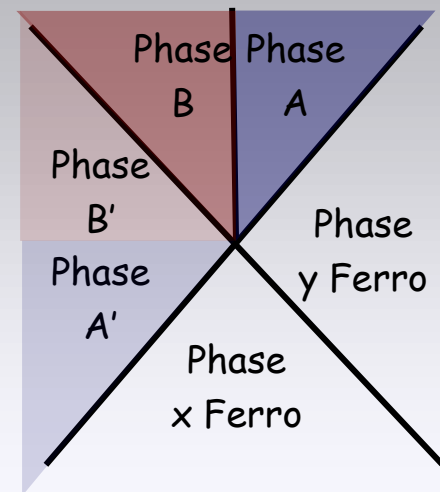
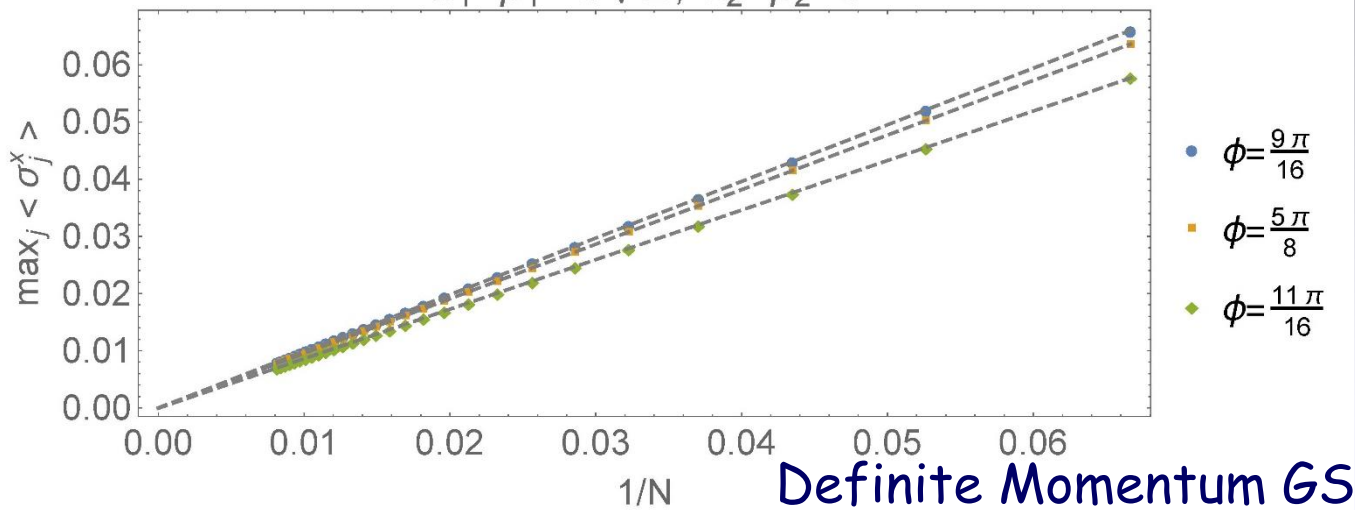


- Magnetization for $\alpha_l = \beta_l$

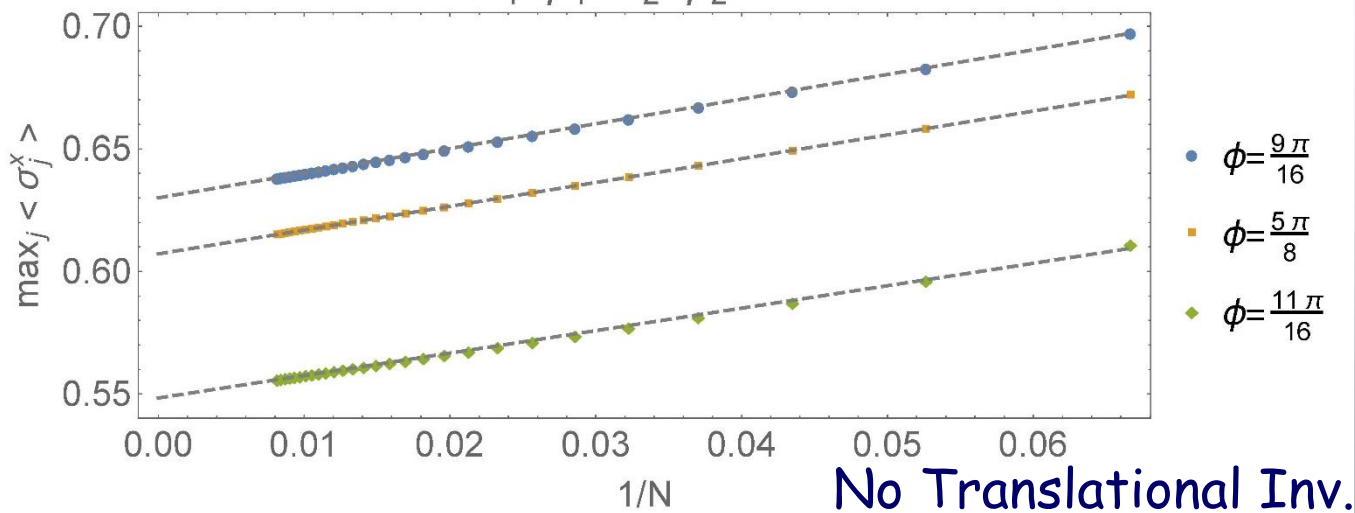


Phase B: Finite-Size Scaling

$$\alpha_1 = \beta_1 = 1/\sqrt{2}, \alpha_2 = \beta_2 = 0$$

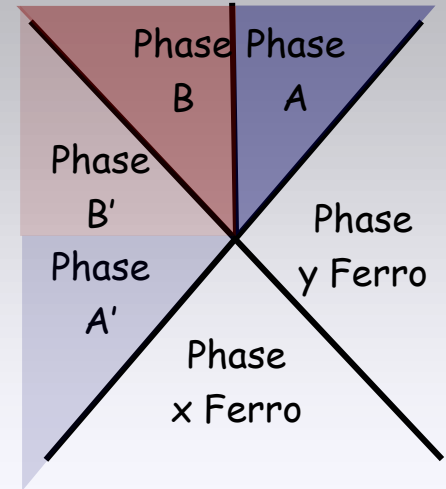
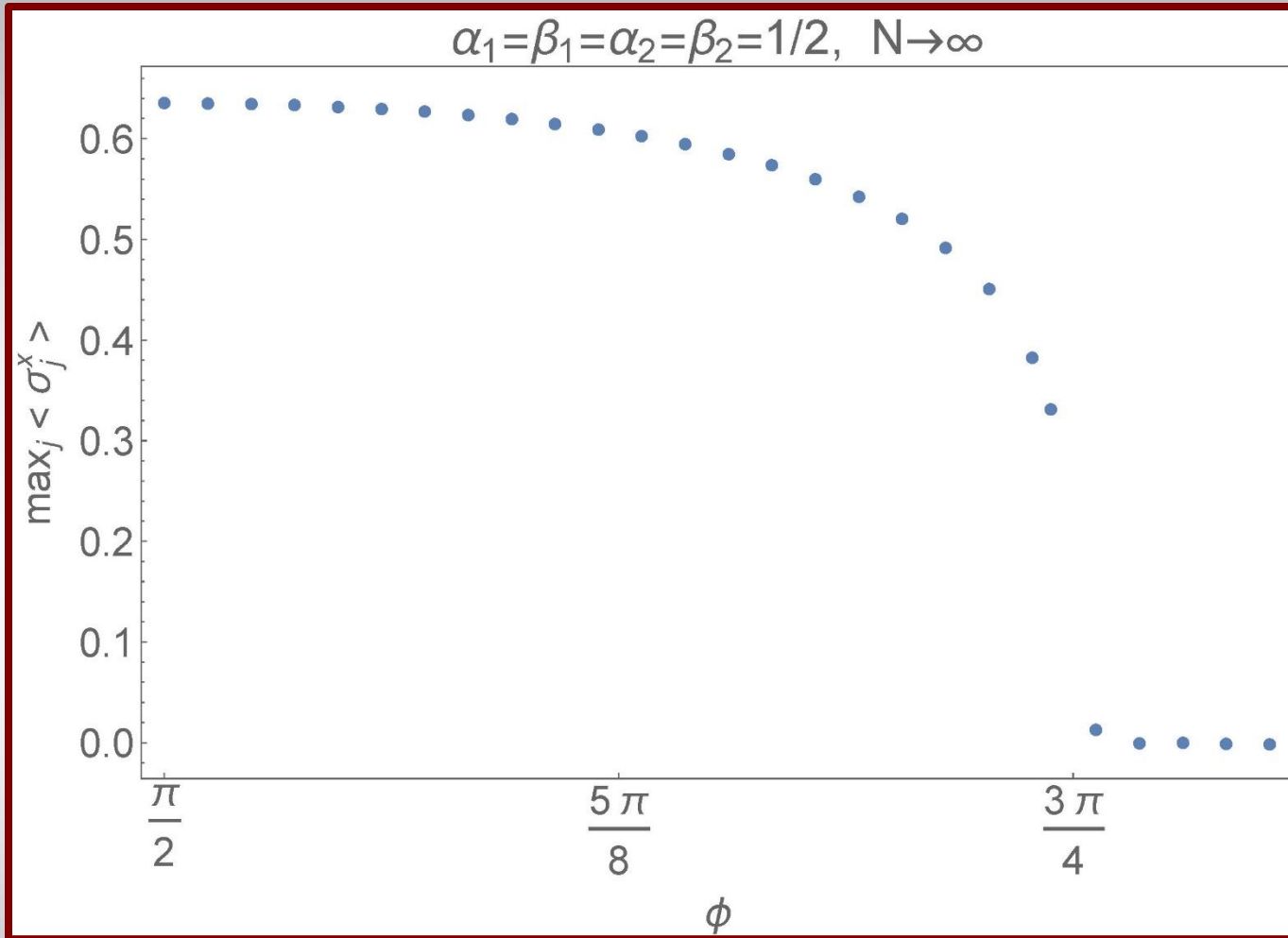


$$\alpha_1 = \beta_1 = \alpha_2 = \beta_2 = 1/2$$



- **Finite** intercept in therm. limit: single particle?!?

Order Parameter?



- $\max \langle \sigma_j^x \rangle$ (in therm. limit) acts as an **order parameter**

Magnetization: Summary

- γ -Ferro phase: GS deg=2

$$\langle \sigma_j^x \rangle \xrightarrow{N \rightarrow \infty} 0, \quad \langle \sigma_j^y \rangle \xrightarrow{N \rightarrow \infty} (1 - \cot^2 \phi)^{\frac{1}{4}}$$

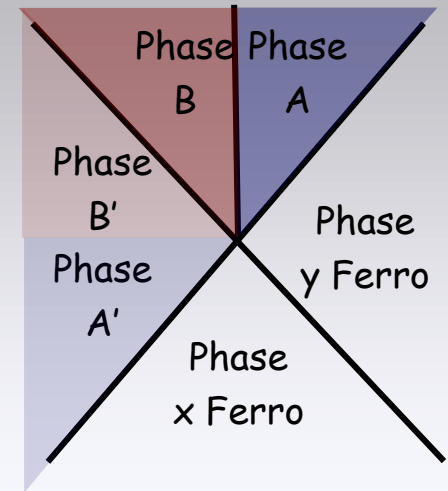
- Phase A: GS deg = 2

$$\langle \sigma_j^x \rangle, \langle \sigma_j^y \rangle \sim \frac{1}{N}$$

- Phase B: GS deg = 4 (broken translational invariance)

$$\max_j \langle \sigma_j^x \rangle \sim a + \frac{b}{N}$$

$$\max_j \langle \sigma_j^y \rangle \sim \frac{1}{N}$$



Quantifying Frustration

- First quantify “quantum” frustration:

- Write Hamiltonian as sum of local terms
- Find GS of H and of all the H_j separately and construct projectors

$$H = \sum_j H_j \longrightarrow \begin{cases} H \rightarrow \Pi \equiv |GS\rangle\langle GS| \\ H_j \rightarrow \Pi_j \equiv \sum_{\alpha} |GS_j^{\alpha}\rangle\langle GS_j^{\alpha}| \end{cases}$$

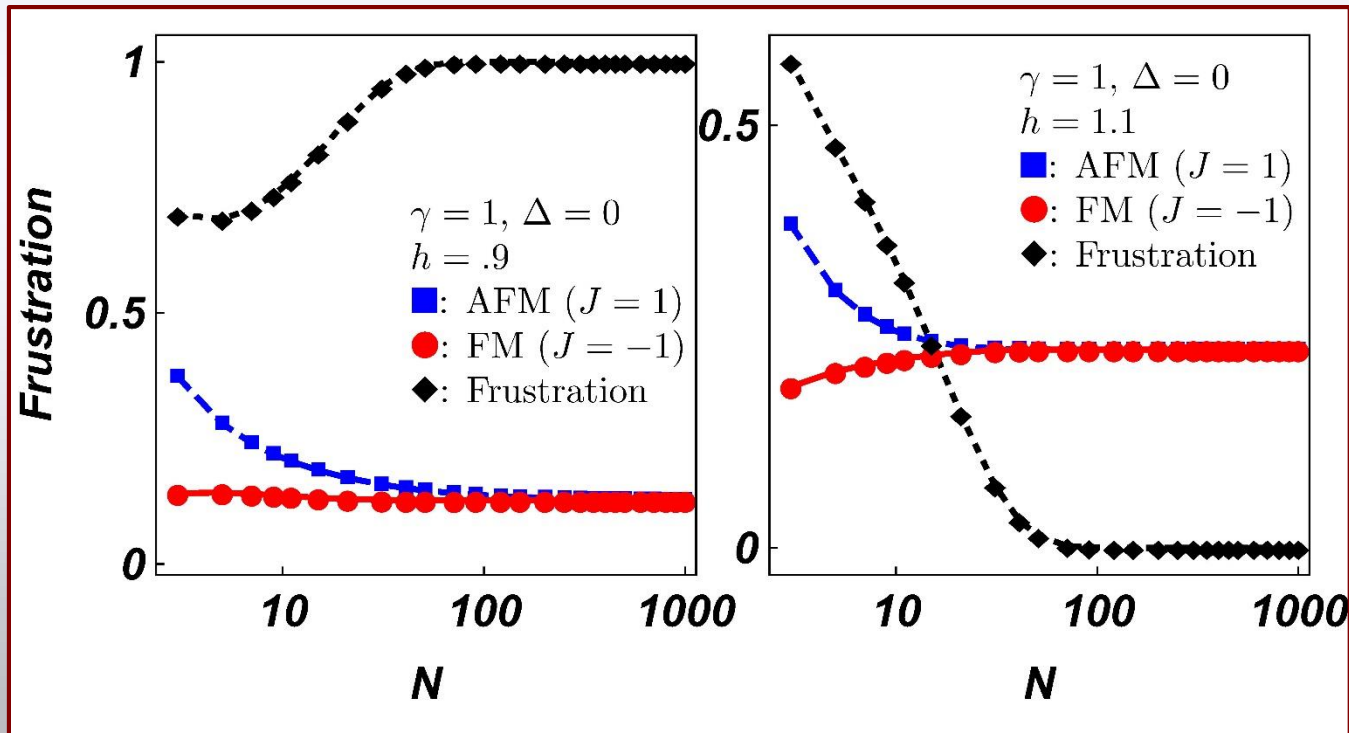
- Measure Hilbert-Schmidt distance between them

$$F_j \equiv \text{Tr}(\Pi_j \Pi)$$

- If translational invariance: $F \equiv F_j$ (Giampaolo et al. PRL '11)

Quantifying Frustration

- Consider frustration of Ferromagnetic ($J=-1$) $F(J = -1)$
and AFM system ($J=1$) $F(J = 1)$
- Geometrical frustration: $g_F = \sum_{j=1}^N [F(J = 1) - F(J = -1)]$



Frustration does not increase with the system's length and vanishes in non-frustrated phase

Approaching $h \rightarrow 1$

- CFT behavior up to (non-frustrated) correlation length scale & deviation beyond it

